Quenching rattling modes in skutterudites with pressure

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I. INTRODUCTION

The growing demand for sustainable energy and efficient heat-to-electricity conversion stimulates the search for novel thermoelectric materials. Starting from the mid 1990’s, the focus of thermoelectric research shifted from conventional materials such as PbTe and Bi2Te3 to materials with more complex structures [1,2] or with tailored nanostructures [3]. The exploration of materials with structures containing large voids that accommodate loosely bound “rattling” atoms, such as filled skutterudites and clathrates, is one promising avenue. The idea that such rattling atoms would strongly scatter the propagating acoustic phonons [4,5] and thus decrease the thermal conductivity was experimentally verified for CeFe4Sb12, LaFe3CoSb12, and (Sr,Eu)3Ga16Ge38 [6–8], among other compounds. Surprisingly, even after 20 years of intensive research, the microscopic mechanism behind the reduced thermal conductivity in filled skutterudites and clathrates remains unclear and debated. The original simple picture of noncorrelated motion [5] of the rattling atoms, independent of the host structure, has gradually been challenged and refined by inelastic neutron and nuclear inelastic scattering (NIS) [24], a technique which, through its element selectivity, provides partial densities of phonon states (PDOS) individually for the guest atoms and for the host structure. A combination of these PDOS with density information obtained from high-pressure powder x-ray diffraction (XRD) yields element specific Grüneisen parameters for a set of individual phonon modes. A large Grüneisen parameter was found for the rattling mode which is hybridized with the acoustical phonons at ambient pressure is reduced at high pressure as the phonon modes decouple. This result suggests that anharmonic coupling between acoustic and optical phonon modes plays a central role in the reduced thermal conductivity.

A high-pressure study of the lattice dynamics in the filled skutterudite Eu0.34Fe4Sb12 was carried out by means of x-ray powder diffraction and nuclear inelastic scattering. The anharmonicity of particular phonon modes was characterized by mode and element specific Grüneisen parameters. The large anharmonicity of the rattling optical mode that is hybridized with the acoustical phonons at ambient pressure is reduced at high pressure as the phonon modes decouple. This result suggests that anharmonic coupling between acoustic and optical phonon modes plays a central role in the reduced thermal conductivity.

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II. MATERIALS AND METHODS

A. Sample preparation and characterization

57Fe powder from Cambridge Isotopes was reduced in flowing H2 gas (3.5%, balance Ar) at 800 °C for 12 h. The reduced powder was combined with elemental Eu and Sb pieces in the stoichiometric ratios Eu : Fe : Sb = 1 : 4 : 12

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inside a glove box, after cleaning the surface of the Eu metal with a wire brush. The mixture was placed in a carbon coated silica glass crucible and sealed inside a silica glass ampoule. The material was melted at 1000 °C for 2 h and quenched in ice water. The boule was then annealed at 700 °C for 4 days and quenched in ice water. Rietveld refinement of powder x-ray diffraction revealed the sample to be nearly single phase skutterudite with a composition Eu$_{0.84}$Fe$_4$Sb$_{12}$, with less than 1 wt. % Sb as the only detected impurity. Careful exclusion of oxygen, especially from the iron powder, was crucial to avoiding the formation of high levels of impurity phases. Mössbauer spectral analysis of the samples with the $^{151}$Eu, $^{121}$Sb, and $^{57}$Fe nuclear resonances indicate, not shown, no foreign phases, at a 1% detection limit.

### B. Experimental methods

NIS measurements were performed at the nuclear resonance beamline ID18 [25] at the European Synchrotron Radiation Facility with the $^{151}$Eu, $^{57}$Fe, and $^{121}$Sb nuclear resonances. High resolution monochromators provided energy bandwidths of 0.7 meV at 14.4 keV, 1.1 meV at 21.5 keV, and 1.2 meV at 37.1 keV for the nuclear resonances of the $^{57}$Fe, $^{151}$Eu, and $^{121}$Sb isotopes, respectively. The high-pressure $^{151}$Eu and $^{57}$Fe NIS measurements were performed using powder samples loaded into a diamond anvil cell (DAC) with paraffin as the pressure medium. The $^{121}$Sb NIS measurements were not conducted at high pressure because the low Lamb-Mössbauer factor at room temperature leads to blurring of the NIS spectrum by multiphonon contributions. The individual $^{151}$Eu and $^{57}$Fe NIS measurements were performed using the same DAC, on the same sample, and after stabilization of the desired pressure. Pressure was measured using ruby spheres loaded into the DAC gasket chamber in the vicinity of the sample material. The choice of paraffin as a pressure medium was dictated by our requirements to have a good quasi-hydrostatic pressure medium.

The $^{151}$Eu nuclear forward scattering (NFS) was carried out together with NIS in order to measure the isomer shift of Eu in Eu$_{0.84}$Fe$_4$Sb$_{12}$ against Eu$_2$O$_3$ at high pressure. The Eu$_{0.84}$Fe$_4$Sb$_{12}$ sample in DAC and Eu$_2$O$_3$ target were installed into the x-ray beam and time spectra were measured at 296 K.

High-pressure x-ray diffraction was conducted at the beamline P02.2 [26] at the PETRAIII x-ray light source at 42.8 keV x-ray energy with a beam size of 2 × 2 μm$^2$ on material taken from the same synthesis batch loaded into symmetric Mao-type DACs. In compliance with NIS studies, paraffin was used as a pressure medium and pressure was measured using ruby spheres.

### C. Data treatment

The partial densities of phonon states (PDOS) were evaluated from the NIS spectra using the procedure described in Ref. [27]. No deconvolution with the instrumental function was applied, so that the statistical noise in the PDOS reproduces that in the NIS spectra. The extraction of the Fe PDOS works well, which is confirmed by deviation of the PDOS area from unity by less than 1%. The evaluation of the Eu PDOS is more difficult due to the small Lamb-Mössbauer factor at 296 K, which is 0.18 at ambient pressure and 0.35 at 20.3 GPa. This leads to a large multiphonon contribution in the spectrum which is difficult to take into account correctly. The multiphonon parts add a smooth pedestal to the spectra [28]. Thus, the obtained PDOS can have an incorrect, slowly changed background which manifests itself in the deviation of the obtained PDOS area from unity by up to 10%. However, this contribution does not affect the sharp features, in particular, the position and width of the peaks, which allows us to extract the position of the peaks.

### III. RESULTS AND DISCUSSION

#### A. Ambient pressure

The Eu$_{0.84}$Fe$_4$Sb$_{12}$ compound is representative of the filled antimony skutterudites, RM$_4$Sb$_{12}$, where $R$ is a rare earth and $M$ is a transition metal. This composition is particularly appealing because it displays rattling behavior and NIS measurements can be carried out using nuclear resonances from all three constituent atoms, $^{151}$Eu, $^{57}$Fe, and $^{121}$Sb.

Figure 1(a) shows the PDOS, $g(E)$, for Fe, Eu, and Sb derived [27] from the NIS spectra measured at 40 K and ambient pressure. The shapes of the obtained PDOS are in good agreement with previous measurements [10,11] and also agree with the calculations for a similar compound, LaFe$_4$Sb$_{12}$ [13]. The PDOS of the guest Eu features a well pronounced, slightly split, rattling peak at 7 meV and a small peak at 11.5 meV which coincides with the position of the pronounced peak in the PDOS for Sb. The vibrations of the Sb and Fe occurred mainly at 5–20 and 27–35 meV, respectively.

#### FIG. 1. (Color online) (a) Element specific and total density of phonon states $g(E)$ of Eu$_{0.84}$Fe$_4$Sb$_{12}$ measured at 40 K. (b) The corresponding reduced partial density of phonon states $g(E)/(E^2 M)$ scaled by the atomic mass. The thick horizontal lines show the Debye level, 1/($2\pi^2\hbar^3 \rho\langle v \rangle^3$), obtained from the Fe PDOS, where $\rho$ is the density and $\langle v \rangle$ is the mean sound velocity of the material. The dashed vertical lines emphasize the region of the rattling peak. The arrow emphasizes the dip in the Fe reduced PDOS.
The interesting features are observed at low energies and around the rattling peak of Eu at 7 meV. They are seen in the reduced PDOS, \( g(E)/(E^2 M) \), where \( M \) is the mass of the corresponding element, in Fig. 1(b). The Debye-like behavior for the low-energy acoustical modes, for which all atoms vibrate in phase, leads to a unique constant for this function [24] that is related solely to the speed of sound and density, as indeed is observed below 5 meV. Around 7 meV, the reduced PDOS of Eu reveals a split peak, whereas the reduced PDOS of Fe shows a pronounced dip, indicated by the arrow. A similar dip in the reduced Fe PDOS at the position of the rattling peak was observed in YbFe_2Sb_12 [29] and La(Pr,Sm)Fe_4Sb_12 [30]. This dip does not appear in the unfilled skutterudite FeSb_3 [29]. The presence of the dip in the reduced Fe PDOS at the position of the rattling peak as well as a Eu peak at about 11 meV, i.e., at the position of the pronounced peak in the Sb PDOS, shows that the motion of the guest Eu is coupled to the framework formed by Fe and Sb, in line with the conclusions of Ref. [9]. As we show below, both the split structure of the peak in the PDOS for Eu and the pronounced dip in the PDOS for Fe are the manifestation of the “avoided crossing” hybridization of the rattling optical and propagating acoustic modes.

The hybridization of the acoustic and Einstein-like optical phonon modes can be considered using a simple one-dimensional spring model [19]. The main results of these models are illustrated in Fig. 2. For a weak coupling between the host and guest atoms, the dispersion relations exhibit an avoided crossing [Fig. 2(a)]. This behavior of the dispersion relations leads to the split peak in the PDOS of the model guest and the dip in the PDOS of the model host atoms. This is exactly what is observed in our measurements: a split peak in the reduced PDOS for the guest Eu and a pronounced dip in the reduced PDOS of the host Fe [Fig. 1(b)]. As far as Sb is concerned, we note that in the crystal lattice they occupy intermediate positions between Eu and Fe. Thus, the reduced PDOS of Sb around the rattling peak shows that the trend is intermediate between that for the Eu and Fe, as seen in Fig. 1(b). This observation indicates that the phonon dispersion relations in the vicinity of the rattling peak are described by the avoided crossing hybridization of the acoustical and rattling optical modes.

**B. High pressure**

Three types of measurements were conducted at room temperature and pressures up to 20 GPa: high-pressure x-ray powder diffraction, \(^{151}\)Eu nuclear forward scattering, and \(^{57}\)Fe and \(^{151}\)Eu nuclear inelastic scattering.

The pressure dependence of the Eu\(_{0.84}\)Fe\(_4\)Sb\(_{12}\) unit cell volume is shown in Fig. 3. The material maintains the same structure in the full pressure range of our study. The data were fitted by the third-order Birch-Murchagan equation of state [31] resulting in the following fit parameters: \( V_0 = 771.4(9) \ \text{Å}^3 \), \( K_0 = 100(2) \ \text{GPa} \), and \( K'_0 = 3.6(2) \), where \( V_0 \) is the volume, \( K_0 \) is the bulk modulus, and \( K'_0 \) is the bulk modulus pressure derivative at ambient conditions.

The isomer shift of Eu in Eu\(_{0.84}\)Fe\(_4\)Sb\(_{12}\) at high pressure was obtained by NFS. The NFS intensity of Eu\(_{0.84}\)Fe\(_4\)Sb\(_{12}\) at different pressures and the Eu\(_2\)O\(_3\) calibrated target are shown in Fig. 4. The intensity \( I(t) \) was fitted by the equations

\[
E(t) = e^{-at^2/2\tau_0} + ke^{-b/2t+\Omega/b^2t^2},
\]

\[
I(t) = I_0|E(t-t_0)|^2,
\]

where \( \Omega \) is the isomer shift of Eu\(_{0.84}\)Fe\(_4\)Sb\(_{12}\) relative to Eu\(_2\)O\(_3\), and \( a \) and \( b \) are the linewidths for two samples, \( \tau_0 \) is the natural lifetime (\( \tau_0 = 14.0 \ \text{ns} \)), and \( t_0 \) is the ratio of the line areas. \( I_0 \) and \( t_0 \) account for the total intensity and shift of the zero time. The obtained relative isomer shift is shown in Fig. 4. The shift of 11–11.8 mm/s relative to trivalent Eu in Eu\(_2\)O\(_3\) is observed for Eu in Eu\(_{0.84}\)Fe\(_4\)Sb\(_{12}\). A similar relative isomer shift (11.8 mm/s) versus Eu\(_2\)O\(_3\) was observed [32] for EuS. Typically, divalent Eu compounds have an isomer shift of about

![FIG. 3. (Color online) (a) Pressure dependence of the unit cell volume of Eu\(_{0.84}\)Fe\(_4\)Sb\(_{12}\). The line is the Birch-Murchagan equation of state fit. (b) Bulk modulus \( K \) vs pressure. (c) Normalized pressure \( F \) vs Eulerian strain \( f \).](https://example.com/fig3.png)
(−11 : −14) mm/s relative to trivalent EuF3 and less precisely to Eu2O3 [33]. Thus, the Eu in Eu0.84Fe4Sb12 remains divalent up to 20 GPa.

The evolution of the Fe and Eu NIS spectra versus applied pressure, shown in Fig. 5, demonstrates the expected phonon mode hardening without a significant change in shape. An enhanced hardening rate of the Eu rattling peak is clearly visible when compared to the position of the neighboring Fe peak located at ∼8 meV. Whereas these peaks are separated at ambient pressure, they already strongly overlap at 10.1 GPa. In addition, the Fe inelastic spectrum features a peculiar evolution: The dip that is present at ambient conditions at the position of the 7 meV rattling Eu mode disappears above 10.1 GPa.

The Fe and Eu PDOS obtained from the data are shown in Fig. 6. The obtained values of the Lamb-Mössbauer factor, the atomic displacement parameters, and the mean force constants are presented in Table I.

The relative energy shift of specific peaks of the Fe and Eu NIS spectra and PDOS were obtained using the procedure described in Ref. [34]. The NIS spectrum and PDOS with the best statistics (ambient pressure, 296 K) were used as a theoretical function $D(E)$ via linear interpolation of the data. All other data sets were fitted in the regions of the peak of interest by the function $bD((1 + a)E)$, where $a$ and $b$ are fit parameters. The parameter $a$ gives the shift of the peak position $a = \Delta E/E_0$ relative to the position in the reference data set. Such a procedure was applied to the characteristic Fe peaks at 9, 14, 29, and 32 meV and to the Eu peak at 7 meV. The qualities of the fit are shown in Fig. 6 for PDOS peaks and in Fig. 5 for the peaks in the NIS spectra. The fits of both the spectra and PDOS give consistent results, and the errors were taken as a maximum error between these two fits. The parameters $a$ obtained from the fit are presented in the

\begin{table}[h]
\centering
\begin{tabular}{|c|c|c|c|c|}
\hline
Element & $P$ (GPa) & $T$ (K) & $f_{LM}$ & $U_{eq}$ (Å²) & $\Phi$ (N/m) & $E_D$ (meV) \\
\hline
Fe & amb & 47 & 0.915(1) & 0.001 67(2) & 187(2) & 31.9(6) \\
Eu & amb & 36 & 0.74(1) & 0.002 54(10) & 44(5) & 31.5(4) \\
Sb & amb & 45 & 0.60(2) & 0.001 46(10) & 148(5) & 31.1(4) \\
Fe & amb & 296 & 0.763(1) & 0.005 09(2) & 182(2) & 30.8(1) \\
Fe & 5.7 & 296 & 0.772(1) & 0.004 85(2) & 215(2) & 31.5(4) \\
Fe & 10.1 & 296 & 0.791(1) & 0.004 39(2) & 245(2) & 32.7(4) \\
Fe & 15.2 & 296 & 0.800(1) & 0.004 18(2) & 277(2) & 33.1(4) \\
Fe & 20.3 & 296 & 0.799(1) & 0.004 21(2) & 294(2) & 32.7(5) \\
Eu & amb & 296 & 0.18(2) & 0.0146(10) & 187(2) & 31.9(6) \\
Eu & 5.7 & 296 & 0.26(2) & 0.0115(6) & 187(2) & 31.9(6) \\
Eu & 10.1 & 296 & 0.31(2) & 0.0099(5) & 187(2) & 31.9(6) \\
Eu & 15.2 & 296 & 0.34(2) & 0.0091(5) & 187(2) & 31.9(6) \\
Eu & 20.3 & 296 & 0.35(2) & 0.0088(5) & 187(2) & 31.9(6) \\
\hline
\end{tabular}
\caption{The Lamb-Mössbauer factors $f_{LM}$, atomic displacement parameters $U_{eq}$, mean force constants $\Phi$, and Debye energy $E_D$ in Eu0.84Fe4Sb12 at different temperatures and pressures.}
\end{table}
The relative shift of particular phonon modes $\Delta E / E_0$ as a function of the volume contraction $\Delta V / V_0$ is shown in Fig. 7. Contrasting behavior is observed for the Fe modes on the one hand and the Eu and acoustical modes on the other hand. Starting from the peak at 9 meV, the Fe optical modes harden linearly, whereas a kink is observed at 12–13 GPa for the Eu modes and the Debye energy, i.e., acoustical modes. Fits of the data by linear functions yield the Grüneisen parameters [35] shown in Figs. 7(b) and 7(c), below and above 10.1 GPa, respectively. In the low-pressure region, the Grüneisen parameters for the Fe modes increase with phonon energy from 0.6 for the acoustical phonons up to 2.3 for the optical modes near 33 meV. The Eu mode Grüneisen parameters deviate from this trend: The rattling mode located at 7 meV at ambient pressure has $\gamma = 2.5$, which is two times larger than the Grüneisen parameter for the Fe modes with comparable energies, a deviation that reveals an enhanced anharmonicity of the interatomic potential of the Eu guests. The Grüneisen parameters obtained here are in good agreement with the calculations for the filled skutterudite $\text{LaFe}_4\text{Sb}_{12}$ [20,36], which also predicts large $\gamma$ values for the rattling mode.

Above 10.1 GPa, the Grüneisen parameters drastically change: The enhanced $\gamma$ for the Eu modes is reduced, and all modes between 5 and 20 meV have comparable $\gamma$. In addition, the averaged acoustical mode Grüneisen parameter obtained from the Debye energy is strongly decreased, $\gamma = 0.02(20)$. All these features correspond to the theoretical calculations of $\gamma$ for the unfilled skutterudite [20]. Thus, the evolution of the lattice dynamics with pressure suggests the presence of a transition at a critical pressure around 10 GPa, where the

FIG. 6. (Color online) Left: Fe reduced PDOS, $g(E)/E^2$, for different pressures. The red horizontal line at low energy shows the fit of the Debye level, and the red segment lines show fits of the high-pressure data by the reference PDOS. The arrows show the presence of the dip in the Fe reduced PDOS at 5.7 and 10.1 GPa. Right: Eu PDOS, $g(E)$, for different pressures. The red segment lines are the fit by the reference PDOS.

FIG. 7. (Color online) (a) Dependence of the relative energy of particular phonon modes upon relative volume contraction. The solid and dashed lines show linear fits in the 0–10 and 10–20 GPa regions, respectively. The Grüneisen parameters of the modes obtained as a linear slope parameter of the fits are shown in (b) and (c) for pressures below and above 10 GPa, respectively. The gray line in (b) and (c) reproduces the total DOS of Fig. 1.
anharmonicity of the interatomic potential is suppressed for the rattling mode. To the best of the author’s knowledge the existence of this transition in which essentially the “rattling” is switched off has never been observed or predicted before.

The suppression of hybridization of the optical rattling mode and the acoustical modes, which leads to the transformation from avoided-crossing-type phonon dispersion relations to dispersion relations where the rattling optical modes shift above the acoustical modes, appears to be the origin of this transition. This scenario is illustrated in the one-dimensional spring model in Fig. 2. The increase in the host-guest coupling leads to strong hardening of the optical mode and to the transition described above. The characteristic feature of the transition in this model is the disappearance of the dip in the low-energy part of the host PDOS, as is observed in the Fe inelastic spectra around 10.1 GPa.

In contrast to the ball-and-spring model where the transition is introduced by increasing the host-guest coupling artificially in a harmonic vibrational model, in the real system, this increase of the coupling comes from the anharmonicity of the guest interatomic potential. The quenching of the anharmonicity above the transition is a central result: It suggests that there is a connection between the avoided crossing hybridization between the acoustical and optical rattling phonon modes and the anharmonicity of these modes.

A further test of the change in the Grüneisen parameter of the acoustical modes with a critical pressure around 10 GPa comes from the bulk compressibility \( (K = -\frac{dV}{dP/V}) \), the elastic property directly related to longitudinal sound propagation in the material. The change in the Grüneisen parameter of the Debye energy \( E_D \) suggests a jump in the derivative of the sound velocity and, subsequently, may produce a jump in the pressure derivative of the bulk modulus \( K' \) [37]. A detailed analysis of the diffraction data reveals an anomaly in the bulk compressibility around 12–18 GPa [see Fig. 7(b)]. The same anomaly is seen from the analysis of the stress-strain relation in a so-called \( F-f \) plot shown in Fig. 7(c), which relates the variation of normalized stress \( F = P/[3f(1+2f)(f^{1/2})] \) with the Eulerian strain \( f(V) = [(V_0/V)^{2/3} - 1]/2 \) [37]. A change in the \( F \) slope indicates a discontinuity in the bulk compressibility \( K \) or its derivative, \( K' \) [38]. Such an anomaly is visible in our data between 12 and 18 GPa.

Thus, the analysis of both the structural and lattice dynamics data indicates the existence of a critical pressure around 12 GPa where the decoupling of the acoustic and rattling modes modifies the elastic properties of the material. Similar features are thus expected in the compressibility data for other filled skutterudites. In a report in the pressure range above features are thus expected in the compressibility data for other modes modifies the elastic properties of the material. Similar data indicates the existence of a critical pressure around 12 GPa [39].

IV. CONCLUSION

In summary, the pressure dependence of the lattice dynamics in the filled skutterudite \( \text{Eu}_0\text{Fe}_{5}\text{Sb}_{12} \), as studied by NIS and XRD, yields element specific Grüneisen parameters and their variation under compression. Detailed analysis reveals the hybridization of the Eu rattling mode and acoustical modes at ambient pressure. At moderate pressure, up to 10 GPa, the Grüneisen parameters obtained for the Eu modes are indicative of a large anharmonicity of the interatomic potential for the Eu guests. Above a critical pressure of ∼12 GPa, both the hybridization of the modes and the enhanced anharmonicity disappear. This critical pressure coincides with a jump in the bulk compressibility and an anomaly in the behavior of normalized stress as a function of Eulerian strain. We suggest that this anomaly in the vicinity of 12 GPa is caused by a decoupling and a subsequent reconstruction of the rattling and acoustical phonon modes. This decoupling leads to a reduction of the anharmonicity of the potential for the guests. Thus, it appears that at low pressure it is the hybridization of the acoustic phonon modes with the rattling optical mode that leads to enhanced anharmonicity. Furthermore, our study predicts that the lattice thermal conductivity of the filled and unfilled skutterudites may become comparable at high pressure.

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