Frequency-dependent signal transfer at the interface between electrogenic cells and nanocavity electrodes

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(Received 2 December 2011; revised manuscript received 24 February 2012; published 29 March 2012)

We present a model to describe the response of chip-based nanocavity sensors during extracellular recording of action potentials. These sensors feature microelectrodes which are embedded in liquid-filled cavities. They can be used for the highly localized detection of electrical signals on a chip. We calculate the sensor’s impedance and simulate the propagation of action potentials. Subsequently we apply our findings to analyze cell-chip coupling properties. The results are compared to experimental data obtained from cardiomyocyte-like cells. We show that both the impedance and the modeled action potentials fit the experimental data well. Furthermore, we find evidence for a large seal resistance of cardiomyocytes on nanocavity sensors compared to conventional planar recording systems.

DOI: 10.1103/PhysRevE.85.031917 PACS number(s): 87.80. Jg, 87.85. dh, 87.85. Qr

I. INTRODUCTION

The electrophysiological activity of individual cells is generally observed using the patch clamp technique [1]. This technique and related methods [2] yield very high signal-to-noise ratios and are ideally suited to study the activity of ion channels. However, these techniques are limited with regard to long-term measurements and the number of recording units in a single experiment. Extracellular electrophysiological methods, on the other hand, yield weaker signals but allow long-term and multisite recordings of cellular networks due to reduced interference with cell viability. A well-established method for extracellular measurements relies on microelectrode arrays (MEAs) [3–6]. Fields of application for in vitro MEA systems include pharmacological high-throughput screening, cell-based biosensors, and research on information processing in neuronal networks [7–13]. During the last years, the integration of complementary metal oxide semiconductor technology (CMOS) with MEAs has led to the development of very high-density recording units, opening up new opportunities for the investigation of communication in cellular networks [14,15]. Nevertheless, the simple fabrication of low-impedance and high-spatial-resolution electrodes still remains an experimental challenge. Attempts to lower the impedance, including the use of advanced interface materials [16–18] and patterning of metal electrodes [19–22], have led to promising results. However, they often require sophisticated techniques of production or lack mechanical stability and longevity. We have recently introduced a sensor design which addresses these issues.

II. EXPERIMENTAL METHODS

We fabricated nanocavity electrode arrays by standard optical lithography and sacrificial etching of chromium layers. A detailed description of the fabrication process is given elsewhere [24]. Briefly, gold electrodes, feed lines, bond pads, and chromium sacrificial layers were fabricated on silicon-silicon oxide wafer using a two-step optical lithography process. The whole structure was insulated against electrolytes via the plasma-enhanced chemical vapor deposition of 800 nm silicon oxide and silicon nitride layers. The apertures and bond pads of the device were opened by reactive ion etching. We used sacrificial layer etching of the chromium layer to open the nanocavity covering the gold electrode. The nanocavities above the electrodes have a diameter of 30 μm and a height of 70 nm. The size of the central aperture is 5 μm in diameter and 800 nm in height. HL-1 cells, kindly

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provided by William Claycomb, represent a hybrid between embryonic and adult myocytes. They form confluent layers and are connected via gap junctions. HL-1 cells generate action potentials spontaneously, pervading the entire cell population [32]. We have cultured cells and seeded them onto chips as previously described [24]. Briefly, the cells were kept in a T25 flask with a supplemented Claycomb medium (supplemented with 10% FBS, 100 μg/ml penicillin-streptomycin, 0.1 mM norepinephrine, and 2 mM L-glutamine) in an incubator at 37°C. After coalescing of the cells to a confluent layer they were passaged using standard procedures. Approximately 3000 cells were plated in 50 μl of the medium onto the nanocavity chip. After 4 h of incubation, the chips were topped up with fresh medium. The medium was changed daily and the chips were measured after three to four days when cells had reached confluence. Cellular activity was recorded and the chips were measured after three to four days when cells had reached confluence. Cellular activity was recorded.

The cell-sensor interface for dish electrodes has been studied in one dimension [35] as well as in two dimensions [28,30]. Common models to calculate the electrical properties of a given cell-sensor interface are the point-contact and the area-contact models [26,30,31,35,36]. Both models are related to each other by Kirchhoff’s laws taking the spatial dependency of voltages and currents into account. The point-contact model is an approximation of the area-contact model is an approximation of the area-contact models [28,30]. Common models to calculate the electrical properties of a given cell-sensor interface are the point-contact and the area-contact models [26,30,31,35,36]. Both models are related to each other by Kirchhoff’s laws taking the spatial dependency of voltages and currents into account. The point-contact model is an approximation of the area-contact model. It ignores the spatial dependency of voltages and currents. To describe the nanocavity device we will use a model of the cell-sensor interface (Fig. 1), we can write an equation for an infinitesimal element in the cavity [Fig. 1(c)]

\[ Z_{\text{cav}} + dZ_{\text{cav}} = \left( \frac{1}{Z_{\text{cav}}} + \frac{1}{dX} \right)^{-1} + dR, \]

where \( dX \) and \( dR \) are described at position \( s \) in a cylindrical system. \( s \) is the distance to the entry point of the cavity, which itself is located at a radius \( r_0 \). \( Z_{\text{cav}}(s) \) is the impedance of a ring electrode covered with a nanocavity with inner radius \( r_0 \) and outer radius \( r_0 + s \). We can also write

\[ dR = \rho \frac{ds}{2\pi(s + r_0)h} = \frac{a}{s + r_0} ds, \]

where \( h \) is the height of the nanocavity, \( \rho \) is the resistivity of the electrolyte, and \( a \) is a constant proportional to the inverse height. Also

\[ dX = \frac{1}{2\pi C_h(s + r_0)(i\omega)^\beta ds}, \]

where \( C_h \) is the quasicapacitance per unit area and \( \beta \) the exponent of the constant phase element. We introduce a new variable \( b(\omega) \) to include these parameters and to simplify the equation. Equation (2) can be expressed along an infinitesimal element \( ds \) as a differential equation

\[ Z'_{\text{cav}}(s) = \frac{a}{s + r_0} - \frac{Z_{\text{cav}}(s)^2}{b}(s + r_0), \]

which describes the impedance of a nanocavity electrode with an inner radius \( r_0 \) as a function of \( s \). The boundary condition is \( Z_{\text{cav}}(s = 0) \rightarrow \infty \): an infinitesimally thin electrode ring has an infinite impedance. The solution to the differential equation is

\[ Z_{\text{cav}}(s) = \frac{\sqrt{ab}}{(s + r_0)} - iL_1(\sqrt{s + r_0})Y_0[\sqrt{s + r_0}] + Y_1(\sqrt{s + r_0})I_0[\sqrt{s + r_0}] - iL_1(\sqrt{s + r_0})Y_1[\sqrt{s + r_0}], \]

where \( I_n \) are modified Bessel functions of the first kind of order \( n \), \( Y_n \) are Neumann functions (Bessel functions of the second kind) of order \( n \) (see Appendix A for the derivation and Appendix B for a discussion of the solution’s asymptotic
behavior). To calculate the total impedance of the sensor $Z_S$ we have to add the impedance of the central spot

$$Z_S = \left( \frac{1}{Z_{\text{cav}}(r_{\text{max}} - r_0)} + (i \omega)^p \pi r_0^p \right)^{-1}. \quad (7)$$

$r_0$ is the radius of the central opening, $r_{\text{max}}$ the total electrode radius. This equation describes the impedance of a nanocavity microelectrode exclusively as a function of the geometry, the conductivity of the electrolyte, and the parameters of the electrode’s impedance. We can use it to fit the experimental data (Figs. 2 and 3).

IV. SIGNAL PROPAGATION

A. Propagation of action potentials

Cells can generate action potentials due to voltage-dependent ion channels embedded in their membranes. The total current density through the cell’s membrane is the sum of capacitive and ionic current densities [30,45] and depends on the cellular potential $V_M$

$$j_m = c_m \frac{dV_M}{dt} + \sum_i g'_m (V_M - V_i^0), \quad (8)$$

where $j_m$ is the current density through the membrane, $c_m$ the membrane capacitance per unit area, and $g'_m$ the conductance with respect to the ion type $i$ per unit area. The equilibrium (Nernst) voltage of ion type $i$ is $V_i^0$. In the typical case without current clamp control, the ionic current through the membrane cancels the capacitive current. Thus, it is difficult to measure an extracellular signal in the vicinity of a membrane in Hodgkin-Huxley equilibrium. Inhomogeneities of the membrane attached to a sensor are a prerequisite for the recording of cellular activity [29]. Inhomogeneities of cardiac cells on sensors are discussed elsewhere in detail [33,34].

A scaled conductance of the ion channels in the attached

FIG. 1. The cell-sensor interface. (a) The coupling of the cell to the measurement system is shown. $V_M$ is the cell’s potential in reference to extracellular space. $Z_{jm}$ describes the impedance of the cell membrane covering the aperture of the sensor, $R_j$ is the seal resistance, scaling the leakage current from the junction to the electrolyte. $Z_S$ is the impedance of the nanocavity sensor and $Z_M$ is the impedance of the measurement setup. $V_S$ is the voltage measured with the voltage amplifier. (b) The system is shown in more detail. The cell with Hodgkin-Huxley elements embedded in the membrane sits on the nanocavity electrode. $V_m$ is the Nernst voltage of the free membrane of the ion type $i$, $g'_m$ is the membrane conductivity per unit area for this specific ion, $c_m$ is the capacitance per unit area of the cell membrane. Analogously, $V_{jm}$ is the Nernst voltage of the attached membrane, $g'_{jm}$ the attached membrane’s conductivity, and $c_{jm}$ the capacitance. Also shown is a voltage amplifier attached to the electrode. $Z_c$ is the impedance of the central spot of the microelectrode and $R_j$ is the leak resistance within the junction. $h$ is the height of the nanocavity. (c) A segment of the nanocavity in the radial direction is shown. $dR$ is the ohmic resistivity of the electrolyte and $dX$ the constant phase impedance of the electrode. Both $R(r)$ and $X(r)$ are functions of the distance $r$ to the center of the electrode.

FIG. 2. Shown is a Bode plot of experimental data (symbols) and model fits (solid lines). The absolute value and the phase of the impedance as a function of the frequency are shown. The squares are measured values of the impedance. The circles are measured values of the phase. The solid lines are the absolute value and phase of Eq. (7). The microelectrode used for this measurement has a central opening with radius $r_0 = 2.5 \, \mu m$ and a total radius of $r = 15 \, \mu m$. The theory curves are calculated for $a = 6 \times 10^5 \, \Omega$, $C_h = 59 \, \mu F cm^{-2}$, and $\beta = 0.89$. 

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We consider all of the sodium currents to scale with a common different ion channels consisting of 28 differential equations. The background calcium current is very strong shape in about 10% of our data. They require a very strong large amplitude. We can find signals of similar magnitude and results of our simulation are shown in Fig. 5. Signal 1 has a large amplitude. We can find signals of similar magnitude and shape in about 10% of our data. They require a very strong sodium peak $\chi_{Na} = 2.7$, $\chi_{K} = 1.2$, and $\chi_{Ca} = 1.0$. The amplitude of this signal is smaller, especially the overshooting to positive voltages after the strong negative sodium peak. It is a typical signal which appears in about 50% of all cases. The typical amplitude is about 0.5 mV. Signal 3 can be modeled with $R_j = 20 \, \Omega$, $\chi_{Na} = 1.35$, and $\chi_{K} = 1$. Here we have to split the calcium currents into two parts. The $L$-type calcium

\[ I_{jm} = \chi_{total} I_{total}. \]

To calculate signals we have to convolve the model action potential with the response function parametrized in time. However, it is simpler to multiply the Fourier-transformed action potential of the cell with the response function of the measurement system and to transform the result back. The free parameters for our model are the three scaling parameters $\chi_i$ and the seal resistance $R_j$. The amplifier and the sensor impedance are kept constant for all simulated signals. We present an overview of the signals, which we measured (Fig. 4) and explain them within the framework of our model. The results of our simulation are shown in Fig. 5. Signal 1 has a large amplitude. We can find signals of similar magnitude and shape in about 10% of our data. They require a very strong sodium peak $\chi_{Na} = 2.7$, $\chi_{K} = 1.2$, and $\chi_{Ca} = 1.0$. The amplitude of this signal is smaller, especially the overshooting to positive voltages after the strong negative sodium peak. It is a typical signal which appears in about 50% of all cases. The typical amplitude is about 0.5 mV. Signal 3 can be modeled with $R_j = 20 \, \Omega$, $\chi_{Na} = 1.35$, and $\chi_{K} = 1$. Here we have to split the calcium currents into two parts. The $L$-type calcium

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current and sarcolemmal calcium pump current scale with $\kappa_{Ca}^2 = 2.3$, the background calcium current is quite strong $\kappa_{Ca} = 14$. Very large inhomogeneities in the calcium-current contributions have been described previously [33,34]. Signals of this shape appear in about 10% of the measurements. This might be an indication of cell damage from the large leakage current. Signal 4 is a typical example of a weak signal with an amplitude around 0.3 mV that appeared in 30% of our measurements. $R_s = 35 \, \text{M}\Omega$, $\kappa_{Na} = 1.3$, $\kappa_K = \kappa_{Ca} = 1$. Signals of this type have no visible overshoot after the sodium peak. The seal resistance for the simulated signals above are in the range of a few tens of M$\Omega$s. Previously reported seal resistances for plain chips are a factor of 10 smaller [33,48]. So far, high seal resistances without active suction or penetration of the probe have only been shown for spine-shaped gold protrusions [49]. We explain the excellent sealing of the electrode by the small opening which can be covered completely by the cell. Electron microscopy studies of the cell-chip interface reveal that the cell membrane seals the opening very well [24]. It did not escape out attention that we have not found any signals which stand out with their potassium contributions although we find examples for strong calcium and strong sodium currents. The absence of potassium signals has been described by [33] as well.

B. Influence of the geometry on signal propagation

From the equation describing the nanocavity impedance, Eq. (7), we can study how changing the radius influences the impedance of the electrode and the signal transfer from the cell to the sensor. The signal transfer function of an attached cell can be calculated if the junction membrane impedance $Z_{jm}(\omega)$ is known. We use a linear model where this impedance consists of a parallel capacitance and a resistance which is described in detail in [30]. We want to ascertain the signal transfer function $h(\omega)$ which is in frequency space defined by

$$V_S(\omega) = h(\omega)V_M(\omega)$$

for different electrode sizes. Figure 6 shows the signal transfer function for sensors with electrode radii of 10, 15, and 50 $\mu$m.

![FIG. 6. Three response functions calculated for three different electrode radii. The graphs show the absolute values $|h(\omega)|$ and the phases $\arg(h(\omega))$ as indicated by the arrows. All other parameters, $C_s = 59 \, \mu\text{Fcm}^{-2}$, $a = 6 \times 10^5 \, \Omega$, $R_{\text{load}} = 10^9 \, \Omega$, $C_{\text{load}} = 150 \, \text{pF}$, $R_1 = 15 \, \text{M}\Omega$, $\beta = 0.89$, $R_{jm} = 20 \, \text{G}\Omega$, and $C_{jm} = 2.5 \, \text{pF}$ are kept constant.](image)

The diameter of the inner opening is 5 $\mu$m. We can see that the nanocavity sensor system is a high pass filter with a step-like shape. The slope of the step depends weakly on the electrode diameter. The value to which $|h(\omega)|$ converges depends on the seal resistance, the sensor impedance, and the impedance of the measurement setup. Greater electrode diameters increase the maximum value of $|h(\omega)|$ and shift the cutoff frequency of the high pass filter toward smaller frequencies. In this sense, a larger electrode diameter improves the sensor performance at constant aperture size.

V. CONCLUSION AND OUTLINE

In summary, we have calculated the complex impedance of a nanocavity sensor, which is in good agreement with experimental data obtained from impedance spectroscopy measurements. Furthermore, we have presented a model for analyzing the signal transfer of cells growing on top of the sensor array. Action potentials have been calculated and compared with the measurements from HL-1 cells in vitro. We have confirmed the validity of our model and demonstrated the influence of the geometry of such devices. As a result of our analysis we find that the seal resistance between the cell and the chip is a factor of 10 greater than in the plain standard electrode system. Thus, cell signals can reliably be measured with a high spatial resolution. A next step is the application of our model to dual-electrode nanocavity devices [23]. These sensors feature a top electrode at the ceiling of the cavity and allow signal-correlation analysis, which will possibly result in an improved signal-to-noise-ratio for extracellular recording of action potentials.

ACKNOWLEDGMENTS

The authors thank William Claycomb for providing the cells, Rita Fricke for support with the cell culture, and Michael Pabst and Philipp Moritz for comments on the paper and support with the calculations. Manuel Schottdorf thanks Elitenetzwerk Bayern for financial support. The project is funded by the Helmholtz Young Investigator Program.

APPENDIX A: DERIVATION OF THE IMPEDANCE EQUATION (6)

Equation (5) is a Riccati equation of the form

$$z'(s) = f(s)z^2(s) + h(s),$$  \hspace{1cm} (A1)

with $f(s) = -(s + r_0)/b$ and $h(s) = a/(s + r_0)$. The Riccati differential equation can be transformed into a second-order differential equation

$$y''(s) - \frac{f'(s)}{f(s)}y'(s) + \frac{f(s)}{f(s)}h(s)y(s) = 0,$$  \hspace{1cm} (A2)

such that $z(s) = -y'(s)/[f(s)y(s)]$ is the solution of the original Riccati equation [50]. After this transformation we
FIG. 7. This figure shows the error measure Eq. (B7) for the approximations Eq. (B4) (dashed line) and Eq. (B5) (solid line). Since \( \lambda \) depends on \( \omega \), the quality of the approximation Eq. (B4) is deteriorating for greater frequencies. We can see that for the presented nanocavity sensors, Eq. (B5) is a good approximation.

get

\[
y''(s) - \frac{y'(s)}{s + r_0} - \frac{a}{b} y(s) = 0. \tag{A3}
\]

The substitution \( x = i\sqrt{\frac{a}{b}} (s + r_0) \) leads to the slightly modified Bessel equation

\[
x^2 \frac{d^2 y}{dx^2} - x \frac{dy}{dx} + x^2 y = 0, \tag{A4}
\]
solved by \( y(x) = x[c_1 J_1(x) + c_2 Y_1(x)] \), where \( J_0 \) and \( Y_0 \) are Bessel functions of the first and second kinds of order \( n \). Putting everything together, with \( dy/dx = x[c_1 J_0(x) + c_2 Y_0(x)] \), we get

\[
z(s) = \frac{\sqrt{ab}}{s + r_0} J_0(i\sqrt{\frac{a}{b}r_0}) + \alpha Y_0(i\sqrt{\frac{a}{b}r_0}) \tag{A5}
\]

To fix the constant \( \alpha \) a boundary condition is necessary. In the limit

\[
z(s \rightarrow 0) = \frac{\sqrt{ab}}{r_0} \left[ I_0(\sqrt{\frac{a}{b}r_0}) + \alpha Y_0(\sqrt{\frac{a}{b}r_0}) \right], \tag{A6}
\]

where \( I_0(x) = \sum_{n=0}^\infty \frac{x^n}{n!} \), the condition of a vanishing denominator fixes \( \alpha \) so that we obtain the final result which is given in Eq. (6).

APPENDIX B: ASYMPTOTIC BEHAVIOR

To study the asymptotic behavior of the solution Eq. (6), we rewrite it in units of \( a \) and in the parameters \( \lambda(\omega) = \sqrt{\frac{a}{b(\omega)}}(r_0 + s) \) and \( v = \frac{r_0}{r_0 + s} \) which are both dimensionless

\[
Z_{\text{cav}}(s) = a \left( -\frac{i}{\lambda} I_1(i\lambda Y_0(i\lambda) + I_0(\lambda Y_1(i\lambda) + I_1(\lambda Y_1(i\lambda)). \tag{B1}
\]

Given \( \lambda \ll 1 \), for small resistivities and not too large frequencies, we can linearize the equation. The limit \( \lambda \rightarrow 0 \) (for \( v \) not necessarily small) is

\[
Z_{\text{cav}}(\lambda \ll 1) = a \left( \frac{2}{(1 - v^2)^2} + O(1) \right) \tag{B2}
\]

\[
\simeq \frac{2b}{2r_0 s + s^2} \tag{B3}
\]

\[
= \frac{1}{\pi(2r_0 s + s^2)C_b(i\omega)^\beta}. \tag{B4}
\]

The approximately equal sign indicates that we neglect the \( O(1) \) term. The result is what we would expect as impedance from an electrode ring with area \( A = \pi[(r_0 + s)^2 - r_0^2] = \pi(2r_0 s + s^2) \). If the radius of the electrode \( s + r_0 \) is large compared to the aperture \( r_0 \), \( v \) is small (for \( \lambda \) not necessarily small). In this case

\[
Z_{\text{cav}}(v \ll 1) = a \left( \frac{I_0(\nu)}{I_1(\nu)} + O(1) \right) \simeq \frac{a I_0(\nu)}{I_1(\nu)}. \tag{B5}
\]

Using the parameters from Fig. 2 and typical angular frequencies of \( \omega \in [0, 2\pi \times 10000\text{Hz}] \simeq [0, 600000\text{Hz}] \) for

\[
\lambda = \frac{a}{b(\omega)}(r_0 + s) = \frac{\pi a C_b(i\omega)^\beta (r_0 + s)}{2r_0 s + s^2} \tag{B6}
\]

we get that \( |\lambda| \in [0, 3] \). Also \( v \approx 0.2 \), which indicates that for small frequencies, both approximations are comparable. For greater frequencies, Eq. (B5) should be the better approximation. In Fig. 7 we compare Eqs. (B4) and (B5) with the full solution as a function of \( f = \omega/2\pi \). The error measure shown is

\[
E = \frac{|Z_{\text{cav}} - |Z_{\text{cav}}^\text{appr}|}{|Z_{\text{cav}}|}. \tag{B7}
\]

where \( Z_{\text{cav}} \) is the full solution, and \( Z_{\text{cav}}^\text{appr} \) are the two approximative solutions. This figure confirms our conclusion.


