Magnetic phase diagram of the semi-Heusler alloys from first principles

E. Şaşıoğlu
Max-Planck-Institut für Mikrostrukturphysik, Weinberg 2, D-06120 Halle, Germany and Institut für Festkörperforschung, Forschungszentrum Jülich, D-52425 Jülich, Germany

L. M. Sandratskii and P. Bruno
Max-Planck-Institut für Mikrostrukturphysik, Weinberg 2, D-06120 Halle, Germany

(Received 31 August 2006; accepted 19 October 2006; published online 30 November 2006)

The magnetic phase diagram of the Mn-based semi-Heusler alloys is determined at $T=0$ using first-principles calculations in conjunction with the frozen-magnon approximation. The authors show that the magnetism in these systems strongly depends on the number of conduction electrons, their spin polarization, and the position of the unoccupied Mn 3$d$ states with respect to Fermi energy. Various magnetic phases are obtained depending on these characteristics. The conditions leading to diverse magnetic behavior are identified. The obtained results are in very good agreement with the available experimental data. © 2006 American Institute of Physics.

[DOI: 10.1063/1.2398892]

Recent rapid development of spin electronics intensified the search on the ferromagnetic materials with tunable physical characteristics. The Heusler alloys form a particularly interesting class of systems. These systems have crystal structures and lattice parameters similar to many compound semiconductors, high Curie temperatures, and high spin polarization at the Fermi level. Some of them were found to have a half-metallic ground state, which is characterized by a 100% carrier spin polarization. These properties make the Heusler alloys particularly attractive for use in spintronics devices. Further prominent physical properties of this class of materials are the martensitic transformations. At low temperatures several Heusler compounds (i.e., Ni$_2$MnGa and Co$_2$NbSn) undergo a structural transformation from a highly symmetric cubic austenitic phase to a low symmetry martensitic phase giving rise to two unique effects: magnetic shape memory effect and inverse magnetocaloric effect. Both features are regarded promising for the development of smart materials for future technological applications. An interesting combination of physical properties makes Heusler alloys the subject of intensive experimental and theoretical investigations.

To predict Heusler compounds with desired magnetic properties the theoretical understanding of the exchange interactions in these systems plays an important role. Our previous studies on experimentally well established Ni-based compounds Ni$_2$MnZ ($Z=$ Ga, In, Sn, Sb) revealed a complex character of the magnetism in these systems. In particular, the obtained long range and oscillatory behavior of the exchange interactions as well as their strong dependence on the $sp$ atom ($Z$) gave evidence for the conduction electron mediated exchange mechanism in Heusler alloys. The situation is not so different from the experimental point of view. Early measurements by Webster and Ziebeck on quaternary Heusler alloys Pd$_2$MnIn$_{1-x}$Sn$_x$ and Pd$_2$MnSn$_{1-x}$Sb$_x$ (Ref. 2) and recent studies on Mn-based semi-Heusler compounds Ni$_{1-x}$Cu$_x$MnSb and AuMnSn$_{1-x}$Sb$_x$ demonstrated the importance of the $sp$ electrons in establishing magnetic properties. In particular, the magnetic order and the Curie temperature in the latter compounds have been found to be quite sensitive to the $sp$-electron number.

The complexity of the exchange interactions complicates theoretical design of the systems with given magnetic characteristics and calls for further studies directed to find parameters of the systems governing magnetic properties and allowing their targeted change. Pursuing this aim we performed systematic calculations on semi-Heusler alloys CuMnZ and PdMnZ for different $Z$ constituents (In, Sn, Sb, Te, and their mixture treated in the virtual crystal approximation) focusing on the microscopical mechanisms of the formation of the long range magnetic order. We determine the magnetic phase diagram of these systems at zero temperature and estimate the $T_C$ of the compounds having ferromagnetic ground state within mean-field approximation. We use the lattice constants of 6.26 and 6.09 Å for PdMnZ and CuMnZ, respectively.2

We begin with the discussion of the exchange interactions calculated within the frozen-magnon approximation. Figure 1 presents the obtained exchange parameters between first four nearest neighbors of the Mn atoms in PdMnZ and CuMnZ, as a function of the $sp$-electron number of the $Z$ constituent. The corresponding Curie temperatures are given in Fig. 2. In agreement with the experiments and the results of our previous calculations we obtain a strong dependence of the exchange parameters on the $Z$ constituent. As it is seen from Fig. 1 all exchange parameters oscillate between ferromagnetic and antiferromagnetic values with the variation of the $sp$-electron concentration. Considering first two nearest neighbor exchange parameters we see that they have ferromagnetic character for a broad range of compositions and dominate over the rest parameters playing a decisive role in determining the magnetic phase diagram (see Fig. 2). The absolute value of the parameters (we present only four nearest neighbor parameters) decays quickly with increasing interatomic distance. The parameters show the Ruderman-Kittel-Kasuya-Yosida- (RKKY) type oscillations.
This complex behavior of the exchange interactions appeared as a rich spectrum in the magnetic phase diagram (see Fig. 2), where magnetic order changes with \( sp \) electron concentration. Furthermore, an interesting observation for the magnetism in these systems is that the maximum of the ex- ecution. Another remarkable feature we obtained is a very clear contrast to the first term, this second term is always antiferromagnetic and its strength decays exponentially with distance. The overall magnetic behavior depends on competition of these two terms as exemplified by the present systems.

Now we turn to the interpretation of the DFT results in terms of these two mechanisms. To estimate the relative contribution of the ferromagnetic RKKY-like exchange we present in Fig. 2 the calculated conduction electron spin polarization in PdMnZ and CuMnZ as a function of the electron number of the \( Z \) constituent. The analysis of the calculational data allows to make a number of important conclusions. First, in both systems the direction of the induced spin polarization is opposite to the direction of the Mn moment in a magnetic limit. Note that the amplitude of this polarization makes the assumption natural that the exchange coupling mechanism in Heusler alloys is indirect and takes place via the conduction electrons. A RKKY-type coupling seems to be the most probable one; however, the rich spectrum of magnetic orderings obtained by varying \( sp \)-electron concentration (Fig. 2) makes the interpretation of the results in terms of existing theories difficult and calls for a detailed analysis. This is explained by the fact that the density functional theory (DFT) is not based on a model Hamiltonian approach and does not use a perturbative treatment. Thus, various exchange mechanisms appear in the calculational results in a mixed form and a separation of them into individual contributions is not easy. In this case the model Hamiltonian studies relevant to the problem provide useful information for a qualitative interpretation of the DFT results. Among such approaches the Anderson \( s-d \) model is the most suitable for Heusler alloys because of the localized nature of the magnetism in these systems. Within this model the effective exchange interaction between distant magnetic atoms can be separated into two contributions: 

\[
J_{\text{indirect}} = J_{\text{RKKY}} + J_S.
\]

The first term is a RKKY-like ferromagnetic term which stems from the interaction between the local moment and the conduction electron states inducing a spin polarization in conduction electron sea. In general, this interaction contains two distinct processes: electrostatic Coulomb exchange interaction and \( sp-d \) mixing interaction. The former induces a net positive spin polarization while the contribution of latter is always negative and disappears in strong magnetic limit. Note that the amplitude of this polarization determines the strength of the RKKY-like exchange coupling. However, the second term has different origin; it arises from virtual-charge excitations in which electrons from local \( d \) states of the Mn are promoted above the Fermi level providing an additional contribution to the indirect exchange coupling. This term depends mostly on the distance of the unoccupied Mn \( 3d \) peaks from the Fermi level. The closer the peaks to the Fermi level the stronger the \( J_S \). Moreover, in contrast to the first term, this second term is always antiferromagnetic and its strength decays exponentially with distance. The overall magnetic behavior depends on competition of these two terms as exemplified by the present systems.

Combination of these features with the large distance between Mn atoms \( (d_{\text{Mn-Mn}} > 4 \text{ Å}) \) makes the assumption natural that the exchange coupling mechanism in Heusler alloys is indirect and takes place via the conduction electrons. A RKKY-type coupling seems to be the most probable one; however, the rich spectrum of magnetic orderings obtained by varying \( sp \)-electron concentration (Fig. 2) makes the interpretation of the results in terms of existing theories difficult and calls for a detailed analysis. This is explained by the fact that the density functional theory (DFT) is not based on a model Hamiltonian approach and does not use a perturbative treatment. Thus, various exchange mechanisms appear in the calculational results in a mixed form and a separation of them into individual contributions is not easy. In this case the model Hamiltonian studies relevant to the problem provide useful information for a qualitative interpretation of the DFT results. Among such approaches the Anderson \( s-d \) model is the most suitable for Heusler alloys because of the localized nature of the magnetism in these systems. Within this model the effective exchange interaction between distant magnetic atoms can be separated into two contributions: 

\[
J_{\text{indirect}} = J_{\text{RKKY}} + J_S.
\]

The first term is a RKKY-like ferromagnetic term which stems from the interaction between the local moment and the conduction electron states inducing a spin polarization in conduction electron sea. In general, this interaction contains two distinct processes: electrostatic Coulomb exchange interaction and \( sp-d \) mixing interaction. The former induces a net positive spin polarization while the contribution of latter is always negative and disappears in strong magnetic limit. Note that the amplitude of this polarization determines the strength of the RKKY-like exchange coupling. However, the second term has different origin; it arises from virtual-charge excitations in which electrons from local \( d \) states of the Mn are promoted above the Fermi level providing an additional contribution to the indirect exchange coupling. This term depends mostly on the distance of the unoccupied Mn \( 3d \) peaks from the Fermi level. The closer the peaks to the Fermi level the stronger the \( J_S \). Moreover, in contrast to the first term, this second term is always antiferromagnetic and its strength decays exponentially with distance. The overall magnetic behavior depends on competition of these two terms as exemplified by the present systems.

Now we turn to the interpretation of the DFT results in terms of these two mechanisms. To estimate the relative contribution of the ferromagnetic RKKY-like exchange we present in Fig. 2 the calculated conduction electron spin polarization in PdMnZ and CuMnZ as a function of the electron number of the \( Z \) constituent. The analysis of the calculational data allows to make a number of important conclusions. First, in both systems the direction of the induced spin polarization is opposite to the direction of the Mn moment in a broad interval of compositions. This feature reveals the primary role of underlying \( sp-d \) mixing interaction in exchange coupling and justifies the use of the Anderson \( s-d \) model for the description of the magnetism in these systems. It is worth to note that, in agreement with the present findings, recent experiments on ferromagnetic full-Heusler compounds \( \text{Cu}_2\text{MnAl} \) and \( \text{Ni}_2\text{MnSn} \) gave a large conduction electron spin polarization that is antiferromagnetically coupled to Mn magnetic moment.

Another remarkable feature we obtained is a very clear relation between the spin polarization and the mean-field Cu-
rie temperature (or exchange parameters) in a large part of the phase diagram (see Fig. 2). Indeed, the compounds with very large spin polarization are characterized by very high Curie temperatures. Interestingly, for the zero polarization also the Curie temperature vanishes reflecting the dominating character of the ferromagnetic RKKY-like exchange mechanism in establishing magnetic properties. However, at some regions superexchange mechanism becomes important. This can be seen in Fig. 2 where for PdMnIn$_{1-x}$Sn$_x$ ($x<0.8$) system we obtain an antiferromagnetic order in spite of very large spin polarization. To gain further insight into the nature of this coupling we present in Fig. 3 the spin resolved Mn 3$d$ density of states in MnZ and CuMnZ ($Z=\text{In, Sn, Sb, Te}$) for stoichiometric compositions. Vertical broken lines denote the Fermi level.

FIG. 3. (Color online) Spin resolved Mn 3$d$ density of states in PdMnZ and CuMnZ ($Z=\text{In, Sn, Sb, Te}$) for stoichiometric compositions. Vertical broken lines denote the Fermi level.

In conclusion, the magnetic behavior of the semi-Heusler alloys can be described in terms of the competition of two exchange mechanisms: ferromagnetic RKKY-like exchange and antiferromagnetic superexchange. We found that each mechanism depends on certain parameter. In the case of large conduction electron spin polarization and the unoccupied Mn 3$d$ states lying far from the Fermi level, a RKKY-like ferromagnetic interaction is dominating while antiferromagnetic superexchange becomes important in the presence of the peaks of unoccupied Mn 3$d$ states close to the Fermi energy. Various magnetic phases are obtained depending on these characteristics. These findings suggest a practical tool for the design of the materials with given properties.


