Extracting Information about the Electronic Quality of Organic Solar-Cell Absorbers from Fill Factor and Thickness

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Understanding the fill factor in organic solar cells remains challenging due to its complex dependence on a multitude of parameters. By means of drift-diffusion simulations, we thoroughly analyze the fill factor of such low-mobility systems and demonstrate its dependence on a collection coefficient defined in this work. We systematically discuss the effect of different recombination mechanisms, space-charge regions, and contact properties. Based on these findings, we are able to interpret the thickness dependence of the fill factor for different experimental studies from the literature. The presented model provides a facile method to extract the photoactive layer’s electronic quality which is of particular importance for the fill factor. We illustrate that over the past 15 years, the electronic quality has not been continuously improved, although organic solar-cell efficiencies increased steadily over the same period of time. Only recent reports show the synthesis of polymers for semiconducting films of high electronic quality that are able to produce new efficiency records.

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I. INTRODUCTION

One of the main drivers of the increase in organic solar-cell efficiencies from early papers like the 2001 report by Shaheen et al. [1] on a 2.5% poly[2-methyl,5-(3*, 7** dimethyloctyloxy)-(p-phenylene vinylene);[6,6]-phenyl C61-butyric acid methyl ester (MDMO-PPV: PC61BM) solar cell to later records like the >9%-efficient poly[4,8-bis[(2-ethylhexyl)oxy]benzo[1,2-b:4,5-b’]dithiophene-2,6-diyl][3-fluoro-2-[2-(ethylhexyl)carbonyl]thieno[3,4-b]thiophenediyll]; [6,6]-phenyl C71-butyric acid methyl ester (PTB7: PC71BM) [2] solar cells is certainly the optimization of energy levels of donor and acceptor molecules. As long as these energy levels are not optimized, substantial efficiency gains are possible by reducing the band gap to move closer to the optimum range of band gaps that give the best compromise between absorption and open-circuit voltage and by optimizing the energy offsets at the donor-acceptor heterointerface. This improvement of energetics was driven by the efforts of many synthetic chemists who were able to synthesize mostly new polymers [3–7] but also new fullerences [8–10] or other small-molecule acceptors [11–14] to achieve higher photocurrents and open-circuit voltages. These optimization principles were discussed in many publications [15–18], among them, for instance, the work of Scharber et al. [19] in 2006, where the achievable efficiency was estimated as a function of energy levels based on empirical estimates of the maximum fill factor and quantum efficiency of the device. The efficiency development from MDMO-PPV via polymers like poly(3-hexylthiophene) (P3HT) or poly [N-9 “hepta-decanyl-2,7-carbazole-alt-5,5-(4’,7’-di-thienyl-2’,1’,3’-benzothiadiazole)] (PCDTBT) towards PTB7 can be understood from the optimization of energy levels as suggested by Scharber et al. However, the efficiency in the Scharber plot peaks at about 10% with no possibility for further improvement if none of the basic assumptions are changed. It is, therefore, obvious that improvements beyond the 10% predicted by Scharber have to tackle the assumptions of 65% for the fill factor (FF) and the external quantum efficiency (EQE). These assumptions are based on state-of-the-art results from the time around 2006 when the Scharber paper was published, but they represent by no means the theoretical maximum of what is achievable for these quantities. In principle, the external quantum efficiency can approach 100% in good inorganic solar cells, and there are no fundamental reasons that forbid EQE > 90% in organic devices. The fill factor cannot be 100%, but it is limited to the mid- to high 80s depending on the exact value of the open-circuit voltage and assuming voltage-independent charge collection and an ideality factor of 1 [20]. Thus, there is still a lot of room beyond the assumptions of Scharber et al. and further development of organic solar-cell efficiencies will have to target the physical mechanisms that allow highest FFs and better EQEs.

In this context, there are two aspects in particular that have to be tackled by organic solar-cell research. The first aspect is the empirical correlation between the maximum external quantum efficiency observed in experiment and the voltage loss $E_{opt}/qV_{OC}$ between the measured open-circuit voltage $V_{OC}$ and the absorption onset $E_{opt}/q$ of the polymer (q is the elementary charge). This empirical correlation as described by Li et al. [18] shows that the EQEs decrease towards lower voltage losses, which probably represents a loss due to geminate recombination and incomplete exciton dissociation.
at interfaces where the offsets are not big enough. This aspect is, of course, still linked to the question of how to optimize the energy levels at the donor-acceptor interface. The second aspect is how to optimize charge collection and minimize non-geminate recombination to allow high FFs at active-layer thicknesses that are sufficiently large in order to absorb nearly all of the above band-gap photons leading to an overall increase in the EQE.

Most high-efficiency polymers until relatively recently had optimum efficiencies at thicknesses around 100 nm. This typically corresponds roughly to the first interference maximum, i.e., the lowest thickness that leads to a peak in the number of absorbed photons as a function of thickness [21,22]. Further increases in thickness will initially be detrimental for performance because the interferences lead to reduced absorption before the second interference maximum is hit typically at thicknesses above 200 nm [22,23]. At these thicknesses, however, charge collection is often not good enough anymore to allow for high FFs, and total efficiencies are decreasing such that the first interference maximum defines the optimum thickness and the other absorption of slightly thicker layers cannot be exploited [24–27]. With the notable exception of P3HT:PC61BM [28], most polymer:fullerene blends follow this general trend. However, recently, new polymers have been synthesized [27,29–36] that allow high FFs at thicknesses around the second interference maximum, thereby containing some of the main ingredients to overcome Scharber et al. assumptions.

The factors affecting charge collection and the FF include mobilities \( \mu \), charge-carrier lifetimes \( \tau \) or recombination constants \( k \), as well as the effect of an inhomogeneous electric field caused, e.g., by doping or asymmetric mobilities [37]. There are many techniques to measure charge transport, recombination, doping, and space charge in organic or other solar cells that can be used to better understand the factors contributing to high FFs. However, it will be highly useful to be able to simply use the measured device parameters (FF, \( V_{OC} \), and short-circuit current density \( J_{SC} \)), relate them to the absorber thickness, and use this as a figure of merit for charge collection. It is clear that the fill factor is highly sensitive to the voltage dependence and overall efficiency of charge collection, but because charge collection also depends strongly on device thickness and less strongly on light intensity and the recombination mechanism, it is not straightforward how to compare different FFs measured at different thicknesses or illumination intensities. Bartesaghi et al. [38] have suggested a figure of merit that correlates with the FF. However, there is still a substantial spread of FFs for a given value of the figure of merit making it difficult to directly correlate the measured FF with, e.g., the mobility-lifetime product of the device or with a similar parameter that captures the efficiency of charge collection relative to the efficiency of recombination in the device.

The present manuscript, therefore, intends to go one step further by refining and remodeling the proposed figure of merit and extending the scope of the study to different recombination mechanisms as well as non-beneficial but realistic device configurations. This analysis clarifies the model’s assumptions and shows in how far they are critical. The resulting limits are also valid for the study of Bartesaghi et al., which lacks a detailed analysis of the validity range of the proposed model. Our analysis is based on one-dimensional drift-diffusion simulations of an effective medium which yields good results for many organic solar cells in which geminate recombination is negligible [39–42]. Eventually, the developed models enable the quantitative assay of the active layer’s electronic quality by simple means under certain circumstances that are discussed in detail. We investigate the two limiting situations of free-to-free recombination (direct) and Shockley-Read-Hall recombination via a midgap trap, both of which have been reported to occur in organic solar cells [43–45]. While it is relatively easy to predict the fill factor from information of mobility, recombination rate, and thickness as long as the electric field is distributed homogeneously over the device thickness, major deviations occur when space-charge effects matter, i.e., when doping densities cannot be neglected or when asymmetric mobilities lead to the buildup of space charge under illumination. In these situations, the correlation between the fill factor and any figure of merit based on mobilities and lifetimes or recombination coefficients will break down. However, it is still possible to detect these situations from thickness-dependent device data and thereby avoid erroneous interpretations. Just like space-charge regions, an external series resistance can under certain circumstances affect the thickness dependence of the FF and can, thus, be detected. Since all these considerations — along with the presented figures of merit — require only the knowledge about a few essential device parameters (namely, FF, \( V_{OC} \), \( J_{SC} \), and thickness), our study provides a valuable tool for experimentalists to estimate the influence of critical device properties such as contact resistance and electric field distribution on the FF and to estimate in how far they limit the device performance. Because the four required parameters (FF, \( V_{OC} \), \( J_{SC} \), and thickness) are commonly reported in the literature, it is even possible to apply our hypothesis retrospectively to data from a huge number of publications on organic solar-cell devices.

This allows us to come back to the original question of the development of organic solar-cell efficiencies over the past decade and show that this development was, until recently, mostly based on an improvement in energy levels rather than on a continuous reduction of nongeminate recombination causing improved charge collection.

II. SIMULATIONS

In an approach similar to Bartesaghi et al. [38], our study is based on drift-diffusion simulations carried out over a wide range of parameters typical for many organic solar
cells. Huge data sets of $10^4$ points are created, and the behavior of the ensemble is analyzed. For each device simulation, the value of each parameter is chosen at random within its respective range specified in Table I. In an effective-medium approach, spatially independent properties are assigned to the photovoltaic material blend, which is, thus, described as a homogeneous medium. The energy difference between the acceptor lowest unoccupied molecular orbital (LUMO) and donor highest occupied molecular orbital (HOMO) is taken as the effective band gap [39–41,46–49]. By condensing microstructural effects into device parameters—such as mobility $\mu$ and direct recombination coefficient $k$—effective-medium simulations were successfully performed in many studies [39–42]. The free-charge-carrier generation rate $G$ is assumed to be constant across the entire active layer. To prevent unrealistically high short-circuit currents for thick devices, the generation rate is adjusted for different active-layer thicknesses and interference caused by flat interfaces is taken into account as shown in Fig. S1 [50–52]. Since voltage-dependent geminate recombination (recombination of excitons) is negligible for many of today’s organic solar cells [31,34,53–58], it is not considered here. Note that geminate recombination, which is independent of the applied electric field, merely reduces the generation rate of free carriers $G$, which does not affect the extraction and recombination dynamics of free carriers as long as charge-carrier concentrations are not changed drastically. The analysis is carried out for both direct (bimolecular, band-to-band, or free-to-free) and trap-assisted [monomolecular, Shockley-Read-Hall (SRH)] free-charge-carrier recombination models. For the sake of clarity and to compare with the results of Bartesaghi et al., we focus on the discussion of the direct recombination studies in the main text while the—in most cases similar—results of a trap-assisted recombination model are given in the Supplemental Material [52].

The range of the direct recombination coefficient $k$ or Shockley-Read-Hall lifetime $\tau$ is taken from comprehensive studies of various polymer-fullerene blends [59–61]. Direct recombination in an organic bulk heterojunction is often described to follow Langevin kinetics, where the mobility determines the recombination coefficient [38,54]. For many polymer blends, a mostly empirical and blend-specific Langevin-reduction factor is required to reproduce experimentally determined recombination coefficients [38,54,62], whereby the Langevin expression becomes merely an upper limit for direct recombination. In this work, the coefficient of direct recombination is randomly chosen from a wide parameter range, which automatically covers the case of reduced Langevin recombination. The properties of interlayers and metallic contacts are implemented via energetic barriers at the contacts, surface-recombination velocities, and an external series resistance.

We start our analysis by looking at undoped devices with balanced mobilities—implying the absence of space-charge regions so that the active layer is fully depleted. There is no external contribution to the series resistance from the metallic contacts (note that organic solar cells use low-mobility materials so that the active layer contributes to the overall series resistance). Vanishing Schottky barriers $\varphi$ to the contacts and high and equal minority surface-recombination velocities of $S = 10^7$ cm s$^{-1}$ are assumed (see the Supplemental Material [52] Fig. S2 for a representative band diagram). Deviations from this standard case are denoted in the text. Note that in the whole discussion, there is no preferential cell orientation related to the direction of light incidence on the device. Because of the spatially homogeneous generation rate, this orientation-independence also

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Figures 2 and S4</th>
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holds in the presence of a space-charge region at one of the contacts.

III. BACKGROUND: FILL FACTOR OF ORGANIC SOLAR CELLS

Even for the simple case of a homogeneously distributed electrical field, there is no simple relation between fill factor and thickness evident from the simulations presented in Fig. 1. As mentioned previously, the complex behavior stems from the multitude of parameters that influence the voltage-dependent competition between charge-carrier extraction and recombination, which eventually governs the fill factor of a device. In particular, the qualitative behavior depends on the mobility, which is varied for the different curves in Fig. 1, as well as the recombination coefficient, which is kept constant in this example. As a consequence, the interpretation of the experimental thickness series in terms of fill factor is cumbersome and rarely reported [37], although thickness series are typically prepared during device optimization.

By means of drift-diffusion simulations, Bartesaghi et al. recently showed that the fill factor qualitatively follows the logarithm of the parameter

\[ \theta_{\text{dir}} = \frac{V_{\text{int}}^2 \mu_e \mu_h}{d^2 G k}, \]

which is derived from analytical considerations of the extraction and recombination dynamics in an organic solar cell at short-circuit conditions [38]. In Eq. (1), \( \mu_e \) and \( \mu_h \) are the electron and hole mobilities, respectively, \( k \) is the coefficient of direct recombination, \( G \) is the generation rate, and \( d \) is the thickness of the photoactive layer. The internal voltage \( V_{\text{int}} \) across the active layer is defined in Ref. [38] by \( qV_{\text{int}} = E_{\text{LUMO}} - E_{\text{HOMO}} - 0.4 \text{ eV} \) and results from the energetic difference of the acceptor’s LUMO and the donor’s HOMO with a correction term that accounts for band bending. Note that Bartesaghi et al. used the reciprocal expression of Eq. (1) in their work. For clarity, we refer to parameters such as \( \theta \) that describe the ratio of extraction and recombination in an organic solar cell as the collection coefficient.

Although this analytical treatment is certainly valuable for its theoretical foundation and qualitative predictions, it is based on assumptions that are not fully valid for the discussed conditions. Specifically, the expression is derived at short circuit where the electric field which drives charges to the electrodes is considerably larger than at the maximum power point which is typically closer to open-circuit than short-circuit conditions (in a very recent report, Neher et al. [63] derived another figure of merit that does not depend on the applied voltage). Also, Eq. (1) predicts equally weighted exponents for the slow- and fast-carrier species, which is in contrast to experimental observations that found the mobility of the slower charge-carrier species to be decisive for transport [43,64–66], as we discuss later. Such shortcomings are likely to explain the large scatter in the presented data and raise the question about the relative importance of the quantities that determine the FF. There are, for example, analytical estimates for the efficiency of charge collection (such as the model by Crandall [67,68]), where the mobility and lifetime always appear together as the \( \mu \tau \) product. Thus, one expects that, for instance, in a model based on SRH recombination, mobility and lifetime are equally important for the FF. However, this is, in practice, not the case, because while it may indeed be only the \( \mu \tau \) product that controls charge collection, the FF relates the efficiency of charge collection at the maximum power point to the product of \( J_{\text{SC}} \) and \( V_{\text{OC}} \). \( V_{\text{OC}} \), for instance, increases with lifetime but often decreases with mobility [48,69–71]. Thus, one expects that for the FF \( \mu V_{\text{MPP}} (J_{\text{MPP}} / (V_{\text{SC}} V_{\text{OC}})) \), the mobility is more important than the lifetime which increases both the numerator and the denominator of the FF equation. A model taking the relative importance of different parameters into account should, therefore, reduce the spread of data points.

This somewhat more heuristic approach can overcome the various discussed shortcomings of the analytical treatment and lead to a more precise and practical description, as we discuss in the remainder of this paper.

IV. RESULTS AND DISCUSSION

A. Model

In order to obtain a model compatible with experimental work that can also be applied to the reported data from the literature, the internal voltage in Eq. (1) is replaced with the open-circuit voltage \( V_{\text{OC}} \), and the generation rate is substituted by the short-circuit current density \( J_{\text{SC}} \) via \( qdG = J_{\text{SC}} \), which holds for the case of complete
collection of all generated charges. In order to compare their experimental data to the simulation, Bartesaghi et al. used the same substitutions when the polymer’s HOMO and LUMO or the generation rate was unknown. Both $V_{OC}$ and $J_{SC}$ are obtained in $J$-$V$ measurements which are almost always carried out when solar-cell devices are prepared. For the case of balanced mobilities, $\mu_e = \mu_h = \mu$, the modified analytical expression takes the form

$$\theta'_{dir} = \frac{V_{OC}^2}{d^2 J_{SC}} \frac{\mu^2}{k}. \tag{2}$$

The relation between the fill factor and $\theta'_{dir}$ is depicted in Fig. 2(a) where the coordinates of each data point are obtained through the extraction of FF, open-circuit voltage $V_{OC}$, and short-circuit current density $J_{SC}$ from the computed $J$-$V$ curves, while mobility $\mu$, recombination coefficient $k$, and thickness $d$ are inputs to the simulations. Although the overall trend of large $\theta'_{dir}$ leading to large fill factors is evident from Fig. 2(a), the data are considerably scattered in the linear part of the distribution. As can be seen from the Supplemental Material [52] Fig. S3(a), this scatter does not originate from the introduction of $V_{OC}$ and $J_{SC}$ to the collection coefficient as defined by Bartesaghi et al. in Eq. (1) where internal voltage $V_{int}$ and generation rate $G$ are used instead. For the case of unbalanced charge transport—shown in the publication of Bartesaghi et al. and discussed later in this manuscript—the scatter becomes much stronger.

In a next step, the scatter is reduced by introducing a normalized fill factor $FF_n$ and varying the exponents in Eq. (2) to find the relative weight of the constituting quantities and attain an optimized collection coefficient $\gamma_{dir}$. The normalization of the fill factor accounts for the dependence of the maximum fill factor $FF_{max}$ on the open-circuit voltage $V_{OC}$, which results from maximizing the fill-factor definition $FF[V, J(V)]$ using a one-diode model for the current-voltage characteristic $J(V)$. Several analytical functions which describe the solution of the problem exactly or approximately with varying accuracy were discussed by Green [72,73]. Following Green’s earlier work [73], we choose the simple relation

$$FF_{max} = \frac{a V_{OC}}{V_{OC} + b} \tag{3}$$

and set the lower limit of possible fill factors to

$$FF_{min} = 25\% \tag{4}$$

corresponding to a nonrectifying device with a linear $J$-$V$ curve. Subsequently, we normalize the fill factor via

$$FF_n = 2 \frac{FF - FF_{min}}{FF_{max} - FF_{min}} - 1, \tag{5}$$

which maps the FF onto the range $(-1, 1)$. The constants $a$ and $b$ in Eq. (3), along with the optimized exponents of the collection coefficient $\gamma_{dir}$, are found by fitting the data pairs of the normalized fill factor $FF_n$ collection coefficient $\gamma_{dir}$ to the fit function.

FIG. 2. Dependence of the FF on two differently defined collection coefficients $\theta'_{dir}$ and $\gamma_{dir}$ that reflect the competition of charge-carrier extraction and recombination in organic solar cells. Each of the $10^4$ data points in (a) and (b) corresponds to a device simulation for the case of direct recombination and balanced mobilities with input parameters chosen at random from the intervals given in Table I. (a) Analytical considerations of Bartesaghi et al. [Eq. (2), Ref. [38]] yield $\theta'_{dir}$. (b) Optimizing the relative weight of the quantities that constitute the collection coefficient $\gamma_{dir}$ [Eq. (7a)] and normalizing the FF [Eqs. (3)–(5)] result in a significant reduction of the scatter in the data. The error [Eq. (10)] of extracting the here-defined electronic quality factor $Q$ [Eq. (8a)] through Eq. (9) is shown in (c) for both models over several intervals of $FF_n$ which quantifies the enhanced precision of the optimized model.
\[ F_{n,fit}(\gamma_{dir}) = \tanh \{ \alpha_{dir} \ln(\gamma_{dir}/\gamma_{0,dir}) \}. \]  

where \( \alpha_{dir} \) and \( \gamma_{0,dir} \) are fit parameters as well. The function fulfills the requirements of a monotonous and bounded function that produces the S shape discernable in Fig. 2(a) and shares the range \((-1, 1)\) of \( F_{F_n} \). The collection coefficient is found to be

\[ \gamma_{dir} = \frac{V_{OC}^{2.0}}{d^{3.5}} \frac{\mu^2}{k^{0.8}}. \]  \( \text{(7a)} \)

Comparing Figs. 2(b) and 2(a) shows that the introduction of the normalized fill factor \( F_{F_n} \) reduces the scatter at high FFs close to \( F_{FF_{max}} \) where the FF is bound only by the fundamental dependence on \( V_{OC} \) [Eq. (3)]. The optimized exponents of the collection coefficient \( \gamma_{dir} \) substantially narrow the linear part of the distribution. Note that the density of points that deviate the most from the fit function [red curve in Fig. 2(b)] is much lower than the point density along the curve. The improved precision of the optimized collection coefficient is quantified in the next section.

For the case of trap-assisted SRH recombination, where the lifetime \( \tau \) replaces the direct recombination coefficient, the optimization procedure yields

\[ \gamma_{SRH} = \frac{V_{OC}^{2.0}}{d^{3.5}} \mu^2 \tau^{1.6}. \]  \( \text{(7b)} \)

The fit parameters \( a, b, \gamma_0, \) and \( \alpha \) are given in Table II for both recombination models. Equations (7a) and (7b) use the units given in Table I, except for the device thickness \( d \), which needs to be entered in centimeters. \( V_{OC} \) is in volts, \( J_{SC} \) in mA cm\(^{-2}\), and FF in percent. Note that both collection coefficients are not dimensionless. The results differ for exceptionally strong or weak recombination shown in the Supplemental Material [52] Figs. S4(b) and S4(c), which is, however, uncommon for organic solar cells.

Although the models for direct and trap-assisted recombination represent limiting cases, they produce similar results. The obtained expressions for both recombination types show minor deviations from the theoretical models for \( p-i-n \) solar cells of Crandall [67] and Hecht [74] for trap-assisted recombination (note the resemblance to the ratio of drift length to thickness) and Bartesaghi et al. [38] for direct-reduced Langevin recombination. In particular, the exponents of the quantities that describe recombination are slightly lower than in the analytical expressions \( \mu^2/k \) for direct recombination and \( (\mu \tau)^2 \) for Shockley-Read-Hall recombination]. The deviations can be understood from the differences between the approaches: while the analytical models describe the efficiency of charge-carrier collection, our collection coefficient is optimized to precisely predict the fill factor. Lower recombination improves charge collection at the maximum power point and, at the same time, increases \( V_{OC} \). Thereby, the numerator and the denominator of the fill-factor definition are increased \( FF = [J_{MPP} V_{MPP} / (J_{SC} V_{OC})] \), which leads to a decreased weight of recombination. The thickness dependence is almost the same in Eqs. (1) and (7a) if the additional thickness dependence of the short-circuit current is taken into account in the case of direct recombination. Since Shockley-Read-Hall recombination is linear with excess charge-carrier concentration, it is (nearly) independent of the generation rate and, thus, the short-circuit current.

The weights of the constituting quantities in Eqs. (7a) and (7b) are optimized relative to the weight of the mobility whose exponent is fixed at 2. The fitting procedure yields stable results up to the given digit and is particularly sensitive to the weight of the recombination coefficient, while the relative weight of \( J_{SC} \) and \( d \) is less critical since both quantities are related through the generation rate.

### B. Electronic quality factor and model precision

A crucial factor in the collection coefficient in Eq. (7a) is the ratio of mobility \( \mu \) and recombination coefficient \( k \)—both properties of the material blend composing the active layer—which defines an electronic quality factor

\[ Q_{dir} = \frac{\mu^2}{k^{0.8}}. \]  \( \text{(8a)} \)

In the case of trap-assisted recombination, the electronic quality factor is defined by

\[ Q_{SRH} = \mu^2 \tau^{1.6}. \]  \( \text{(8b)} \)

By substituting the collection coefficient [Eq. (7a)] into the fit function [\( F_{F_n,fit} \) Eq. (6)], setting \( F_{F_n,fit} = F_{F_n} \) and solving for \( Q_{dir} \), the electronic quality factor can be estimated accurately from basic device characterization and our fit model via

\[ Q_{fit,dir} = \gamma_{0,dir} d^{3.5} \frac{J_{SC}^{0.5}}{V_{OC}} \exp \left( \frac{\text{arctanh} FF_{n}}{\alpha_{dir}} \right). \]  \( \text{(9)} \)

Only the measurement of the active-layer thickness \( d \) and the current-voltage curve yielding \( V_{OC}, J_{SC}, \) and FF is required. This is remarkable because the mobility and
recombination coefficient are difficult to obtain individually in experiments and values vary for different methods [68,75,76].

The error on the electronic quality factor $Q_{\text{fit,dir}}$ predicted from our model can be evaluated from the simulation results by comparing it to the actual value of $Q$ defined by Eq. (8a) which results from simulation inputs. We define the error

$$
\sigma_{Q,\text{dir}} = \frac{|Q_{\text{dir}} - Q_{\text{fit,dir}}|}{Q_{\text{dir}}}. \quad (10)
$$

The collection coefficient based on the model of Bartesaghi et al. [Eq. (1)] defines a different electronic quality factor $Q_{\theta,\text{dir}} = \mu^2/k$. Following the previous procedure of introducing a normalized fill factor and fit function [see the Supplemental Material [52] Fig. S3(b)], the model’s estimated electronic quality factor $Q_{\text{fit,\theta,dir}}$ and its error $\sigma_{Q,\theta,\text{dir}}$ can be evaluated and compared to our model. The two series with very low and very high normalized fill factor $FF_n$ in Fig. 2(c) are difficult to interpret in terms of electronic quality, as indicated by the large error of the estimated quality factor for both models. Huge changes in mobility and lifetime lead only to moderate changes in FF if the FF is already nearly ideal or if it is already close to 25%. However, at intermediate FFs, the enhanced precision of our collection coefficient $\gamma_{\text{dir}}$ is obvious. In this regime, changes in the mobility and recombination constant $\gamma_{\text{dir}}$ directly translate into changes in $FF_n$, which allows the extraction of the electronic quality factor $Q_{\text{dir}}$.

Figure 3(a) compares our model to two thickness series from the literature [30] and DT-PDPP2T-TT:PC$_7$TBM [31] that reached high FFs at thicknesses around the second absorption maximum. One data point is used to extract $Q_{\text{dir}}$, which is then assumed to be constant for all thicknesses of the series. Both series agree well with the model except for the highest values of $\gamma$ which correspond to the devices with the lowest absorber thickness in the series. This behavior is typical because at high FF and $\gamma$ the external series resistance becomes a limiting factor of the FF, as we discuss in the next section. Additionally, for low device thicknesses, often shunts become more relevant for the FF and, thus, the FF stays short of its potential if only the efficiency of charge collection is taken into account.

### C. Contacts

For good bulk properties indicated by large collection coefficients $\gamma$ and high fill factors, the influence of imperfect contacts becomes limiting. More specifically, the highest obtainable fill factor decreases. In this context, the term “contact” does not exclusively refer to the metallic connection to the external circuit but also includes properties coming from interlayers. For example, in terms of device modeling, the high-charge selectivity of a good electron-blocking hole-transport layer can be represented by a high-surface-recombination velocity for holes (majority carriers, $S_{\text{maj}}$) and a low-surface-recombination velocity for electrons (minority carriers, $S_{\text{min}}$) instead of an additional layer in the simulated stack. Interlayers whose energy levels are well matched to the active layer mean a low Schottky barrier $\varphi$ used in simulations. Finally, since interlayers are nonideal conductors, they will also contribute to the external series resistance $R_s$, which lowers the fill factor.

Figure 3(b) shows the normalized fill factor $FF_n$ for a set of curves with varying external series resistance. While the linear regime is barely influenced (also compare the Supplemental Material [52] Fig. S5), the curves saturate at lower levels for increasing series resistance. It is noteworthy that the original curve without external resistance can be regained for a known resistance [dashed line in Fig. 3(b)] by adapting the maximum fill-factor definition from Eq. (3) to [77]

$$
FF_{\text{max},R_s} = FF_{\text{max}} \left(1 - \frac{R_s J_{\text{SC}}}{V_{\text{OC}}} \right). \quad (11)
$$

A Schottky barrier between the active layer and contacts reduces the built-in voltage which impedes the extraction of charge carriers and deteriorates the fill factor [78].

![Graph](image_url)
It is well known that higher values of the open-circuit voltage $V_{OC}$ can be achieved in different types of solar cells by reducing the surface-recombination velocity of minority charge carriers [79] and many improvements in standard $c$-Si technology are based on this fact [80]. Higher $V_{OC}$s then allow higher FFs as captured in Eq. (3). We focus on the impact of the surface-recombination velocity $S_{\text{min}} = S$ of minority carriers and assume that the majority carriers are injected and extracted fast enough [78]. For solar cells from low-mobility materials, effects related to surface recombination become visible only if the bulk properties are good, referring to a range of high values of the collection coefficient $\gamma$ and normalized fill factor $\text{FF}_n$. Devices with lower $\gamma$ are limited by poor bulk properties, just as for the external series resistance discussed above. Figure 4(a) depicts the simulated $J$-$V$ curves of two high-$\gamma$ devices with different surface-recombination velocities $S$.

Apart from the expected reduction in $V_{OC}$ for larger $S$, there is a clear voltage dependence at low voltages which can be assigned to a voltage-dependent contribution of the surface-recombination current. For an illuminated device, the total current is constituted by a generation current $J^\text{gen}$, a recombination current coming from the bulk $J^\text{rec}_{\text{bulk}}$, and one coming from the surfaces $J^\text{rec}_{\text{surf}}$:

$$J = J^\text{gen} + J^\text{rec}_{\text{bulk}} + J^\text{rec}_{\text{surf}}.$$  \hspace{1cm} (12)

Since we consider a fully symmetric device with equal surface-recombination velocities of electrons and holes at the respective contact $S_n = S_p = S$, both surfaces contribute in the same way so that the recombination current from the surfaces is given by

$$J^\text{rec}_{\text{surf}} = qS_n n(0) + qS_p p(d) = 2qSn(0),$$ \hspace{1cm} (13)

where the minority charge-carrier densities at the corresponding contact are denoted by $n(0)$ and $p(d)$. For an illuminated device shown in Fig. 4(b), there is an almost flat regime of the surface current at low voltages and a steeper one around $V_{OC}$ which is responsible for changes in open-circuit voltage $V_{OC}$ with surface-recombination velocity $S$. The inverse slope of the logarithm of the surface-recombination current vs $V_{OC}$ is proportional to the diode’s ideality factor. Around $V_{OC}$, the ideality factor of the surface current is 1 and, therefore, equal to the bulk ideality factor for the case of direct recombination so that bulk and surface current have the same voltage dependence. Consequently, $S$ contributes to the diode saturation current $J_0$ and thereby $V_{OC}$. This mechanism is covered by the fill-factor normalization in Eq. (3) and does not lead to a deviation from our model.

The additional dependence of the FF on the surface-recombination velocity $S$ originates from the low-voltage regime where the voltage dependence is small, which implies a large ideality factor that is known to be detrimental for the FF [77]. However, this high-ideality contribution is relevant only for large $S$ because only then the surface-recombination current exceeds values of at least $10^{-2}$ mA cm$^{-2}$ as can be seen from the logarithmic depiction of the surface-recombination current in Fig. 4(b). Only then, the surface-recombination current contribution to the total recombination current of approximately 12 mA cm$^{-2}$ is significant. In that case, the surface-recombination current reproduces the $J$-$V$ curve very well, as seen from the dashed line in Fig. 4(a), while in the favorable case of low $S$, no significant contribution from the surface-recombination current is observed. This absence of voltage-dependent current at low voltages leads to an enhancement of the FF from 81% for high-surface-recombination velocities to 85% for low-surface-recombination velocities, which has not been recognized by Bartsaghi et al. who report no difference of the FF for infinite- and low- ($S = 10^{-2}$ cm s$^{-1}$)
D. Space Charge

The electric field within the active layer of organic bulk heterojunction solar cells is often assumed to be constant, meaning that the conduction and valence bands run linearly between the anode and cathode. Apart from band bending at the contacts, this is often a reasonable assumption. However, in the presence of sufficient space charge induced either by intentional or unintentional doping or by the presence of large concentrations of injected or photogenerated electrons and holes with asymmetric mobilities, the electric field is redistributed so that a field-free region with flat bands and a space-charge region with enhanced electric field form [37,65,81–86]. In organic solar cells, the space-charge region forms at one of the organic semiconductor-metal interfaces, as shown in the band diagram in the Supplemental Material [52] Fig. S2. Minority carriers generated in the flat-band region of a device need to diffuse to the space-charge region before the electrical field in the space-charge region separates them from the majority carriers so that they can be extracted at the contacts. While this process is efficient for high-mobility systems with long diffusion lengths such as crystalline silicon, it degrades the performance of low-mobility organic systems with short diffusion lengths, mainly because of a reduction in short-circuit current \( J_{\text{SC}} \) [37,81–83,86,87]. Devices based on polymers with improved electronic properties recently achieved high fill factors even for thick active layers [27,29–35]. This underlines the growing importance of space-charge effects because the width of the active layer and the space-charge region can be of the same order even for moderate doping levels or asymmetries in charge-carrier mobilities. Note that for a device series with increasing thickness—and, therefore, decreasing ratio between the width of the space-charge region and the active layer—space-charge effects will first manifest themselves in a drop in \( J_{\text{SC}} \). By increasing the active-layer width further beyond the optimum efficiency, a deviation of the FF from our model enables first deductions on the presence of space charge by easy means, which can then be further characterized by the Suns-\( J_{\text{SC}} \) method or voltage-dependent capacitance measurements [81,88,89].

Doping of a device (typically unintentional \( p \)-type doping [81,90–92]) leads to a space-charge region whose width decreases with increasing doping concentration. The impact on the fill factor can be seen from Fig. 5(a) showing simulations of a typical device with a doping level of \( N_d = 5 \times 10^{16} \text{ cm}^{-3} \) for thicknesses between 50 nm (highest \( \gamma \)) and 750 nm (lowest \( \gamma \)). The main difference between the model curves for doped and undoped devices is the saturation of the normalized fill factor \( \text{FF}_n \) for thick devices in the case of doped devices. In this regime, low mobilities and/or high recombination coefficients are predominant so that only carriers from a very narrow collection zone can diffuse to the space-charge region where they get separated. This process is independent of the applied voltage as soon as the space-charge region and the collection zone are smaller than the device thickness for all voltages. Thus, the presence of space charge leads to poor carrier collection for small mobility systems causing a low \( J_{\text{SC}} \) and a decrease in efficiency. However, the collection in the neutral zone does not depend on the applied voltage so that the FF decreases much more slowly for increasing thickness and decreasing collection coefficient \( \gamma \). The saturation level depends on the doping level or asymmetry ratio of the mobilities discussed below and can explain the elevated FF of the 1000-nm-thick device based on the polymer blend PBDT–FTAZ:PC\( _{60} \)BM [34] [green diamonds in Fig. 5(a)] (the decrease in FF of the thinnest device with largest \( \gamma \) is expected to be due to a reduced shunt resistance).

![Normalized fill factor vs. Collection coefficient](image)

**FIG. 5.** The presence of a space-charge region caused by doping or asymmetric charge-carrier mobilities leads to inefficient carrier collection. Therefore, \( J_{\text{SC}} \) and PCE decrease while the FF increases at low collection coefficients \( \gamma_{\text{dir}} \) as shown in (a) because charge-carrier collection becomes independent of voltage. The active-layer thickness along the simulated curves is increased from 50 to 750 nm, while the mobility is kept constant [doped device \( \mu = 10^{-4} \text{ cm}^2 \text{ (V s)}^{-1} \), device with unbalanced mobility \( \mu_h = 10^{-5} \text{ cm}^2 \text{ (V s)}^{-1} \), and \( \mu_e = 10^{-3} \text{ cm}^2 \text{ (V s)}^{-1} \)]. The literature data (green) on the polymer FTAZ [34] show a similarly enhanced FF for the thickest device (1000 nm). For very high doping levels shown in (b), the slope between FF, and \( \gamma_{\text{dir}} \) changes which can explain the literature report on devices with thicknesses up to 750 nm based on the polymer HTAZ [34]. The simulated device has a thickness of 250 nm while the mobility is varied between \( 10^{-6} \) and \( 10^{-2} \text{ cm}^2 \text{ (V s)}^{-1} \).
A space-charge region causing a similar saturation of the FF at large thickness results from unbalanced mobilities as shown in Fig. 5(a)[37,65,87]. In organic bulk heterojunctions, electrons are transported to the contacts via the acceptor material (usually a fullerene derivative) and holes via the donor material (polymer or small molecule). In addition, the blend morphology strongly affects the transport paths and amount of useable transport channels. Thus, differences in the mobilities between both phases of several orders of magnitude are quite common and cause an accumulation of charge at the contact that extracts the slow carrier species. The resulting space charge decreases the device efficiency via $J_{SC}$ while causing a saturation of the fill factor at low $\gamma$ for the same reasons discussed above. Optimized fabrication steps such as annealing help to accomplish a material blend with balanced mobilities [76,84,93].

Several studies suggest a dominant influence of the slower charge-carrier species for transport in organic solar cells [43,64–66]. This poses the question of the exact weight distribution between the slow and fast charge-carrier species in the collection coefficient $\gamma$ which is modified for the case of asymmetric mobilities by dividing the mobility into two separate factors. We find that putting a strong weight on the mobility of the slow carriers

$$\mu^2 \rightarrow \mu_{slow}^{1.6}\mu_{fast}^{0.4} \quad (15)$$

yields the best overlap between the data with different asymmetry ratios. An effective mobility has been proposed that puts equal weight on the slow and fast charge-carrier species which is also predicted by the analytical treatment of Bartesaghi et al. [Eq. (1)]. While the definition of an effective mobility resulting from the presence of a fast and slow charge-carrier species has been proposed elsewhere [94], our results show the dominant role of the slow carrier species for transport as stated in the before-mentioned publications [43,64–66]. Contrary to this, the analytical treatment by Bartesaghi et al. [Eq. (1)] predicts equal weight of both charge carriers which adds to the enhanced scattering in their publication as shown in the Supplemental Material [52] Fig. S7. Our data suggest a dominant but not exclusive contribution of the slower charge-carrier species to charge transport. Figure 5(b) shows that high doping concentrations $N_d = 10^{17}$ cm$^{-3}$ change the slope of the normalized fill factor FF, collection coefficient $\gamma$ relation. Here, a wide $\gamma$ range is covered by varying the mobility between $10^{-6}$ cm$^2$ (V s)$^{-1}$ and $10^{-2}$ cm$^2$ (V s)$^{-1}$ while the thickness is kept fixed at 200 nm. The behavior reflects that of a large ensemble of devices given by clouds of points with different asymmetry ratios and doping levels shown in the Supplemental Material [52] Fig. S6 and is similar to experimental data for varying thicknesses of an active layer from a polymer blend of PBnDT-HTAZ:PC$_{60}$BM [34].

V. OSC EFFICIENCIES: HISTORY AND FUTURE

In their paper of 2006, Scharber and co-workers [19] illustrated a simple guideline to boost power-conversion efficiencies of organic solar cells from P3HT’s record at that time of 4.4% [28] up to around 10%. Their work emphasized the large potential that was in the favorable arrangement of the polymer’s HOMO and LUMO levels when PCBM is assumed as the acceptor material [19]. Scharber et al. use an empirical estimate for the open-circuit voltage $V_{OC}$ given by the interfacial band gap minus an offset of 0.3 eV,

$$qV_{OC} = E_{LUMO,PCBM} - E_{HOMO,pol} - 0.3 \text{ eV}, \quad (16)$$

which holds for many investigated organic solar cells [18,19,95]. In terms of the polymer band gap $E_g,pol$, this expression translates to

$$qV_{OC} = E_g,pol - \Delta E_{LUMO} - 0.3 \text{ eV} = E_g,pol - q\Delta V_{OC}, \quad (17)$$

where $\Delta E_{LUMO}$ is the offset between donor and acceptor LUMO, which is required to split up excitons generated in the polymer. This offset is often required to be at least 0.3 eV for efficient exciton dissociation (exceptions are, for example, reported in Ref. [96]) leading to an open-circuit voltage loss $\Delta V_{OC}$ of at least 0.6 eV compared to the polymer band gap. The polymer band gap also defines the portion of the sunlight that can be absorbed by the photo-active layer and thereby determines the short-circuit current

$$J_{SC} = q \int_{E_g,pol}^{\infty} EQE \times \Phi_{AM1.5}(E) dE \quad (18)$$

under the assumption of a constant EQE (set to 65% in the Scharber paper). The standard solar photon flux spectrum irradiating on the solar cell is denoted as $\Phi_{AM1.5}$. By additionally setting the fill factor to 65%, Scharber could calculate device efficiencies $\eta_{Scharber}$ from the polymer band gap and the LUMO offset (or, equivalently, $\Delta V_{OC}$) which is depicted in Fig. 6(a). By entering values of devices with record efficiency at their time, the transition from P3HT towards polymers with lower band gaps and smaller LUMO offsets becomes evident. The driving factor in the development of organic solar cells with high-power-conversion efficiency (PCE) becomes clearer from Fig. 6(b), where the actual PCE (color scale) is related to the electronic quality $Q$ discussed in this work and a second figure of merit given by the efficiency potential $\eta_{Scharber}$ of a material in the Scharber plot which indicates the degree of optimization of the polymer’s energy levels. Although Scharber’s analysis clearly focuses on the energy levels in the donor-acceptor blend and pays little attention...
between electronic quality $Q$ and PCE. The clearest exception to these observations is P3HT:PC$_{60}$BM [28], which increased the PCE from 2.5% to 4.4% compared to MDMO-PPV:PC$_{60}$BM [1] while leaving $\eta_{\text{Scharber}}$ almost unchanged. P3HT is well known for its high crystallinity leading to the large $Q$, which enabled a thick device with relatively high efficiency. Remarkably, the high-$Q$ polymer blend poly[(2,5-bis(2-hexyldecyloxy)phenylene)-alt-(5,6-difluoro-4,7-di(thiophen-2-yl)benzo[c]-[1,2,5]thiadiazole)]: [6,6]-phenyl C$_{71}$-butyric acid methyl ester (PPDT2FBT: PC$_{71}$BM) [33] achieves an efficiency slightly higher than that of PTB7 although its energy levels are clearly less favorable. For the future design of polymers, it is obvious that further advances in PCE need to take place in the top left corner of Fig. 6(b) where materials with optimized energy levels and high electronic quality are located. The recently published work on PNTz4T:PC$_{71}$BM [35] has successfully taken a next step in this direction.

VI. APPLICATION TO OTHER MATERIAL CLASSES

This study outlines a methodology based on drift-diffusion simulations which allows the definition of a charge-carrier collection coefficient with a unique relation to the (normalized) fill factor of a thin-film solar cell from a low-mobility material. We conduct our analysis for the highly relevant case of polymer-based organic solar cells. However, the presented methodology can be transferred to other materials in thin-film devices that have a lead-free alternatives, semiconducting nanoparticles such as PbS and Bi$_2$S$_3$, and bilayers of Sb$_2$S$_3$. The methodology presented in this work can be applied to all of these materials, and the relevant physical phenomena such as doping or unbalanced charge transport are the same.

Among others, promising material systems used in thin-film solar cells include perovskites and especially their lead-free alternatives, semiconducting nanoparticles such as PbS and Bi$_2$S$_3$, and bilayers of Sb$_2$S$_3$. The methodology presented in this work can be applied to all of these materials, and the relevant physical phenomena such as doping or unbalanced charge transport are the same. However, quantitative differences in the expression of the collection coefficient from the case of polymer solar cells can be expected for these materials because of their different dielectric constants.

VII. CONCLUSION

In summary, our simulation-based studies provide a refined model for the complex behavior of the fill factor
of organic solar cells for the limiting situations of trap-assisted and band-to-band recombination. We find an explicit expression for the electronic quality of the active-layer material which governs the fill factor. By normalizing the fill factor $\text{FF}_\text{N}$ with respect to the open-circuit voltage and using an optimized collection coefficient $\gamma$, we achieve a clearer mapping between $\text{FF}_\text{N}$ and $\gamma$ compared to the previous work of Bartesaghi et al. This allows us to accurately determine the electronic quality factor $Q$ from nothing more than FF, open-circuit voltage $V_{OC}$, short-circuit current density $J_{SC}$, and active-layer thickness. All these measurements are routinely done in organic solar-cell research, which makes $Q$ extraction feasible to be part of standard device characterization protocols and allows the extensive study of the literature data in retrospect. The simplicity of this analysis is particularly striking, considering that the direct access of the quantities that constitute $Q$ (mobility and lifetime or recombination coefficient) is cumbersome and depends heavily on the measurement conditions. For reliable $Q$ extraction, our model assumes a homogeneous electrical field. For thick devices, doping or unbalanced charge transport lead to the formation of an electric-field-free neutral zone where carrier collection is poor but independent of applied voltage which heavily deteriorates $J_{SC}$ and device efficiency while slightly higher FFs are reached compared to the fully depleted case. Devices with good collection coefficient $\gamma$ and high FFs remain unaffected. Contrary to this, the contact layers mainly affect the highest obtainable FFs. External series resistances and Schottky barriers to the contacts lower the FF, while low-surface-recombination velocities of minority carriers lead to an unprecedented improvement of the FF.

From an experimental point of view, preparing a series of devices with varying active-layer thickness—which is commonly done during device optimization—and analyzing the thickness dependence of the FF provides important information about device properties such as space-charge regions and contacts in addition to the quantification of the material’s electronic quality. Because this analysis requires little effort, it is valuable as a first indicator for the mentioned properties which can then be followed up by detailed quantitative characterization, for example, voltage-dependent capacitance measurements that determine the exact doping level. A unique advantage of the presented method is that its retrospective character enables the reevaluation of previously recorded data from thickness scans.

Finally, we illustrate that the paradigm for increasing organic solar-cell efficiencies by optimizing the energy levels of the polymer—namely, band gap and LUMO offset—has been very successful in the past and has brought up polymers that are energetically close to the optimum. However, further advances in conjugated polymer-based energy harvesting demand enhanced carrier collection for devices with thicknesses around the second absorption maximum of 200–250 nm implying higher values of external quantum efficiency and fill factor. This insight sets the need for polymers that combine optimized energy levels with high electronic quality. First steps toward such ordered crystalline polymers have been accomplished recently. The easy-to-access electronic quality factor specified in this work is a valuable figure of merit that can be used to monitor the further progress towards highly efficient organic solar cells.

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