

# High-resolution vertical profile measurements for carbon dioxide and water vapour concentrations within and above crop canopies

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Received: date / Accepted: date

**Abstract** We present a portable elevator-based facility for measuring CO<sub>2</sub>, water vapour, temperature and wind-speed profiles between the soil surface and the atmospheric surface layer above crop canopies. The end of a tube connected to a closed-path gas analyzer is continuously moved up and down over the profile range (in our case, approximately 2 m) while concentrations are logged at a frequency of 20 s<sup>-1</sup>. Using campaign measurements in winter wheat, winter barley and a catch crop mixture (spring 2015 to autumn 2016) during different stages of crop development and different times of the day, we demonstrate a simple approach to correct for time lags, and the resulting profiles of 30-min mean mole fractions of CO<sub>2</sub> and H<sub>2</sub>O over height increments of 0.025 m. The profiles clearly show the effects of soil respiration and photosynthetic carbon assimilation, varying both during the diurnal cycle and during the growing season. Profiles of temperature and wind speed are based on a ventilated finewire thermocouple and a hot-wire anemometer, respectively. Measurements over bare soil and a short plant canopy were analyzed in the framework of Monin-Obukhov similarity theory to check the validity of the measurements and raw-data-processing approach. Derived fluxes of CO<sub>2</sub>, latent and sensible heat and momentum show good agreement with eddy-covariance measurements.

**Keywords** Elevator · Evapotranspiration · Monin-Obukhov similarity theory · Respiration

## 1 Introduction

Surface-layer gradients or profiles of temperature, wind speed, humidity and trace gases have traditionally been used to determine the near-surface turbulent fluxes

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of momentum, sensible and latent heat, before the eddy-covariance method became feasible on a large scale. Today, they are used to determine storage terms and advection (Aubinet et al., 2005; Montagnani et al., 2009), inform or validate models (Sogachev et al., 2005; Haverd et al., 2011), partition vertically displaced sinks and sources (Raupach, 1989; Leuning, 2000; Santos et al., 2011) and characterize microclimatic conditions in plant canopies. As with other flux determination methods, such as the relaxed-eddy-accumulation (REA) method (Businger and Oncley, 1990; Fotiadi et al., 2005a,b) and the disjunct-eddy-covariance (DEC) method (Rinne et al., 2001; Baghi et al., 2012), profile measurements are also used in conditions, or for scalars, not suitable for eddy-covariance measurements, e.g., because rapid-response sensors are unavailable. For sensor-based measurements, the costs increase proportionally with the number of measurement levels, and care must be taken to calibrate sensors against each other since any systematic deviation will affect the apparent profile. For trace gases and humidity, this can be avoided by multiplexer systems, and for temperature and partly also humidity, distributed temperature sensing has recently been suggested (Thomas et al., 2012; Euser et al., 2014). Another means of obtaining data from an arbitrary number of measurement heights consists of sounding, i.e. moving the same sensor or trace-gas analyzer inlet vertically. This is frequently done on a large scale with radiosondes, tethered sondes and aircraft (Lothon et al., 2014), but has received comparatively little attention in surface-layer (?) or canopy studies.

Accurate micrometeorological measurements in low and dense plant stands are particularly challenging. Instruments must not affect plant activity or structure, and dense canopies lack the large volumes of air to which most conventional instruments are adapted. Only few studies have been done with elevator systems for measuring vertical profiles, and most of them were preconfigured to stop at a finite number of levels. Noone et al. (2013) used an elevator system at a 300-m high research tower to obtain H<sub>2</sub>O and CO<sub>2</sub> mixing ratios every few tens of metres, with a sounding time of 9 min for one ascent/descent. Mayer et al. (2009, 2011) tested an existing service elevator on a 99-m tower to measure profiles of temperature, relative humidity and mixing ratios of CO<sub>2</sub>, H<sub>2</sub>O and O<sub>3</sub> in continuous mode, moving up and down once every 10 min. A smaller elevator was used by Jäggi et al. (2006) to measure ozone profiles with a total vertical distance of 1.5 m, which moved alternately to seven levels and remained at each for at least 100 s. Drüe (1996) designed a 1.2-m high elevator, which moves at 30-s intervals to a specified height to measure temperature and radiation fluxes for 20 s.

Gradients of water vapour and CO<sub>2</sub> concentrations within and above different plant populations have mostly been obtained using multiport systems, which are controlled by solenoid valves, sampling air sequentially at five to eight levels, taking from 1 min (Al-Saidi et al., 2009; Xu et al., 1999) to 10-20 min (Ahonen et al., 1997; Brooks et al., 1997; Buchmann and Ehleringer, 1998) or 30 min (Leuning, 2000; Miyata et al., 2000) for one cycle (profile).

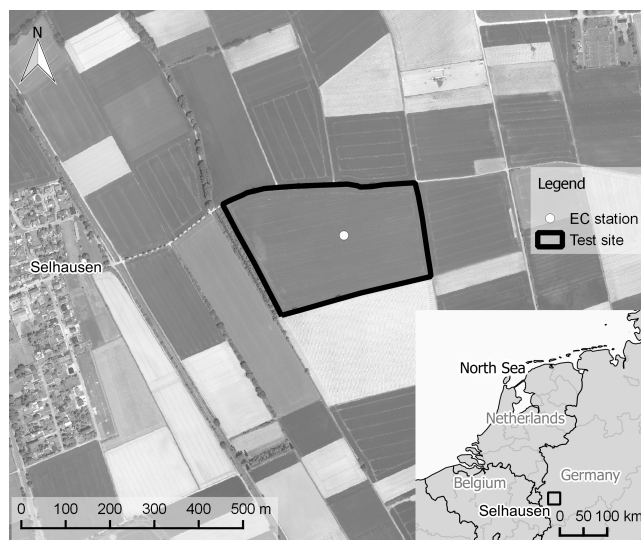
Here, we introduce a technique to obtain vertical profiles of CO<sub>2</sub> and H<sub>2</sub>O (as mole fractions  $\chi_{CO_2}$  and  $\chi_{H_2O}$ ), as well as temperature and wind speed within and above crop canopies and bare soil, as an amendment to existing eddy-covariance measurements. An elevator continuously moves up and down (taking approximately 36 s for one ascent/descent) between the soil surface and 2.1 m a.g.l. with an ascent speed around 0.06 m s<sup>-1</sup>, collecting about 25 profiles each in upward and downward mode over 30 min at a logging frequency of 20 s<sup>-1</sup>. The system was

79 installed and operated on selected measurement days to act as a mobile campaign  
80 solution and as a prototype for a long-term installation, which requires additional  
81 protection against heavy rain and windy conditions. While the actual vertical and  
82 temporal resolution can be configured during data processing, we here mostly  
83 present 30-min mean profiles of 0.025-m thick layer averages as a basis for check-  
84 ing the plausibility of the measurement and raw-data-processing approach. For  
85 those measurements that were made over bare soil and short canopies, flux-profile  
86 relationships are well established and a particularly rigid plausibility test can be  
87 performed by comparing fluxes derived from these profiles to eddy-covariance mea-  
88 surements. Such a comparison is given below.

## 89 2 Methods

### 90 2.1 Test site

91 The tests were carried out at the TERENO research site Selhausen (ICOS site  
92 code DE-RuS). The test site is situated in the southern part of the Lower Rhine  
93 Embayment in the river Rur catchment (50°52'09"N, 06°27'01"E, 104.5 m a.s.l.,  
94 Fig.1) in Germany.



**Fig. 1** Overview of the test site Selhausen.

95 The device was placed and operated in a test field with a size of 9.8 ha, culti-  
96 vated with a rotation of crops, during selected periods of the growing season of win-  
97 ter wheat (*Triticum aestivum* L.) and after harvest in the year 2015, the growing  
98 season of winter barley (*Hordeum vulgare* L.) in spring 2016 and a catch crop mix-  
99 ture (*Vicia sativa* L., *Pisum sativum* L., *Avena strigosa* Schreb., *Raphanus sativus*  
100 L., *Trifolium alexandrinum* L., *Phacelia tanacetifolia* Benth., *Guizotia abyssinica*  
101 (L.f.) Cass.) in autumn 2016.

102 The annual mean air temperature is 9.9 °C and the annual precipitation sum is  
 103 698 mm (Graf et al. 2012). The maximum crop height ranged from 0.7 to 0.8 m in  
 104 winter wheat and 0.95 to 1.05 m in winter barley. The soil is an Orthic Luvisol and  
 105 the texture is silt loam according to the USDA classification (Graf et al. 2008).  
 106 Table 1 gives an overview of the test days and the associated summary of the  
 107 measurement properties and weather conditions.

**Table 1** Profile measurements: measuring periods (number of 30-min mean profiles within the measurement period in brackets), measured variables ( $\chi_{CO_2}$  and  $\chi_{H_2O}$  are mole fractions of CO<sub>2</sub> and H<sub>2</sub>O,  $u$  is wind speed and  $T$  is temperature), weather condition (cloud amount in okta and wind speed in Beaufort number), canopy and profile height.

Date	Time UTC	Variables	Weather condition	Canopy height (m)	Profile height (m)
winter wheat					
14 April 2015	1515-1545	$\chi_{CO_2}, \chi_{H_2O}$	1, 2-3	0.20	1.85
20 Mai 2015	0850-0920	$\chi_{CO_2}, \chi_{H_2O}, u, (T)$	4, 2	0.70	1.90
1 June 2015	1630-1700	$\chi_{CO_2}, \chi_{H_2O}, u, (T)$	3, 1	0.80	2.10
7 June 2015	1530-1600	$\chi_{CO_2}, \chi_{H_2O}, u$	1, 2	0.80	2.10
8 June 2015	0630-1830 (10)	$\chi_{CO_2}, \chi_{H_2O}, u$	1, 2-3	0.80	2.10
30 June 2015	1100-1130	$\chi_{CO_2}, \chi_{H_2O}, u, (T)$	0, 1	0.80	2.10
17 July 2015	1230-1300	$\chi_{CO_2}, \chi_{H_2O}, u, (T)$	0, 2	0.80	2.10
bare soil					
13 August 2015	1030-1100	$\chi_{CO_2}, \chi_{H_2O}, u, (T)$	0, 2	-	2.10
10 September 2015	1500-1530	$\chi_{CO_2}, \chi_{H_2O}, u, (T)$	2, 3	-	2.10
winter barley					
31 May 2016	1230-1300	$\chi_{CO_2}, \chi_{H_2O}, u, (T)$	6-7, 2	1.10	2.10
6 June 2016	0730-1240 (5)	$\chi_{CO_2}, \chi_{H_2O}, u, T$	0, 0-1	0.95	2.10
9 June 2016	0400-2300 (20)	$\chi_{CO_2}, \chi_{H_2O}, u, T$	0-1, 0-2	0.95	2.10
10 June 2016	0000-1100 (12)	$\chi_{CO_2}, \chi_{H_2O}, u, T$	1-2, 0-1	0.95	2.10
bare soil					
18 July 2016	1400-2200 (9)	$\chi_{CO_2}, \chi_{H_2O}^+, u, T$	0-2, 0-1	-	2.10
intercrop					
23 September 2016	0930 -1200 (4)	$\chi_{CO_2}, \chi_{H_2O}^+, u, T$	1-5, 2-3	0.22	2.10
23 November 2016	1100-1330 (5)	$\chi_{CO_2}, \chi_{H_2O}^+, u, T$	7, 2	0.45	2.10
24 November 2016	1400-2300 (12)	$\chi_{CO_2}, \chi_{H_2O}^+, u, T$	1, 3-4	0.45	2.10
25 November 2016	0000-1530 (25)	$\chi_{CO_2}, \chi_{H_2O}^+, u, T$	1,1-2	0.45	2.10
16 December 2016	1000-1330 (8)	$\chi_{CO_2}, \chi_{H_2O}^+, u, T$	1,2-3	0.35	2.00

( $T$ ) Temperature measurements with limited usability.

<sup>+</sup> Insulated, heatable  $\chi_{CO_2}$  and  $\chi_{H_2O}$  sampling tubes connected to the gas analyzer.

## 108 2.2 Eddy-Covariance and other continuous measurements

109 Reference values of sensible heat flux ( $H$ ) and latent heat flux ( $\lambda E$ ), friction  
 110 velocity ( $u_*$ ) and CO<sub>2</sub> flux ( $F_C$ ) were calculated from measurements using a  
 111 permanently-running eddy-covariance station at the Selhausen site. It operates  
 112 with a three-dimensional sonic anemometer (Model CSAT-3, Campbell Scientific  
 113 Inc., Logan, Utah, USA) to measure the wind vector and the sonic temperature.  
 114 An open path infrared gas analyzer (Model LI- 7500, Li-Cor Inc. Biosciences,  
 115 Lincoln, Nebraska, USA) measured the CO<sub>2</sub> concentration and the absolute hu-  
 116 midity 2.5 m above the surface, with a fetch of at least 120 m and up to 210 m in  
 117 the prevailing west-south-west wind direction. The measurement frequency was 20

118  $\text{s}^{-1}$ . Turbulent fluxes were calculated as 30-min averages using the ‘TK3.11’ soft-  
119 ware package, which includes rigorous quality control and correction procedures  
120 (Mauder and Foken 2011, Mauder et al. 2013). Here, only data of the highest  
121 quality (flag 0) were used. Gaps in the eddy-covariance dataset were filled with  
122 the REddyProc package after Reichstein et al. (2005).

123 Energy balance quantities were measured with a net radiometer (NR01, Huk-  
124 seflux, Delft, the Netherlands), up to four self-calibrating soil heat-flux plates  
125 (HFP01SC, same manufacturer), and soil water content and temperature mea-  
126 surements in the layer above the heat flux plate for surface soil heat flux calcu-  
127 lation following the calorimetric method (Appendix 1). Photosynthetically active  
128 radiation (PAR) was measured with a quantum sensor (Li190, LI-COR, Lincoln,  
129 Nebraska, USA). Both radiation instruments were mounted at a height of 2.5 m.

130 An automated soil  $\text{CO}_2$  efflux chamber system (Li-8100, Li-Cor Inc. Bio-  
131 sciences, Lincoln, Nebraska, USA) was operated with at least three and up to  
132 four long-term chambers. The chambers were placed on PVC soil collars of 0.2 m  
133 in diameter and a height of 0.07 m, which were inserted 0.05 m into the soil. The  
134 closing interval for each chamber was 30 min, and it was closed for 90 s for each flux  
135 measurement.  $\text{CO}_2$  and water vapour concentrations as well as chamber headspace  
136 temperature were measured every second. The  $\text{CO}_2$  concentration was corrected  
137 for changes in air density and water vapour dilution. The soil respiration ( $R_s$ ) was  
138 calculated by fitting a linear regression to the corrected  $\text{CO}_2$  concentrations from  
139 30 s after closing until reopening.

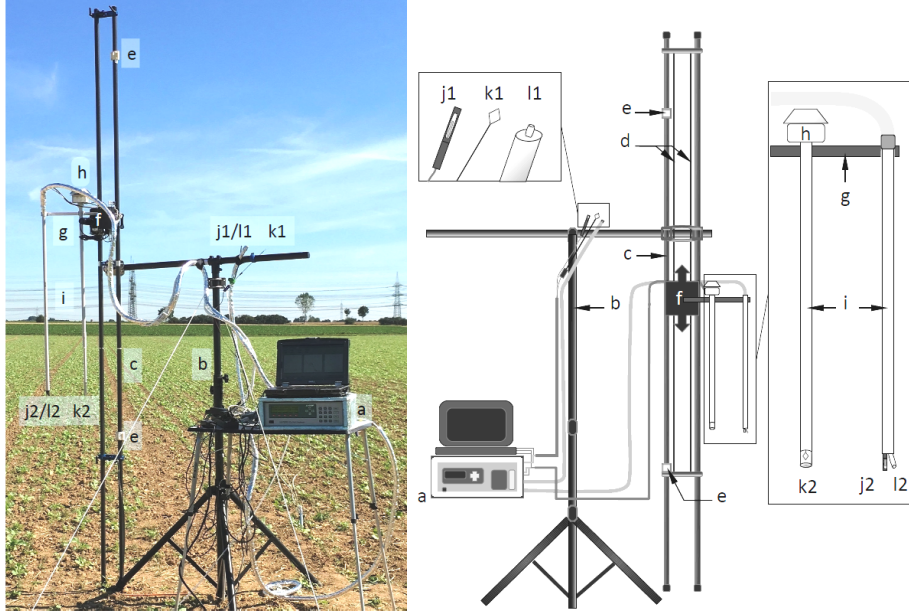
140 Information about the leaf and plant area index ( $PAI$ ) and green area index  
141 ( $GAI$ ) over the whole plant growing seasons were collected in intervals of four  
142 weeks in field with a LAI-2200 plant canopy analyzer (Li-Cor Inc. Biosciences,  
143 Lincoln, Nebraska, USA) and with a destructive method (LI-3100C area meter,  
144 Li-Cor Inc. Biosciences, Lincoln, Nebraska, USA). On dates where optical and  
145 destructive measurements of  $PAI$  were taken, both methods compared well, such  
146 that uncorrected optical  $PAI$  values are reported for days where no destructive  
147 measurements were available. In June and November 2016, vertical profiles of  $PAI$   
148 were measured manually in winter barley and catch crop from the soil surface up  
149 to the canopy top every 0.05 m with a SunScan-System SS1 (Delta-T devices,  
150 Cambridge, UK).

151 On profile measurement days (see Table 1), the elevator system was placed  
152 at a distance of 15 - 30 m from the eddy-covariance station at a day-dependent  
153 direction to prevent both installations from obstructing the fetch of each other.

### 154 2.3 Profile measurement set-up

155 The system measures mole fractions (amount of substance per mole of moist air) of  
156  $\text{CO}_2$  ( $\chi_{\text{CO}_2}$ ) and water vapour ( $\chi_{\text{H}_2\text{O}}$ ) with a resolution of  $20 \text{ s}^{-1}$  between the soil  
157 surface, the plant canopy and the atmosphere while continuously moving the intake  
158 of a sampling tube between the ground surface and a maximum height, which was  
159 around 2 m in our case. The measurement system was continuously improved,  
160 such that the schematic illustration in Fig. 2 represents the device version in the  
161 last measuring period starting in July 2016 (see Table 1 and Appendix 1). The  
162 elevator consists of a camera tracking slide (c) for moving time-lapse photography  
163 (Dynamic Perception LLC, Ann Arbor, Minnesota, USA) mounted vertically to a

164 tripod (b). A motor is mounted on the carriage (slider) (f) which drives up and  
 165 down on a toothed drive belt (d). Two cuffs (e) are attached to the frame at the  
 166 upper and lower end position, which trigger reversion of the sense of motion on  
 167 contact. The time of these events is logged to a text file on a computer. A rotation  
 168 sensor on the slider, using the same toothed belt, provides position data with a  
 169 nominal resolution of approximately 0.003 m, which are logged as counted steps  
 170 to the same text file at intervals of 0.17 s.



**Fig. 2** The profile-measurement set-up in the field (left) and as a schematic diagram (right). (a) gas analyzer, (b) tripod, (c) tracking slide, (d) toothed drive belt, (e) upper and lower limiting ring (cuff), (f) carriage, (g) extension arm, (h) thermocouple ventilation unit, (i) conduit, (j) fixed-height (1) and moving (2) hot-wire anemometer, (k) fixed-height (1) and moving (2) thermocouple and (l) fixed-height (1) and moving (2) inlet tubes from the gas analyzer.

171  $\chi_{CO_2}$  and  $\chi_{H_2O}$  were measured using a closed-path, differential infrared ab-  
 172 sorption gas analyzer (LI7000, Li-Cor Inc. Biosciences, Lincoln, Nebraska, USA)  
 173 (a). Each of the two cells of the analyzer was connected through a 1- $\mu$ m filter  
 174 to a polyethylene tube of 0.0035 m inner diameter. The outlets of the cells were  
 175 connected to the internal pump of the LI7000, which was run at maximum speed,  
 176 leading to a flow rate of about 30 l h<sup>-1</sup> through each tube.  $\chi_{CO_2}$  and  $\chi_{H_2O}$  in both  
 177 cells and diagnostic variables of the LI7000 were logged at intervals of 0.05 s (20  
 178 s<sup>-1</sup>). While the end of one tube (l1) was attached to the tripod at a fixed height of  
 179 approximately 2 m, the other one was attached to an extension (g) on the carriage  
 180 (Fig. 2f). Tube length, heating and insulation changed over time as indicated in  
 181 Table 1 and described in Appendix 1.

182 Wind speed was measured using two hot-wire anemometers (8455- 075-1, TSI,  
 183 Shoreview, Minnesota, USA) (j), one of which was fixed at approximately 2 m (j1),

184 while the other (j2) was attached near the tip of the conduit, such that the sensor  
 185 was level with the tube intake (l2) and about 0.02 m away from it. Temperature  
 186 was measured by two fine-wire thermocouples (FW3, Campbell Scientific, Logan,  
 187 Utah, USA) (k), one of which was fixed at approximately 2 m (k1), while the  
 188 other one (k2) was attached to a second conduit, the junction tip level with the  
 189 other moving measurements and 0.2 m away from them. While the fixed-height  
 190 thermocouple was operated unshielded, the moving thermocouple was shielded  
 191 against direct contact with plants or soil by an empty conduit with a diameter  
 192 of 0.015 m, with a fine fiberglass mesh at the tip and a ventilation unit (h) at  
 193 the other end of the conduit, which produced a flow rate at the tip of  $3.7 \text{ m s}^{-1}$ .  
 194 This final wind and temperature set-up was the result of stepwise improvements  
 195 described in Appendix 1.

## 196 2.4 Profile data processing

197 While the continuously moving set-up allows us to chose the temporal and verti-  
 198 cal resolution of mean profiles during data processing, we consistently use time-  
 199 averaging blocks of 30 min. For each such block, the logged slider position data  
 200 in steps was assembled to the height by scaling the minimum step number to 0  
 201 m and the maximum step number to the topmost measuring height during the  
 202 respective measuring period (approximately 2 m, for details see Table 1). Values  
 203 missing after adding the position dataset (0.17-s resolution) to the gas concen-  
 204 tration, temperature and wind-speed data (0.05-s resolution) via the nearest time  
 205 stamp, were filled using linear interpolation.

206 Physically unrealistic values were filtered out before the calculation of mean  
 207 profiles by a plausibility screening and a spike detection algorithm based on median  
 208 absolute deviation (*MAD*) limits, similar to the one described in Mauder et al.  
 209 (2013). However, the chosen limits were extended to accommodate the larger vari-  
 210 ability of the moving sensor data (Table 2).

**Table 2** Thresholds used for plausibility tests, spike detection tolerances and shift limits (in s) for lag removal. Spike tolerances are given in equivalent standard deviations, i.e., in the median absolute deviation (*MAD*) divided by 0.6745, which matches one standard deviation in normal distributions but is less outlier-sensitive otherwise (see Mauder et al. 2013).

Variable	Consistency limits	Spike tolerance	Shift limits (s)
$\chi_{CO_2fix}$	200 to 900 $\mu\text{mol mol}^{-1}$	$9 \sigma_{eq}$	-30 to 0
$\chi_{CO_2var}$	200 to 900 $\mu\text{mol mol}^{-1}$	$20 \sigma_{eq}$	-30 to 0
$\chi_{H_2Ofix}$	0 to 50 $\text{mmol mol}^{-1}$	$9 \sigma_{eq}$	-30 to 0
$\chi_{H_2Ovar}$	0 to 50 $\text{mmol mol}^{-1}$	$20 \sigma_{eq}$	-30 to 0
$u_{fix}$	0 to 10 $\text{m s}^{-1}$	$9 \sigma_{eq}$	-10 to 10
$u_{var}$	0 to 10 $\text{m s}^{-1}$	$20 \sigma_{eq}$	-10 to 10
$T_{fix}$	0 to 40 $^{\circ}\text{C}$	$9 \sigma_{eq}$	-20 to 10
$T_{var}$	0 to 40 $^{\circ}\text{C}$	$20 \sigma_{eq}$	-20 to 10
$p$	70 to 110 kPa	$9 \sigma_{eq}$	-
elevator speed	-0.2 to 0.2 $\text{m s}^{-1}$	-	-

211 The response times of the wind and temperature sensors, electronic delays, and  
 212 tube transport of the gas samples can lead to delays in each variable with respect

213 to the position data. Different delays for CO<sub>2</sub> and H<sub>2</sub>O, in spite of the common  
 214 tube and analyzer system, are well-known from closed-path eddy-covariance mea-  
 215 surements due to the higher adhesivity of water vapour to tube walls (Ibrom et al.  
 216 2007). These delays are determined empirically by a hysteresis minimization al-  
 217 gorithm. Within possible shift limits (see Table 2), the variables are shifted with  
 218 respect to the position data in 0.05-s steps, and for each candidate delay and vari-  
 219 able a preliminary vertical profile is computed, including the profile of the standard  
 220 deviation of the variable

$$\sigma_{x,h}(\Delta t) = \sqrt{\frac{1}{M(h)} \sum_{i=1}^{M(h)} [x(h, i, \Delta t) - \bar{x}(h, \Delta t)]^2}, \quad (1)$$

221 where  $x$  is the variable of interest,  $\Delta t$  the shift backwards in time according to the  
 222 respective candidate delay, and  $M$  the number of individual 0.05-s measurements  
 223  $i$  available in the respective height bin after shifting  $x$  by  $\Delta t$  with respect to the  
 224 position data. Here, the height bins are 0.025 m high. The final delay for each  
 225 variable is the one that minimizes the average of this standard deviation over all  
 226 heights,

$$\bar{\sigma}_x(\Delta t) = \sqrt{\frac{1}{N} \sum_{h=1}^N \sigma_{x,h}^2(\Delta t)}, \quad (2)$$

227 where  $N$  is the number of height bins  $h$ , and the overbar denotes averaging. Re-  
 228 quired time shifts determined this way for the moving sensors were assumed to  
 229 be equally applicable to the respective fixed-height sensor, due to the identical  
 230 measurement systems and tube lengths. Delay correction of the fixed-height mea-  
 231 surements is not of importance here, but might be relevant when analyzing e.g.  
 232 fast fluctuations of moving sensor signals in comparison to those of fixed-height  
 233 measurements.

234 After determining the optimal time lag  $\Delta t_{opt}$ , Eq. 1 can also be used to de-  
 235 termine the uncertainty (stochastic error) of the final profile of  $x$  at each height  
 236 separately. To provide the uncertainty as a 95 % confidence interval, we use the  
 237 equation,

$$C_{0.95,x,h} = 1.96 \frac{\sigma_{x,h}(\Delta t_{opt})}{\sqrt{M_{ind}}}, \quad (3)$$

238 where  $M_{ind}$  is the number of statistically independent samples per height bin,  
 239 which may be smaller than  $M$  due to oversampling of an autocorrelated time  
 240 series, a problem for which different strategies exist in the framework of eddy-  
 241 covariance data processing (Lenschow et al., 1994; Finkelstein and Sims, 2001;  
 242 Moene and Michels, 2002; Van Dijk et al., 2004; Graf et al., 2010; Billesbach,  
 243 2011; Mauder et al., 2013). In our dataset, the samples contributing to  $\bar{x}_h$  have a  
 244 clustered temporal structure, with  $M = M_1 \cdot M_2$ ,  $M_1$  being the number of passes  
 245 through a height bin during the averaging interval (approximately 50 in 30 min)  
 246 and  $M_2$  the average number of samples recorded during a single pass (8 in the time  
 247 of approximately 0.4 s needed to pass each height bin). The integral time scale of  
 248 atmospheric turbulence for our variables of interest in the atmospheric boundary  
 249 layer is typically between 0.4 and 6 s (Lenschow et al., 1994; Finkelstein and Sims,  
 250 2001). A worst-case assumption that the  $M_2$  consecutive samples during a single  
 251 pass of a height bin do not notably contribute to a reduction in uncertainty leads



252 to a conservative estimate  $M_{ind} \approx M_1$ . This assumption will be revisited in the  
 253 results, Sect. 3.1, in an analysis with synthetically-reduced raw data acquisition  
 254 frequency.

255 Profile measurements are frequently used to estimate the contribution of stor-  
 256 age changes below the eddy-covariance measurement level to possible differences  
 257 between the measured turbulent flux and surface exchange, particularly in case of  
 258 CO<sub>2</sub>. To receive a storage term estimate that is in phase with the flux time series  
 259 and does not suffer from additional methodological low-pass filtering, we averaged  
 260 three consecutive ascents and descents at the beginning and at the end of each  
 261 30-min time block to yield near-instantaneous  $\chi_{CO_2}$  profiles representing approxi-  
 262 mately the first and last 3.5 min. After conversion to units of  $\mu\text{mol m}^{-3}$  using the  
 263 according temperature profile and average pressure, the height-integrated concen-  
 264 tration differences and exact time spans between consecutive near-instantaneous  
 265 profiles were used to estimate the average storage change in  $\mu\text{mol m}^{-2} \text{s}^{-1}$  for  
 266 each 30-min profile measurement, but also for each 30-min break in the case of  
 267 hourly repeated profile measurements on 9-10 June 2016 and 18 July 2016 (see  
 268 Table 1 and Sect. 3.3).

## 269 2.5 Profile shape validation and flux determination using Monin-Obukhov 270 similarity theory

271 During the post-harvest measurements above bare soil and short catch crop canopy  
 272 (canopy height  $\leq 0.22$  m), a large portion of the total profile should follow surface-  
 273 layer scaling. Therefore, we analyzed those measurements in the framework of  
 274 Monin-Obukhov similarity theory to check the validity of the measurement and  
 275 raw-data processing approach, derive CO<sub>2</sub>, sensible and latent heat fluxes, and  
 276 compare them to eddy-covariance and chamber measurements.

277 Flux derivation from surface-layer profiles is based on the integrated flux-profile  
 278 relations for momentum, heat and mass as described in Appendix 2. Based on  
 279 these equations (Eqs. 9 to 14) the friction velocity  $u_*$  and the flux of sensible heat  
 280 or other scalars can be calculated from the slope of a linear regression between  
 281 logarithmized height  $\ln(z)$  and wind speed  $u$ , respectively  $\ln(z)$  and potential tem-  
 282 perature  $\theta$  or another scalar  $X$  (Arya, 2001; Foken, 2006),

$$\ln z - \psi_m \left( \frac{z-d}{L} \right) = \frac{\kappa}{u_*} u + \ln z_0, \quad (4)$$

$$\ln z - \psi_h \left( \frac{z-d}{L} \right) = \frac{\alpha_0 \kappa}{\theta_*} \theta - \frac{\alpha_0 \kappa}{\theta_*} \theta_0 + \ln z_{0\theta}, \quad (5)$$

283 where  $\psi_m$  and  $\psi_h$  are the stability corrections for momentum exchange and ex-  
 284 change of sensible heat,  $z_0$  and  $d$  are the aerodynamic roughness length and dis-  
 285 placement height,  $z_{0\theta}$  is the scalar roughness length,  $L$  is the Obukhov length,  $\kappa$  is  
 286 the von Karman constant, the coefficient  $\alpha_0 = 1.25$ ,  $\theta$  is the potential temperature,  
 287  $\theta_0$  is the potential temperature at  $z-d = z_{0\theta}$ , and  $\theta_*$  is the scaling parameter  
 288 for temperature according to Eq. 13 (Appendix 2). Apart from the measured pro-  
 289 files,  $z_0$  and  $d$  are needed as well as an initial estimate of  $L$ , which can then be  
 290 improved by iteration. To estimate stability values from the measured vertical pro-  
 291 files only,  $u_*$  and the sensible heat  $H$  were replaced in Eq. 12 (Appendix 2) by the  
 292 surface-layer gradient equations (Foken, 2006):

$$u_* = \sqrt{-u'w'} = \frac{\kappa}{\varphi_m\left(\frac{z-d}{L}\right)} \frac{\partial u}{\partial \ln(z-d)}, \quad (6)$$

$$\overline{w'\theta'} = -\frac{\alpha_0 \kappa u_*}{\varphi_h\left(\frac{z-d}{L}\right)} \frac{\partial \theta}{\partial \ln(z-d)}, \quad (7)$$

293 where  $\varphi_m$  and  $\varphi_h$  are the universal functions for momentum and heat. Thus we  
294 achieve the Obukhov length in the form

$$L = \frac{\theta}{g \alpha_0} \frac{1}{\partial \theta} \frac{\partial u^2}{\partial \ln(z-d)} \frac{\varphi_m^2}{\varphi_h}, \quad (8)$$

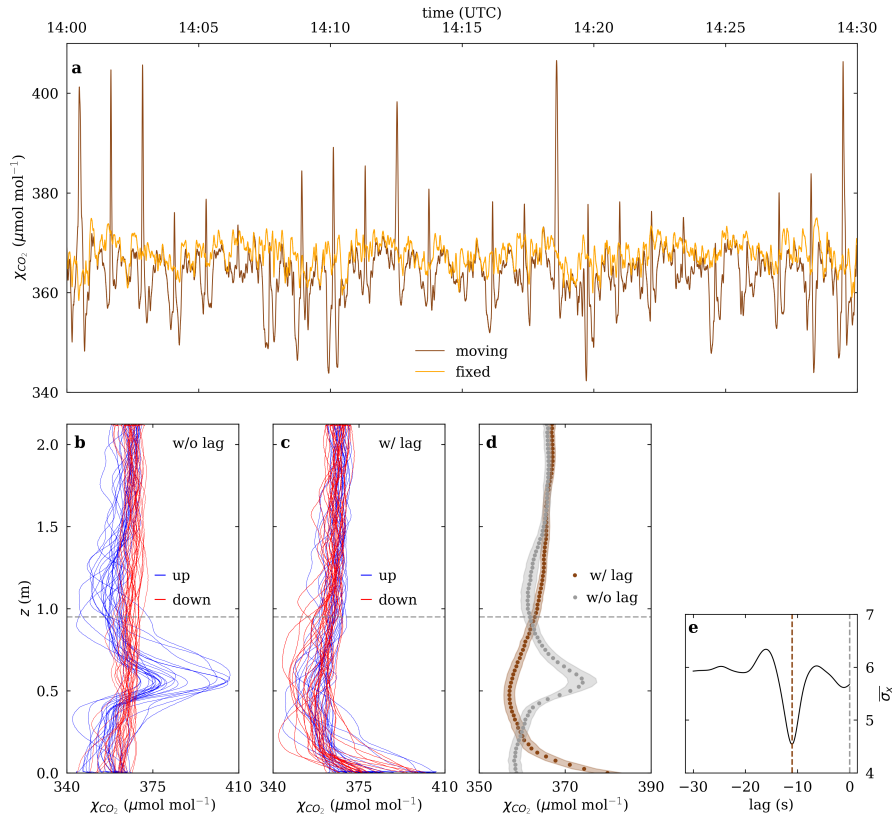
295 where  $g$  is the acceleration due to gravity, and the gradients in the term  $\frac{1}{\partial \theta} \frac{\partial u^2}{\partial \ln(z-d)}$   
296 were estimated from the vertical profiles of wind and potential temperature by two  
297 linear regressions of  $\theta$  and  $\ln(z)$ , respectively, against  $u$ . For the regression of each  
298 variable we used an algorithm, which iteratively omitted data points at one or both  
299 ends of the profile until the p-value of the regression was optimized, for example,  
300 to prevent the influence of profile values measured below the surface layer. The  
301 universal function for momentum  $\varphi_m$  and heat  $\varphi_h$  is in the unstable case  $\varphi_m^2 \approx \varphi_h$   
302 (Foken, 2006) and thus neglected in the first estimate of  $L$ . The roughness length  
303  $z_0$  was computed from the offset of the regression of  $\ln(z)$  against  $u$ . To account  
304 for a possible displacement height  $d$ , the whole procedure was repeated for all  $d$   
305 values between zero and the canopy height, until the  $R^2$  value of  $\ln(z)$  against  $u$   
306 is maximized.

307 Now, with  $d$  and  $z$  known and a first estimate of  $L$  and  $z_0$  available, we can  
308 compute first momentum and heat flux estimates with Eq. 4 and 5. After that, a  
309 second and third estimate of the stability was performed by calculating a new  $L$   
310 with the resulting heat and momentum flux and the original definition (Eq. 12).  
311 After that, fluxes for the other scalars ( $\text{H}_2\text{O}$  and  $\text{CO}_2$ ) were estimated in analogy  
312 to Eq. 5. For diagnostic purposes, the model profiles consistent with the estimated  
313 fluxes and aerodynamic parameters can be derived by applying the basic equations  
314 (9) to (11) in forward mode again, and compared to the measured profiles.

### 315 3 Results and discussion

#### 316 3.1 Raw data processing diagnostics

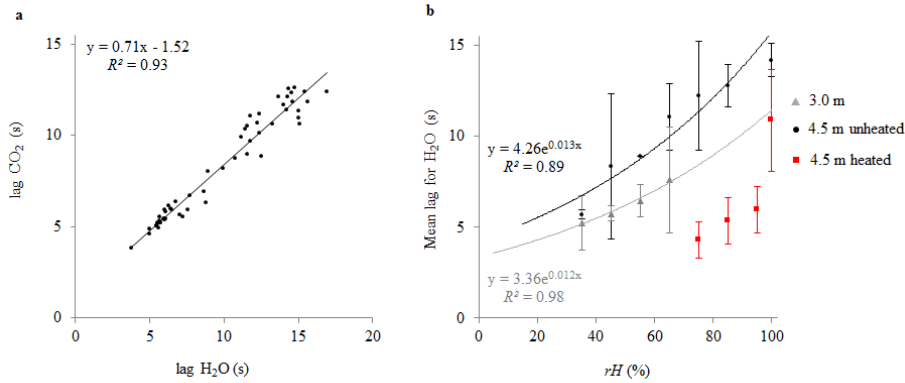
317 An example is given in Fig.3 to demonstrate the effect of profile data processing  
318 described in Sect. 2.4. Figure 3a shows a raw data time series of  $\chi_{\text{CO}_2}$  from the  
319 moving and the fixed height sensor for an interval of 30 min measured in winter  
320 barley. The high and low peaks of  $\chi_{\text{CO}_2}$  reflect the source at the soil surface and the  
321 mid canopy sink, respectively. Both time series coincide when the moving sensor  
322 was at the approximate height of the fixed one. Figure 3b and Fig. 3c show the  
323 same data for every ascent and descent as a function of moving sensor position  
324 before and after lag removal. Averaged mean profiles before and after lag removal  
325 are displayed in Fig. 3c. Before lag determination, the standard deviation and  
326 resulting uncertainty (see Eq. 1 and 3) is larger than with the applied final delay.  
327 The final delay was calculated by Eq. 1 and is highlighted in the development of



**Fig. 3** a) Raw data time series of  $\chi_{CO_2}$  for the moving and the fixed height sensor from 9 June 2016 from 1400 to 1430 UTC. b) Vertical profile of  $\chi_{CO_2}$  vs. height  $z$  (m, a.g.l) at 9 June 2016 from 1400 to 1430 UTC with all upward and downward soundings before lag removal procedure (w/o lag) and c) after lag removal procedure (w/ lag). d) 30-min mean profile of  $\chi_{CO_2}$  averaged over all profiles before and after lag removal, shaded areas indicate the 95 % confidence interval resulting from the standard deviation between individual samples and e) development of  $\bar{\sigma}_x$  as a function of the lag. Dashed lines in b, c and d declare the canopy height.

328  $\bar{\sigma}_x$  (Eq. 2) as a function of the delay in Fig. 3, in this case raw data were lagged  
 329 by 11 s.

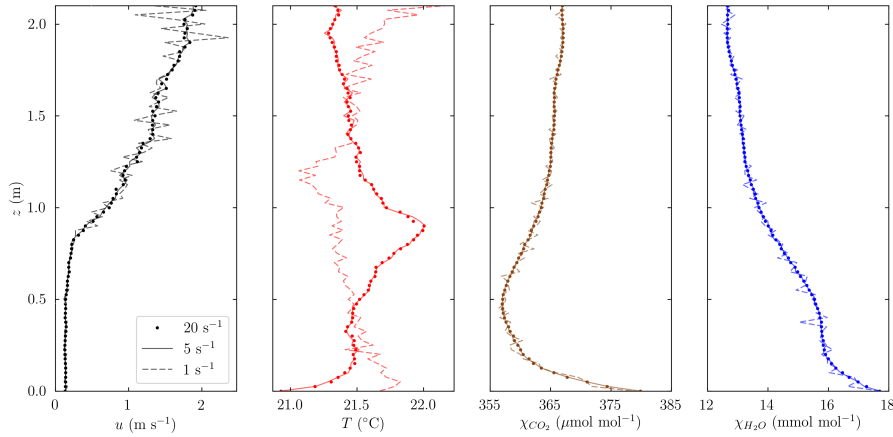
330 Figure 4 demonstrates that the estimated lag time for  $\chi_{H_2O}$  is longer than for  
 331  $\chi_{CO_2}$  (a), and increases with relative humidity (b). During the first 24-h measure-  
 332 ment in June 2016 (Sect. 3.3), we found that in conditions with high air humidity  
 333 (mostly nocturnal situations) the determination of the lag for  $\chi_{H_2O}$  failed. Lags in  
 334 the signal of closed-path analyzers can be subject to adsorption of water vapour  
 335 to the inner tube walls. The extent of condensation is related to the relative air  
 336 humidity, but also to the wall material (Bloom et al., 1980), its age, and to the  
 337 presence of aerosols (Mammarella et al., 2009; Nordbo et al., 2013). Humidity also  
 338 has an effect on the lag of the measured  $\chi_{CO_2}$ , although in an attenuated form,  
 339 due to solubility in water. We minimized this problem in the following observa-  
 340 tion periods by heating and insulating the sampling tube (Appendix 1). A linear



**Fig. 4** a) Linear dependence between the determined lag time (s) of  $\chi_{H_2O}$  and  $\chi_{CO_2}$ . b) Lag (s) of  $\chi_{H_2O}$  averaged over humidity classes with error bars indicating one standard deviation from the mean, plotted against relative humidity ( $rH$ ). Lag times for tube length of 3 m (triangles) and 4.5 m unheated (dots) and 4.5 m heated (square).

341 humidity-lag relation might be fitted to each of the sub-datasets (3-m tube length,  
 342 4.5 m, 4.5 m heated) shown in Fig. 4. However, consideration of all sub-datasets  
 343 across the larger humidity range and literature (Mammarella et al., 2009) sug-  
 344 gest a progressive relationship. The axis intercepts of empirical exponential fits  
 345 to the unheated datasets with different tube lengths have a ratio of 1.3, which  
 346 roughly reflects the length change (factor 1.5) and thus suggests a proportionality  
 347 between tube length and lag time. The dataset with activated tube heating does  
 348 not allow for a robust intercept estimation due to missing low humidity situations,  
 349 but clearly shows an accelerating effect on lag time. A sharp increase above 90  
 350 % suggests that the heating power may be insufficient to optimally handle fully  
 351 saturated conditions.

352 Figure 5 shows the effect of a simulated lower data acquisition frequency on  
 353 the resulting time-averaged profiles. A decrease from  $20 \text{ s}^{-1}$  to  $10 \text{ s}^{-1}$  yields hardly  
 354 visible effects (not shown). For the variables  $\chi_{CO_2}$  and  $\chi_{H_2O}$  almost the same is  
 355 true for  $5 \text{ s}^{-1}$ , indicating that the physical low-pass filtering properties of the closed-  
 356 path system are on the same order of magnitude. At  $1 \text{ s}^{-1}$ , scatter considerably  
 357 increases for all variables, and the temperature profile is subject to a failure of  
 358 lag determination. In this case, the target vertical resolution ( $0.025 \text{ m}$ ) and given  
 359 elevator speed ( $0.06 \text{ m s}^{-1}$ ) do not ensure any more that the raw values contributing  
 360 to a single profile height stem from all of the approximately 50 soundings per 30  
 361 min. To avoid this, the measurement frequency should be at least  $5 \text{ s}^{-1}$  with the  
 362 given speed and target resolution. The increase in scatter visible in Fig. 5 largely  
 363 confirms the assumption made in Sect. 2.4 that measurement uncertainty of the  
 364 final profiles is mostly determined by the number of elevator passes through a  
 365 height bin during which at least one raw record was sampled, and less by the  
 366 number of such raw records during an individual pass.



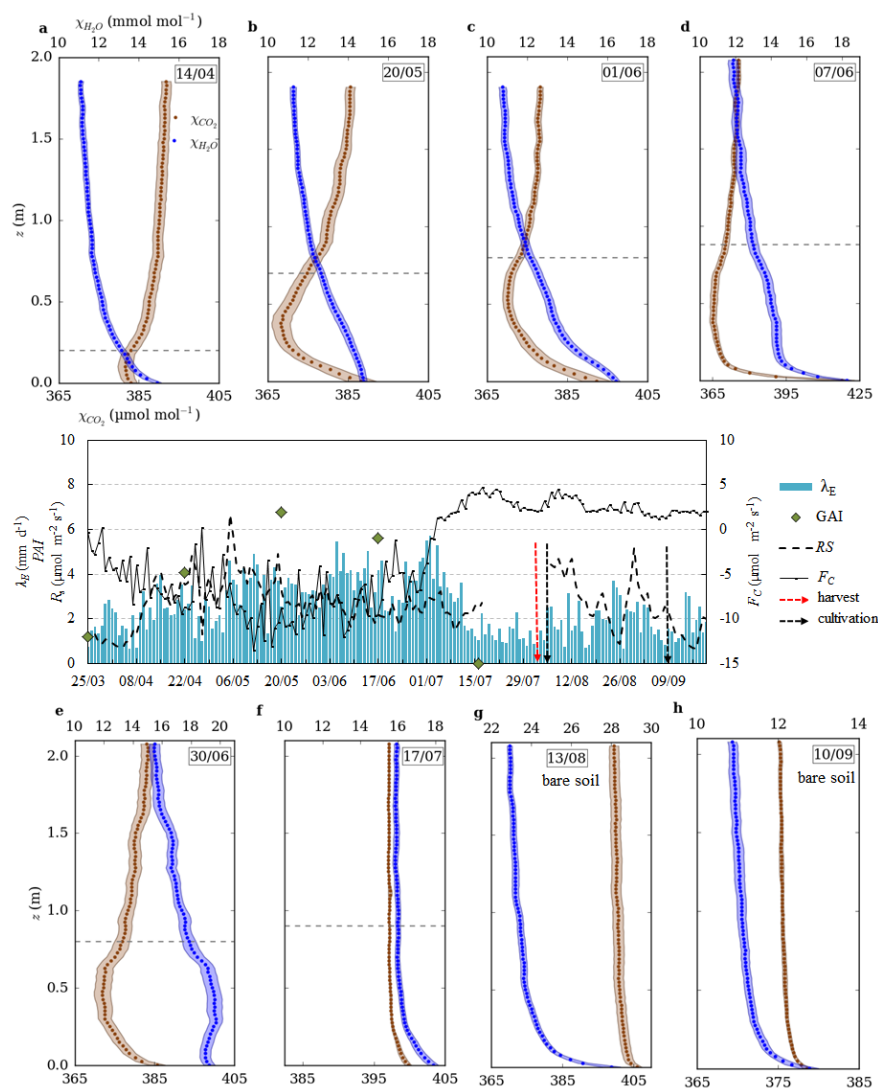
**Fig. 5** 30-min mean profiles of wind speed  $u$ , temperature  $T$ ,  $\chi_{CO_2}$  and  $\chi_{H_2O}$  at 2016-06-09 1400-1430 UTC based on the original raw data acquisition frequency of  $20 \text{ s}^{-1}$  (dots) and simulated lower resolutions of  $5 \text{ s}^{-1}$  (lines) and  $1 \text{ s}^{-1}$  (dashed lines).

### 3.2 Profiles of $CO_2$ and $H_2O$ over a winter wheat plant growing season

In 2015, profile measurements were carried out during chosen growth stages of winter wheat as well as after harvest and cultivation. The profiles shown in Fig. 6 were collected during different hours of the day (0850-1630 UTC, see Table 1), but care was taken to have at least one pair of measurements under comparable weather conditions during the different growth stages and we generally avoided rainy and overcast conditions (maximal amount of cloud about 4 oktas), while covering a large range of wind-speed conditions (30-min averages between  $0.3 - 5 \text{ m s}^{-1}$ ).

Figure 6 shows the mean profiles of  $\chi_{CO_2}$  in  $\mu\text{mol mol}^{-1}$  and  $\chi_{H_2O}$  in  $\text{mmol mol}^{-1}$  (amount of substance per mole of moist air) versus height ( $z$ ) above ground level (a.g.l.) in the growing season of winter wheat 2015. In the middle of April (Fig. 6a), when the plants were in an early vegetative stage, there was only a small reduction in  $\chi_{CO_2}$  in the plant stand with a low  $\chi_{CO_2}$  accumulation near the soil surface. From the middle of May until end of June (Fig. 6b-e), the crops reached their highest growth rate and  $GAI$ , with high gradients of  $\chi_{CO_2}$  between the soil surface ( $415 \mu\text{mol mol}^{-1}$ ) and the mid canopy space ( $365 \mu\text{mol mol}^{-1}$ ) on 7 June. At the same time,  $F_C$  reached its highest negative daily means, which underpins the  $CO_2$  uptake by the plants.

$\chi_{H_2O}$  usually decreased with height in agreement with the fact that during the day there are only sources and no sinks, both at the ground surface (evaporation) and in the canopy (transpiration). However, on 1, 7 and 30 June a zone of stagnation can be seen between both sources. Later in summer (Fig. 6f), when grains were formed and leaves turned yellow, the  $GAI$  began to decrease, until photosynthesis finally ceased. On 17 July, two weeks before harvest, the profiles of  $\chi_{CO_2}$  and  $\chi_{H_2O}$  showed only a source at the surface due to soil respiration and evaporation, indicating no significant transpiration and respiration in the plant canopy. After harvesting, both profiles measured over bare soil (Fig. 6g and h) de-



**Fig. 6** 30-min mean profiles of  $\chi_{CO_2}$  and  $\chi_{H_2O}$  vs. height  $z$  (m, a.g.l.) during selected periods of the growing season and after harvest (bare soil) of winter wheat. Dashed lines declare the plant height. Daily means and sums of  $CO_2$  flux  $F_C$  and evapotranspiration  $E$ , the  $PAI$  and daily means of soil respiration  $R_s$ . No soil respiration data were available from 17 July until 6 August 2015 because of instrumental removal due to harvest and cultivation.

395 predicted a similar logarithmic pattern, but differ in the absolute value. We suppose  
 396 that the large differences in  $\chi_{CO_2}$  and  $\chi_{H_2O}$  covered by the profiles between these  
 397 two dates are a product of different source strengths on the one hand and different  
 398 turbulent exchange on the other. The measurement on 13 August took place be-  
 399 tween 1030 and 1100 UTC, thus earlier than most other measurements within the  
 400 growing season of winter wheat. Remaining  $CO_2$  enrichment from the nocturnal  
 401 boundary layer in the surrounding atmosphere may have led to a higher  $\chi_{CO_2}$

level. Furthermore, the different weather conditions might have had an influence. The measurement on 13 August was characterized by 10 °C higher air and soil temperature (thus enhancing respiration, as seen in the soil respiration and  $F_C$  measurements), and by a 1.5 m s<sup>-1</sup> lower wind speed and at the particular time of day also a higher evapotranspiration ( $E$ ) compared to the measurement on 10 September. In general, concentration gradients, including those in plant canopies, are determined by the interplay between source strength, mixing intensity and the background concentration in the surrounding boundary layer.

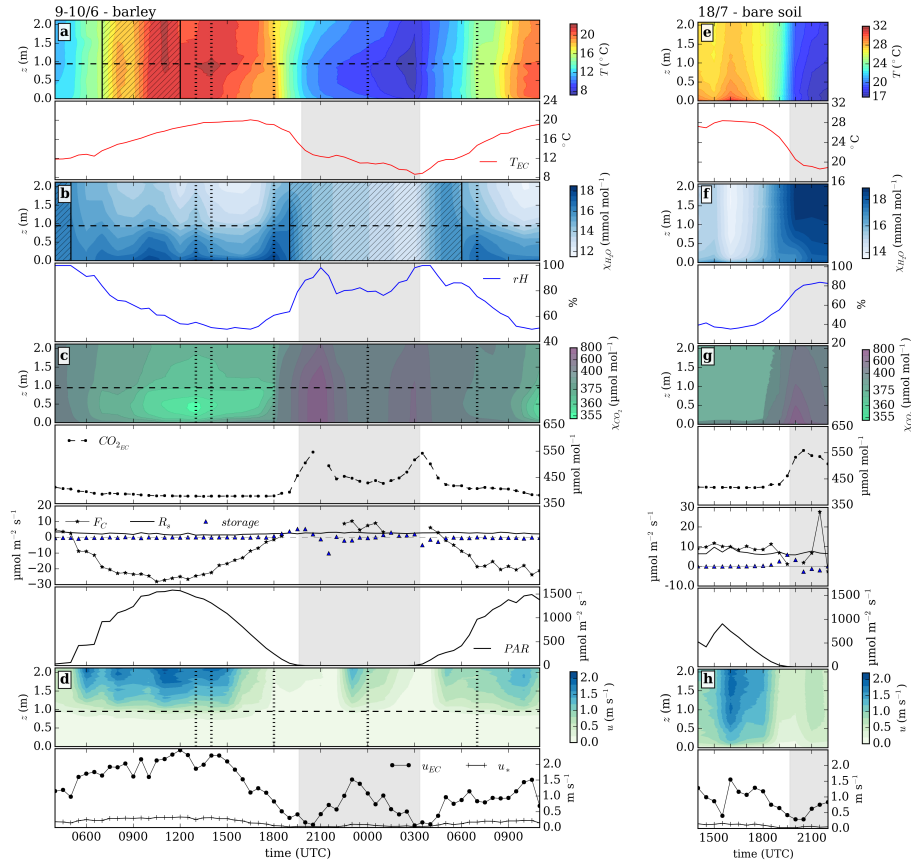
### 3.3 Diurnal cycle of profiles of CO<sub>2</sub>, H<sub>2</sub>O, temperature and wind speed over winter barley and bare soil

Longer measurements covering day and night conditions (cf. Table 1) were performed to find out how the profiles change over the day in different vegetation covers. As an example, we present here a 30-h measurement in winter barley on 9–10 June 2016 and an 8-h measurement after the harvesting of barley over cultivated bare soil (rough surface with soil aggregates and remaining stubble) on 18 July 2016 (Fig. 7). Measurements for one mean profile were performed during the first 30 min of every full hour. The mean height of barley was about 0.95–1.0 m and the entire height range of the profile was 2.1 m.

The cumulative  $PAI$  of the barley canopy was 6.3, of which 83 % were approximately homogeneously distributed between the canopy top and 0.3 m a.g.l., corresponding to 6.5 m<sup>2</sup> m<sup>-3</sup>. In the lowermost 0.3 m, density was lower with 3.7 m<sup>2</sup> m<sup>-3</sup>.

The measurements in barley occurred on one of the first dates with a shielded, ventilated thermocouple (see Sect. 2.3), but from 0700 to 1200 UTC the ventilation was interrupted due to a power cable failure (hatched area in Fig. 7a).  $\chi_{H_2O}$  profiles were not evaluable on 9–10 June from 0400 to 0500 UTC and between 1900 and 0600 UTC (marked by hatched areas in Fig. 7d) due to water condensation in the inner tube walls during situations with high air humidity and radiative cooling of the tubes (see Sect. 3.1). Before the bare soil measurement period, tube insulation and heating was installed (see Appendix 1).

The  $\chi_{CO_2}$  values measured in barley (Fig. 7c) decreased from 0400 UTC to 1200 UTC by more than 50  $\mu\text{mol mol}^{-1}$  in the mid canopy. The lowest values were about 12  $\mu\text{mol mol}^{-1}$  lower than those at 2.1 m a.g.l, occurring in the mid canopy during mid-day simultaneously to the highest  $PAR$  values. This drawdown due to plant uptake is connected with high transpiration. Consequently,  $\chi_{H_2O}$  in the canopy space was higher than in the air above the canopy. The highest values were found directly above the soil surface, due to evaporation, and in the mid canopy in the midday hours. High  $\chi_{H_2O}$  near the soil surface below the barley canopy during the day is due to soil respiration, lower light intensity caused by shadowing, a low quantity of photosynthetic organs of the stems and poor mixing (Al-Saidi et al., 2009). Mixing near the soil surface was impeded by a locally-stable temperature stratification, which prevailed during the day below the barley canopy (Fig. 8f, g, j). The highest temperatures appeared near the canopy top two hours after solar noon (Fig. 7a). An individual profile near this time (Fig. 8f) demonstrates that similarly high temperatures prevailed throughout most of the canopy. Between 1400 and 1630 UTC, however, the temperature reached a distinct maximum just



**Fig. 7** Time-height sections and time series measured in a barley field (left) and bare soil (right). a) temperature  $T$  and  $T_{EC}$ , b)  $\chi_{H_2O}$  and relative humidity ( $RH$ ), c)  $\chi_{CO_2}$ ,  $CO_{2EC}$  flux  $F_C$  (not gap filled),  $CO_{2EC}$  and the soil respiration  $R_s$ , the photosynthetically active radiation  $PAR$  and d) wind speed  $u_{EC}$  and friction velocity  $u_*$ . The ordinate is the height  $z$  (m, a.g.l.). Black dashed lines show the plant height (0.95 m). Time series of temperature  $T_{EC}$ , relative humidity  $RH$ ,  $F_C$ ,  $CO_{2EC}$ ,  $u_{EC}$  and  $u_*$  are measured at 2.5 m above ground from the nearby eddy-covariance station. Solar noon corresponds to approximately 1130 UTC. Hatched areas refer to questionable data due to failure of thermocouple ventilation ( $T$ ) and missing tube heating ( $\chi_{H_2O}$ ) and grey shaded areas mark the nighttime. Vertical dotted lines in the figure on the left side mark selected 30-min mean profiles showed in Fig. 8

448 below the canopy top (Fig. 7a and Fig. 8g), a phenomenon that could also be  
 449 observed after sunrise in the next morning. We hypothesize that the solar incident  
 450 angle had an influence on the shape of the within-canopy temperature profile.  
 451 Around noon, solar radiation penetrated deeper into the canopy. A decreasing  
 452 angle of incidence in the afternoon limited the heating to an area just below the  
 453 canopy surface. The presence or absence of such a distinct temperature maximum  
 454 increases thermal stability, and thus impedes the turbulent vertical exchange of  
 455 sensible heat, below it. Therefore, it may be self-reinforcing to some degree. We  
 456 assume that in such a dense canopy the sensible heat flux was largely determined  
 457 by the canopy structure. Similarly, the effect of low solar elevation angles was



458 discussed by Gryning et al. (2001) for a coniferous high-latitude forest. This effect  
459 can also be found in the vertical  $\chi_{CO_2}$  profiles: solar radiation at a low incident  
460 angle reached only the upper part of the plants, which is indicated in Fig. 7c and  
461 Fig. 8c at 1800 UTC by a  $\chi_{CO_2}$  minimum just below the canopy surface, while  
462 the concentration in the deeper area already increased.

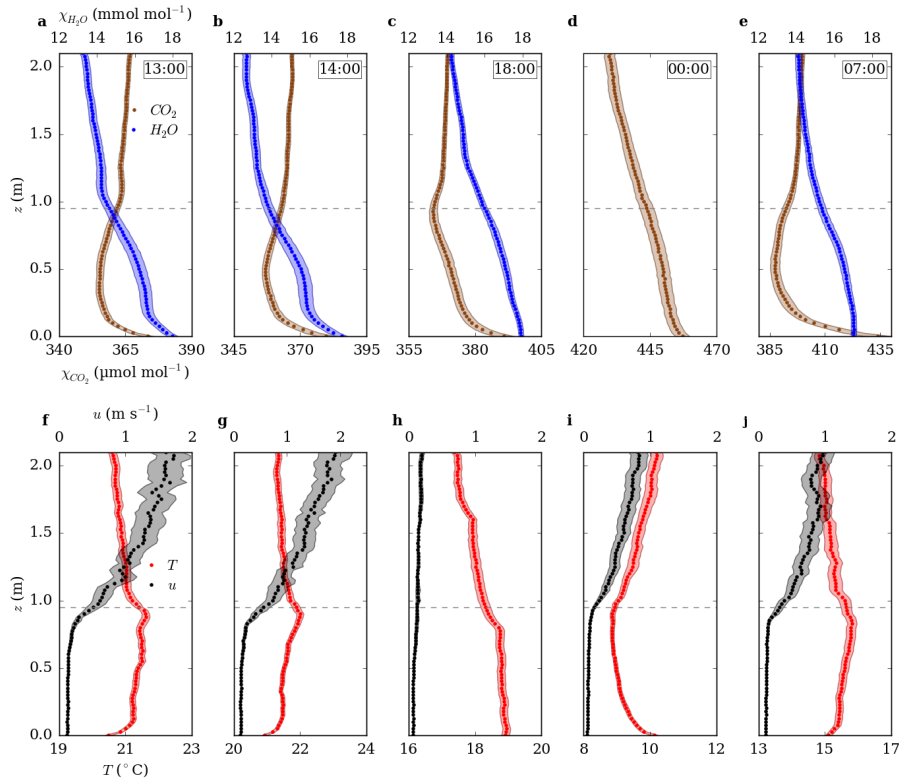
463 The vertical wind profile showed consistently low wind speeds within the  
464 canopy ( $< 0.8 \text{ m s}^{-1}$ ) throughout the observation period. Above the canopy layer  
465 and bare soil, the wind speed increased in a logarithmic-like profile.

466 In the late afternoon, cooling expanded upwards from the soil surface and con-  
467 tinued in the night with the cooling surface shifted from the soil surface towards  
468 the canopy top. At this time, the soil surface was the warmest location within the  
469 canopy (Fig. 8i). Consequently the sign of stability differed between the canopy  
470 and the air above, which confirms results in Maitani and Seo (1986) and Jacobs  
471 et al. (1994). The lowest temperatures occurred in a wide region above and below  
472 the canopy top layer just before dawn at 0300 UTC. Over bare soil, the temper-  
473 ature profiles showed the expected logarithmic form with maximum values in the  
474 daytime, and minimum values in the nighttime near the soil surface. Isothermal  
475 conditions were crossed around one hour before sunset, with the sign change of  
476 net radiation (not shown here).

477 During the night, in particular in cases of calm conditions and low turbulence  
478 ( $u_*$  near zero), a large amount of  $CO_2$  ( $\chi_{CO_2}$  up to  $700 \mu\text{mol mol}^{-1}$ ) accumulated  
479 over the whole profile height in barley (Fig. 7c) as well over bare soil (Fig. 7g).  
480 Matching high concentrations were also observed by the open-path instrument of  
481 the eddy-covariance station at 2.5 m above ground. We conclude that the high  
482 air humidity and the missing tube heating on 9–10 June did not affect the  $\chi_{CO_2}$   
483 profile measurements to such a large extent as the  $\chi_{H_2O}$  profile measurements.

484 At night during calm conditions, the wind-speed gradient was small over the  
485 whole profile (Fig. 7d, 2000 UTC and Fig. 8h) and the shape of the wind profile  
486 was approximately linear. Increasing wind speed led to a decrease of  $\chi_{CO_2}$  (Fig.  
487 7c 2100 and 0300 UTC and Fig. 7g 2100 UTC). We found positive gradients of  
488  $\chi_{H_2O}$  above bare soil from 0.1 m upwards, possibly indicating dewfall. However,  
489 the gradients slightly increased towards the surface in the lowest 0.1 m. Due to  
490 the rough and heterogeneous surface of the field mentioned above, as well as its  
491 heterogeneous surrounding (green sugar beet fields and tree rows vs. mature and  
492 harvested cereal fields), the sign of the latent heat flux may have varied in space.

493 The magnitude of the storage term (below Fig. 7 c and g) was  $< 1 \mu\text{mol m}^{-2}$   
494  $\text{s}^{-1}$  and  $< 1 \%$  of the eddy-covariance flux during daytime, but reached more than  
495 10 % of it and occasionally the same order of magnitude during evening, morning  
496 and part of the night. The events of ephemeral  $\chi_{CO_2}$  buildup during periods of low  
497 turbulence (two on 9–10 June and one on 18 July 2016) were each reflected by a  
498 large oscillation of consecutive positive and negative storage terms. For the largest  
499 storage terms, no reliable eddy-covariance fluxes are available for comparison, due  
500 to the effects of low friction velocities on quality control and of condensation on  
501 the open-path analyzer.

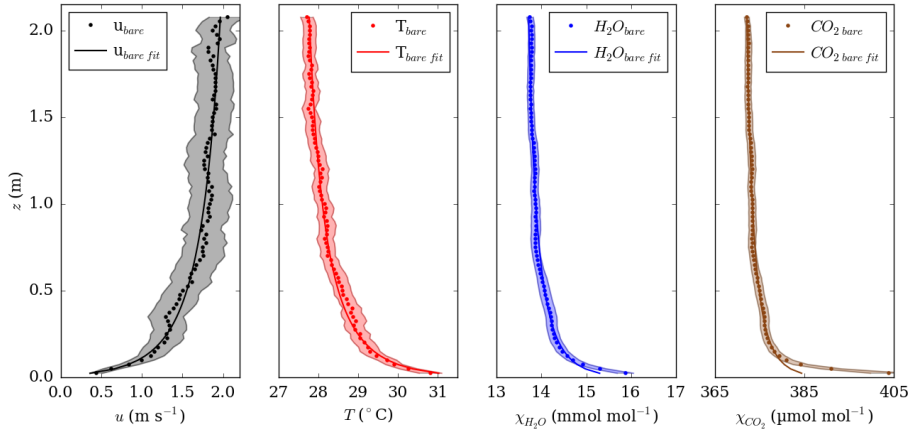


**Fig. 8** Selected 30-min mean profiles of  $\chi_{CO_2}$ ,  $\chi_{H_2O}$ , wind speed  $u$  and temperature  $T$  from Fig. 7. Time stamps declare the beginning of the 30-min mean. Note the different absolute values in the units along the  $x$ -axis (scaling kept uniform).

#### 502 3.4 Comparison of profile-derived and eddy-covariance fluxes

503 Figure 9 shows an example of theoretical profiles fitted according to Sect. 2.5 to 30-  
 504 min mean profiles of potential temperature, wind speed,  $\chi_{CO_2}$  and  $\chi_{H_2O}$ , measured  
 505 over bare soil. Lines show the modelled profiles after fitting  $u_*$ ,  $z_0$ , scalar fluxes,  
 506 scalar surface values at  $z_{0\theta}$  (assuming  $z_{0\theta} = 0.1 z_0$ ) and the Obukhov length  $L$ ,  
 507 such that the root-mean-square difference between measured and modelled profile  
 508 was minimal. The coefficient of determination for the profiles is higher than 0.94  
 509 for all four variables, which underlines that the measured profiles match well with  
 510 MOST.

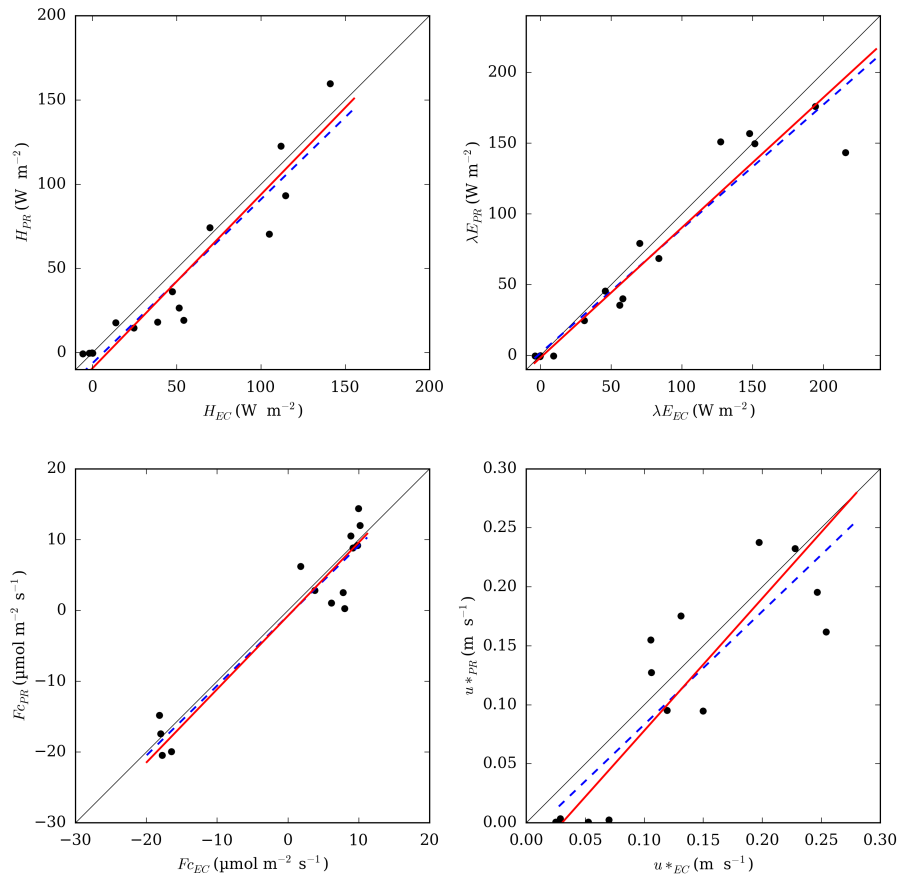
511 This was repeated for all measurements with a canopy height  $\leq 0.22$  m, where  
 512 the surface layer could be expected to cover a sufficiently large portion of the  
 513 profile. Situations with a higher canopy are excluded here since the flux-gradient  
 514 approach is only valid as long the eddy size is smaller than the transport scale,  
 515 which is not always the case in real canopies (Denmead and Bradley, 1985). For  
 516 each profile,  $z_0$  and  $d$  were estimated as described in Sect. 2.4. The resulting  
 517 roughness length  $z_0$  values were  $0.01 \pm 0.004$  m for bare soil and  $0.06 \pm 0.01$  m  
 518 over the 0.22 m height catch-crop canopy, where the calculated  $d$  was  $0.15 \pm 0.03$



**Fig. 9** Profiles on 18 July 2016 1600 UTC (bare) for wind speed  $u$ , potential temperature  $\theta$ ,  $\chi_{CO_2}$  and  $\chi_{H_2O}$  vs. height  $z$  (m, a.g.l.). Lines indicate model profiles after fitting  $u_*$ ,  $z_0$ , scalar fluxes, scalar surface values at  $z_{0\theta}$  (assuming  $z_{0\theta} = 0.1 z_0$ ) and the Obukhov length  $L$ , such that the root-mean-square difference between measured and modelled profiles was minimal.

519 m. The empirical algorithm removing data points from the bottom of the profile  
 520 (see Sect. 2.4) omitted between none and three (corresponding to the lowermost  
 521 0.08 m) data points over bare soil, but on average  $0.4 \pm 0.13$  m on the day with the  
 522 highest canopy (0.22 m). This automatic deletion is in fair agreement with common  
 523 assumptions on the height at which surface-layer scaling is approximately valid.  
 524 For example, the rule  $z > d + 4(h_c - d)$  (Munger et al., 2012) yields 0.35 to 0.5  
 525 m with the above displacement heights and 0.44 with  $d = 2/3h_c$ , where  $h_c$  is the  
 526 canopy height.

527 The resulting fluxes of sensible and latent heat,  $H_{PR}$  and  $\lambda E_{PR}$  respectively,  
 528 and  $u_{*PR}$  were compared with those determined from the eddy-covariance ap-  
 529 proach (Fig. 10). The sample size is 15 for the heat fluxes, 14 for  $F_C$  and 13 for  
 530  $u_*$ , depending on available reference data of the eddy-covariance station. The bi-  
 531 variate statistic in Tab. 3 indicates a good overall agreement, particularly for the  
 532 latent heat flux and  $F_C$  (both  $R^2 = 0.91$ ). The bias was smallest, with less than  
 533 1% for  $F_C$ , and largest for  $u_*$  (7.3 %,  $R^2 = 0.73$ ). The coefficients of determina-  
 534 tion and bias indicate that the profile measurement and raw-data processing approach  
 535 are suitable to determine vertical profiles, in particular for  $\chi_{CO_2}$  and  $\chi_{H_2O}$ . Dur-  
 536 ing the potential dew night of 18 July 2016 discussed at the end of Sect. 3.3, the  
 537 profile method yielded slightly negative latent heat fluxes between zero and  $-0.2$   
 538  $W m^{-2}$ , while the eddy-covariance station yielded one positive and three negative  
 539 30-min fluxes between  $+9 W m^{-2}$  and  $-4 W m^{-2}$ . Such differences are well inside  
 540 the uncertainty range of eddy-covariance measurements (Kessomkiat et al., 2013;  
 541 Mauder et al., 2013).



**Fig. 10** Comparison of profile-derived measured profiles over bare soil (six data points) and low canopy height ( $\leq 0.22$  m) (nine data points) vs. observed sensible heat flux  $H$  and latent heat flux  $\lambda E$ ,  $F_C$  and  $u_*$  from the eddy-covariance station. The solid black line is the 1:1 line, the red line is the reduced major axis (Webster, 1997) and the blue dashed lines are the least square regression line.

**Table 3** Bi-variate statistics of the profile-derived vs. eddy-covariance dataset shown in Fig. 10, RMA = reduced major axis.

Quantity	Latent heat flux	CO <sub>2</sub> flux	Sensible heat flux	$u_*$
Bias	7.9 W m <sup>-2</sup> (6.9 %)	0.7 μmol m <sup>-2</sup> s <sup>-1</sup> (0.7 %)	7.8 W m <sup>-2</sup> (6.8 %)	0.02 m s <sup>-1</sup> (7.6 %)
RMSE	22.3 W m <sup>-2</sup>	3.7 μmol m <sup>-2</sup> s <sup>-1</sup>	17.7 W m <sup>-2</sup>	0.05 m s <sup>-1</sup>
MAD	8.8 W m <sup>-2</sup>	3.0 μmol m <sup>-2</sup> s <sup>-1</sup>	10.6 W m <sup>-2</sup>	0.04 m s <sup>-1</sup>
$R^2$	0.91	0.91	0.89	0.73
RMA slope	0.9	1.0	1.0	1.1
RMA offset	1.7 W m <sup>-2</sup>	-0.7 μmol m <sup>-2</sup> s <sup>-1</sup>	-6.5 W m <sup>-2</sup>	-0.01 m s <sup>-1</sup>

542 Apart from evaluation against eddy-covariance measurements, fluxes from pro-  
543 files can also be directly assessed in terms of energy balance closure. On a long-  
544 term basis, the eddy-covariance measurements at the site exhibited a closure of  
545 0.85 (Eder et al., 2015). For the 30-min with profile-based estimates of both tur-  
546 bulent heat fluxes, however, the energy balance ratio (Wilson et al., 2002) was  
547 1.07. This may in part be due to too high soil heat flux estimates obtained in  
548 unmanaged soil in July 2016 (see Sect. 2.2). Without this date, the ratio is 0.82.  
549 The respective energy balance ratios of the profile-derived fluxes were 0.97 and  
550 0.80, respectively.

#### 551 4 Conclusion and Outlook

552 We described a high-resolution profile measurement technique based on an elevator  
553 system to obtain vertical profiles of CO<sub>2</sub> and H<sub>2</sub>O mole fractions, temperature  
554 and wind speed. Compared to most other existing systems, the elevator moves  
555 continuously. The resulting high vertical resolution may provide a more detailed  
556 insight into sources, sinks and processes within a short plant canopy than systems  
557 with a finite number of measurement heights.

558 Measurements during two years within and above crop canopies were largely  
559 consistent with profiles found in textbooks based on earlier finite-level measure-  
560 ments and assumptions (Waterhouse, 1955; Kaimal and Finnigan, 1994; Monteith  
561 and Unsworth, 2013), but revealed several interesting details concerning the loca-  
562 tion of scalar minima and maxima within the canopy and close to the surface, and  
563 their evolution during the day.

564 We tested the validity of the measurements by comparing fluxes derived during  
565 situations with a single sink or source at or near the soil surface to those determined  
566 with a nearby eddy-covariance station. Results were promising particularly for CO<sub>2</sub>  
567 and H<sub>2</sub>O. This suggests that the profiles determined in canopies with vertically  
568 displaced sources can be used in future studies for other tasks, such as validating  
569 soil-vegetation-atmosphere models that depend on accurate estimates of CO<sub>2</sub> and  
570 H<sub>2</sub>O concentrations near the stomata. Inverting concentration profiles within the  
571 canopy has been suggested as a way of inferring the vertical scalar source and sink  
572 processes. Previous attempts with Lagrangian dispersion analysis used a limited  
573 number of measurement heights and were mostly performed in high canopies such  
574 as maize and forest (Raupach, 1989; Leuning, 2000; Santos et al., 2011). The use  
575 of concentrations with high vertical resolution may improve their applicability and  
576 robustness especially in low, dense canopies.

577 Since source attribution is of interest in tall canopies like forests as well, and  
578 other motivations for profile measurements, such as computing storage terms, are  
579 of particular interest in such ecosystems, it is interesting to note the limitations of  
580 the current design when varying the profile height. Apart from required technical  
581 adaptations, the current elevator speed would result in a low number of repetitions  
582 per averaging time, with unwanted effects on the profile uncertainty. The discussion  
583 of the effects of a synthetically reduced raw data acquisition frequency in Sect. 3.1  
584 indicated that a large number of repetitions is crucial, while consecutive repetitions  
585 during a single pass of a target height bin add little to uncertainty reduction.  
586 This means that the elevator speed can be increased, as long as it is matched by  
587 the physical response time of the sensors. For CO<sub>2</sub> and H<sub>2</sub>O, this would require

588 either a stronger pump or an open-path analyzer. It should be noted, however,  
 589 that the same measures might be counterproductive for short, dense canopies, in  
 590 which it is more important than in forests to keep the sensor size and sample  
 591 air volume small. Also, in forests, a lower vertical resolution (larger height bin  
 592 size) can be afforded, further increasing the possible elevator speed. In general  
 593 a system of the type described here should strive to retain a high number of  
 594 soundings  $M_1$  by adjusting the parameters in  $M_1 = tv/h$  while satisfying the  
 595 condition  $\min(f_1, f_2) \geq v/\Delta h$ , where  $t$  is the target averaging time block length,  
 596  $v$  is the elevator speed,  $h$  is the profile height,  $\Delta h$  is the height bin size,  $f_1$   
 597 is the raw data acquisition frequency and  $f_2$  is the response frequency of the sensors  
 598 including effects such as tube damping. If the motivation of performing profile  
 599 measurements is unavailability of eddy-covariance type fast response sensors for  
 600 the variable of interest, these two frequencies can limit applicability of the system  
 601 to tall profiles; otherwise more technical limitations to  $v$  such as security issues or  
 602 avoiding additional turbulence creation will become determining. For a profile of  
 603 40 m height range, 60-min averaging and 0.33-m vertical resolution, however, an  
 604 elevator speed of 0.33 m s<sup>-1</sup> and frequencies  $\geq 1$  s<sup>-1</sup> would still be sufficient.

605 **Acknowledgements** This study was financed by the German Federal Ministry of Education  
 606 and Research (BMBF) in the framework of the project "IDAS-GHG" (FKZ 01LN1313A). An-  
 607 cillary hardware and its maintenance was supported by TERENO and the DFG Collaborative  
 608 Research Centre 32 "Patterns in Soil-Vegetation-Atmosphere Systems". We gratefully thank  
 609 Normen Hermes for developing the control electronics for the elevator system, Yannick Tols-  
 610 dorf for assistance with it, Nicole Adels, Odilia Esser, Daniel Dolfus and Marius Schmidt for  
 611 conducting most of the eddy covariance, chamber and PAI fieldwork and analyses and four  
 612 anonymous reviewers for thorough screening of and constructive comments on the manuscript.

## 613 Appendix 1

614 In 2015, the soil heat flux, temperature and moisture measurements (Sect. 2.2)  
 615 were performed in a single location near the eddy-covariance station in represen-  
 616 tatively managed soil. In July 2016, this array was uninstalled due to cultivation,  
 617 but installation of an ICOS-compliant (Op de Beeck et al., 2015) distributed ar-  
 618 ray of five such locations was started. Until after repeated cultivation and seeding  
 619 (September 2016), however, only the emergency plot of this array, directly next  
 620 to the eddy-covariance station on unmanaged soil, was available. Heat flux plates  
 621 were installed at a depth of 0.08 m in the pre-ICOS set-up and of 0.05 m in the  
 622 ICOS-compliant set-up.

623 The two sampling tubes for the moving and the fixed  $\chi_{CO_2}$  and  $\chi_{H_2O}$  measure-  
 624 ments (Sect. 2.3) are of the same length to assure identical time lags. However,  
 625 this length was changed from 3 m to 4.5 m before 31 May 2016 to allow for a  
 626 longer conduit for large canopy heights and a larger tolerance radius for setting  
 627 up the analyzer. The extension ensured that the tube end dipped into the plant  
 628 canopy at a horizontal distance of 0.3 m from all other installations and 1.1 m  
 629 below the carriage, preventing the carriage itself from dipping into the canopy,  
 630 and thus minimizing mechanical stress.

631 In 2016, the tubes were equipped with an optional heating system to prevent  
 632 condensation of moist air on the inner surface of the tubing, particularly during  
 633 nighttime conditions, with a 2.5-m long heating wire (bed heater for aquarium,

634 Eco-Line ThermoTronic 5 Watt, Dennerle GmbH, Germany) wrapped helically  
 635 around the first 1.2 m of both inlets tubes, insulated over the entire length of the  
 636 tube by insulating hoses with an insulation thickness of 0.013 m, and covered by  
 637 self-adhesive aluminum tape.

638 The signals of the wind sensors were logged on the same file as the gas con-  
 639 centrations via the auxiliary ports of the LI7000. The thermocouple temperatures  
 640 were logged at intervals of 0.05 s to a logger (CR1000, Campbell Scientific, Inc.,  
 641 Logan, Utah, USA).

642 The final wind and temperature set-up, used in 2016, was the result of step-  
 643 wise improvements to a preliminary set-up during 2015. Initially no fixed-height  
 644 anemometer existed, and the moving thermocouple was operated in the open and  
 645 without ventilation, shielded only by a wire mesh, which however failed to secure  
 646 the delicate thermocouple junction for more than a few hours. As a result, tem-  
 647 perature measurements during the first year are partly missing and were partly  
 648 performed with an improvised repair, where the more rugged compensation lines  
 649 of the thermocouple were directly connected to each other. These temperature  
 650 data, however, were not used. Profiles of  $\chi_{CO_2}$  and  $\chi_{H_2O}$  are used during this  
 651 time because they are not affected by missing temperature measurements. The  
 652 most important changes to the set-up are indicated in Table 1.

## 653 Appendix 2

654 Flux derivation from surface-layer profiles is based on the integrated flux-profile  
 655 relations for momentum, heat and mass:

$$\frac{u}{u_*} = \frac{1}{\kappa} \left[ \ln \frac{z-d}{z_0} - \psi_m \left( \frac{z-d}{L} \right) \right], \quad (9)$$

$$\frac{\theta - \theta_0}{\theta_*} = \frac{1}{\kappa} \left[ \ln \frac{z}{z_{0\theta}} - \psi_h \left( \frac{z-d}{L} \right) \right], \quad (10)$$

656 and

$$\frac{X - X_0}{X_*} = \frac{1}{\kappa} \left[ \ln \frac{z}{z_{0\theta}} - \psi_h \left( \frac{z-d}{L} \right) \right], \quad (11)$$

657 where  $u_*$  is the friction velocity,  $\kappa = 0.4$  is the von Karman constant,  $z_0$  and  $d$   
 658 are the aerodynamic roughness length and displacement height,  $z_{0\theta}$  is the scalar  
 659 roughness length, and  $L$  is the Obukhov length,

$$L = - \frac{u_*^3}{\kappa \frac{g}{\theta} \rho_{air} c_p}, \quad (12)$$

660 with the acceleration due to gravity  $g$ ;  $\theta$  is potential temperature,  $H$  is the sensible  
 661 heat flux,  $\rho_{air}$  is the density of air and  $c_p$  is the specific heat at constant pressure.  
 662 Potential temperature was computed by applying an adiabatic lapse rate, based  
 663 on the 30-min mean temperature and pressure, such that the 2-m-a.s.l. level served  
 664 as a reference. The largest deviations from air temperature, occurring thus at the  
 665 surface, were 0.02 °C, and the effects on computed fluxes were  $\leq 0.2 \text{ W m}^{-2}$ .  $\theta_0$   
 666 and  $X_0$  are the potential temperature or the fractional concentration by mass of

667 the scalar  $X$  at  $z - d = z_{0\theta}$ ; and  $\theta_*$  and  $X_*$  are the scaling parameters for the  
668 temperature and a concentration  $X$ , expressed by

$$\theta_* = \frac{-H}{c_p \rho u_*}, \quad (13)$$

$$X_* = \frac{-F_X}{\rho u_*}. \quad (14)$$

669 The stability corrections required in Eq. 9 and 10 (integrated form universal  
670 functions) for momentum exchange  $\psi_m$  and the exchange of sensible heat  $\psi_h$  after  
671 Businger et al. (1971) are used in the modified version after Höögström (1988). The  
672 universal function for the exchange of sensible heat  $\psi_h$  is also used in the profile  
673 equation 11 for the calculation of moisture exchange and for the exchange of trace  
674 gases like CO<sub>2</sub> (Panofsky and Dutton, 1984).

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