



A long pathway of high water vapor from the Asian summer monsoon into the stratosphere

Paul Konopka¹, Christian Rolf¹, Marc von Hobe¹, Sergey M. Khaykin³, Benjamin Clouser⁴, Elizabeth Moyer⁴, Fabrizio Ravegnani⁵, Francesco D'Amato⁶, Silvia Viciani⁶, Nicole Spelten¹, Armin Afchine¹, Martina Krämer¹, Fred Stroh¹, and Felix Ploeger^{1,2}

¹Forschungszentrum Jülich, IEK-7, Jülich, Germany

²Institute for Atmospheric and Environmental Research, University of Wuppertal, Wuppertal, Germany

³Laboratoire Atmospheres, Observations Spatiales (LATMOS), CNRS/INSU, Sorbonne Université, Guyancourt, France

⁴Department of the Geophysical Sciences, University of Chicago, Chicago, IL, USA

⁵National Research Council - Institute for Atmospheric Sciences and Climate (ISAC-CNR), 40129 Bologna, Italy

⁶National Institute of Optics, CNR-INO, Via Madonna del Piano 10, Sesto Fiorentino, Florence, Italy

Correspondence: Paul Konopka (p.konopka@fz-juelich.de)

Abstract. During the StratoClim Geophysica campaign, air with total water mixing ratios up to 200 ppmv and ozone up to 250 ppbv was observed within the Asian summer monsoon anticyclone up to 1.7 km above the local cold point tropopause (CPT). To investigate the temporal evolution of enhanced water vapor being transported into the stratosphere, we conduct forward trajectory simulations using both a microphysical and an idealized freeze-drying model. The models are initialized at the measurement locations and the evolution of water vapor and ice is compared with satellite observations of MLS and CALIPSO. Our results show that these extremely high water vapor values observed above the CPT are very likely to undergo significant further freeze-drying due to experiencing extremely cold temperatures while circulating in the anticyclonic dehydration carousel. We also use the Lagrangian dry point (LDP) of the merged backward and forward trajectories to reconstruct the water vapor fields. The results show that the extremely high water vapor mixed in with the stratospheric air has a negligible impact on the overall water vapor budget. The LDPs are a better proxy for the large-scale water vapor distributions in the stratosphere during this period.

1 Introduction

Stratospheric water vapor (SWV) is a potent greenhouse gas with a significant radiative forcing (Forster and Shine, 1999; Solomon et al., 2010). In the tropical lower stratosphere, SWV values are determined primarily by the freeze drying of moist tropospheric air entering the stratosphere at the cold point tropopause (CPT) (Randel and Park, 2019; Smith et al., 2021). The amount of ice injected into the stratosphere by deep, overshooting convection remains uncertain (Randel et al., 2012; Avery et al., 2017; Ueyama et al., 2020; Jensen et al., 2020). Recent in-situ measurements suggest convective moistening above the local tropopause in the Asian summer monsoon (ASM) region, while satellite observations show a drying effect of convection on a larger scale (Khaykin et al., 2022; Randel et al., 2015).



	Type A	Type B	Type M
Flights	10.08	29.07	08.08
Number of data	379	1834	102
Distance to CPT	0–0.25 km	0.0–1.7 km	1.1–1.3 km
75% of LDP ages	0 to 3 days	–35 to –15 days	bimodal
H ₂ O (gas)	3.4–6.1 ppm	7.0–10.2 ppm	6.9–7.3 ppm
H ₂ O (ice)	values up to 250 ppm	no ice observed	values up to 0.16 ppm
CO	32–93 ppb, strong spread	36–66 ppb, moderate variab.	23–40 ppb, weaker variab.
CO-ice correlation	positive and significant	no correlation	no correlation
HDO/H ₂ O, delta D	–700 to –300‰, strong spread	around –400‰, weaker variab.	around –480‰, moderate variab.

Table 1. Differences between type A and B of the moist and stratospheric air observed above the CPT. Type M shows mixed properties of type A (~30%) and B (~70%) with a bi-modal distribution of the LDP ages (Figure 1d) having maxima between –40 to –30 days (~75%) and around ± 1 day (~25%). Thus, while the LDPs of the type B air masses lie clearly in the past, type A may experience the strongest dehydration first in the future.

20 Lagrangian studies reconstruct SWV by tracking the minimum saturation mixing ratio of air at the Lagrangian dry point (LDP) (Fueglistaler and Haynes, 2005; Liu; Schoeberl and Dessler, 2011; Smith et al., 2021). We combine airborne in situ measurements during the StratoClim campaign in Nepal (Lauther et al., 2021) with satellite observations of MLS (Livesey et al., 2020) and CALIPSO (Vaughan et al., 2009) to investigate the representativeness of the moist air masses encountered above the CPT for the large-scale SWV distribution. Using forward trajectories and a microphysical model, we address two main
 25 questions: (i) How does the water vapor content of these air masses change during their ascent into the stratosphere? (ii) How representative are these air masses for the large-scale moisture budget of the lower stratosphere? Finally, we discuss the performance of LDP-based SWV reconstructions for these examples of moistening above the local CPT.

2 In situ data

During the StratoClim campaign, moist plumes with isotopic signatures of overshooting convection were detected above the
 30 local CPT in the ASM anticyclone (Khaykin et al., 2022). In this study, we use in situ data from Geophysica flights over Nepal and forward trajectories driven by the ERA5 reanalysis to assess the impact of these air masses on mean SWV values.

In Figure 1a, two thick black lines define data points that are "sufficiently moist" ($\text{H}_2\text{O} > 7$ ppm) and "sufficiently deep in the stratosphere" ($\text{O}_3 > 100$ ppb). Our data set is reduced to 2315 data points by only considering those observed above the CPT. We denote the data observed on 10.08 as type A and those observed on 29.07 as type B. The data observed on 08.08 (less
 35 than 5%) contain mixed properties of types A and B and are labeled as type M (see Table 1).

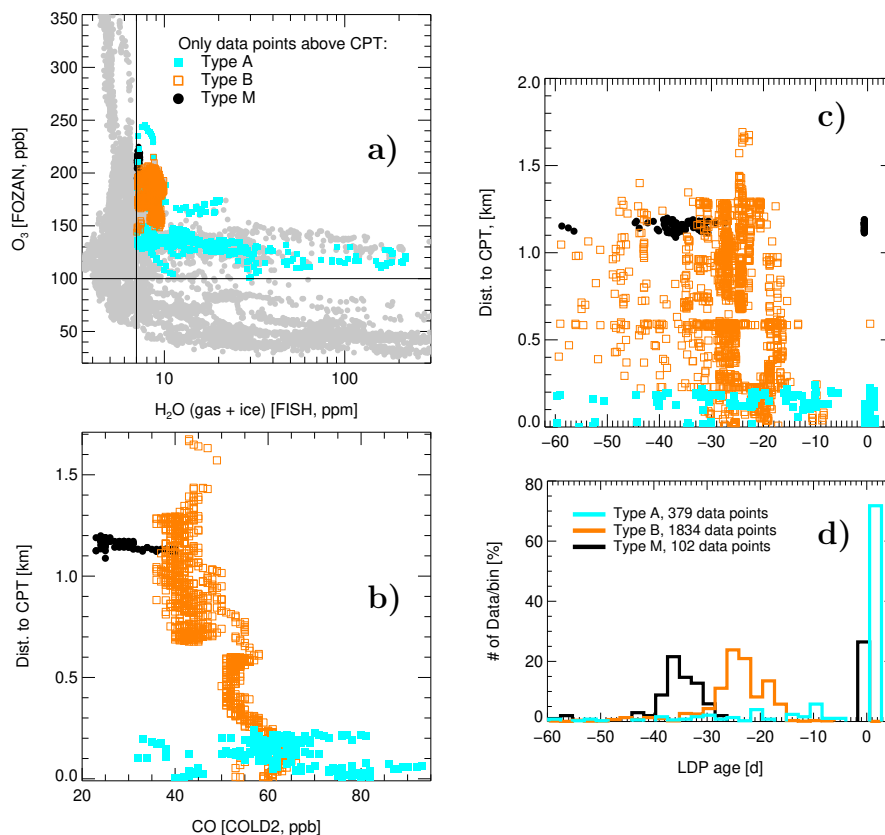


Figure 1. (a) H₂O-O₃ correlations for all local StratoClim flights (gray) with color-coded data points above the local cold point tropopause (CPT, derived from ERA5, see appendix) with mixing ratios of total H₂O > 7 ppm and with mixing ratios of O₃ > 100 ppm and divided into three groups: type A, type B, and type M. H₂O denotes the total water (gas + ice) observed by the Fast In situ Stratospheric Hygrometer (FISH), and O₃ denotes ozone sampled with the Fast-Response Chemiluminescent Airborne Ozone Analyzer (FOZAN). The FISH total water measurements inside of ice clouds are corrected for inlet ice particle enhancements after Afchine et al. (2018) by using the gas phase water the Fluorescent Lyman-Alpha Stratospheric Hygrometer (FLASH). For more details of FISH, FOZAN and FLASH instruments (Meyer et al., 2015; Khaykin et al., 2022). (b) For all three data types, distance to the CPT is shown as a function of CO sampled with the Carbon Oxide Laser Detector 2 (COLD2, Viciani et al. (2018)) and (c) as a function of the time distance to the Lagrangian dry point (LDP age), i.e. relative to the point with the minimum saturation mixing ratio over ice calculated along the merged back-and-forward ± 60 days trajectories; negative/positive age means that LDP was found in the past/future. (d) The normalized frequency distributions of the LDP ages.

Figure 1b shows CO values for all three data sets as a function of distance to the CPT. CO decreases with altitude in slowly ascending air within the ASM anticyclone due to its chemical lifetime of a few months (von Hobe et al., 2021). This decrease can be seen for type B and M data, extending up to 1.7 km above the tropopause. Type A data are closer to the CPT and show fresh convection signatures, with a spread of CO values between 30 and 95 ppb, large spread of HDO/H₂O ratios, and positive



ice-CO correlations (see appendix). In Figure 1c, we compare the geometric distance of all data points relative to the CPT with the time distance to the LDP, quantified as LDP age. The LDP age does not necessarily decrease with the distance to the CPT, but instead shows a more complicated pattern. For type B data, LDP ages are between -15 and -35 days. For type A, more than 75% of the LDP encounters are still expected to occur along the forward trajectories, with LDP ages of 0-3 days, although these air parcels have been sampled above the local CPT.

3 Dehydration scenarios along the forward trajectories

We use forward trajectories starting from the locations of the observed values of water vapor and ice for both types of air, A and B. Along these trajectories, we apply a state-of-the-art microphysical box model, CLaMS-Ice (see appendix), as well as a simple freeze drying model (FDM) that instantaneously removes excess water vapor along the forward trajectories when the air becomes supersaturated with respect to ice (FDM-forward). Figure 2a shows three exemplary forward trajectories: one of type A and two of type B, that slowly ascend within the ASM anticyclone above the level of zero radiative heating (Vogel et al., 2019) with a rotation period of about 10 days (Legras and Bucci, 2020). All trajectories of type A and more than 85% of type B stay within the tropical band extending northward during the boreal summer up to $\sim 40^\circ\text{N}$. Only $\sim 14\%$ of the type B trajectories descend into the lowermost stratosphere (LMS) northward of $\sim 40^\circ\text{N}$ (see the second trajectory of type B) after being detached from the anticyclone. During their spiraling ascent, almost all trajectories repeatedly pass through regions with low temperatures, well below 195 K, mainly on the south-east, south, and south-west flanks of the anticyclone, where water condensation and ice formation can occur. CLaMS-Ice and a simple freeze drying model (FDM) were used to simulate the trajectories and investigate dehydration scenarios. Figure 2d compares the models' results for one trajectory of type A with ice and water vapor observations from CALIPSO and MLS, respectively. CLaMS-Ice reproduces the CALIPSO ice observations fairly well, while FDM performs better in terms of water vapor comparison with MLS.

We extend the analysis to all type A and B forward trajectories initialized with in-situ observations of H_2O vapor and ice. Figure 3 displays the time-dependent frequency distribution at select times for type A (left) and type B (right). Relative to the initial distribution, the distributions derived from CLaMS-Ice and FDM evolve over time by moving to significantly lower values of total H_2O . Results of FDM are always drier than the results of CLaMS-Ice, where the interplay between condensation, evaporation, and sedimentation does not instantaneously remove ice from the air parcel like in FDM (see also Figure 2d). A massive dehydration can be diagnosed for type A, affecting all air parcels, with mean/maximum values after 40 days of 5.0/11.3 ppmv (CLaMS-Ice) and 3.3/4.1 (FDM). The degree of dehydration for type B is weaker, as there are less than 1 ppm of ice at the initialization time. The mean/maximum values for type B after 40 days are: 8.1/9.8 ppmv (CLaMS-Ice) and 6.5/7.9 ppmv (FDM). Only 14% (CLaMS-Ice) and 1% (FDM) of the initial observations did not experience any dehydration. The final positions of these non-dehydrated air parcels are within the LMS.

The dehydration scenarios for type A and B are consistent with the respective frequency distributions of LDP temperatures from backward and forward trajectories (Figure 3). The strong dehydration of type A air masses, detrained very recently from fresh convection, is mainly due to the lowest temperatures (Lagrangian cold point) being experienced in the forward direction

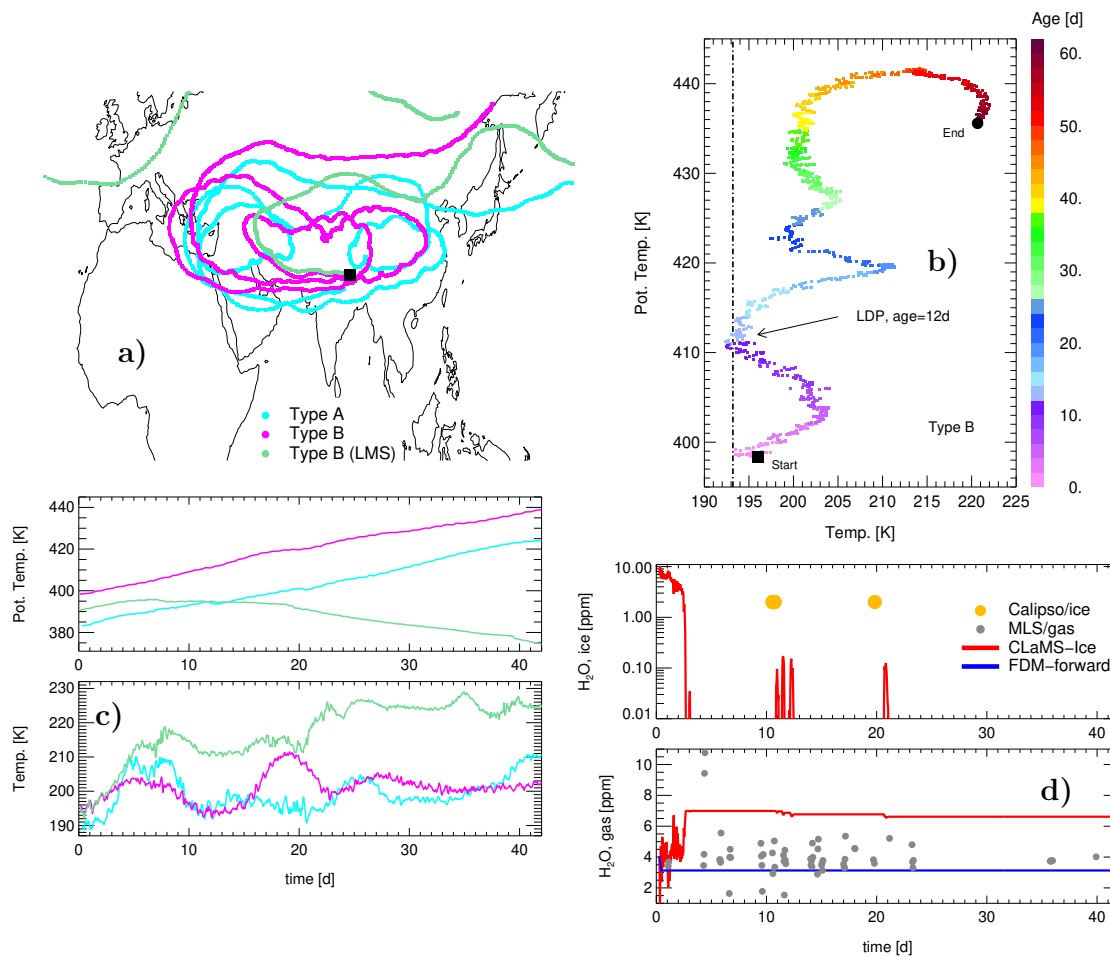


Figure 2. Box model studies of ice formation with CLaMS-Ice and with FDM-forward. Both models are initialized with data of type A and B as defined in Figure 1 (a) Three representative forward trajectories are shown: type A/B (cyan/pink) and one trajectory of type B that does not experience any dehydration and ends in the lowermost stratosphere (LMS, green). These three trajectories A, B and B (LMS) start from the observed values of H_2O (gas, in ppm: 7.13/9.06/10.0), H_2O (ice, in ppm: 4.05/0.03/0.00), O_3 (in ppb: 137/159/189) and CO (in ppb: 60,43,54) above the CPT (in km: 0.21/0.82/0.56). (b) Temperature evolution is color-coded with the LDP age for an upward spiraling trajectory of type B. (c) Time dependence of the potential temperature and temperature for all three trajectories. (d) Evolution of ice and gas phase along the type A trajectory as derived from CLaMS-Ice (red) and FDM (blue), both initialized from in-situ measurements, together with the CALIPSO observations of ice (yellow thick points, if CALIPSO found ice) and with the MLS observations of the gas phase in the vicinity of this trajectory (gray).

rather than in backward direction. On the other hand, most of the air masses of type B, detrained from convection several days to weeks before, have already experienced their lowest temperatures in the past (cf. Khaykin et al. (2022)). But even for type

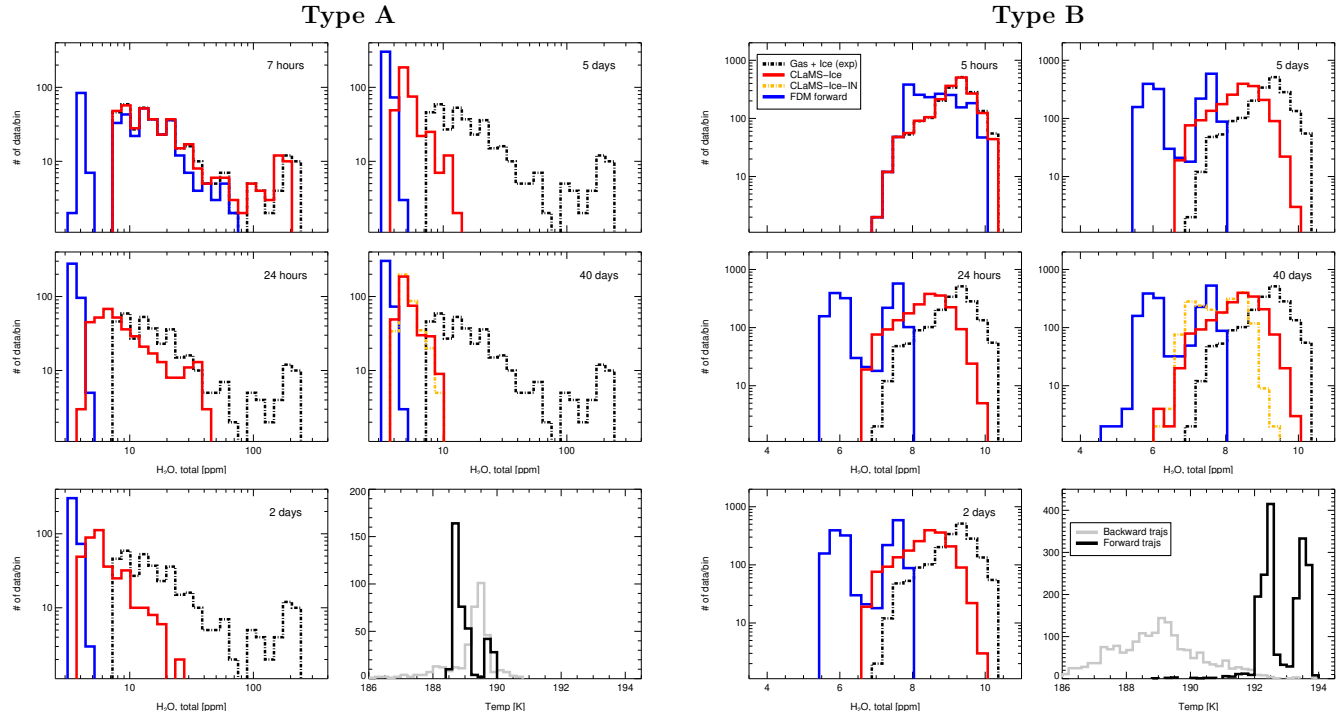


Figure 3. Time evolution of the total H₂O frequency distribution (number of data points per bin) starting from two in situ observed distributions (left/right, type A/B, dashed black) as derived from forward trajectory calculations using CLaMS-Ice (red), FDM-forward (blue), and from CLaMS-Ice with artificially enhanced heterogeneous ice nucleation (CLaMS-Ice-IN, orange); the latter is shown only 40 days after the initialization. For a better comparison, the initial frequency distribution is shown for all time steps (dashed black). Note that a logarithmic x-axis was applied for type A to take into account the large amount of ice used for initialization, while a simple linear x-axis was used for type B. The contribution of the dehydration driven by the parameterized gravity waves temperature fluctuations is very weak, as estimated from the small difference between the type B CLaMS-Ice distributions after 5 versus after 40 days (this difference vanishes if this parameterization is switched off). The 40-day distributions also show the impact of enhanced ice nucleation by using CLaMS-Ice-IN. The respective last panels show the frequency distribution of the LDP temperatures for the back and forward trajectories.

75 B air masses, a significant dehydration can still be expected in the future, well above the CPT, at the southern edge of the anticyclone during the upward spiraling motion of the forward trajectories, as seen from the shift of the frequency distributions to lower mixing ratios in the right panel of Figure 3.

4 Geographic perspective: Comparison with CALIPSO and MLS

We compare the ice distribution calculated by CLaMS-Ice during the dehydration periods along the forward trajectories with
80 CALIPSO observations, which detect ice mixing ratios larger than ~ 0.1 ppm (Avery et al., 2012). Figure 4 displays the results,

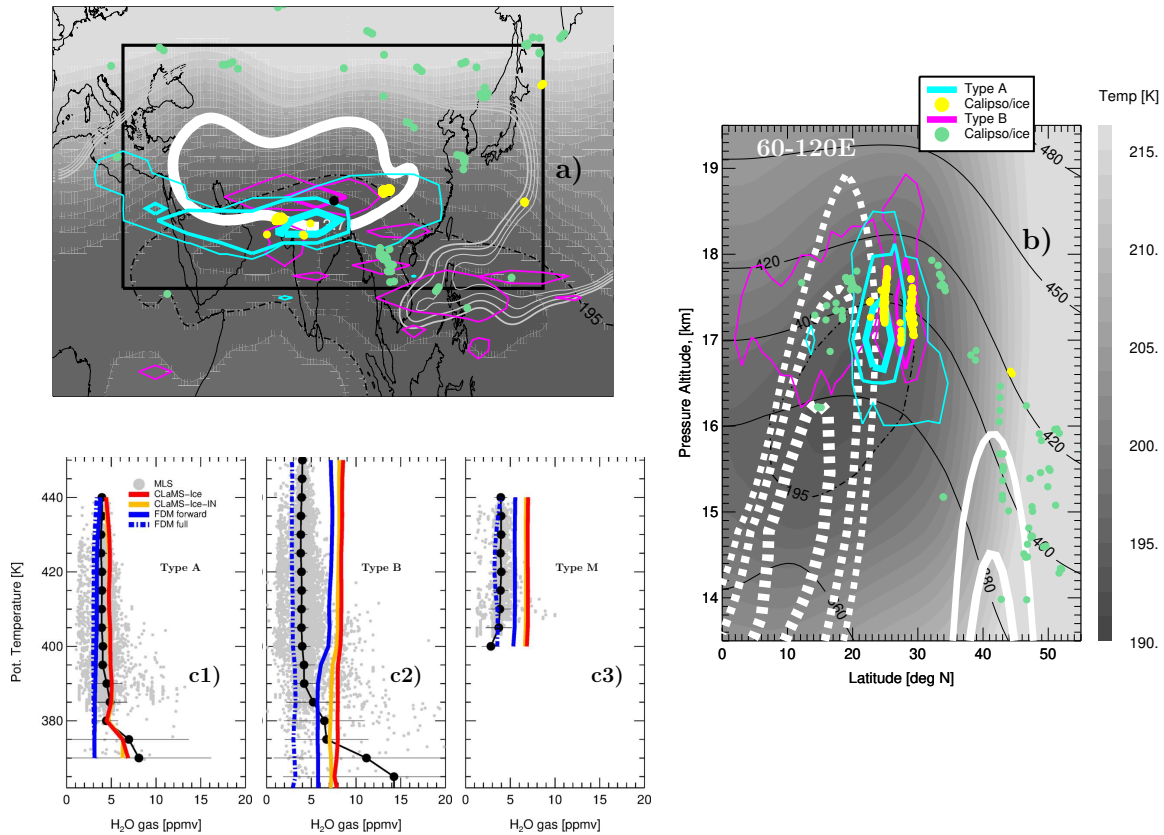


Figure 4. Geographic horizontal (a) and vertical (b) positions of ice formation (isolines of frequency distributions normalized by their total numbers) as derived from CLaMS-Ice applied along forward trajectories starting from the data sets A (cyan) and B (pink). The corresponding positions of CALIPSO ice observations in the vicinity of these trajectories are marked with yellow and green bold points. In the horizontal view (a), the edge of the ASM anticyclone (bold white) is derived from the gradient PV barrier at 380 K following the procedure described in Ploeger et al. (2017) (black filled circle - Kathmandu, the base of the StratoClim campaign). In the vertical view, the easterly and westerly jets (dashed and solid white lines) bound meridionally the ASM anticyclone. As the ice clouds resolved by CLaMS-Ice are mainly driven by the experienced lowest temperatures, their geographic distribution is color-coded using a gray scale: (a) temperature minimum between potential temperature levels 360 and 420 K, (b) mean temperature averaged between 60-120 E, both from the ERA5 monthly mean for August 2017 with isolines for 195 K (dashed black). The other PV isolines at 380 K (light gray lines between 5.8 and 6.2 PVU in (a)) indicate the position of the dynamical tropopause. (c) Comparison with MLS for all trajectory parcels within the region confined by the black rectangle shown in (a) (gray – MLS data, black dots/horizontal lines – their mean values/standard deviations) split into data sets A, B, and M (c1-c3). Four models are used: CLaMS-Ice in the standard version (red), with the enhanced ice nuclei concentration (CLaMS-Ice-IN, orange) and by using a simply freeze drying model (FDM) along the forward and full trajectories (FDM forward/FDM full, solid/dashed blue).



where the horizontal (a) and vertical (b) large-scale temperature distributions are gray-coded and overlaid with the positions of the simulated and measured ice clouds, as well as the position of the ASM anticyclone (PV-based edge and the mean easterlies and westerlies) in August 2017. The comparison shows that type A generates significantly more ice than type B, and that type A agrees better with CALIPSO observations. Of the 442 type A ice events observed by CALIPSO, more than half are reproduced by CLaMS-Ice, while of the 132 CALIPSO ice events of type B, less than 6% are simulated by CLaMS-Ice, despite data set B being ~ 5 times larger. The geographic positions of type A ice clouds are also better reproduced, mainly found at 20N between 17 and 18 km altitude, with the strongest contribution over North India. In contrast, type B ice simulated by CLaMS-Ice shows a much larger horizontal spread, extending over the regions with coldest temperatures, mainly over southeast Asia and the Maritime Continent, and with weaker signatures. These signatures seem to be related to isentropic mixing driven by Rossby waves, well-characterized by bent PV isolines surrounding the anticyclone (Konopka et al., 2009). There are a few weak CALIPSO signatures of ice in the LMS (type B) north of 35N between 400 and 420 K, which are not resolved by CLaMS-Ice. However, the expected warm temperatures in this part of the atmosphere raise some doubts about the origin of these signatures.

Complementary to ice, we also validate the calculated water vapor with the MLS observations along the forward trajectories. While the comparison of CLaMS-Ice or FDM with MLS for the type A data is fairly good, there is a strong disagreement for the data of type B, even if ice nucleation in the model is enhanced (CLaMS-Ice-IN). A similarly strong disagreement between the model calculation and observations can be diagnosed if FDM-forward is used. Similar, but somewhat weaker disagreement was also found for the data of type M, consistent with fact that 30% and 70% of these data points are of type A and B, respectively. However, including dehydration also in the backward direction (FDM-full), as used in many previous studies (Fueglistaler and Haynes, 2005; Liu; Schoeberl and Dessler, 2011; Smith et al., 2021), performs extremely well for all three data types: A, B and M, especially in the region above 390 K (for sensitivity studies of this important result see appendix).

5 Discussion and conclusions

The mere existence of moist plumes over the CPT, which are also well above the lapse rate tropopause and therefore in the stratosphere, does not necessarily imply a persistent stratospheric moistening as significant dehydration events along the forward trajectories are still possible. This is schematically shown in Figure 5, which contrasts the Eulerian view (with air masses being located above the CPT) and the Lagrangian view (with the LDP along the trajectory). Based on our case study using StratoClim data, the importance of considering the full Lagrangian air mass pathway in both backward and forward direction is clear not only for type A air masses, where the absolute LDPs are still ahead, but also for type B air masses, where the absolute LDPs have already occurred a few weeks prior. Even for these cases, multiple and subsequent dehydration events at low temperatures, well below 195 K, can still be expected during the upward spiraling in the ASM anticyclone, with the highest ice concentration found mainly at its southern edge. Our simulations with CLaMS-Ice reproduce CALIPSO ice observations well for type A air masses, but the agreement for type B is worse (see Figure 4a and 4b). Note that the absolute LDPs of 75% of type A trajectories are in the future, with LDP ages ranging from 0-3 days. For type B trajectories, the LDPs

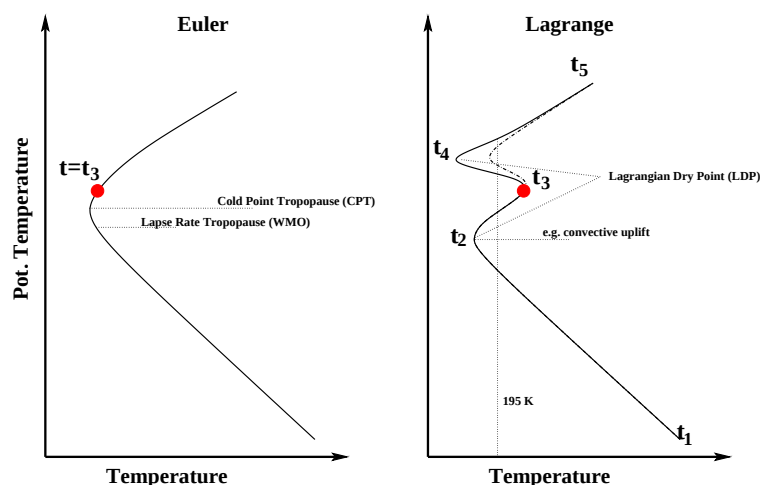


Figure 5. Lagrangian dry point (LDP) versus Eulerian cold point tropopause (CPT). Left: an idealized (Eulerian) temperature profile at time $t = t_3$ with an air parcel (shown in red) above the CPT (and above the lapse rate tropopause). Right: the corresponding (idealized) Lagrangian trajectory of this air parcel. A deep convective uplift between $t = t_1$ and $t = t_2$, with $t_2 - t_1$ being on the order of minutes to hours, may lift this air parcel into the stratosphere, i.e. above the local CPT. However, an additional temperature minimum can also be expected along the trajectory in the following time, i.e. at $t = t_4$, with $t_4 - t_2$ being on the order of days to weeks. This absolute (solid) or relative (dashed) minimum marks the respective absolute or relative LDP. Such additional LDPs were diagnosed for almost all air masses during their spiraling ascent within the ASM anticyclone. The freeze drying at these LDPs (if not happened before) defines the maximum amount of H_2O entering the stratosphere around $t = t_5$. Thus, pronounced ice formation events are expected at times t_2 and/or t_4 , while pure water vapor can be assumed for $t = t_5$.

have already occurred in the past, with ages ranging from -35 to -15 days. It is worth noting that neither FDM applied to the forward trajectories nor FDM applied to the full backward and forward trajectories can reproduce any CALIPSO ice signatures, as ice is instantaneously formed and removed (i.e., not present) in this simple model setup.

However, if larger (synoptic) scales are considered, comparison with MLS is more relevant. MLS has a much coarser sampling resolution compared to CALIPSO, which can resolve ice clouds extending over only a few tens of meters. While the agreement between all our models and the mean MLS observations is sufficiently good for the type A data, for the air masses of type B, all modeling approaches including only forward trajectories overestimate MLS by 3-4 ppm on average (see Figure 5c). As all observations of type B occurred about 2-4 weeks after the absolute LDPs were passed (derived from the full backward and forward trajectories), the in situ observed moist plumes are much wetter than the respective mixing ratios derived from the LDPs along only forward trajectories. The dehydration events experienced along the forward trajectories, although significant, are not able to freeze out the excess water vapor that is also not observed by MLS. This wet bias relative to the MLS observations cannot be removed even if CLaMS-Ice-IN is applied, artificially enhancing dehydration to the highest realistic limits. Only including dehydration along backward trajectories results in good agreement with MLS water vapor measurements.



In summary, small-scale moist plumes observed above the CPT are not necessarily representative of the large-scale distribution of SWV, in particular in the Asian monsoon region where the anticyclonic flow in the UTLS is regionally confined and close to low temperatures. In particular, moist plumes observed above the CPT, which likely result from convective overshoots, are not representative of the large-scale budget of SWV.

This important result requires some critical remarks. First, our study is only a case study showing that such events are possible. Second, there are other regions in the world, like e.g. the American monsoon, where ice transport into the stratosphere is more likely (Jensen et al., 2020; Park et al., 2021), and, consequently, such small-scale features may have stronger influence on the large-scale water vapor distribution. Here, additional case studies following our ideas could help. Despite these caveats, our results support some criticism related to the representativeness of the high-resolution, in situ data, like those of the longest available record of the balloon-borne NOAA frost point hygrometer over Boulder, for the quantification of the SWV trends (Kunz et al., 2013; Hegglin et al., 2014; Lossow et al., 2017; Konopka et al., 2022). Our study shows that moist plumes can be sampled in the stratosphere which are not representative for the large-scale distributions of SWV. The fact that stratospheric satellite instruments capable of measuring SWV concentrations are approaching the end of their life time emphasizes the importance of setting up in-situ observation networks (e.g. using stratospheric balloons) with regular and "statistically robust" sampling (Müller et al., 2016).

Finally, a few remarks are necessary regarding the performance of our most idealized modeling approach, reconstructing the SWV from the absolute LDP derived from full backward and forward trajectories covering several weeks. This method of SWV reconstruction works throughout the lower tropical stratosphere, taking into account only the time evolution of temperatures at the CPT, a 2D surface. That is, the trajectory-based reconstruction propagates a 2D minimum saturation mixing ratio into the full 3D space. As the quality of tropopause temperatures has improved over the last decades, particularly for ERA5 (Tegtmeier et al., 2020), the quality of the reconstructed SWV has also improved. Our results show that tropopause temperatures exert a dominant control over the tropical stratosphere in the ASM region (Randel et al., 2015; Randel and Park, 2019), and this dominance seems to be more representative of global SWV values than sporadic observations of moist plumes in the stratosphere. However, we also found that the SWV reconstruction is not as effective for trajectories ending in the LMS (see Appendix). In this region, the final values of SWV are not only controlled by LDPs, but also by other processes such as mixing or downward transport of SWV affected by methane oxidation.

Appendix A: In-situ data, trajectory and CLaMS-Ice calculation

Figure A1 completes the experimental data shown in Figure 1 by including the observed isotopic ratios of water ($\text{HDO}/\text{H}_2\text{O}$) and by showing the CO-ice correlations for data of type A in panels (a) and (b), respectively.

Both forward and backward 60-days trajectories used in this study start at the space-time coordinates of the in-situ observations collected on board of the Geophysica and are calculated with the trajectory module of the Chemical Lagrangian Model of the Stratosphere (CLaMS) (McKenna et al., 2002), driven by the ERA5 horizontal wind velocities (Hersbach et al., 2020) and the diabatic heating rates (Ploeger et al., 2010) with the highest available spatial (0.3×0.3 degree, 137 model levels) and tem-

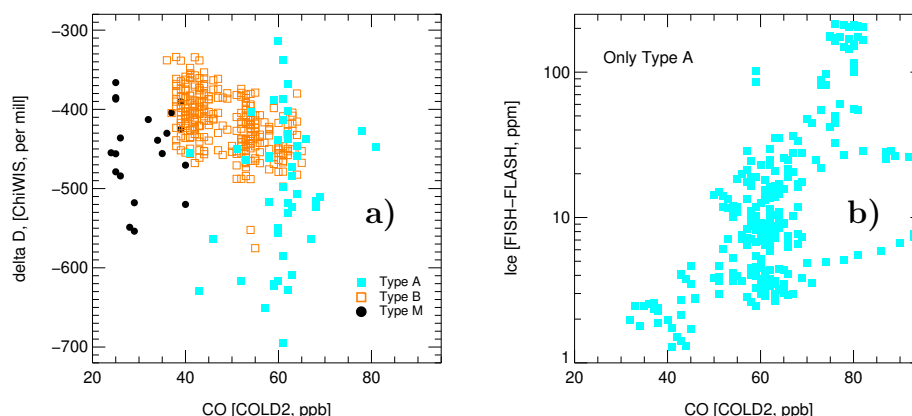


Figure A1. (a) CO-delta D correlation for data types A, B and M with the same notation as in Figure 1. Delta-D quantifies the observed isotopic ratios of water ($\text{HDO}/\text{H}_2\text{O}$) measured by the Chicago Water Isotope Spectrometer (ChiWIS). Delta-D values are enhanced (larger than -450‰) for water vapor molecules sublimated from ice with convective origin and which are depleted (smaller than -550‰) for data points characterizing the stratospheric background. Thus, water vapor of type B (and partially of type M) originated from convective ice clouds which have evaporated in the last 60-20 days. On the other hand, air masses of type A (and partially type M) show signatures of fresh convection, with a larger spread of delta-D values, indicating that the transition from the ice to the gas phase is only partially completed (Moyer et al., 1996; Sarkozy et al., 2020; Khaykin et al., 2022) (b). Positive CO-ice correlations for data of type A point also to fresh convection as a possible explanation.

160 poral (1 hour) resolution of the meteorological data (Hoffmann et al., 2019). The vertical distance to the CPT is defined as the geometric distance between the Geophysica flight track and the temperature minimum in the ERA5 temperature profiles interpolated to the Geophysica flight track while the LDP is found as the minimum saturation mixing ratio over ice, calculated from the ERA5 temperature and pressure (Sonntag, 1994), interpolated along the forward, backward, and merged back-and-forward trajectories starting at the flight track.

165 CLaMS-Ice takes into account all relevant microphysical processes important for hydration and dehydration of air, such as nucleation of ice, diffusional growth, sublimation, and sedimentation processes that change the amount of water vapor and ice in the air parcel moving along the trajectory. The model, based on the two-moment scheme published by Spichtinger and Gierens (2009), has been extensively validated against measurements in cloud chamber experiments (Baumgartner et al., 2022). Although the ERA5 temperature interpolated along the trajectory is the main driver of all these processes, it can also be overlaid
170 with temperature fluctuations induced by unresolved gravity waves in the coarser meteorological fields, following the method described in Podglajen et al. (2016). CLaMS-Ice is initialized at the beginning of the forward trajectories with the in-situ observations, i.e. ice water content derived from the combination of FISH and FLASH instruments and ice particle number concentration in the range of $3\text{--}937\text{ }\mu\text{m}$ from the New Ice eXperiment-Cloud and Aerosol Particle Spectrometer (NIXE-CAPS) (Krämer et al., 2016).



175 Appendix B: Sensitivity studies

To find the “nearest” CALIPSO and MLS data points, both in time and space, three types of match criteria were applied: strong, moderate, and weak. All three match criteria are defined by different values of the distance in space and time between the trajectory position and the respective CALIPSO/MLS overpass with $\Delta t=1h, 2h, 4h$, $r=30, 50, 150$ km for CALIPSO and $\Delta t=1h, 2h, 3h$, $r=100, 150, 200$ km for MLS. The vertical match criteria are 60 m and 20 hPa for CALIPSO and MLS, respectively. The moderate version of the data match is used as the default, as it is a compromise between the number of matches and their quality. Figure B1 supports the results shown in Figure 4 by discussing the sensitivity of the presented results to the

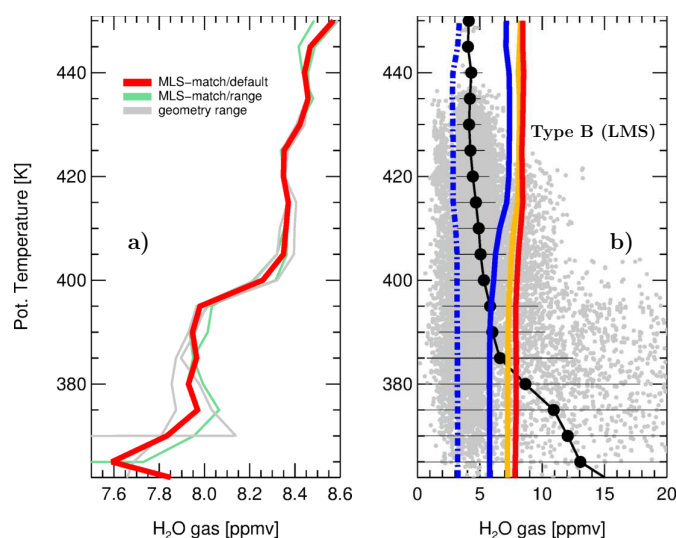


Figure B1. Sensitivity studies of dehydration scenarios and their validation with MLS. (a) Investigation of sensitivity to the match criterion (strong, moderate, and weak) and to the choice of the rectangle bounding the ASM anticyclone shown in Figure 4a. (b) Validation of four models for the subset of type B trajectories that end not in the rectangle bounding the ASM anticyclone, but in the LMS. Note that this represents less than 15% of type B trajectories. The figure shows that the FDM-full model disagrees with MLS observations between 380 and 420 K, which could be due to omitted processes such as mixing or downward transport of water vapor created by methane oxidation, or due to reduced MLS performance in this part of the atmosphere (for the legend, see Figure 4c1).

choice of few crucial parameters and by analyzing the model representation of air masses with forward trajectories ending in the LMS.

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195 *Code and data availability.* The trajectory module is a part of CLaMS-2.0/MESSy code based on MESSy version 2.54 and accessible for MESSy consortium members at <https://gitlab.dkrz.de/MESSy>. The usage of MESSy and access to the source code is licensed to all affiliates of institutions which are members of the MESSy Consortium. Institutions can become a member of the MESSy Consortium by signing the MESSy Memorandum of Understanding. More information can be found on the MESSy Consortium Website (<http://www.messy-interface.org>, last access: 30 June 2022). ERA5 model level reanalysis data are available from the ECMWF as deterministic forecasts (atmospheric model): via: <https://apps.ecmwf.int/data-catalogues/era5/?class=ea>. The StratoClim data can be downloaded from: <http://www.stratoclim.org/>. For more detailed model data, please contact the authors.

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