

# A Novel Self-Supervised Sun-Induced Fluorescence Retrieval Using Simulated HyPlant and DESIS Data

Jim Buffat<sup>1</sup>, Miguel Pato<sup>2</sup>, Kevin Alonso<sup>3</sup>, Stefan Auer<sup>2</sup>, Emiliano Carmona<sup>2</sup>

Stefan Maier<sup>2</sup>, Rupert Müller<sup>2</sup>, Patrick Rademske<sup>1</sup>, Uwe Rascher<sup>1</sup> and Hanno Scharf<sup>4</sup>

<sup>1</sup> Forschungszentrum Jülich GmbH, Institute of Bio- and Geosciences, IBG-2: Plant Sciences, Jülich, Germany

<sup>2</sup> German Aerospace Center (DLR), Earth Observation Center, Remote Sensing Technology Institute, Oberpfaffenhofen, Germany

<sup>3</sup> RHEA Group c/o European Space Agency (ESA), Largo Galileo Galilei, Frascati 00044, Italy

<sup>4</sup> Forschungszentrum Jülich GmbH, Institute of Advanced Simulations, IAS-8: Data Analytics and Machine Learning, Jülich, Germany

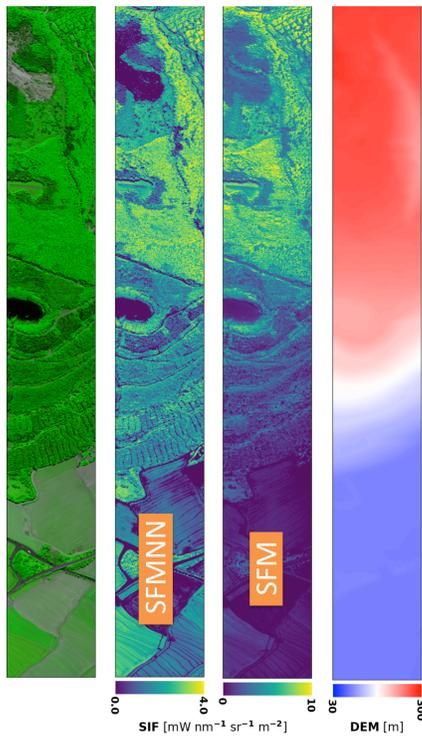
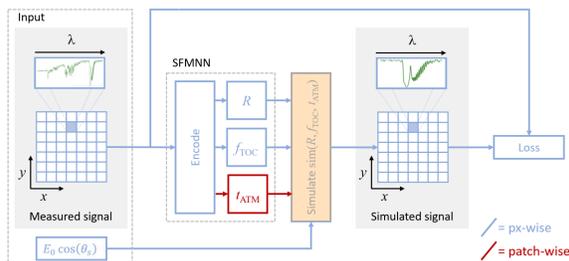
## Deep Learning based SIF retrieval

Operationally efficient retrieval of sun-induced fluorescence (SIF) from remote sensing data requires **exact atmospheric correction at low computational cost**. Incomplete knowledge of the atmospheric state and surface conditions requires the **formulation of the SIF retrieval as a parameter optimization**. In the present contribution we investigate the use of a neural network to perform this optimization step.

We show-case the possibility to **tightly integrate a neural network with the domain knowledge of radiative transfer codes** simulating observations of the airborne **HyPlant** instrument and the ISS-based **DESIS** spectrometer in a spectral window around the **O<sub>2</sub>-A oxygen absorption band (740-780 nm)**.

## Learning directly from HyPlant acquisitions

- Fully self-supervised network training
- **Architectural constraints:** Pixel / patchwise prediction
- **Physically motivated loss**
- Four-stream atmosphere simulation

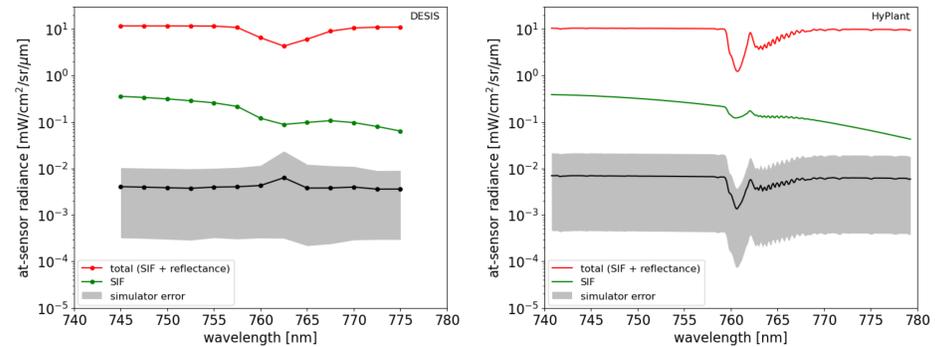


Data Set		r	MAE	N
SEL 2018 (600m)	SFM	0.89	0.80 ± 0.10	11
	SFMNN	<b>0.96</b>	<b>0.68 ± 0.08</b>	11
	iFLD	0.80	<b>0.67 ± 0.08</b>	10
WST 2019 (1500m)	SFM	-0.36*	0.46 ± 0.05	22
	SFMNN	<b>0.62</b>	<b>0.19 ± 0.03</b>	22
	iFLD	-0.59	4.81 ± 0.09	22
CKA 2020 (350m)	SFM	<b>0.90</b>	0.36 ± 0.04	37
	SFMNN	0.87	<b>0.31 ± 0.04</b>	37
	iFLD	0.55	<b>0.28 ± 0.05</b>	36
CKA 2020 (600m)	SFM	<b>0.83</b>	0.42 ± 0.05	23
	SFMNN	<b>0.83</b>	<b>0.24 ± 0.05</b>	23
	iFLD	0.52	<b>0.39 ± 0.08</b>	23

Table 1: FLOX derived SIF measurements compared to SFMNN, SFM and iFLD SIF predictions from HyPlant acquisitions (max. 6 min time difference). Pearson correlation  $r$  marked with \* have  $p > 0.05$ . Mean absolute errors (MAE) are given in  $\text{mW nm}^{-1} \text{sr}^{-1} \text{m}^{-2}$ .

- Spectral Fitting Neural Network (SFMNN) **outperforms state-of-the-art SFM** (Cogliati et al. 2019)
- Addresses topographic variation by **locally fitting the atmosphere**

## Polynomial 4<sup>th</sup> degree as emulator is sufficient

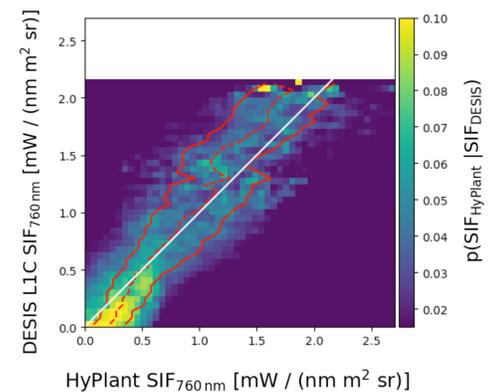


## Supervised training on simulated DESIS spectra



- Calibration necessary due to L1C processing
- **Multiple matching acquisitions** allow a preliminary validation
- **2023 campaign data** will allow a thorough validation

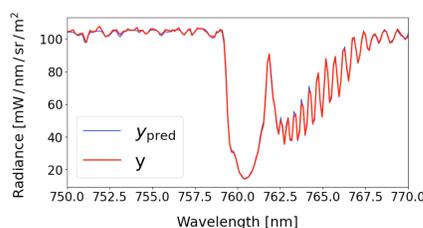
- Simulation trained network can be applied to DESIS acquisitions
- **No reflectance correlation** due to data generation setup
- Comparison with **quasi-simultaneous HyPlant SFM** product ( $\Delta t = 1\text{h}$ )



## Integration of self-supervised approach and emulation

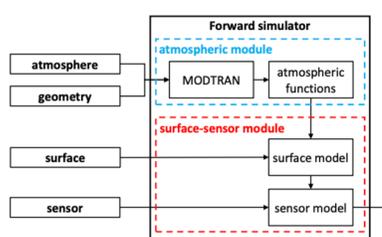
- Supervised training highlights the **simulation data quality** (small domain gap)
- Pixel-wise training limits **decomposition capacity of network**
- **Integrate SFMNN** approach in two steps:
  1. Replace SFM-type simulation by emulator
  2. Semi-supervised training by inclusion of labels

preliminary results



Data Set		$r^{\text{pear}}$	MAE $\text{mW nm}^{-1} \text{sr}^{-1} \text{m}^{-2}$	MAE[calib] $\text{m}^{-2} \text{sr}^{-1}$	N
CKA-2020 (600m)	SFM	0.85	0.43 ± 0.05	0.17 ± 0.02	18
	SFMNN	0.78	<b>0.90 ± 0.03</b>	<b>0.18 ± 0.04</b>	18
	iFLD	0.53	0.41 ± 0.07	0.24 ± 0.01	18
SEL-2018 (600m)	SFM	0.91	0.53 ± 0.07	0.11 ± 0.00	12
	SFMNN	<b>0.93</b>	<b>0.40 ± 0.03</b>	<b>0.11 ± 0.00</b>	12
	iFLD	0.82	0.61 ± 0.09	0.18 ± 0.00	12

## Data set creation and emulator



- **Extensive sampling** in parameter space
- Emulation: basic **regression problem**

Specification	Databases	
	DESIS	HyPlant
Input dimensions	11	13
Output dimensions	13	349
Number of samples	1.2 × 10 <sup>7</sup>	1.5 × 10 <sup>7</sup>
Data size [GB]	5.6	64.7

Parameter	HyPlant DB
Atmosphere	model
	mid-latitude summer
	H <sub>2</sub> O [cm]
	0.3-3.0
	O <sub>3</sub> [DU]
	332
	AOT <sub>550</sub> []
	0.05-0.40
	aerosol model
	rural
Geometry	
	g []
	[-1, +1]
	TA [°]
	0-20
	SZA [°]
	20-55
	RAA [°]
	0-180
	h <sub>gnd</sub> [m]
	0-300
	h <sub>sen</sub> [km]
	0.659-0.691 agl
	1.543-1.598 agl
Surface	
	ρ <sub>740</sub> []
	0.05-0.60
	dρ/dλ [nm <sup>-1</sup> ]
	0-0.008
	F <sub>737</sub> /F <sub>0</sub>
	0-8
Sensor	
	δ <sub>λ</sub> [nm]
	[-0.080, +0.023]
	δ <sub>FWHM</sub> [nm]
	[-0.040, +0.040]

13 parameters

## Conclusions

We reach state-of-the-art SIF prediction performance on HyPlant acquisitions with a deep learning based, self-supervised approach.

A high quality simulation data set could be generated allowing the supervised training of a well performing DESIS SIF predictor.

A tight integration of the emulator with the principles of self-supervised approach derived earlier is subject of further work.

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[1] Jülich Supercomputing Centre. (2021). JURECA: Data Centric and Booster Modules implementing the Modular Supercomputing Architecture at Jülich Supercomputing Centre Journal of large-scale research facilities, 7, A182. <http://dx.doi.org/10.17815/jlsrf-7-182>