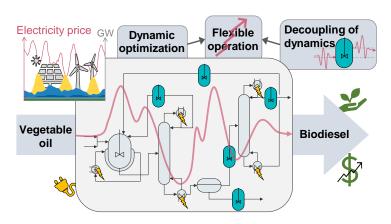
Optimal Design and Flexible Operation of a Fully Electrified Biodiesel Production Process

Mohammad El Wajeh¹, Adel Mhamdi¹, and Alexander Mitsos^{2,1,3,*}

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Abstract

The flexible operation of electrified chemical processes, powered by renewable electricity, offers economic and potentially ecological incentives, contributing to more sustainable chemical production. However, this requires a departure from the traditional steadystate operation, posing a challenge to process design and operation. We propose flexible biodiesel production by utilizing intermediate and final buffer tanks. We investigate the flexibility of three process configurations and compare the outcomes of their dynamic optimization strategies with those of a steady-state operation, considering a typical demand-side response scenario. For all strategies, we employ local gradient-based optimization and solve them using our open-source optimization framework DyOS. Our findings indicate that by incorporating intermediate tanks, we fully exploit the process flexibility potential, leading to energy savings of up to 29 %. We also propose an implementation based on distributed optimization problems, yielding similar savings while significantly reducing computational costs by over 10-fold, thus paving the way toward online application.



Graphical abstract.

¹Process Systems Engineering (AVT.SVT), RWTH Aachen University, 52074 Aachen, Germany

²JARA-CSD, 52056 Aachen, Germany

³Energy Systems Engineering (IEK-10), Forschungszentrum Jülich, 52425 Jülich, Germany

^{*}Corresponding author: amitsos@alum.mit.edu

1. Introduction

A transition toward an electrified, renewable-powered, and flexibly-operated chemical industry contributes to sustainable chemical production. ^{1–3} Flexible chemical processes can be operated dynamically, allowing for continuous adjustments in production rates to optimize energy usage and take advantage of fluctuating energy prices and availability. ^{4,5} Flexibility can also apply to product purities and types, with varying products and grades requiring different power levels, and diverse feedstocks available. ^{6,7} However, most conventional chemical processes are designed for continuous operation around steady-state (SS) operating points, which necessitates a constant energy and feedstock supply, thereby posing significant challenges for flexible operation. ⁷ Therefore, this paradigm shift requires a reevaluation of the conventional process design and operation to enable greater flexibility in accommodating variable energy availability.

Large-scale industrial processes operate at time scales that are typically comparable to the frequency of energy price fluctuations. Consequently, the plant may remain in a transient state for prolonged periods during dynamic operation. Thus, an optimal flexible operation must consider process efficiencies, operational limits, and product qualities. This necessitates trajectory optimization, which entails optimizing the process degrees of freedom over a specified time horizon to maximize profit or minimize carbon footprint while satisfying operational constraints and meeting product quality requirements. Several dynamic optimization (DO) techniques, including direct sequential and full discretization methods, enable such trajectory optimization. The potential of optimal flexible trajectories has been investigated in several load-shifting applications for electricity-intensive processes like air separation units, at the desalination plants, chlor-alkali electrolyzers, shift and multi-energy systems. However, numerical solutions to these optimization problems can be challenging, with computational costs increasing with model size and complexity, length of considered time horizon, and temporal resolution.

Chemical plants are composed of several unit operations, most prominently involving reaction and separation. These different unit operations exhibit varying levels of operational flexibility, making it difficult to utilize the plant's full flexibility potential or adjust its load as a whole. For instance, the production flexibility of a unit operation may be limited by the operational constraints, particularly level limits, of the downstream processes. Incorporating intermediate and final storage units for the products may allow individual units to operate at different flexibility levels within their respective limits. Such a solution is simple yet effective as it also avoids the need to retrofit the unit operation sizes. Nonetheless, the exchange between upstream and downstream processing through these storage units must be coordinated optimally. This operational complexity and flexibility-oriented process design have not been fully comprehended in existing chemical processes involving reaction, separation, and recycle parts that consider some degree of process flexibility. ^{19,20} Despite the added complexity, this strategy may become one of the new paradigms for chemical process design and operation in the era of renewable-powered chemical production.

Biodiesel production processes are an exemplary case of classical chemical plants that involve reaction and separation processes along with multiple material recycle streams.^{21,22} Moreover, biodiesel is a "renewable" fuel derived from biomass that has the potential to replace fossil-based diesel.²¹ However, its

production costs are higher than those associated with producing conventional diesel.²³ Thus, reducing total manufacturing costs and leveraging fluctuating energy prices through flexible operations are critical to ensuring its economic viability. Therefore, designing an optimal and flexible electrified biodiesel production process would not only explore demand-side management in a classical chemical plant but also unify sustainability concepts from various research areas. This integration is a key aspect for future industrial biofuel and chemical synthesis using renewable energy.

We investigate a fully electrified process for the homogeneous transesterification of vegetable oil using an alkali catalyst to produce biodiesel. Our process design builds upon our recently published work, ²² in which we developed a rigorous first-principle model in Modelica with two plantwide control structures, and made it available as open-source. The process has a main reaction part, several separation processes, and two recycle streams. It produces two final products with specific quality requirements, as glycerol is a by-product. The process involves operational limits and stringent quality standards, which pose challenges to exploiting process flexibility. As the process educts are in the liquid state, they are suitable for intermediate and final storage without requiring additional liquefaction. Moreover, the process provides an opportunity to explore how operational flexibility affects heat integration across multiple units.

To investigate the potential of flexibility-oriented designs and operations of the process, we examine three different process configurations using intermediate and final buffer tanks and compare the operating profits obtained from their respective economic DO strategies with that of a conventional optimal SS operation. The three process configurations differ based on the number and the location of the buffer tanks incorporated. We employ the three DO strategies offline, utilizing local gradient-based optimization. We aim to illustrate that, when electrifying chemical processes and utilizing renewable electricity, novel operational strategies rooted in flexibility through dynamic operation may emerge as the new standard for chemical plant operations. Realizing this transition would require the utilization of advanced modeling and optimization techniques, along with a reevaluation of process design considerations, to fully exploit the potential of flexible operation. Furthermore, we demonstrate that intermediate buffer tanks not only decouple dynamics between different process parts but also facilitate the implementation of a distributed optimization strategy with smaller problem sizes, leading to enhanced computational performance.

The manuscript is structured as follows. First, we introduce the biodiesel production process under consideration and provide a summary of our modeling approach. Next, we discuss the several configurations examined to achieve process flexibilization, along with the corresponding optimization problem assumptions and formulations. We further elaborate on the considered operational scenario and implementation before presenting and discussing the results. Lastly, we draw conclusions based on our findings.

2. Biodiesel and glycerol production process

Figure 1 depicts the flowsheet of the entire process, including all buffer tanks and optimization variables. In Section 3, we elaborate on the use of buffer tanks and optimization strategies. For completeness, we present a summary of the process description in this section, excluding buffer tanks, and briefly discuss

our modeling methodology and underlying assumptions. We refer the reader to our prior work²² for more detailed information on the process description and modeling.

2.1. Process flowsheet

The oil feed composition consists of 95 wt% triolein and 5 wt% diolein. ^{21,22} We use methanol for transesterification and sodium hydroxide (NaOH) solution as a catalyst. They are fed into the transesterifier, which converts oil and methanol into fatty acid methyl ester (FAME) and glycerol. The resulting products are then separated and purified. The methanol column recovers the unreacted methanol to recycle it to the reactor. The bottom product is cooled and sent to a decanter to separate most of the glycerol, water, the dissolved NaOH, and methanol from FAME and unreacted oil. The light product is then further purified in the FAME column, producing biodiesel that meets European quality standards. ²⁴ The residual oil is recycled back into the reactor after being mixed with fresh oil. The decanter bottom is sent into a neutralizer unit, where it is neutralized using a phosphoric acid solution to remove dissolved NaOH species. ²⁵ The solution is then filtered to remove the formed salt in a filter unit. The remaining liquid is purified in a glycerol column to remove water and methanol from glycerol with a pharmaceutical-grade purity of 99 wt%. ^{22,26}

2.2. Process modeling

Before presenting the electrification approach, we briefly summarize the considered modeling formulation and assumptions for the unit operations and thermodynamics. In addition, we provide the base-layer control considered and further modeling aspects.

2.2.1. Unit operations

We describe the transesterifier as a perfectly-mixed CSTR using energy and material balances. We model the reaction system based on the well-studied reversible three-step transesterification system in the literature.^{22,27} We model the transesterifier jacket as a series of equivalent CSTRs. We utilize MESH models for the decanter and each stage of the distillation columns. Our approach includes several assumptions, such as the perfect mixing of vapor and liquid phases, and that the tray holdup only accounts for the liquid phase since the vapor holdup is typically insignificant.²⁸ We use a quasi-steady-state approximation for the energy balances at the trays, which allows reformulating the model to an index-1 differential-algebraic equation (DAE) system.²⁸

Regarding the methodology employed for sizing the main unit operations, particularly the distillation columns, we refer the reader to refs [29], [30], and [31]. For the transesterifier, we employ a sizing approach based on a one-hour residence time, ensuring it operates at half of its capacity during nominal operation. We utilize residence times of five seconds for the column trays and 30 seconds for the condensers and reboilers. The sizing of other units follows similar heuristics.^{29–31} Across all units with holdups, the sizing is such that they operate at approximately half of their capacity during nominal operation.

2.2.2. Chemical system and thermodynamics

We consider ten species, including triolein, diolein, methanol, water, NaOH, and phosphoric acid, as feeds. Monoolein is an intermediate educt. The products are methyl oleate (FAME), glycerol, and

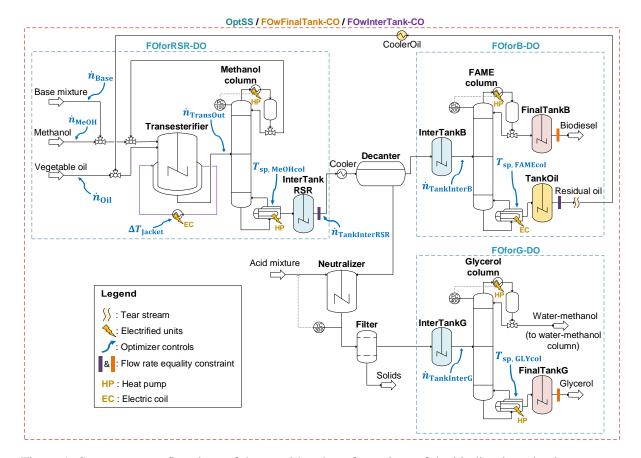


Figure 1: Superstructure flowsheet of the considered configurations of the biodiesel production process. We denote by $\dot{n}_{\rm Oil}$, $\dot{n}_{\rm MeOH}$, and $\dot{n}_{\rm Base}$ the molar flow rates of the vegetable oil, methanol, and base mixture feeds, respectively. The outlet molar flow rates of the transesterifier and buffer tanks InterTankRSR, InterTankB, and InterTankG, are indicated by $\dot{n}_{\rm TransOut}$, $\dot{n}_{\rm InterTankRSR}$, $\dot{n}_{\rm InterTankB}$, and $\dot{n}_{\rm InterTankG}$, respectively. The temperature change of the transesterifier jacket medium after passing through the external heat exchanger is $\Delta T_{\rm Jacket}$. The temperature setpoints of the column reboilers are indicated by $T_{\rm sp,MeOHcol}$, $T_{\rm sp,FAMEcol}$, and $T_{\rm sp,GLYcol}$, respectively. All buffer tanks are excluded for the SS optimization case, OptSS, while the DO FOwFinalTank-CO includes only the final buffer tanks FinalTankB and FinalTankG. The distributed optimization subproblems FOforRSR-DO, FOforB-DO, and FOforG-DO include all buffer tanks, whereas the DO FOwInterTank-CO exclude TankOil. The cooler, CoolerOil, is only considered for the distributed optimization case.

monosodium phosphate (solids product). We use the DIPPR temperature-dependent correlations to determine the molar heat capacities.³² We then determine species enthalpies, entropies, and Gibbs free energies through analytical integration. We determine the vapor pressures using the extended Antoine correlation.³³ To account for non-ideality in the liquid phase, we use the NRTL model.³⁴ The Racket equation is used to determine liquid-mixture molar densities.³⁵ We employ isofugacity conditions to describe the liquid-liquid and vapor-liquid equilibrium, assuming that the number of existing phases is known.

2.2.3. Base-layer control system

A base-layer control system consisting of PI controllers (cf. Figure 1) is implemented to determine the condenser cooling duties for the design pressure values at the top of the distillation columns by pressure controllers (PCs), and the acid feed flow rate based on a fixed pH value at the outlet of the neutralizer

by a pH controller (YC). We control the liquid levels in the distillate drums, reboiler kettles, decanter, and neutralizer by cascade controllers for fixed residence times. Temperature controllers are employed to control the reboiler temperatures to their setpoints by manipulating the reboiler heating duties. The temperature setpoints are determined by an optimizer.

2.2.4. Buffer tanks

We consider energy and material holdups for buffer tanks with half-full nominal liquid levels. We assume the tanks to be thermally insulated.

2.2.5. Water-methanol waste stream

To account for the water-methanol waste stream (cf. Figure 1) in the optimization calculations, we assume the presence of a downstream purification unit that separates water and methanol (water-methanol column). The energy cost incurred by this unit, as well as the revenue generated by its purified methanol product, are considered contributors to the overall energy cost and product revenue. Therefore, based on SS simulations of a rigorous model for the water-methanol column, we fit an equation that describes the total electrical power demand of this purification unit and its methanol product flow rate as a function of the water-methanol waste flow rate and its methanol mass fraction. An equation that relates the purity of the purified methanol as a function of this mass fraction is also fitted. We include those empirical equations along with the corresponding coefficient of determinations in the supplementary information (SI).

2.3. Electrification of process units

The condensers and reboilers of the distillation columns, as well as the heating unit of the transesterifier, are the power-consuming components. In the following discussion, we explain how we electrify these units in this work.

Condensers We model the needed electric power for cooling in distillation column condensers through an ideal vapor-compression refrigeration cycle. We use a single-stage compression with an isentropic efficiency of $0.8.^{36,37}$ We opt for ammonia as a refrigerant due to its high coefficient of performance (COP) compared to other refrigerants, and widespread use in industrial systems. ^{38,39} To enhance heat transfer during the refrigeration process, air fans are employed. We determine their needed electrical power by a linear correlation with the transferred heat. ⁴⁰ The resulting COP values are around 2.7.

Reboilers To electrify the distillation column reboilers, we utilize heat pumps or electric coils with different systems employed based on the required heat sink temperature. We model the heat pumps similarly to the refrigeration cycles used for the condensers. The methanol column reboiler operates within a temperature range of around 65 °C, for which we use a single-stage heat pump with cyclopentane as the working fluid. Such systems are characterized by high COP values for the given source and sink temperatures compared to other fluids. As a result, the COP values are around 3.5. For the glycerol column reboiler, which operates within a temperature range of around 140 °C, we employ a two-stage cascade heat pump as described in refs [43] and [44]. We use cyclopentane as the working fluid for the low-temperature stage, while water is used for the high-temperature stage, as suggested by ref [45]. The cascade structure enables the single stages to operate at different pressure and temperature ranges,

which are appropriate for the specific refrigerant. The COP values for this system are around 2. Additionally, all heat pumps are equipped with an internal heat exchange system, which reduces the mass flow of the working fluid and thereby improves the COP, as noted by refs [37] and [46]. Furthermore, the compression process is carried out in two steps with intercooling, which further enhances the COP and reduces the thermal stress on the compressors due to lower temperatures. ^{43,47} The temperature operating range of the FAME column reboiler is around 295 °C. We thus employ an internal electric coil with a power-to-heat efficiency of 99 % as a suitable heating source for such temperature values. ^{45,48,49}

Transesterifier To regulate the temperature inside the transesterifier, its jacket medium circulates through an external loop, with valves controlling the flow of hot or room-temperature water, as well as purging, depending on the desired heating or cooling mode. Heating is achieved using an electric coil, so the electrical power consumption of the transesterifier is attributed to the heating mode only. We model this by applying complementarity constraints (CCs) as follows:

$$\dot{Q} = \dot{Q}_{\rm h} - \dot{Q}_{\rm c} \,, \tag{1a}$$

$$\dot{Q}_{c} \cdot \dot{Q}_{h} = 0, \quad \dot{Q}_{c} \ge 0, \quad \dot{Q}_{h} \ge 0,$$
 (1b)

where $\dot{Q}_{\rm c}$ and $\dot{Q}_{\rm h}$ are the cooling and heating power demand, respectively. The overall power demand \dot{Q} depends on the process inputs and variables. We model the external loop of the transesterifier jacket medium by a heat exchanger through which the jacket medium changes its temperature by $\Delta T_{\rm Jacket}$. We thus control the cooling or heating modes via a single control loop with one manipulated variable.²²

Using (1b) to model the transesterifier heating duty in optimization results in mathematical programs with CCs, which are challenging for nonlinear program (NLP) solvers.^{50,51} To allow for the use of standard NLP solvers and DAE integrators, we thus reformulate (1b), using the Fischer-Burmeister function with the smoothing term ε ,^{51,52} to a smooth nonlinear complementary problem (NCP) function as follows:

$$\dot{Q}_{\rm c} + \dot{Q}_{\rm h} := \sqrt{\dot{Q}_{\rm c}^2 + \dot{Q}_{\rm h}^2 + \varepsilon} \,. \tag{2}$$

This NCP function is equivalent to an equality path constraint that can be incorporated directly into the integrator and solved along with the DAE system of the process model when using sequential optimization methods, unlike full discretization methods. In this work, we use single-shooting sequential approach to solve the implemented DO problems. Without the smoothing term ε , (2) leads to a nonsmooth DAE system, requiring special treatment for integration and sensitivity analysis. The value of ε should be sufficiently small to ensure accurate convergence of the DAE system to the exact solution, yet not overly small impeding the NLP solver's ability to explore the search space beyond the initial guess. 51,55

3. Process optimization for flexible operation using buffer tanks

In this section, we present the considered process configurations and optimizations for incorporating buffer tanks to enable flexible dynamic operation, along with the benchmark standard SS operation.

We first provide the general mathematical formulations of the implemented DO problems. Afterward, we discuss the approach for solving the SS optimization problem for the benchmark process design, as well as the DOs considered for different flowsheet configurations using the intermediate and final buffer tanks. For all considered optimizations, we provide the buffer tanks and process units included, process modifications, and all controls and constraints in Table 1.

3.1. Mathematical formulation

Based on the modeling approach and the smooth approximation (2) for the transesterifier heating duty, the developed process models for all considered optimizations are smooth DAE systems of index-1. Accordingly, we solve, in all considered process configurations, DO problems on a finite time horizon $\mathcal{T} = [t_0, t_f]$ of the following form:

$$\min_{\mathbf{u}, \mathbf{x}, \mathbf{y}} \Phi(\mathbf{u}, \mathbf{x}, \mathbf{y}, p_{\text{el}}, \mathbf{v}) = \int_{t_0}^{t_f} -L(\mathbf{u}(t), \mathbf{x}(t), \mathbf{y}(t), p_{\text{el}}(t), \mathbf{v}) \, dt,$$
(3a)

s.t.
$$\mathbf{M}\dot{\mathbf{x}}(t) = \mathbf{f}(\mathbf{u}(t), \mathbf{x}(t), \mathbf{y}(t), p_{\text{el}}(t), \mathbf{v}), \forall t \in \mathcal{T},$$
 (3b)

$$\mathbf{0} = \mathbf{g}(\mathbf{u}(t), \mathbf{x}(t), \mathbf{y}(t), p_{\text{el}}(t), \mathbf{v}), \forall t \in \mathcal{T},$$
(3c)

$$\mathbf{0} = \mathbf{h}(\mathbf{x}(t_0), \mathbf{y}(t_0), p_{\text{el}}(t_0), \mathbf{v}), \tag{3d}$$

$$\mathbf{0} \ge \mathbf{c}(\mathbf{u}(t), \mathbf{x}(t), \mathbf{y}(t), p_{\text{el}}(t), \mathbf{v}), \forall t \in \mathscr{T}, \tag{3e}$$

where $\mathbf{f}: \mathscr{X} \to \mathbb{R}^{n_x}$ and $\mathbf{g}: \mathscr{X} \to \mathbb{R}^{n_y}$ describe the DAE system of the process model with the non-singular and constant matrix $\mathbf{M} \in \mathbb{R}^{n_x \times n_x}$, while $\mathscr{X} := \mathbb{R}^{n_x} \times \mathbb{R}^{n_y} \times \mathbb{R}^{n_u} \times \mathbb{R} \times \mathbb{R}^{n_v}$. The initial conditions are indicated by $\mathbf{h}: \mathbb{R}^{n_x} \times \mathbb{R}^{n_y} \times \mathbb{R} \times \mathbb{R}^{n_v} \to \mathbb{R}^{n_x}$, and $\mathbf{c}: \mathscr{X} \to \mathbb{R}^{n_c}$ represents the path and endpoint constraints. We denote the control, state, and algebraic variables by $\mathbf{u}: \mathscr{T} \to \mathbb{R}^{n_u}$, $\mathbf{x}: \mathscr{T} \to \mathbb{R}^{n_x}$, and $\mathbf{y}: \mathscr{T} \to \mathbb{R}^{n_y}$, respectively. The predefined time-variant parameter, which is the electricity prices, is given by $p_{el}: \mathscr{T} \to \mathbb{R}$, while $\mathbf{v}: \mathscr{T} \to \mathbb{R}^{n_v}$ are the predefined time-invariant parameters, which are the production rate demands and material prices. The initial and final times are denoted by $t_0 \in \mathbb{R}$ and $t_f \in \mathbb{R}$, respectively. The objective function Φ generally consists of the operating profit $L: \mathscr{X} \to \mathbb{R}$, but depending on the considered optimization case, L can consist of the operating costs only or the power consumption instead of the cost. In the following sections, we define L for each of the considered process configurations and the corresponding optimization problems.

3.2. Steady-state optimization via dynamic terminal-state optimization

We consider here the base case that we use for comparison purposes, which corresponds to a standard process design leading to a SS optimization. In this process configuration, all buffer tanks in the flow-sheet in Figure 1 are excluded. All controls, which are depicted by the blue arrows, are considered except for the InterTankRSR, InterTankB, and InterTankG outlet molar flow rates ($\dot{n}_{InterTankRSR}$, $\dot{n}_{InterTankB}$, and $\dot{n}_{InterTankG}$, respectively). Also, the CoolerOil unit and the flow rate equality constraints after InterTankRSR and TankOil are excluded here. We denote by OptSS (optimal steady-state operation) the SS optimization case.

We aim to determine an optimal constant operation benchmark that produces the required production

rates of biodiesel and glycerol, and satisfies all operational requirements while minimizing power consumption and material costs and maximizing product revenues. To achieve this, we could set the right-hand side of (3b) of the DAE system in (3) equal to zero and solve the resulting SS optimization problem. However, we use here an alternative approach via optimizing the dynamic terminal-state of the DAE system, which is obtained by integrating for an extended period and using constant control variables. This approach is considered more robust in the literature, e.g., refs [56] and [57]. Therefore, the controls \mathbf{u} in (3) are considered constant in the SS optimization. Moreover, $p_{\rm el}$ is excluded from (3a) since the results are independent of any specific electricity price profile. Instead, a fixed price $p_{\rm el, fixed}$ is utilized, representing the average electricity price over the considered time horizon. In this case, L is defined as:

$$L(t) = \sum_{i=1}^{n_{\text{Prod}}} \dot{m}_i(t) v_i - p_{\text{el, fixed}} W_{\text{Tot}}(t) - \sum_{j=1}^{n_{\text{Feed}}} \dot{m}_j(t) v_j,$$
(4a)

$$W_{\text{Tot}}(t) = W_{\text{Trans}}(t) + W_{\text{Mc}}(t) + W_{\text{Fc}}(t) + W_{\text{Gc}}(t) + W_{\text{WMc}}(t),$$
 (4b)

where \dot{m}_i and \dot{m}_j indicate the production and consumption rates of products and feeds, with the corresponding specific material prices, denoted by v_i and v_j , respectively. The n_{Prod} products are biodiesel, glycerol, solids, and purified methanol by the water-methanol column (cf. Figure 1 and Section 2.2.5). The n_{Feed} feeds are vegetable oil, methanol, base mixture, and acid mixture. The total power demand is given by W_{Tot} . The power demands of the transesterifier, methanol, FAME, glycerol, and water-methanol columns are indicated by W_{Trans} , W_{Mc} , W_{Fc} , W_{Gc} , W_{WMc} , respectively.

We use a final time t_f of two days, which is sufficiently large for the DAE system to obtain a new quasi SS, starting at the initial DAE state defined by (3d). We selected the time horizon by forward simulation of the model, ensuring that a SS is obtained. By optimizing the terminal-state of the DAE system using constant controls, we obtain one feasible SS solution. However, there may be multiple solutions, and the found one may not necessarily be stable. Therefore, we perform a stability check by linearizing the DAE system at the found SS solution and using the indirect method of Lyapunov. We observe that all the real parts of the eigenvalues of the linearized system matrix are negative, indicating that the DAE system is stable at the found SS solution.

3.3. Process configurations and dynamic optimization for flexible operation

To enable flexible process operation, we add final and/or intermediate buffer tanks. We aim to dynamically operate the process by solving (3) for the different buffer tank configurations while producing the same amount of biodiesel and glycerol as the SS operation benchmark within the considered time horizon. The controls \mathbf{u} are time-variant variables, and $p_{\rm el}$ is kept in (3a). Accordingly, the operating profit L is defined as follows:

$$L(t) = \sum_{i=1}^{n_{\text{Prod}}} \dot{m}_i(t) v_i - p_{\text{el}}(t) W_{\text{Tot}}(t) - \sum_{j=1}^{n_{\text{Feed}}} \dot{m}_j(t) v_j.$$
 (5)

First, we discuss the configuration of adding final buffer tanks exclusively, before moving on to the use of intermediate tanks. Furthermore, in Section 3.3.3, we demonstrate how intermediate buffer tanks enable the implementation of distributed optimization as an alternative to centralized monolithic approaches,

potentially enhancing the computational efficiency of optimization problem solving. Additionally, flexibility potential exists not only in production rates but also in the purity of final products. In Section 3.3.4, we elaborate on how product purity specification can be used to achieve more process flexibility, particularly for the glycerol product.

3.3.1. Process flexibilization via final buffer tanks only

As shown in Figure 1 and Table 1, we include in this case only the two final buffer tanks, namely FinalTankB and FinalTankG, in the process flowsheet. All intermediate buffer tanks are excluded here. Final buffer tanks are essential when aiming for flexible operation while simultaneously meeting specific production demands, such as biodiesel and glycerol production in our study. We solve (3) for this configuration, investigating the operational flexibility that can be achieved through the use of final buffer tanks only. The operating profit L is defined here as in (5). We represent the optimization for this case as FOwFinalTank-CO (flexible operation with final tanks - centralized optimization).

We impose additional endpoint constraints for the liquid levels in FinalTankB and FinalTankG (cf. Table 1) to guarantee that the optimizer does exploit the initial holdups in the tanks to satisfy production demands. Therefore, the liquid levels have to be maintained at their initial values at the end of the time horizon. Our analysis aims to investigate the production flexibility of both biodiesel and glycerol products while ensuring that their respective demands are met.

3.3.2. Process flexibilization via final and intermediate buffer tanks

Various unit operations have different potentials for flexibility, depending on their operational requirements and positions in the process. For instance, a unit operation's ability to be flexible in production, and thus in its power consumption, may be limited by downstream processes. In particular, liquid level limits in downstream processes may impede the production flexibility potentials of upstream processes. As a solution, the incorporation of additional intermediate buffer tanks can decouple the dynamics between process parts and consequently render full exploitation of the production flexibility of the overall process.

In this work, the output production rate of the methanol column bottom is restricted by the liquid level limits in the downstream processes, notably, the decanter and columns. Thus, we incorporate the buffer tank InterTankRSR to realize the full potential of production flexibility for the methanol column (cf. Figure 1 and Table 1). Consequently, the outlet flow rate of InterTankRSR is a new control variable in the DO problem.

Furthermore, to enable varying the production rates through the other downstream power-consuming units, namely the FAME and glycerol columns, we include the buffer tanks, InterTankB and InterTankG, respectively. As a result, the outlet flow rates of these tanks need to be manipulated, making them new controls in the DO problem (cf. Figure 1 and Table 1). For all intermediate buffer tanks, we add endpoint constraints for their liquid levels as well as for the purities of their content species (cf. Table 1) to ensure that the state at the final time is equal to its initial value.

We denote the optimizer that solves (3), where the operating profit L is defined as in (5), for the entire process including all intermediate buffer tanks by FOwInterTank-CO (flexible operation with intermediate and final tanks - centralized optimization). In this case, the convergence of the NLP solver is sensitive to the initial guess and the scaling of controls and constraints. In DO problems with large-scale DAE systems, the optimization algorithm is particularly susceptible to encountering ill-conditioning issues, which adversely impact convergence. Utilizing distributed optimizers for different parts of the process leads to DO problems with smaller DAE systems, thus, reducing the number of variables that the user needs to initialize and scale. Consequently, the optimization algorithm is less prone to non-convergence and is computationally more efficient.

3.3.3. Process flexibilization via buffer tanks and distributed optimization

In addition to fully exploiting production flexibility, the added intermediate buffer tanks can also facilitate the implementation of multiple distributed optimizers for different process parts, rather than relying on a centralized monolithic optimizer for the entire process. Given the high computational cost and convergence challenges associated with large-scale DO problems, we propose another optimization strategy for employing intermediate buffer tanks. We introduce an additional buffer tank for the residual oil recycle (cf. Figure 1 and Table 1), and employ three distributed optimizers instead of a centralized monolithic one.

By introducing an additional buffer tank, TankOil, and the water-operating cooler, CoolerOil, for the residual oil recycle, we fix its flow rate and temperature, thereby, allowing to tear this recycle stream (cf. Figure 1 and Table 1). Consequently, we can decouple the upstream processes of the InterTankRSR tank from its downstream processes. However, to enable this, we need additional constraints. Specifically, we constrain the outlet flow rates of InterTankRSR and TankOil tanks to fixed flow rates and impose path constraints on the species purities of the InterTankRSR outlet (cf. Table 1). Therefore, we can implement the three optimizers FOforRSR-DO, FOforB-DO, and FOforG-DO (flexible operation for the reaction-separation-recycle/biodiesel/glycerol process - distributed optimization), as shown in Figure 1. Collectively, we refer to them as FOwInterTank-DO (flexible operation with intermediate and final tanks - distributed optimization). This approach involves solving three DO problems of (3), with smaller DAE systems, leading to fewer non-convergence issues and less computational cost. However, it is important to note that these additional constraints are restrictive. Notably, fixing the flow rate of the residual oil recycle stream results in less efficient material consumption of the oil feed. This increases the overall material costs compared to the FOwInterTank-CO case where we have full degrees of freedom. In Section 6, we examine whether these restrictions are significant. The operating profits for the three problems are given by:

$$L_{\text{FOforRSR-DO}}(t) = -p_{\text{el}}(t) \left(W_{\text{Trans}}(t) + W_{\text{Mc}}(t) \right) - \sum_{j=1}^{n_{\text{Feed, FOforRSR-DO}}} \dot{m}_j(t) v_j, \tag{6a}$$

$$L_{\text{FOforB-DO}}(t) = \dot{m}_{\text{B}}(t)v_{\text{B}} - p_{\text{el}}(t)W_{\text{Fc}}(t), \tag{6b}$$

$$L_{\text{FOforG-DO}}(t) = \sum_{i=1}^{n_{\text{Prod, FOforG-DO}}} \dot{m}_i(t) v_i - p_{\text{el}}(t) \left(W_{\text{Gc}}(t) + W_{\text{WMc}}(t) \right), \tag{6c}$$

such that the acid feed is not included in $L_{\text{FOforRSR-DO}}$; B indicates biodiesel; and the products in $L_{\text{FOforG-DO}}$ are glycerol, solids, and purified methanol by the water-methanol column.

It is worth noting that the additional TankOil serves the sole purpose of facilitating the decoupling of process parts and enabling distributed optimizations. Consequently, it does not provide any additional flexibility benefits for the centralized monolithic optimization case. In our process, the two recycle streams from the methanol and FAME columns are already buffered within the transesterifier, rendering intermediate buffer tanks unnecessary for these streams. Furthermore, the presence of TankOil is a practical necessity. Without it, achieving the decoupling of various process sections (by decoupling their dynamics) and fixing the residual oil recycle stream would be unattainable. Essentially, the considered distributed optimization approach relies on the existence of TankOil.

The distributed optimization approach we employ is based on a sequential algorithm, wherein only the downstream processes are influenced by the upstream ones, and not vice versa. In a sequential algorithm, subproblems are addressed in a predetermined order. After the optimization of the initial subproblem is completed, the results are shared with the subsequent subproblems. Subsequent subproblems are constrained by the fixed solutions obtained from the previous subproblems. Additionally, we utilize independent, non-cooperative algorithms, where each subproblem seeks to minimize an individual cost function, focusing solely on its specific objective. In our case, FOforRSR-DO remains unaffected by the output of FOforB-DO due to the fixed recycle stream of residual oil. In contrast, both FOforB-DO and FOforG-DO receive as inputs the outlet streams determined by FOforRSR-DO. Additionally, FOforB-DO and FOforG-DO are concurrently addressed once FOforRSR-DO is finalized. As a result, the utilization of parallel computing for solving FOforB-DO and FOforG-DO holds the potential for significant benefits, particularly when considering the real-time application of optimal control strategies in a moving-horizon fashion, such as model predictive control.

3.3.4. Flexible purity production

Enforcing the purity constraints of the final products at the outlet streams of the buffer tanks, Final-TankB and FinalTankG, enables the flexibilization of the produced product purities at their inlet streams. Specifically, a higher degree of freedom is given to the optimizer to vary the purity at the buffer tank inlet streams while satisfying its requirements at the outlet side. Producing higher purities in distillation columns is associated with higher power consumption. As a result, flexibilizing the produced purities of biodiesel and glycerol products leads to additional savings in energy costs. Thus, we impose the required purity limits for both biodiesel and glycerol products at the outlet streams of the corresponding final buffer tanks. However, flexibility in purity production is more significant when having purity limits for a single species only. That is the case for the glycerol product in our study, where we only control glycerol species purity. In contrast, there are, practically, purity limits for FAME, methanol, water, glycerol, and monoolein for the biodiesel product.

4. Operational scenario

Here, we introduce the considered demand-response scenario for all optimization cases, that is, how we define $p_{el}(t)$ and \mathbf{v} . We conduct simulations over a time horizon of one day, during which constant

Table 1: Summary of the included buffer tanks and units, process modifications, control variables, and operational constraints for the implemented process configurations. Due to the thermal degradation limits of biodiesel and glycerol products, the maximum temperatures in the FAME and glycerol column reboilers are 300 °C and 150 °C, respectively. The maximum temperature changes of the transesterifier jacket medium ΔT_{Jacket} are limited to ± 10 °C. Time-variant is indicated by TV. We denote by LL and EC the liquid levels and equality constraints, respectively. Purities are in kg kg⁻¹.

	OptSS	FOwFinal- Tank-CO	FOwInter- Tank-CO	FOwInter- Tank-DO
Additional process units and modifications				
FinalTankB and FinalTankG InterTankRSR, InterTankB, and InterTankG TankOil and CoolerOil Tearing the residual oil recycle stream		✓ _ _ _	√ <u>√</u> _	√ √ √
Controls	Constan	t TV	TV	TV
$\begin{array}{l} \dot{n}_{\mathrm{Oil}} \left[\mathrm{kmol} \mathrm{h}^{-1} \right] \\ \dot{n}_{\mathrm{MeOH}} \left[\mathrm{kmol} \mathrm{h}^{-1} \right] \\ \dot{n}_{\mathrm{Base}} \left[\mathrm{kmol} \mathrm{h}^{-1} \right] \\ \dot{n}_{\mathrm{TransOut}} \left[\mathrm{kmol} \mathrm{h}^{-1} \right] \\ \Delta T_{\mathrm{Jacket}} \left[\mathrm{K} \right], \mathrm{limited} \mathrm{to} \pm 10 ^{\circ} \mathrm{C} \\ T_{\mathrm{sp,MeOHcol}} \left[\mathrm{K} \right] \\ T_{\mathrm{sp,FAMEcol}} \left[\mathrm{K} \right], \mathrm{upper} \mathrm{bound} \mathrm{of} 300 ^{\circ} \mathrm{C} \\ T_{\mathrm{sp,GLYcol}} \left[\mathrm{K} \right], \mathrm{upper} \mathrm{bound} \mathrm{of} 150 ^{\circ} \mathrm{C} \\ \dot{n}_{\mathrm{InterTankRSR}} \left[\mathrm{kmol} \mathrm{h}^{-1} \right] \\ \dot{n}_{\mathrm{InterTankB}} \left[\mathrm{kmol} \mathrm{h}^{-1} \right] \end{array}$	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	 ✓ ✓ ✓ ✓ ✓ ✓ 	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	√ √ √ √ √
$\dot{n}_{\text{InterTankG}} \left[\text{kmol h}^{-1} \right]$	_	_	✓	✓
Path and endpoint constraints				
Transesterifier LL [m] FinalTankB and FinalTankG LL [m] InterTankRSR, InterTankB, and InterTankG LL [m] TankOil LL [m] InterTankRSR content purities	✓ — — —	√ √ — —	√ √ —	√ √ √ √
Path constraints				
Decanter and neutralizer LL [m] Columns: reboilers, distillate drums, and trays LL [m] EN 14214 ²⁴ biodiesel purities 99 wt% glycerol purity Biodiesel production demand [kgh ⁻¹] (EC) Glycerol production demand [kgh ⁻¹] (EC) InterTankRSR outlet [kgh ⁻¹] (EC) TankOil outlet [kgh ⁻¹] (EC)	√ √ √ √ —	√ √ √ √ —	√ √ √ √ —	
Endpoint constraints				
Transesterifier content purities InterTankRSR, InterTankB, and InterTankG content purities	_	<u>√</u>	√ √	✓ ✓

production demands of 20 th⁻¹ for biodiesel and 2.12 th⁻¹ for glycerol are required. We use historical electricity price data from the German day-ahead spot market for September 3, 2022,⁵⁸ which is depicted in Figure 2. We use constant prices for raw materials and final products, which are provided in the SI. For all DO problems, we discretize the control variables at an equidistant interval of one hour, while the constraints are discretized at 30-minute intervals.

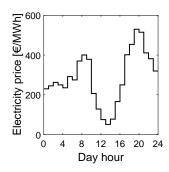


Figure 2: German day-ahead electricity prices for September 3, 2022.⁵⁸

5. Implementation

We solve all optimization problems to local NLP convergence with direct single-shooting^{8,54} using our open-source framework DyOS (Dynamic Optimization Software).⁵⁹ Using NIXE (NIXE Is eXtrapolated Euler)⁶⁰ as a DAE integrator and SNOPT (Sparse Nonlinear OPTimizer)⁶¹ as an NLP, the DO problems are solved sequentially. The Modelica model is coupled to DyOS as a Functional Mockup Unit (FMU)⁶² generated by Dymola.⁶³ As FMU only supports ODEs, Dymola performs numerical reduction and symbolic reformulation of the DAE system to provide an FMU. In addition, we use Dymola for model linearization and for calculating the eigenvalues of the linearized system matrix. We set the DAE integrator, NLP feasibility, and optimality tolerances to 10^{-4} .

6. Results and discussion

We present production rates and the total power demand results for all the considered process configurations first, before we discuss how the buffer tanks are utilized to enhance the production and, thus, power consumption flexibility. Afterward, we demonstrate how glycerol product purity can vary based on energy costs. We also evaluate the economic performance of the considered optimizations and compare them with the SS operation benchmark OptSS. Lastly, we compare the computational performance of FOwInterTank-DO and FOwInterTank-CO. We provide additional results in the SI, including profiles for all controls, production rates, tank levels, and product purities.

6.1. Production rate and power demand

The biodiesel production rates are presented in Figure 3a for all considered process configurations. We observe that all DO optimizations, as compared to OptSS, enable production flexibility based on electricity prices. We see how the production rate profiles are opposite to that of the electricity prices. The DO optimizers promptly increase the production rates to move away from the nominal starting point due to lower electricity prices during this period. Subsequently, the production rates are adjusted according

to prices to optimize the operating profit while adhering to operational constraints, particularly level limits. The intermediate buffer tanks provide significant additional flexibility, as evident from the profiles of FOwInterTank-CO and FOwInterTank-DO compared to that of FOwFinalTank-CO. Notably, in our case, the upper limits of production rates are increased, e.g., during the period between 10 and 17. On the other hand, FOwFinalTank-CO cannot fully leverage the low prices or always maintain production rates at minimum levels during high prices. During periods around 8, 10, and 23, FOwFinalTank-CO operates at high production rates, even exceeding the nominal rate, despite high prices. In contrast, FOwInterTank-CO and FOwInterTank-DO maintain the production rate at its minimum operating limit. In addition, the production in FOwInterTank-DO is identical to that of FOwInterTank-CO, indicating that the use of distributed optimizers rather than a centralized monolithic has a similar flexibility potential. Moreover, by comparing the profiles of FOwFinalTank-CO with those of FOwInterTank-CO and FOwInterTank-DO, it is evident that the dynamics in the latter cases are buffered due to the intermediate buffer tanks.

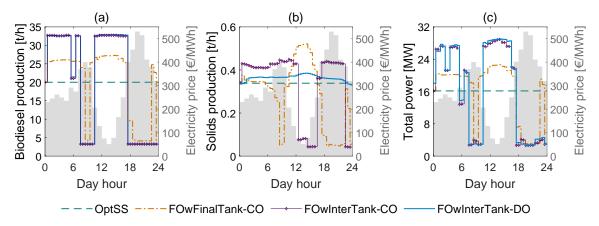


Figure 3: Production rates and total power demand for all optimizers. (a): biodiesel production rates; (b): solids production rates; (c): total power consumption rates. The shaded areas illustrate the electricity price profile, which corresponds to the secondary axes.

The production rate profiles for the glycerol product and water-methanol waste (both provided in the SI) are similar to that of biodiesel. However, during periods of increased production (e.g., between 10 and 17), more water enters the glycerol purification section for FOwInterTank-DO compared to the other DO cases. This is due to the additional purity constraints on the InterTankRSR outlet stream that are needed when separating the upstream processes of InterTankRSR from the downstream ones. Therefore, during periods of increased production, higher amounts of water-methanol waste are produced for FOwInterTank-DO compared to FOwFinalTank-CO and FOwInterTank-CO, resulting in higher power demands for both glycerol and water-methanol columns.

Figure 3b shows the production rates of solids, the product obtained from the filter unit. Although the produced amount is relatively insignificant compared to the primary products, it provides insights into how the buffer tanks affect the process dynamics, especially the InterTankRSR. For FOwFinalTank-CO, the production rate is similar to that of biodiesel since this case only considers the final buffer tanks. However, for FOwInterTank-CO, the production rate is the opposite and follows the electricity price profile. The solids product is a downstream product directly after the InterTankRSR, without any buffer

tanks in between. In FOwInterTank-CO, all intermediate buffer tanks have full degrees of freedom, enabling InterTankRSR to deliver high flow rates to the downstream buffer tanks, InterTankB, and InterTankG, during periods of high prices, and vice versa. On the other hand, InterTankB and InterTankG operate oppositely as they are responsible for achieving flexible production rates for biodiesel and glycerol, respectively, as shown in Figure 3a. Therefore, before the prices increase, InterTankRSR fills these tanks, explaining the high flow rates of the solids product during high prices. During low-price periods, the outlet stream of InterTankRSR decreases, allowing InterTankRSR to refill while InterTankB and InterTankG are not utilized as much as during high prices. Section 6.2 provides further elaboration on the buffer tank utilization. Conversely, for FOwInterTank-DO, the solids production rate is mostly unaffected by the flexibilization of the other process parts. When using distributed optimizers and fixing the outlet flow rate of InterTankRSR, all process units between InterTankRSR and its downstream buffer tanks, InterTankB and InterTankG, operate almost constantly. Slight variations in the production rates occur due to changes in the species purities of the InterTankRSR outlet. As those species purities are not fixed, the outlet streams from the decanter vary according to their degree of separation. It is noteworthy how distributed optimizers can decouple the dynamics of various process parts using intermediate buffer tanks, allowing different parts of the process to operate at varying degrees of flexibility.

The total power consumption rates are illustrated in Figure 3c, which resemble the biodiesel production rate profiles. Additionally, we see how FOwInterTank-CO and FOwInterTank-DO decrease the power consumption rates at periods around 3 and 6, as compared to FOwFinalTank-CO. This again demonstrates the effectiveness of intermediate buffer tanks in exploiting slight variations in prices. We provide the power demand profiles of all unit operations in the SI.

Table 2: Quantitative evaluation of the improved production rate flexibility across the various process configurations under consideration. For the three process parts, this assessment presents their corresponding minimum and maximum achievable production rates: the methanol column bottom, biodiesel product, and glycerol product. These values are compared with the corresponding nominal values in the SS operation. Relative differences between these values and those of the SS operation are presented in parentheses.

	OptSS	FOwFinal	Tank-CO	FOwInter'	Tank-CO	FOwInter	Tank-DO
Production rate [th ⁻¹]		min	max	min	max	min	max
Bottom of methanol column	24.55	4.82 (-80%)	32.56 (33 %)	4.68 (-81 %)	45.5 (85 %)	4.71 (-81 %)	46.53 (89 %)
Biodiesel	20	4.03 (-80%)	27.28 (36 %)	3.25 (-84 %)	32.75 (64 %)	3.24 (-84 %)	32.9 (64 %)
Glycerol	2.12	0.44 (-79 %)	2.9 (37 %)	0.44 (-79 %)	4.41 (108 %)	0.44 (-79 %)	4.41 (108 %)

For the three process parts, Table 2 provides the production rates of their respective products, namely, the methanol column bottom, biodiesel product, and glycerol product. We compare their minimum and maximum achievable values to the corresponding values in the SS operation. This analysis enables a quantitative assessment of the extent of flexibility improvement within the examined process configurations for flexible operation. The results underscore the substantial impact of intermediate buffer tanks

on augmenting production flexibility, primarily in the direction of increasing production rates. Notably, differences between configurations employing intermediate buffer tanks and that relying solely on final tanks are relatively modest when examining reductions in production rates. Moreover, we observe that the potential for reducing production rates in the three columns is similar. However, in terms of increasing production rates, the glycerol column demonstrates a notably higher degree of flexibility compared to the other columns, particularly when intermediate tanks are utilized.

6.2. Buffer tank levels

The liquid levels and controls of InterTankRSR, InterTankB, and FinalTankB in Figure 4 demonstrate how the final and intermediate buffer tanks enable high degrees of production flexibility. In Figure 4a, FinalTankB levels increase when electricity prices are low and decrease otherwise. The tank holdup is utilized during high-price periods to ensure that the required biodiesel demand is met, despite the decrease in production rates (Figure 3a). The changes in the levels are steeper for FOwInterTank-CO and FOwInterTank-DO than for FOwFinalTank-CO, highlighting the additional flexibility that intermediate buffer tanks provide.

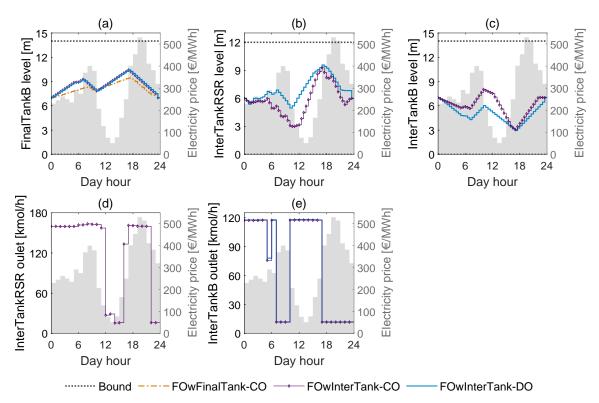


Figure 4: (a), (b), and (c) show the liquid levels in FinalTankB, InterTankRSR, and InterTankB, respectively. The control variables for InterTankRSR and InterTankB, which are the outlet flow rates, are shown in (d) and (e), respectively. For FOwInterTank-DO, the InterTankRSR outlet flow rate is an equality constraint. Therefore, only FOwInterTank-CO has it as a control variable. The secondary axes correspond to the electricity prices, which are depicted in shaded areas.

For the glycerol product, the profiles for FinalTankG (provided in the SI) resemble those of FinalTankB. In contrast, InterTankB (Figure 4c) or InterTankG (figure is in the SI) exhibit the opposite behavior of final tanks. These intermediate tanks supply the required flow rates for downstream units to operate at the desired capacity. Therefore, they are utilized during low-price periods and filled during high-price

periods. For example, during the period between 10 and 17, InterTankB is used to operate at high outlet flow rates (Figure 4e) so that the FAME column operates at maximum capacity. Consequently, the levels in InterTankB decrease while they increase in FinalTankB.

Unlike InterTankB or InterTankG, InterTankRSR is utilized similarly to the final tanks. It is filled during low-price periods and utilized otherwise (Figure 4b). InterTankRSR fills InterTankB and InterTankG before low-price periods so that the latter tanks are utilized during high-price periods. This is evident from the InterTankRSR control variable profile (only in the FOwInterTank-CO case), which shows high flow rate values during high-price periods and vice versa (Figure 4d).

6.3. Flexible purity production

Figure 5 shows the variability of the produced purity of the glycerol product, which enables additional operational flexibility. The figure depicts the purities before and after FinalTankG, as well as the reboiler temperature setpoint of the glycerol column, which is an optimization control variable. The temperature setpoints increase during low-price periods and decrease otherwise for all DO cases (Figure 5a), resulting in added power demand flexibility. During periods of lowest price, specifically between 10 and 17, the temperature setpoints reach their maximum allowable limit (glycerol thermal degradation limit) to maximize the power demands of the glycerol column during those periods. This leads to higher glycerol purities being produced and stored in FinalTankG (Figure 5b). On the other hand, lower purities are produced during high-price periods and mixed with the higher-purity content in FinalTankG, resulting in glycerol being delivered at the tank outlet with purities above the required limit (Figure 5c). The purity profiles at the inlet of FinalTankG (Figure 5b) mirror the reboiler temperature profiles, particularly how they decrease and then increase during the period of highest prices, i.e., between 17 and 24. During this period, the purities decrease to below 97.5 wt% (for FOwInterTank-DO), while they remain above the minimum limit at the FinalTankG outlet (Figure 5c).

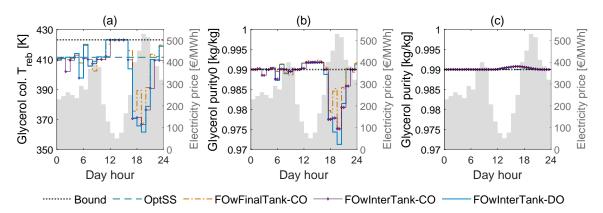


Figure 5: (a) shows the temperature setpoint of the glycerol column reboiler, which is an optimization control variable. (b) and (c) illustrate the glycerol purity in the inlet and outlet streams of FinalTankG, respectively. It can be observed from (b) that lower purities are produced during high-price periods and vice versa, while the delivered product purity (in (c)) meets the minimum limit of 99 wt%. The profile of the electricity prices is represented by the shaded area, which corresponds to the secondary axis.

Furthermore, we observe that the purities at the FinalTankG outlet around 17 are not at the minimum limit (Figure 5c), in contrast to other periods. Just before this period, i.e., between 10 and 17, the purities

at the inlet reach their highest operational limit (due to temperature limit), resulting in slightly higher purities at the outlet during the period around 17. Consequently, during the next period when the prices are high (between 17 and 24), the tank inlet purities can be minimized while maintaining the outlet purities above the minimum limit.

6.4. Economic evaluation

In Table 3, we present the total operating profits, energy costs, and material costs for all optimization case studies. The energy costs for three parts of the process are provided: RSRprocess (reaction-separation-recycle process), which includes the transesterifier and methanol column, Bprocess (biodiesel process) for the FAME column, and Gprocess (glycerol process) for the glycerol and water-methanol columns. Additionally, all costs and profits for the DOs are given relative to the SS optimization benchmark.

Table 3: Total operating profit, and energy and material costs for each optimizer. Energy costs for different parts of the process are given. RSRprocess energy includes the transesterifier and the methanol column power demands. Bprocess energy indicates the FAME column power demand, while Gprocess energy indicates that of the glycerol and downstream water-methanol columns. The economic performance (savings) relative to the SS operation case, OptSS, is given in parentheses.

	OptSS	FOwFinalTank- CO	FOwInterTank- CO	FOwInterTank- DO
RSRprocess energy cost [k€]	11.4	10.4 (9.3 %)	9 (22 %)	8.2 (28 %)
Bprocess energy cost [k€]	77.8	64.6 (17 %)	56.4 (28 %)	56.5 (27 %)
Gprocess energy cost [k€]	22.3	14.4 (36 %)	14.4 (36 %)	16.1 (28%)
Total energy cost [k€]	111.5	89.4 (20%)	79.8 (29 %)	80.8 (28 %)
Total material cost [k€]	563.9	556.8 (1.3 %)	556.3 (1.4%)	562.9 (0.2 %)
Total profit [k€]	639.8	667 (4.3 %)	678.6 (6.1 %)	672.4 (5.1 %)

We find that FOwFinalTank-CO incurs 20 % less total energy cost relative to OptSS, while FOwInterTank-CO and FOwInterTank-DO result in 29 % and 28 % less total energy cost, respectively. The total energy cost savings are similar for the implementation of distributed optimizers FOwInterTank-DO compared to the centralized monolithic FOwInterTank-CO. However, there are differences in the savings for different process parts, particularly for the RSRprocess and Gprocess. We observe that tearing the recycle oil stream and fixing its flow rate, while imposing purity limits on the InterTankRSR outlet and also fixing its flow rate, leads to less power consumption in the methanol column for FOwInterTank-DO compared to FOwInterTank-CO. However, as explained in Section 6.1, more water entering the glycerol purification process for FOwInterTank-DO results in additional power consumption in the glycerol and water-methanol columns. This explains the higher energy cost incurred for FOwInterTank-DO compared to FOwInterTank-CO and FOwFinalTank-CO.

A comparison of the RSRprocess energy costs for FOwFinalTank-CO with that of FOwInterTank-DO and FOwInterTank-CO reveals significant differences in terms of savings, emphasizing the additional operational flexibility that InterTankRSR offers for the methanol column. In the case of Bprocess, the savings for both FOwInterTank-CO and FOwInterTank-DO are significantly higher than FOwFinalTank-CO, indicating the added flexibility of InterTankRSR when combined with InterTankB. It is worth noting that the intermediate tanks do not lead to additional energy savings for the Gprocess, as demonstrated by the comparison of energy costs between FOwFinalTank-CO and FOwInterTank-CO.

We find only slight savings in material costs for the DO cases compared to the SS benchmark, which is expected since the material prices remain unchanged. However, when comparing FOwInterTank-DO to FOwFinalTank-CO or FOwInterTank-CO, we observe fewer material cost savings for FOwInterTank-DO due to the restrictive consideration of tearing the recycle oil stream and fixing its flow rate. Fixing the recycle stream results in less efficient utilization of the expensive oil raw material, which is also the process throughput manipulator. Thus, the additional material cost incurred for FOwInterTank-DO can be explained by the less efficient use of the oil feed.

After analyzing the total operating profits, it is evident that material costs exceed energy costs, resulting in considerably lower additional profits by an order of magnitude, as compared to energy costs savings. We also observe that FOwInterTank-DO results in 1 % less additional profit (relative to OptSS) compared to FOwInterTank-CO. In Section 6.5, we compare the computational costs and comment on the NLP solver convergence for FOwInterTank-CO and FOwInterTank-DO assessing the significance of this 1 % reduction in savings.

Furthermore, it is noteworthy that when undertaking economic comparisons between the considered configurations, the inclusion of buffer tanks may influence the overall cost, particularly when factoring in the investment cost associated with these additional tanks. The process designs for configurations considering flexible operation differ from the SS counterpart solely by the inclusion of the buffer tanks. Moreover, these process designs exhibit differences among themselves based on the incorporation of these tanks. Consequently, a more comprehensive analysis, encompassing the capital costs involved in integrating these buffer tanks, might be necessary for a more precise comparison. Nevertheless, it is important to emphasize that such storage tanks are typically cost-effective and easily integrable into chemical plants, making the anticipated payback period for this investment relatively short.

6.5. Solution times

We provide the CPU times required to solve the DO problems under consideration in Figure 6. A comparison of the solution times for FOwFinalTank-CO and FOwInterTank-CO reveals that the addition of intermediate buffer tanks and implementation of a centralized monolithic optimizer results in a 108 % increase in solution time. On the other hand, the total solution time for FOwInterTank-DO is 85 % and 93 % less than that of FOwFinalTank-CO and FOwInterTank-CO, respectively, highlighting the effectiveness of implementing distributed optimizers and solving DO problems with smaller-scale DAE systems in terms of computational cost. Notice that FOforRSR-DO must be solved before FOforB-DO and FOforG-DO, which are solved in parallel afterward.

Furthermore, implementing a centralized monolithic optimizer for the entire process leads to DO problems with large-scale DAE systems. NLP convergence for such problems, particularly for FOwInterTank-CO, is highly sensitive to the initial guess and variable scaling, resulting in ill-conditioning issues and consequently non-convergence. However, for the FOwInterTank-DO distributed optimizers, the NLP solvers converge robustly and are easier to initialize and scale due to the significantly smaller DAE systems involved in their DO problems.

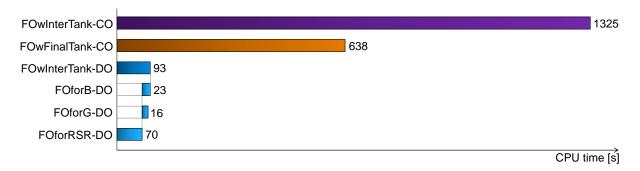


Figure 6: CPU times for solving the DO problems of the considered optimizers. After solving FOforRSR-DO, FOforB-DO and FOforG-DO run in parallel. Collectively, these three optimizers are referred to as FOwInterTank-DO.

Therefore, although the FOwInterTank-DO yields 1% less addition in the total operating profit than FOwInterTank-CO, it is preferable to implement the former, especially for online applications, due to the significant reduction in solution time and the easier convergence of the NLP solvers in its DO problems.

7. Conclusion

We investigate the operational flexibility potential of electrified biodiesel production by proposing different process configurations using buffer tanks and solving offline DO problems. The examined process comprises reaction, separation, and recycle components, indicating the application potential of its operational flexibility for a broad range of chemical processes. By incorporating both intermediate and final buffer tanks, we fully exploit the flexibility potential of the process, leading to total energy savings of up to 29 % relative to the SS benchmark. Furthermore, we demonstrate that intermediate buffer tanks facilitate the implementation of distributed optimization, resulting in superior computational performance. Nonetheless, it is essential to acknowledge that different electricity price profiles may lead to varying energy cost savings, particularly given the considerable electricity price fluctuations in our case study. While the considered dynamic operation methods and the concept of incorporating buffer tanks for maximizing flexibility potential and facilitating distributed optimization may not directly transfer to all chemical processes, our study underscores that when electrifying chemical processes with renewable electricity, conventional SS operation may no longer be the most suitable approach. Instead, novel operational strategies based on flexibility through dynamic operation may become the new paradigm for chemical plant operations. Achieving this shift requires the use of advanced modeling and optimization techniques to implement suitable control strategies. Furthermore, reevaluating design considerations becomes crucial to enhance flexibility and fully realize the potential of dynamic operation.

It is worth noting that flexible operation can reduce the operational lifespan of process equipment and potentially lead to increased maintenance requirements. This is particularly evident in the case of mechanically-driven units, such as compressors, pumps, and valves, which may experience shorter operational lifespans. In our study, we do not consider the influence of dynamic operation on the durability of process equipment. Furthermore, we perform the sizing of process units and buffer tanks heuristically and based on literature findings. Alternatively, one could formulate these also as optimization

variables/problems to be solved alongside the DO problems for flexible operation. This would involve employing stochastic programming and presents an interesting avenue for future work. Decisions regarding the sizing and configuration of process units typically demand longer time horizons than the one considered in our study. However, extending the time horizon can complicate the optimization problem significantly, rendering it intractable. In such scenarios, the utilization of stochastic programming in conjunction with distributed optimization, while considering a select set of representative electricity price profiles for a specific time period, could potentially provide a promising solution. Nevertheless, it is important to acknowledge that addressing this aspect might entail handling considerably large problem sizes due to the necessity of considering multiple operational scenarios, particularly for electricity price profiles.

Although our current process design lacks consideration of heat integration, it is important to note that the integration of heat across multiple units is common in complex and modern chemical plants. Notably, the considered process incorporates three distinct distillation columns, each with specific energy duties, creating an opportunity for heat integration among these columns. Such integration would increase the overall interconnectivity of the process, influence the degrees of freedom available for optimization, and introduce added complexity to the implementation of the distributed optimization approach. Therefore, future research should also investigate the implications of heat integration on process flexibility, particularly by exploring the degrees of freedom available to optimization. Additionally, to electrify the process, we utilize separate heat pumps for refrigeration and heating in reboilers, multiple heat pump stages, and multistage compression, based on literature research. In light of this, future work should focus on implementing process design optimization to determine optimal structures, as well as heat pump integration.

As further future work, applying online DO for demand-side management, specifically economic nonlinear model predictive control, to the considered process would greatly enhance our understanding of the real-time applicability of the proposed flexibility-oriented process design and flexibilization strategies. The use of distributed optimizers promises good computational performance and should be considered for online applications. Additionally, hierarchical control structures with optimal scheduling and lower-level tracking control can be also explored as future work. Finally, in cases where optimization problems are computationally expensive and encounter convergence issues, it would be worthwhile to investigate model reduction techniques, such as incorporating surrogate models, and explore algorithm and implementation improvements.

8. Data availability

The Modelica process model is available open-source under permalink.avt.rwth-aachen.de/?id=135903.

9. Supporting information

The empirically fitted equations for the purification unit of the water-methanol waste stream (water-methanol column). Raw material and product prices. Further results and figures of control variables,

tank levels, production rates, power demands, and product purities. This information is available free of charge via the Internet at http://pubs.acs.org/.

10. Author contributions

Mohammad El Wajeh: Conceptualization, Methodology, Software, Investigation, Validation, Formal analysis, Writing - Original draft

Adel Mhamdi: Conceptualization, Project administration, Supervision, Funding acquisition, Writing - Review and editing

Alexander Mitsos: Conceptualization, Project administration, Supervision, Resources, Writing - Review and editing

11. Competing interests

None.

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