OPEN ACCESS



Irregular Moons Possibly Injected from the Outer Solar System by a Stellar Flyby

Susanne Pfalzner^{1,2}, Amith Govind^{1,3}, and Frank W. Wagner¹, Jülich Supercomputing Center, Forschungszentrum Jülich, 52428 Jülich, Germany Max-Planck-Institut für Radioastronomie, Auf dem Hügel 69, 53121 Bonn, Germany Department of Physics, University of Cologne, 50923 Cologne, Germany Received 2024 May 24; revised 2024 July 5; accepted 2024 July 15; published 2024 September 4

Abstract

The irregular moons orbit the giant planets on distant, inclined, and eccentric trajectories, in sharp contrast with the coplanar and quasicircular orbits of the regular moons. The origin of these irregular moons is still an open question, but these moons have a lot in common with the objects beyond Neptune (trans-Neptunian objects—TNOs), suggestive of a common origin. Here, we show that the close flyby of a star may be the connecting element. A stellar flyby can simultaneously reproduce the complex TNO dynamics quantitatively while explaining the origin of the irregular moons and the color distributions of both populations. This flyby would have catapulted 7.2% of the original TNO population into the region of the planets, many on retrograde orbits. Most injected TNOs would have been subsequently ejected from the solar system (85%). However, a considerable fraction would have had the potential to be captured by the planets. The exclusively distant origin of the injected TNOs may also explain the lack of very red irregular moons.

Unified Astronomy Thesaurus concepts: Irregular satellites (2027); Trans-Neptunian objects (1705); Solar system evolution (2293)

1. Introduction

The discovery of irregular moons around the outer planets (Jupiter, Saturn, Uranus, and Neptune) may provide invaluable insights for understanding the formation and evolution of the solar system. These moons exhibit unique features, such as orbiting their host planets on more distant, inclined, and eccentric orbits than the regular moons. Interestingly, the irregular moons may have been captured from the region beyond Neptune or accretion regions near the giant planets shortly after planet formation (Jewitt & Haghighipour 2007). Therefore, studying irregular moons could help us verify or rule out different solar system formation and evolution hypotheses.

The question is how the TNOs moved from their original location beyond Neptune into the giant planet region. One hypothesis suggests that the irregular moons were injected when the outer planets migrated in the planetesimal disk (Nesvorný et al. 2007, 2014).

Here, we present an alternative hypothesis where a stellar flyby could have caused objects outside Neptune's orbit to enter the planet region. Previous work suggested a flyby as an add-on to planet scattering to explain certain dynamic families (Kenyon & Bromley 2004; Kobayashi et al. 2005; Morbidelli et al. 2005). By contrast, in Pfalzner et al. (2024) we showed that a flyby can explain on its own the diversity of the TNOs' dynamics. We test the same flyby that closely reproduces the different TNO dynamic groups on a quantitative level for its ability to inject TNOs into regions where the giant planets may have captured them. We will see that this model's strength is its simplicity. It requires only one process to simultaneously convincingly explain the irregular moon's features and the TNO dynamics.

Original content from this work may be used under the terms of the Creative Commons Attribution 4.0 licence. Any further distribution of this work must maintain attribution to the author(s) and the title of the work, journal citation and DOI.

2. Observational Constraints

According to observations (see Table 1), Jupiter has 87 irregular moons, while Saturn has 122. Therefore, it seems that Saturn has more irregular moons than Jupiter. Additionally, Uranus has 10 irregular moons, and Neptune has nine irregular moons. It is important to note that the real numbers are likely to be higher due to the increasing difficulty of detecting moons at larger distances.

Interestingly, most irregular moons orbit on retrograde orbits. The retrograde to prograde ratios are 71:16 for Jupiter, 100:22 for Saturn, 9:1 for Uranus, and 5:4 for Neptune (Brozović & Jacobson 2022; Sheppard et al. 2023). However, the irregular moon populations likely have evolved since their formation, with the moons believed to be fragments of once larger parent bodies (Bottke et al. 2010). Therefore, comparing the number of prograde and retrograde families may be a more accurate approach. For Jupiter, three retrograde and two prograde groups have been identified, indicating that the dominance of the retrograde moons is significantly less pronounced for the families (3:2) than that of Jupiter's individual moons (71:16). For Saturn, the number of moon families has not been determined yet because its retrograde moons are not well clustered. For Uranus and Neptune, the low number of known objects does not allow for determining family ratios.

While the irregular moons have characteristic high inclinations, there is a lack of objects with inclinations in the range 55°-130° (Carruba et al. 2002). Suggested reasons are primordial factors, the capture processes, or long-term evolution. Planetary and solar perturbations could have affected the moons' orbits, increasing their eccentricities while decreasing their inclinations through Kozai resonance (Carruba et al. 2002; Nesvorný & Dones 2002).

The irregular moons exhibit different colors, ranging from gray to red. For a subset of these moons, Belyakov et al. (2024) find that their spectrophotometry matches spectra of some Neptune trojans and excited Kuiper Belt objects, suggesting

Table 1								
Irregular Moon Populations of the Giant Planets								

Planet	No.	No. Groups (sum/p/r)	Prograde			Retrograde			
			No.	Min i (deg)	Max i (deg)	No.	Min i (deg)	Max i (deg)	Source
Jupiter	87	5 + 2/4/3	16	26.63	53.2	71	166.7	139.8	Sheppard et al. (2023)
Saturn	122		22	33.82	52.46	100	179.8	145.8	Sheppard et al. (2023)
Uranus	10		1	56.6	56.6	9	169.8	140.9	Sheppard (2024)
Neptune	9		4	7.23	48.51	5	156.8	132.6	Sheppard (2024)

shared properties. However, the irregular moons tend to be deficient in very red objects compared to the TNOs (Jewitt & Haghighipour 2007; Peña & Fuentes 2022). The cause of this observation is not yet fully understood.

3. Method

We model the flyby of a $0.8\,M_\odot$ star at a periastron distance to the Sun of $r_p=110$ au at an inclination of $i_p=70^\circ$. Based on a previous study, this stellar flyby appears to be the best match for the orbital parameters of the currently known TNOs, including the retrograde and Sedna-like objects (Pfalzner et al. 2024). Here, we focus on the test particles representing TNOs pushed into the region inside Neptune's orbit ($r_p^f \lesssim 29$ au). At this stage, the gas masses in circumstellar disks are small ($m_d \ll 0.1\,M_\odot$), so that viscosity can be neglected. Therefore, the problem reduces to gravitational three-body interactions between the Sun, the perturber star, and each test particle (e.g., Kobayashi & Ida 2001; Musielak & Quarles 2014; Pfalzner et al. 2018).

We use the integrator IAS15 of the REBOUND code (Rein & Spiegel 2014) to compute with great precision the effect of the stellar flyby on the planetesimal disk surrounding the Sun. This precision is required for the consecutive investigation of the long-term behavior of the injected particles, which we perform in a second step.

The primordial disk is modeled by 10⁵ massless test particles initially orbiting the Sun on circular orbits. We consider two disk sizes, 150 and 300 au, as sizes of 100–500 au have been observed to be typical for protoplanetary and debris disks (Andrews 2020; Hendler et al. 2020). We employ a constant test particle surface density to obtain a high resolution in the outer disk regions. Individual masses are assigned to the particles to model the associated mass density distribution afterwards (Hall 1997; Steinhausen et al. 2012). We did not include the giant planets in the simulation of the flyby itself, but in the modeling of the long-term evolution.

The simulation of the long-term evolution starts when stable orbits are established about 12 kyr after periastron passage. Using the GENGA code (Grimm & Stadel 2014; Grimm et al. 2022) along with its hybrid symplectic integrator (Chambers 1999), we study the long-term trajectories of injected TNOs from the positions and velocities of the test particles at t=12 kyr. Although GENGA is optimized for studying long-term evolution, these simulations are computationally expensive. Therefore, we limited our simulations to the first 1 Gyr after the flyby. By gradually reducing the time step, we determined that a time step of $\Delta t=30$ days is a good choice to efficiently obtain the required temporal resolution.

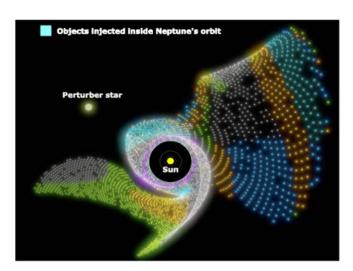


Figure 1. Snapshot of stellar flyby. Test particle locations at t = 200 yr after periastron passage of perturber star. The turquoise particles indicate the TNOs injected into the planet region by the flyby. The perturber star passed through the disk at a perihelion distance of 110 au on the right-hand side of the picture.

4. Results

During a flyby, the particles in front of the perturber star slow down, while the ones behind speed up, creating characteristic spiral arms (see Pfalzner 2003). If the star passes on an inclined orbit, like in our case, the structure becomes more complex, as shown in Figure 1. There, the test particles that will end up on orbits with periastron distances $r_p^f < 29$ au are indicated in turquoise. These particles have sub-Keplerian velocities and are excited onto highly eccentric orbits with small perihelion distances. Initially, all injected TNOs were at large distances from the Sun $(r_p^i) > 60$ au), primarily on the side of the disk where the perturbing star passed.

Around 7.2% of the original disk, corresponding to 12.9% of the final bound population, were injected inside 29 au. The total mass of injected TNOs lies in the range $0.015-1.8\,M_{\odot}$, roughly corresponding to 1-146 times the mass of the Moon. This corresponds to 90-10,000 objects larger than $1000\,\mathrm{km}$, to 90,000-10,000,000 objects of a size of $100\,\mathrm{km}$, or to many more smaller objects. These estimates are based on the minimum mass of debris disks ($M_d = 5-20\,M_{\odot}$; Krivov & Wyatt 2021) and the typical density of objects like Pluto. For comparison, the total mass of the known TNO population is estimated to be $\approx 0.02\,M_{\odot}$ (Pitjeva & Pitjev 2018).

estimated to be $\approx 0.02\,M_\odot$ (Pitjeva & Pitjev 2018). Figure 2 depicts the periastron distance r_p^i and inclination i_p^i distributions of the injected TNOs after the flyby for primordial disk sizes of 150 and 300 au, respectively. TNOs are injected into the entire planet region (see Figure 2, top). Smaller disk sizes (dashed distribution) clearly lead to fewer TNOs being injected, and the region inside Jupiter is less enriched in

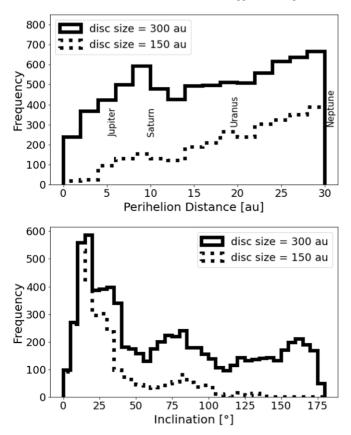


Figure 2. Distributions of the injected TNOs as a function of periastron distance (top) and inclination (bottom). The solid line shows the results for a primordial disk extending to 300 au and the dashed line for a 150 au primordial disk.

injected TNOs. Nonetheless, even for a 150 au–sized disk, several TNOs end up on orbits with perihelia of down to 0.2 au, which is well inside Mercury's orbit. For the 300 au–sized disk, periastron distances as small as 0.07 au can be found.

Saturn's larger number of irregular moons than Jupiter's could be due to more TNOs being injected in this region by the flyby (see Figure 2, top). Our simulations show a similar number of TNOs injected into the regions of Uranus and Saturn. However, Uranus's cross section for capture is smaller. Hence, we expect the total matter captured by Uranus to be approximately a third of Saturn's. Currently, the detection sensitivity for the outermost planets is low, which hinders a meaningful test of this prediction. However, it may be possible to test this hypothesis when LSST becomes operational (Ivezić et al. 2019).

Surprisingly, a significant number of TNOs are injected on high inclination or even retrograde orbits (see Figure 2, bottom). While most TNOs are injected on prograde orbits inclined by 10°–20°, retrograde-injected TNOs outnumber the prograde ones in the region inside approximately 10 au (see Figure 4, top; solid lines). In the Jupiter region, TNOs are about 30% more likely to be injected on a retrograde than a prograde orbit. Near Saturn, retrograde-injected TNOs are 20% more likely than prograde ones. The flyby scenario is the first model that would explain the dominance of retrograde objects due to the injection mechanism, at least for the regions around Jupiter and Saturn.

Nevertheless, in our simulation, the dominance of the retrogrades is less pronounced compared to the observed

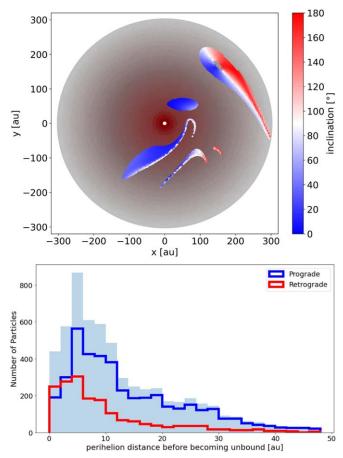


Figure 3. Top: origin of the injected TNOs in the unperturbed disk of 300 au in size. The disk has a color gradient from reddish to grayish corresponding to the color of planetesimals. The patches represent the feeding zones or origin of the injected TNOs. The color bar gives the inclination of the injected TNOs; blue represents prograde objects and red represents retrograde objects. Bottom: distribution of periastron distance of the ejected particles just before becoming unbound for the prograde (blue), retrograde (red), and total population (gray).

individual moons. The reason likely is that the detected moons are fragments of once larger bodies. If one compares the ratio for the dynamical families of Jupiter, there seems to be good agreement. Unfortunately, similar constraints are missing for the other outer planets.

In Figure 3 (top) we can see the origin of the injected TNOs overlaid onto a preflyby disk with a color gradient ranging from very red to gray. This choice is based on the cold Kuiper Belt objects being predominantly very red in color and likely residing close to their original position in the disk (Lacerda et al. 2014). Since the injected TNOs all originate from the region beyond 60 au, we would expect TNO color to cover the entire spectrum from red to gray, but, importantly, lacking very red TNOs. Thus, the observed lack of very red objects among the irregular moons would be a direct consequence of the origin of the injected TNOs in the outer regions of the disk. Currently, we are investigating the TNO colors. Our preliminary results suggest that a flyby may also be able to explain the observed correlation between TNO colors and inclination (Schwamb et al. 2019).

A flyby provides explanations for many of the irregular moons' features. However, there is one exception. While our simulations provide an almost uniform distribution of TNOs' inclination between 50° and 180° (see Figure 2, bottom), observations show a lack of moons with inclinations in the

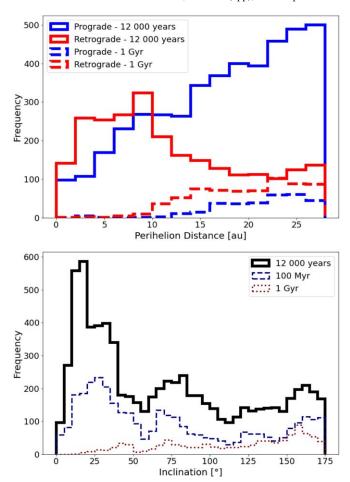


Figure 4. Evolution of the injected TNO population over the first 1 Gyr after the stellar flyby (300 au initial disk size). Top: distribution of prograde (blue lines) and retrograde (red lines) TNOs as a function of periastron distance at 12 kyr (solid lines) and 1 Gyr (dashed lines). Bottom: distribution of inclination for the test particles remaining bound at 12 kyr, 100 Myr, and 1 Gyr, respectively.

range 55° – 130° . Likely, the absence of close-to-polar orbiting moons is a result of the long-term evolution of the orbits after capture (Carruba et al. 2002; Nesvorný & Dones 2002). The Kozai mechanism sets an upper limit of $\approx 60^{\circ}$ on their inclinations. Any moon whose inclination exceeded this value would periodically gain an eccentricity so large that its closest approach would take it into the region of the regular moons. Its fate would be a collision with one of them or with the host planet or an ejection from the host planet system (Jewitt & Haghighipour 2007).

So far, we have looked at the situation immediately after the flyby, but the irregular moons might have been captured over a longer period. Punzo et al. (2014) looked at the long-term evolution, but the study was limited to the first 100 Myr and the population outside Neptune's orbit. Little is known about the long-term fate of the injected TNOs. Here, we study the dynamics over the first Gyr (see Figure 4). Over this period, 85% of the TNOs injected into the planet region are ejected from the solar system. Jupiter is the most efficient ejector, expelling nearly 98% of the TNOs present in the 4–6 au region, compared to only 77% at the location of Uranus (18–20 au; see Figure 3, bottom).

However, retrograde-injected TNOs are less often ejected than prograde ones (see Figure 4, top). Almost 24% of the

original total retrograde population is retained. Even retrogrades near the plane (near 180°) have a reasonable chance of a long-term presence in the planet region (see Figure 4, bottom).

5. Discussion

One question is whether the giant planets could have captured the injected TNOs. Our flyby simulations cannot directly model the capturing of injected TNOs by the planets because we do not model individual TNOs but test particles representative of an entire group of TNOs. Besides, a much higher resolution of the disk would be required for a sufficient number of test particles close to the planets to resolve the surroundings of the planets. Additionally, the modeling of the evolution of these high-resolution simulations over Gyr timescales is prohibitively computationally expensive.

Nonetheless, there is good reason to believe these injected TNOs could have been captured. In their research on the planet instability ("Nice Model"), Nesvorný et al. (2007, 2014) explored the possibility that the irregular moons of the giant planets were captured from a circumstellar planetesimal disk by three-body gravitational interactions. By injecting numerous objects from the TNO region, Nesvorný et al. (2007) found that planetary encounters can create moons on distant orbits at Saturn, Uranus, and Neptune. This capture process is efficient enough to produce populations of irregular moons. The trajectories of the injected objects in this planet scattering approach and those injected by a flyby are similar. Therefore, the TNOs injected by flybys should have a similar chance of being captured.

The original model by Nesvorný et al. (2007) had the problem that it could not explain the irregular moons around Jupiter, as Jupiter itself did not often take part in planetary encounters. In later versions ("Jumping Jupiter Model"), Nesvorný et al. (2014) assumed that the early solar system contained at least one additional giant planet. This allowed the planet scattering process to account for Jupiter's irregular moons. However, this improved version still has difficulties in explaining the observed asymmetry between prograde and retrograde irregular moons and the lack of very red objects among them. The flyby scenario overcomes this problem.

Jewitt & Haghighipour (2007) suggested that the asymmetry between prograde and retrograde irregular moons could be at least partly due to long-term effects. Retrograde moons are more stable than their prograde counterparts, especially on highly elongated orbits (Hamilton & Burns 1991; Hamilton & Krivov 1997; Morais & Giuppone 2012). Another point to consider is that detecting prograde moons is more challenging than observing retrograde moons (Ashton et al. 2021), leading to a bias toward detecting retrograde moons. Currently, the relative importance of these effects is not well constrained, and their influence on the abundance of retrograde objects is an open question.

6. Summary and Conclusions

This study explores the possibility of irregular moons being TNOs that were injected into regions close to the giant planets by a nearby stellar flyby and subsequently captured by the planets. The strength of this model is that it can explain features of both regions beyond (Pfalzner et al. 2024) and within Neptune's orbit. We start with the specific flyby that can reproduce the diversity of the orbits of objects beyond Neptune,

including high-inclination, Sedna-like, and retrograde bodies. We find that such a flyby would have catapulted 7.2% of the original TNO population into regions close to the giant planets, many on retrograde orbits. A large portion of these objects would have been subsequently ejected from the solar system, but a considerable fraction would have remained for extended periods in the catchment area of the planets.

The stellar flyby could account for several unexplained features. It would have led automatically to more moons around Saturn than Jupiter, and retrograde moons would dominate around both planets. The exclusive origin of injected TNO beyond 60 au would also explain the lack of very red irregular moons.

Our results imply that it may be worthwhile to further investigate the possible common origin of irregular moons and trojans as suggested by Nesvorný & Dones (2002) and Graykowski & Jewitt (2018) from a flyby perspective. The injected TNOs may have also transported volatiles and prebiotics to the rocky inner planets (Chyba et al. 1990; Todd & Öberg 2020; Anslow et al. 2023). Thus, while the flyby would have left the planets' orbits completely untouched, it may have played a role in the emergence of life. However, how much prebiotic material originally contained in an injected TNO would survive impact on a terrestrial planet would require further studies.

Acknowledgments

We thank the referee for the valuable suggestions on improving the manuscript. We are also grateful to Nadar Haghighipour for pointing out the relevance of the Kozai mechanism on the inclination of the irregular moons. S.P. acknowledges funding for this project through grant No. 450107816 of the Deutsche Forschungsgemeinschaft.

ORCID iDs

Susanne Pfalzner https://orcid.org/0000-0002-5003-4714 Amith Govind https://orcid.org/0000-0002-3663-1168 Frank W. Wagner https://orcid.org/0009-0001-5904-1742

References

```
Andrews, S. M. 2020, ARA&A, 58, 483
Anslow, R. J., Bonsor, A., & Rimmer, P. B. 2023, RSPSA, 479, 20230434
Ashton, E., Gladman, B., & Beaudoin, M. 2021, PSJ, 2, 158
Belyakov, M., Davis, M. R., Milby, Z., Wong, I., & Brown, M. E. 2024, PSJ,
Bottke, W. F., Nesvorný, D., Vokrouhlický, D., & Morbidelli, A. 2010, AJ,
  139, 994
Brozović, M., & Jacobson, R. A. 2022, AJ, 163, 241
Carruba, V., Burns, J. A., Nicholson, P. D., & Gladman, B. J. 2002, Icar, 158, 434
Chambers, J. E. 1999, MNRAS, 304, 793
Chyba, C. F., Thomas, P. J., Brookshaw, L., & Sagan, C. 1990, Sci, 249, 366
Graykowski, A., & Jewitt, D. 2018, AJ, 155, 184
Grimm, S. L., & Stadel, J. G. 2014, ApJ, 796, 23
Grimm, S. L., Stadel, J. G., Brasser, R., Meier, M. M. M., & Mordasini, C.
  2022, ApJ, 932, 124
Hall, S. M. 1997, MNRAS, 287, 148
Hamilton, D. P., & Burns, J. A. 1991, Icar, 92, 118
Hamilton, D. P., & Krivov, A. V. 1997, Icar, 128, 241
Hendler, N., Pascucci, I., Pinilla, P., et al. 2020, ApJ, 895, 126
Jewitt, D., & Haghighipour, N. 2007, ARA&A, 45, 261
Ivezić, Ž., Kahn, S. M., Tyson, J. A., et al. 2019, ApJ, 873, 111
Kenyon, S. J., & Bromley, B. C. 2004, Natur, 432, 598
Kobayashi, H., & Ida, S. 2001, Icar, 153, 416
Kobayashi, H., Ida, S., & Tanaka, H. 2005, Icar, 177, 246
Krivov, A. V., & Wyatt, M. C. 2021, MNRAS, 500, 718
Lacerda, P., Fornasier, S., Lellouch, E., et al. 2014, ApJL, 793, L2
Morais, M. H. M., & Giuppone, C. A. 2012, MNRAS, 424, 52
Morbidelli, A., Levison, H. F., Tsiganis, K., & Gomes, R. 2005, Natur, 435, 462
Musielak, Z. E., & Quarles, B. 2014, RPPh, 77, 065901
Nesvorný, D., & Dones, L. 2002, Icar, 160, 271
Nesvorný, D., Vokrouhlický, D., & Deienno, R. 2014, ApJ, 784, 22
Nesvorný, D., Vokrouhlický, D., & Morbidelli, A. 2007, AJ, 133, 1962
Peña, J., & Fuentes, C. 2022, AJ, 163, 274
Pfalzner, S. 2003, ApJ, 592, 986
Pfalzner, S., Bhandare, A., Vincke, K., & Lacerda, P. 2018, ApJ, 863, 45
Pfalzner, S., Govind, A., & Portegies Zwart, S. 2024, NatAs, in press
Pitjeva, E. V., & Pitjev, N. P. 2018, CeMDA, 130, 57
Punzo, D., Capuzzo-Dolcetta, R., & Portegies Zwart, S. 2014, MNRAS, 444, 2808
Rein, H., & Spiegel, D. S. 2014, MNRAS, 446, 1424
Schwamb, M. E., Fraser, W. C., Bannister, M. T., et al. 2019, ApJS, 243, 12
Sheppard 2024, New Uranus and Neptune Moons, https://sites.google.com/
  carnegiescience.edu/sheppard/home/newuranusneptunemoons
Sheppard, S. S., Tholen, D. J., Alexandersen, M., & Trujillo, C. A. 2023,
Steinhausen, M., Olczak, C., & Pfalzner, S. 2012, A&A, 538, A10
Todd, Z. R., & Öberg, K. I. 2020, AsBio, 20, 1109
```