

# Surprises with Karsten-Wilczek and Boriçi-Creutz fermions

Stephan Dürr



University of Wuppertal  
Jülich Supercomputing Center

work in collaboration with J. Weber

University of Regensburg – 21 June 2024

# Panopticum of lattice fermions

Classical lattice fermion actions:

- Naive fermions ( $2^d$  species in  $d$  space-time dimensions)
- Wilson fermions ( $N_c 4N_x N_y N_z N_t \times$  ditto matrix in  $d = 4$  dimensions)
- Staggered fermions (reduction by  $2^{d/2}$ , hence size  $N_c N_x N_y N_z N_t \times$  ditto)
- Overlap/domain-wall fermions (unique unitary part of  $aD_W - \rho$ )

Novel lattice fermion actions:

- Minimally doubled fermions (Karsten-Wilczek, Boriçi-Creutz, ...)
- Ameliorated Wilson fermions (Brillouin, hypercube, ...)
- Staggered fermions with lifting (Adams, Hoelbling, ...)

Issues to be considered:

- Nielsen-Ninomya theorem (“topology”)
- suitability for heavy quark physics (dispersion relation, ...)
- Symanzik scaling for  $a \rightarrow 0$
- suitability for lattice perturbation theory (LPT)
- computational efficiency (MPI/PGAS, OpenMP/OpenACC/cuda, SIMD)

# Introduction: Naive and Wilson fermions

- Naive fermions

$$D_{\text{nai}}(x, y) = \sum_{\mu} \gamma_{\mu} \nabla_{\mu}(x, y) + m \delta_{x, y}$$

$$\begin{aligned} D_{\text{nai}}(p) &= i \sum_{\mu} \gamma_{\mu} \frac{1}{a} \sin(ap_{\mu}) + m \\ &= i \sum_{\mu} \gamma_{\mu} \bar{p}_{\mu} + m \quad \text{with} \quad \bar{p}_{\mu} \equiv \frac{1}{a} \sin(ap_{\mu}) \end{aligned}$$

- Wilson fermions

$$D_{\text{W}}(x, y) = \sum_{\mu} \gamma_{\mu} \nabla_{\mu}(x, y) - \frac{ra}{2} \sum_{\mu} \Delta_{\mu}(x, y) + m \delta_{x, y}$$

$$\begin{aligned} D_{\text{W}}(p) &= i \sum_{\mu} \gamma_{\mu} \frac{1}{a} \sin(ap_{\mu}) + \frac{r}{a} \sum_{\mu} \{1 - \cos(ap_{\mu})\} + m \\ &= i \sum_{\mu} \gamma_{\mu} \bar{p}_{\mu} + \frac{ra}{2} \sum_{\mu} \hat{p}_{\mu}^2 + m \quad \text{with} \quad \hat{p}_{\mu} \equiv \frac{2}{a} \sin\left(\frac{ap_{\mu}}{2}\right) \end{aligned}$$

# Introduction: Karsten-Wilczek and Borici-Creutz fermions

## • Karsten-Wilczek fermions

$$D_{\text{KW}}(x, y) = \sum_{\mu} \gamma_{\mu} \nabla_{\mu}(x, y) - i \frac{ra}{2} \gamma_4 \sum_{i=1:3} \Delta_i(x, y) + m \delta_{x, y}$$

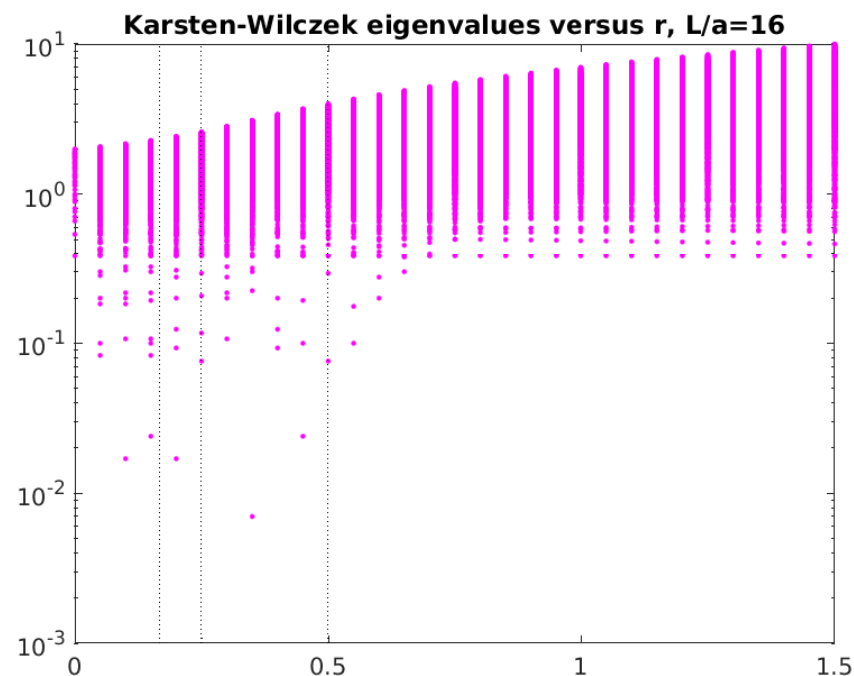
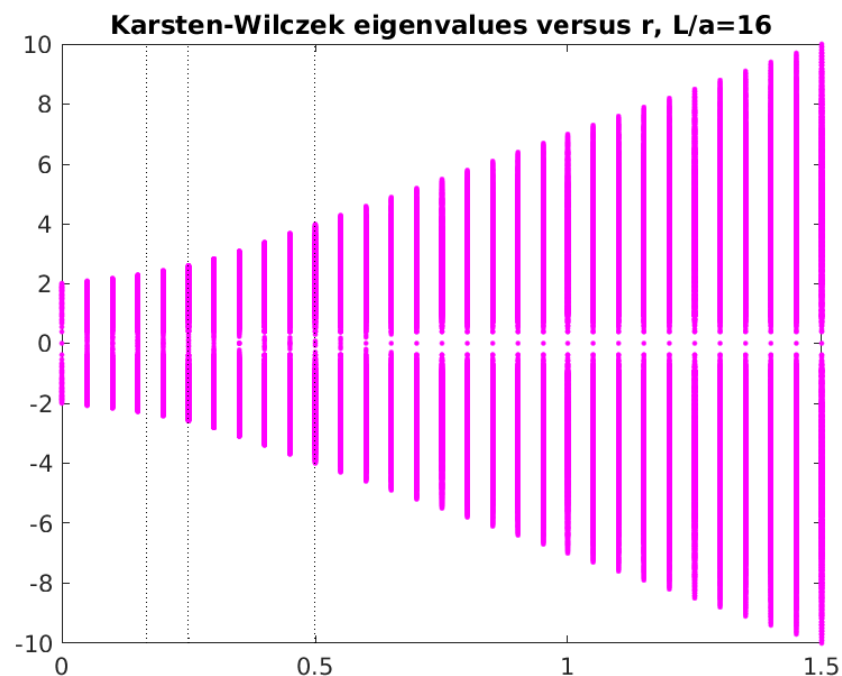
$$\begin{aligned} D_{\text{KW}}(p) &= i \sum_{\mu} \gamma_{\mu} \frac{1}{a} \sin(ap_{\mu}) + i \frac{r}{a} \gamma_4 \sum_{i=1:3} \{1 - \cos(ap_i)\} + m \\ &= i \sum_{\mu} \gamma_{\mu} \bar{p}_{\mu} + i \frac{ra}{2} \gamma_4 \sum_{i=1:3} \hat{p}_i^2 + m \end{aligned}$$

## • Borici-Creutz fermions

$$D_{\text{BC}}(x, y) = \sum_{\mu} \gamma_{\mu} \nabla_{\mu}(x, y) - i \frac{ra}{2} \sum_{\mu} \gamma'_{\mu} \Delta_{\mu}(x, y) + m \delta_{x, y}$$

$$\begin{aligned} D_{\text{BC}}(p) &= i \sum_{\mu} \gamma_{\mu} \bar{p}_{\mu} + i \frac{r}{a} \sum_{\mu} \gamma'_{\mu} \{1 - \cos(ap_{\mu})\} + m \\ &= i \sum_{\mu} \gamma_{\mu} \bar{p}_{\mu} + i \frac{ra}{2} \sum_{\mu} \gamma'_{\mu} \hat{p}_{\mu}^2 + m \quad \left[ \gamma'_{\mu} \equiv \Gamma \gamma_{\mu} \Gamma, \Gamma \equiv \frac{1}{\sqrt{d}} \sum_{\mu} \gamma_{\mu} \right] \end{aligned}$$

- **Karsten-Wilczek free-field eigenvalues versus  $r$  in 4D**



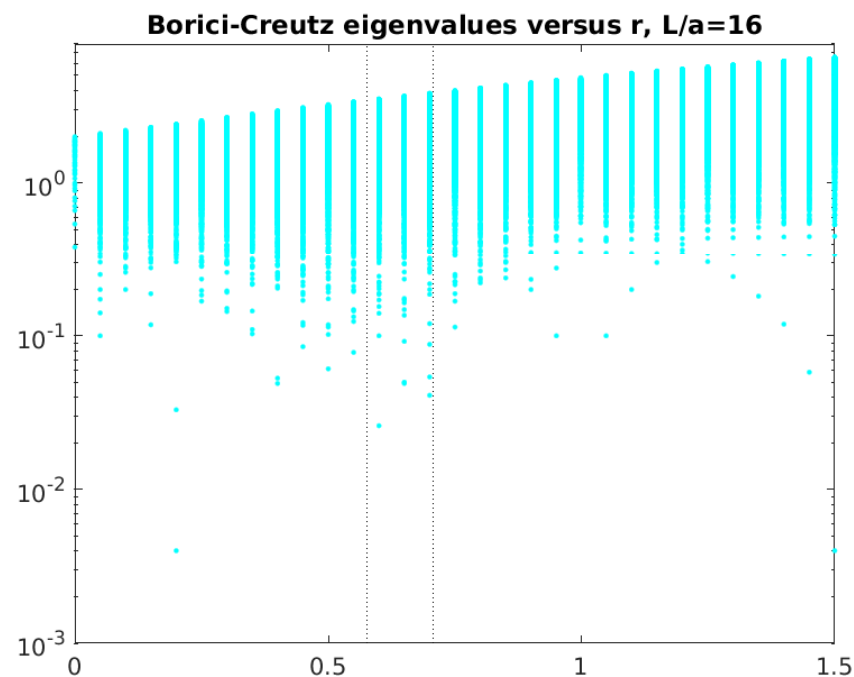
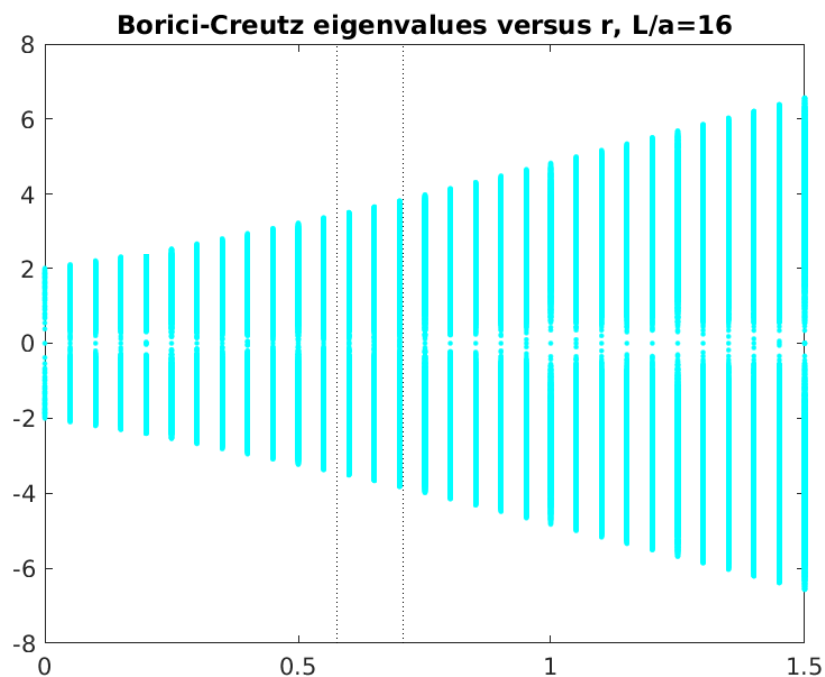
Spectrum at  $r = 0$  is naive (i.e. 4-fold staggered) spectrum.

Spectrum at any  $r$  is on imaginary axis (chiral symmetry, horizontally displaced).

Spectrum at  $r = 1$  covers range  $[-7, 7]$  on imaginary axis (worse CN than staggered).

KW species chain is  $16 \rightarrow 14 \rightarrow 8 \rightarrow 2$  with transistions at  $r = \frac{1}{6}, \frac{1}{4}, \frac{1}{2}$  [2003.10803].

- **Borici-Creutz free-field eigenvalues versus  $r$  in 4D**



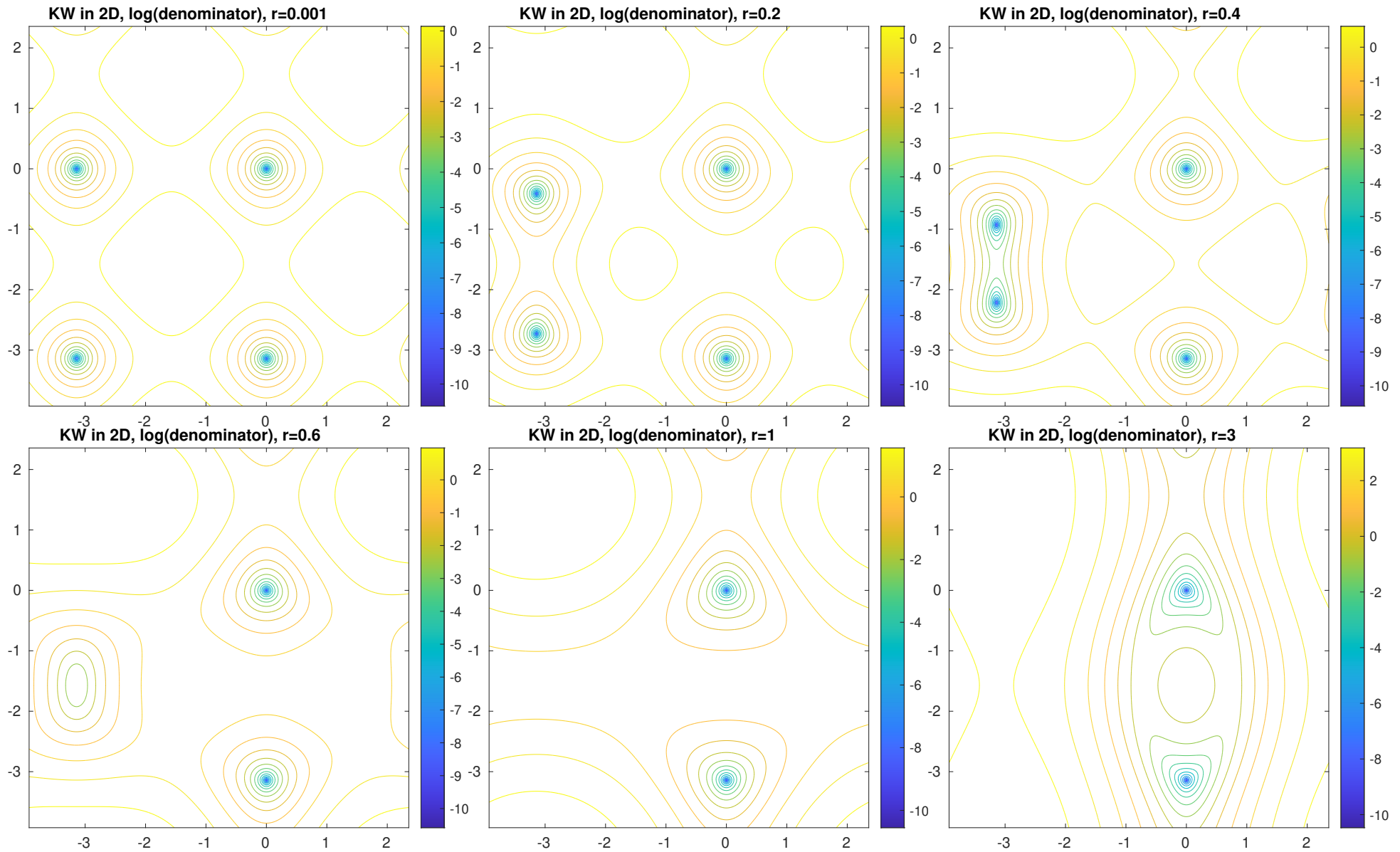
Spectrum at  $r = 0$  is naive (i.e. 4-fold staggered) spectrum.

Spectrum at any  $r$  is on imaginary axis (chiral symmetry, horizontally displaced).

Spectrum at  $r = 1$  covers range  $[-4.8284, 2 + 2\sqrt{2}]$  on imag. axis (intermediate CN).

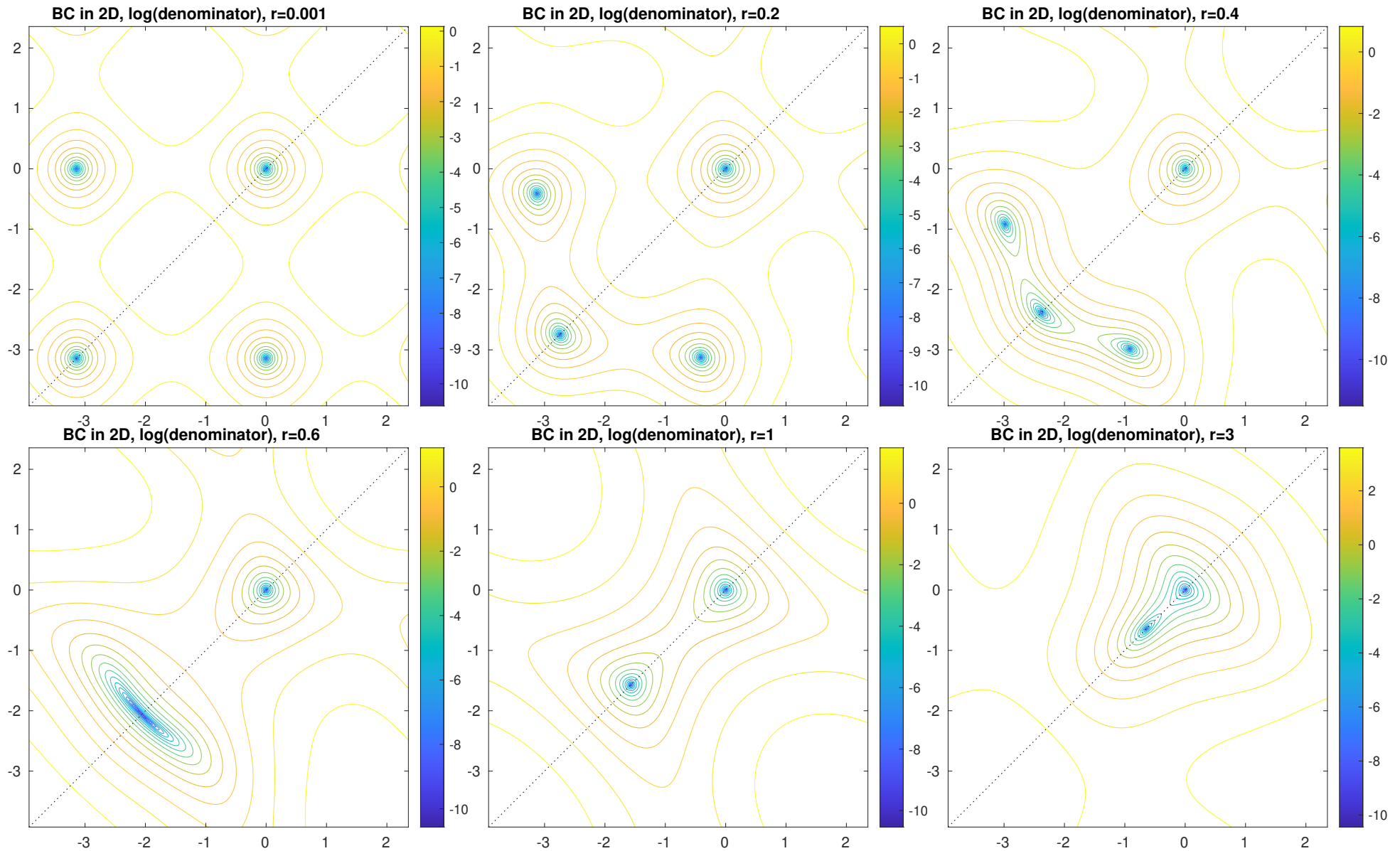
BC species chain is  $16 \rightarrow 10 \rightarrow 2$  with transitions at  $r = \frac{1}{\sqrt{3}}, \frac{1}{\sqrt{2}}$  [2003.10803].

# Pole position drift for KW fermions in 2D (annihilate at $r=0.5$ )



Contour plots of denominator  $\sum_{i=1}^{d-1} \bar{p}_i^2 + (\bar{p}_d + \frac{ar}{2} \sum_{i=1}^{d-1} \hat{p}_i^2)^2$  [arXiv:2003.10803].

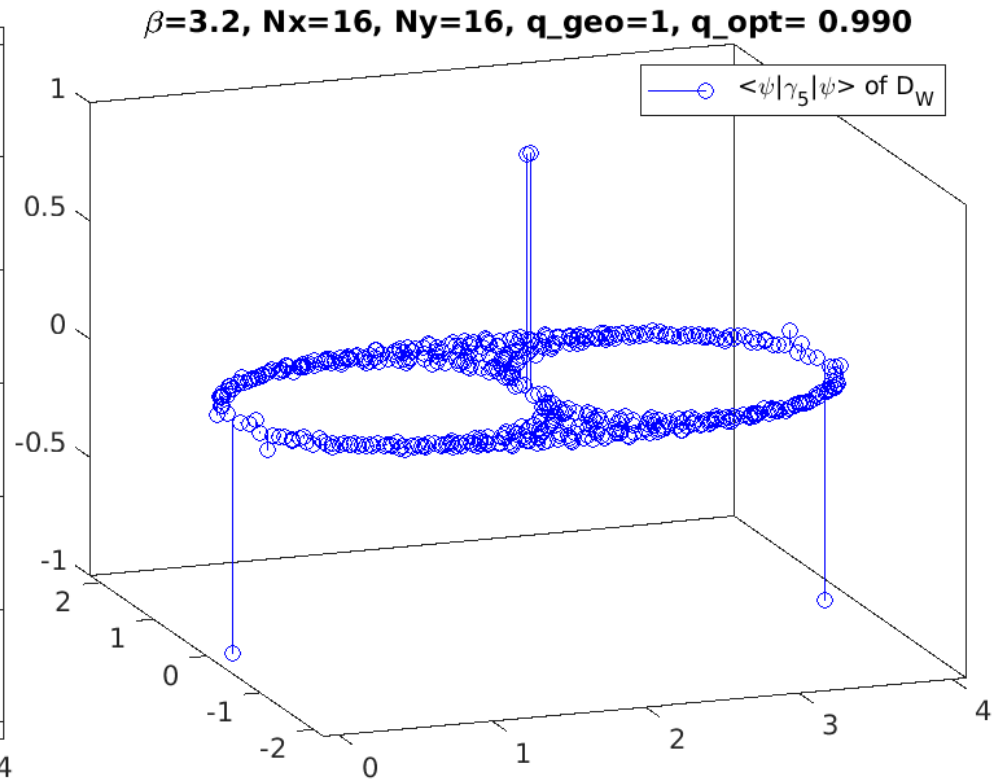
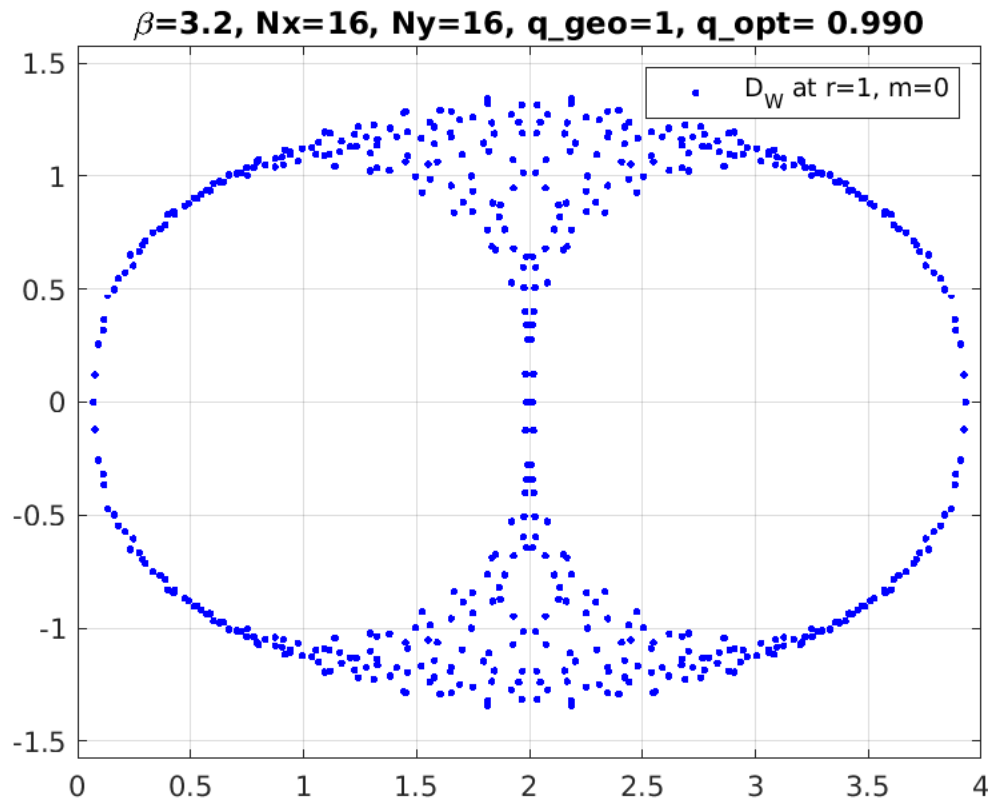
# Pole position drift for BC fermions in 2D (merge at $r=0.57735$ )



Contour plots of  $\sum_{\lambda} \bar{p}_{\lambda}^2 - ar \sum_{\lambda} \bar{p}_{\lambda} \hat{p}_{\lambda}^2 + \frac{a^2 r^2}{4} \sum_{\lambda} \hat{p}_{\lambda}^4 + \frac{2ar}{d} \sum_{\rho, \sigma} \bar{p}_{\rho} \hat{p}_{\sigma}^2$  [2003.10803].



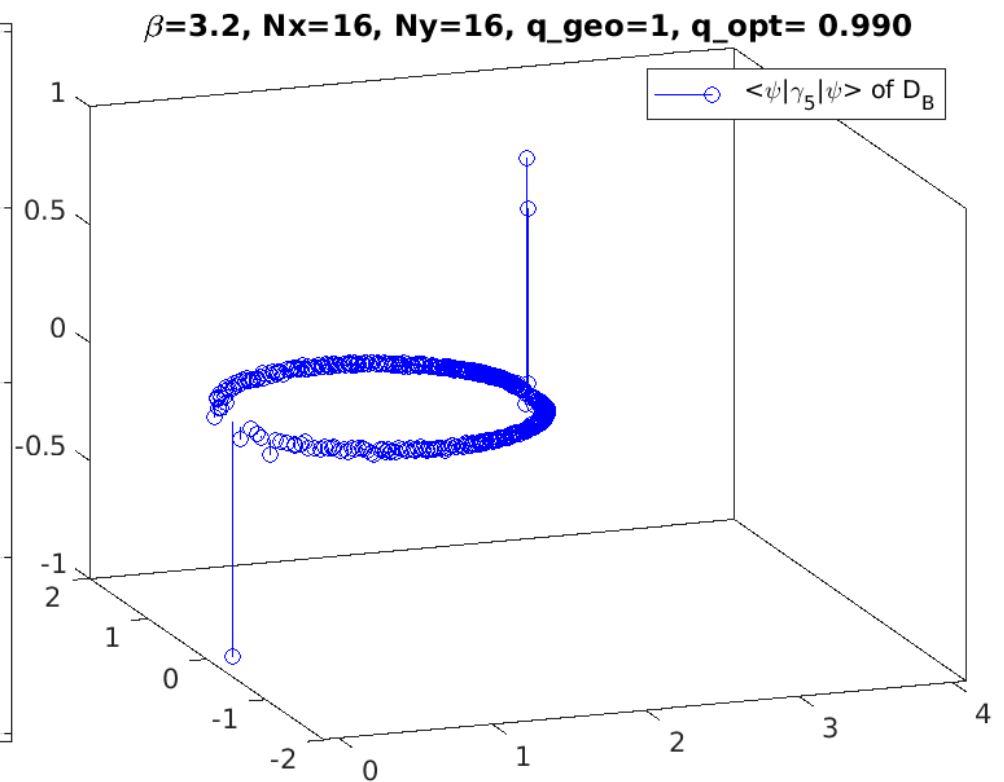
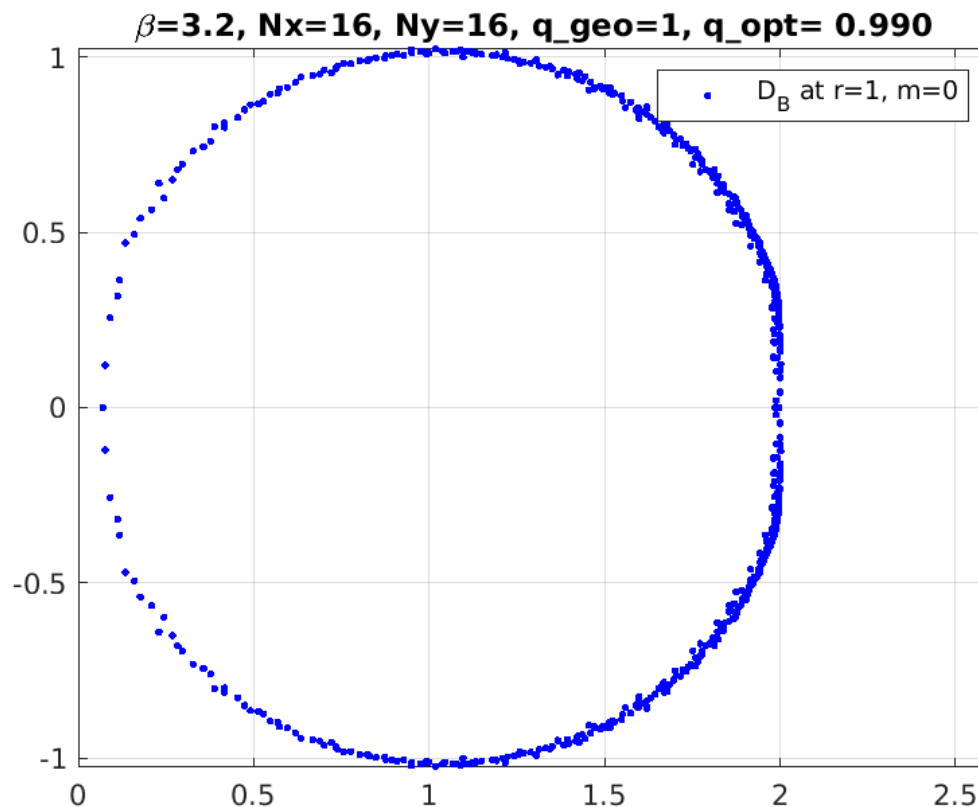
# Eigenvalues and topology with Wilson fermions



- $|q|$  would-be zero modes in physical branch (unchanged in 4D), additive mass shift
- L/R-eigenmode sandwich  $\langle \psi | \cdot | \psi \rangle \equiv \langle L | \cdot | R \rangle$  for non-chiral  $D$  [Hip et al 2001]
- plot (and subsequent ones) taken from [arXiv:2203.15699] with J. Weber

Add-on: central-branch yields 2 (4D: 6) species (no chiral symmetry despite  $m_{\text{crit}} = 0$ )

# Eigenvalues and topology with Brillouin fermions

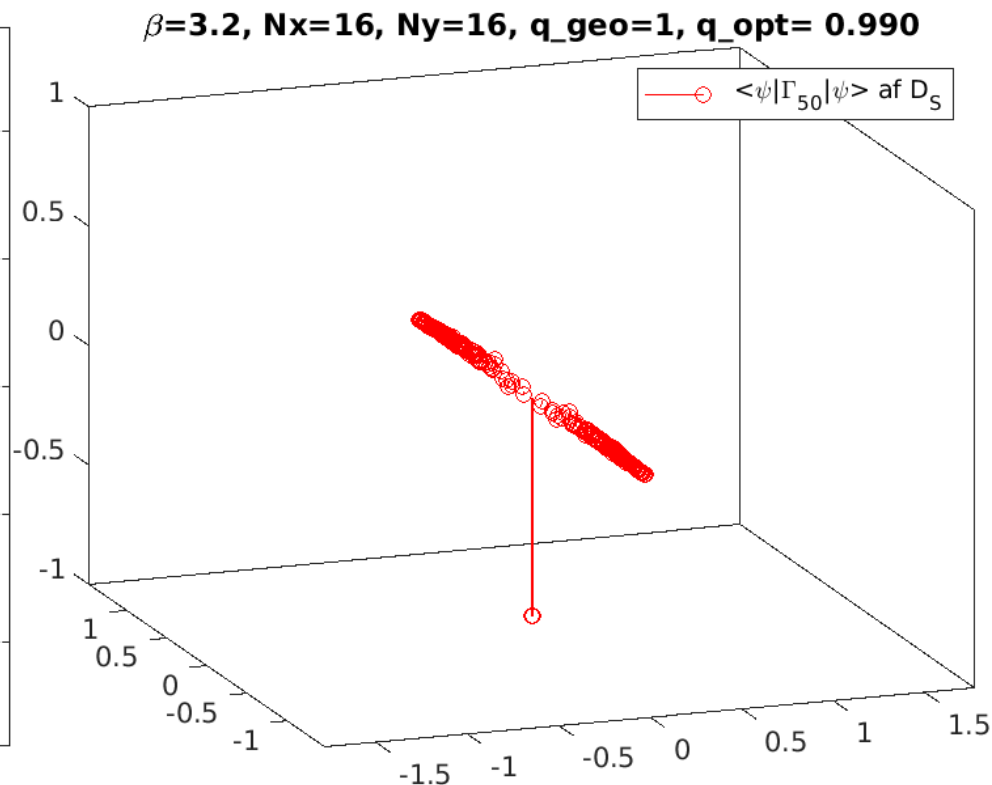
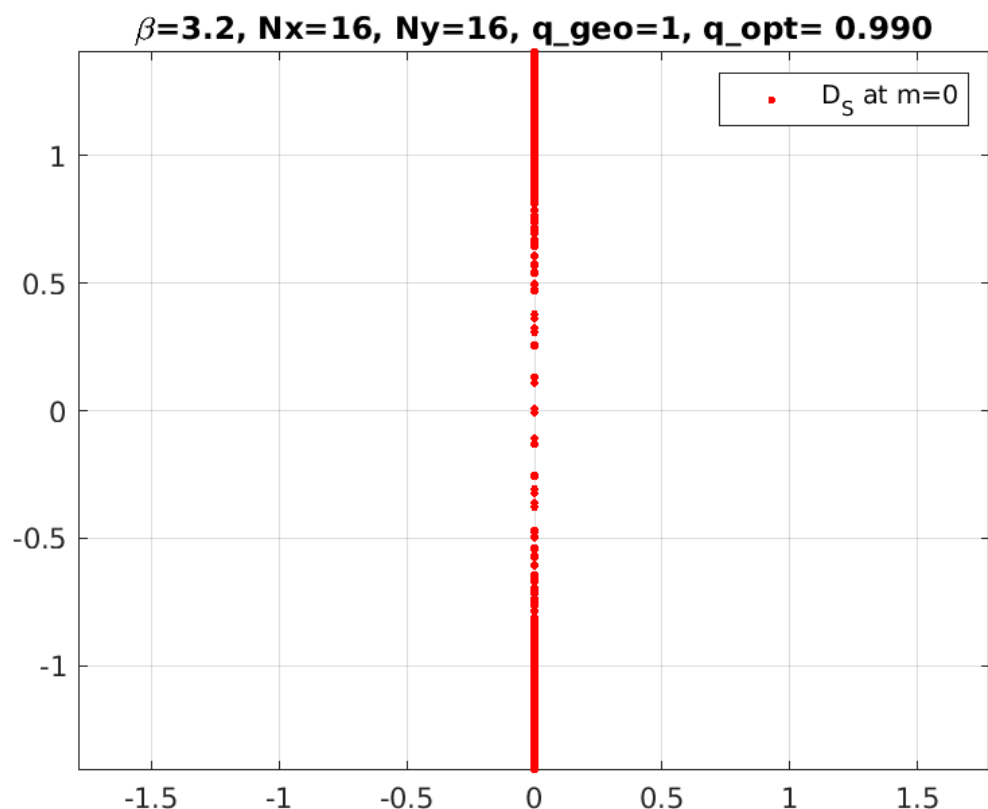


$D_B = \sum_{\mu} \gamma_{\mu} \nabla_{\mu} - \frac{r}{2} \Delta$  like Wilson but  $\nabla_{\mu}$  and  $\Delta$  with hypercubic stencil ( $3^d$ -points)

- $|q|$  would-be zero modes in physical branch (unchanged in 4D), additive mass shift
- L/R-eigenmode sandwich  $\langle \psi | \cdot | \psi \rangle \equiv \langle L | \cdot | R \rangle$  for non-chiral  $D$  (compare 1302.0773)

Add-on: use as overlap-kernel, already close to shifted-unitary [arXiv:1701.00726].

# Eigenvalues and topology with staggered fermions



$2|q|$  would-be zero modes (changes to  $4|q|$  in 4D), remnant chiral symmetry  $U(1)_\epsilon$

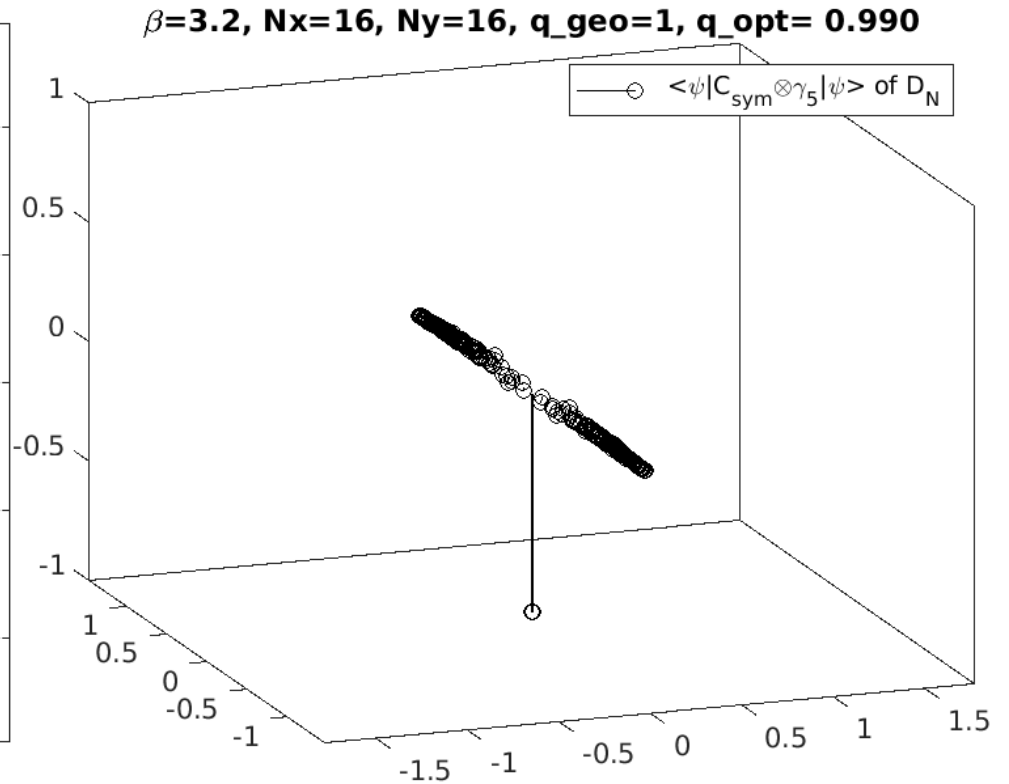
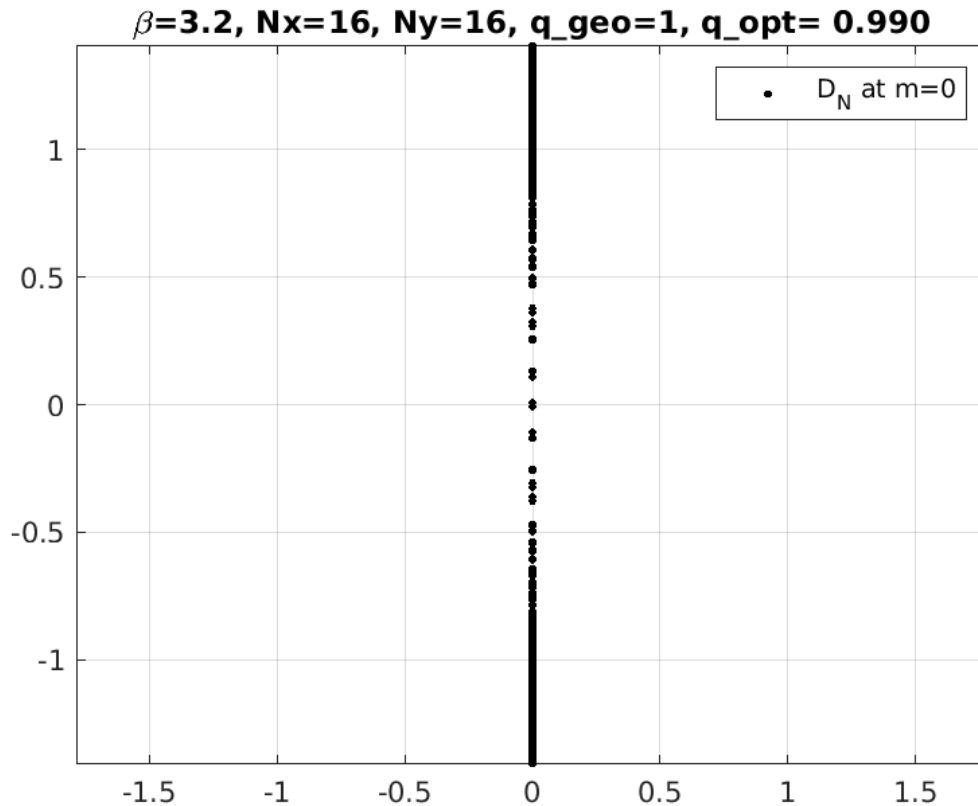
$\epsilon \equiv \gamma_5 \otimes \xi_5$  not sensitive to topology (see “backup pages” for meaning of  $\gamma_\mu \otimes \xi_\nu$ )

$\Gamma_5 \simeq \gamma_5 \otimes 1$  crafted to “turn around” chirality of second mode (both point down)

$\Xi_5 \simeq 1 \otimes \xi_5$  not sensitive to topology ( $\Gamma_5$  and  $\Xi_5$  depend on gauge-field  $U$ )

$1 \equiv 1 \otimes 1$  not sensitive to topology (like  $\epsilon$  not shown)

# Eigenvalues and topology with naive fermions

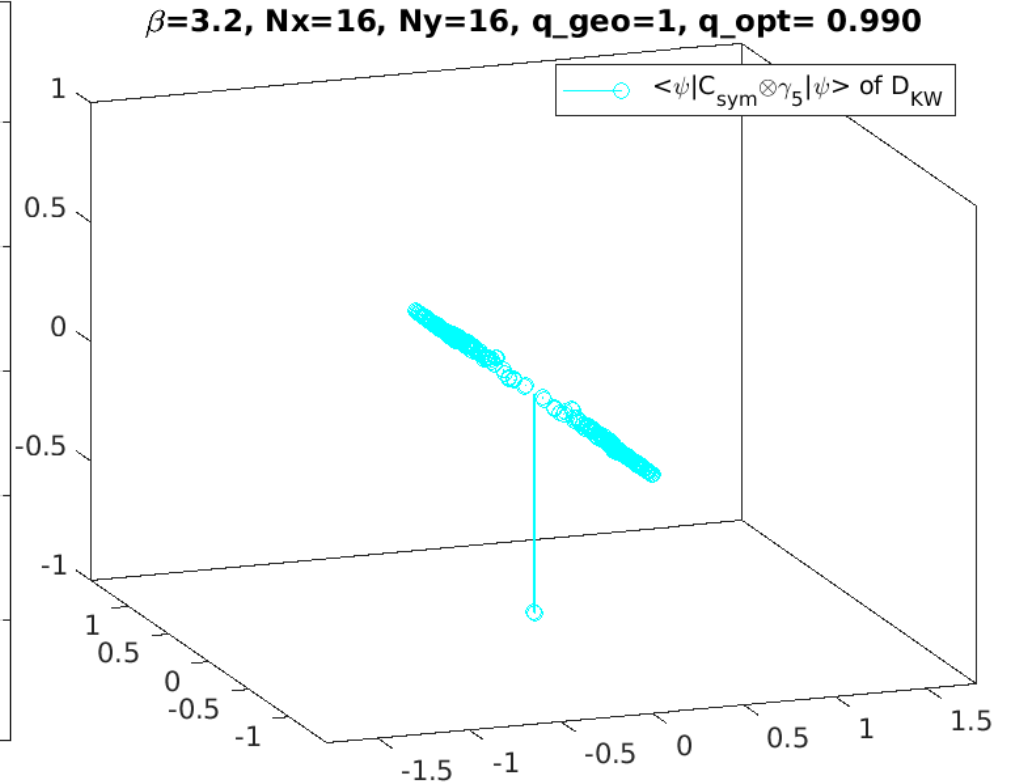
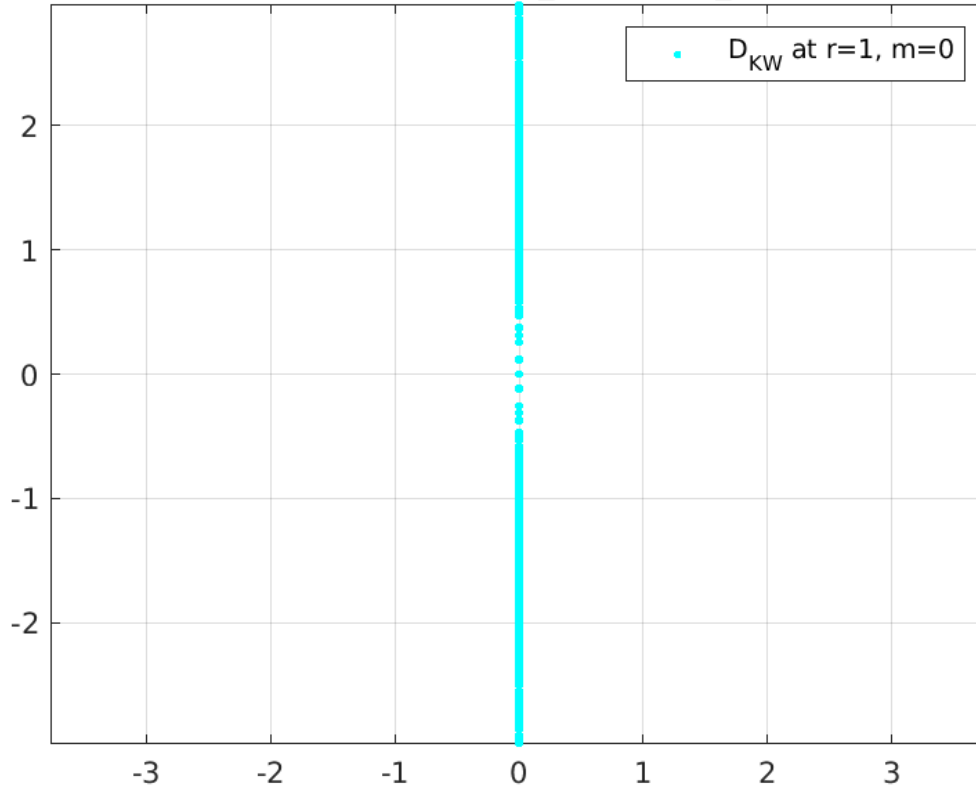


$$D_{\text{naive}} = \sum_{\mu} \eta_{\mu} \nabla_{\mu}$$

- eigenvalue spectrum like staggered, but 2-fold extra degeneracy (4-fold in 4D)
- $\gamma_5$ -chiralities exactly zero (like  $\epsilon$ -chiralities for staggered)
- suitable chirality operator is  $X = C_{\text{sym}} \otimes \gamma_5$  (4 needles down, 16 in 4D)

# Eigenvalues and topology with Karsten-Wilczek fermions

$\beta=3.2, N_x=16, N_y=16, q_{\text{geo}}=1, q_{\text{opt}}=0.990$

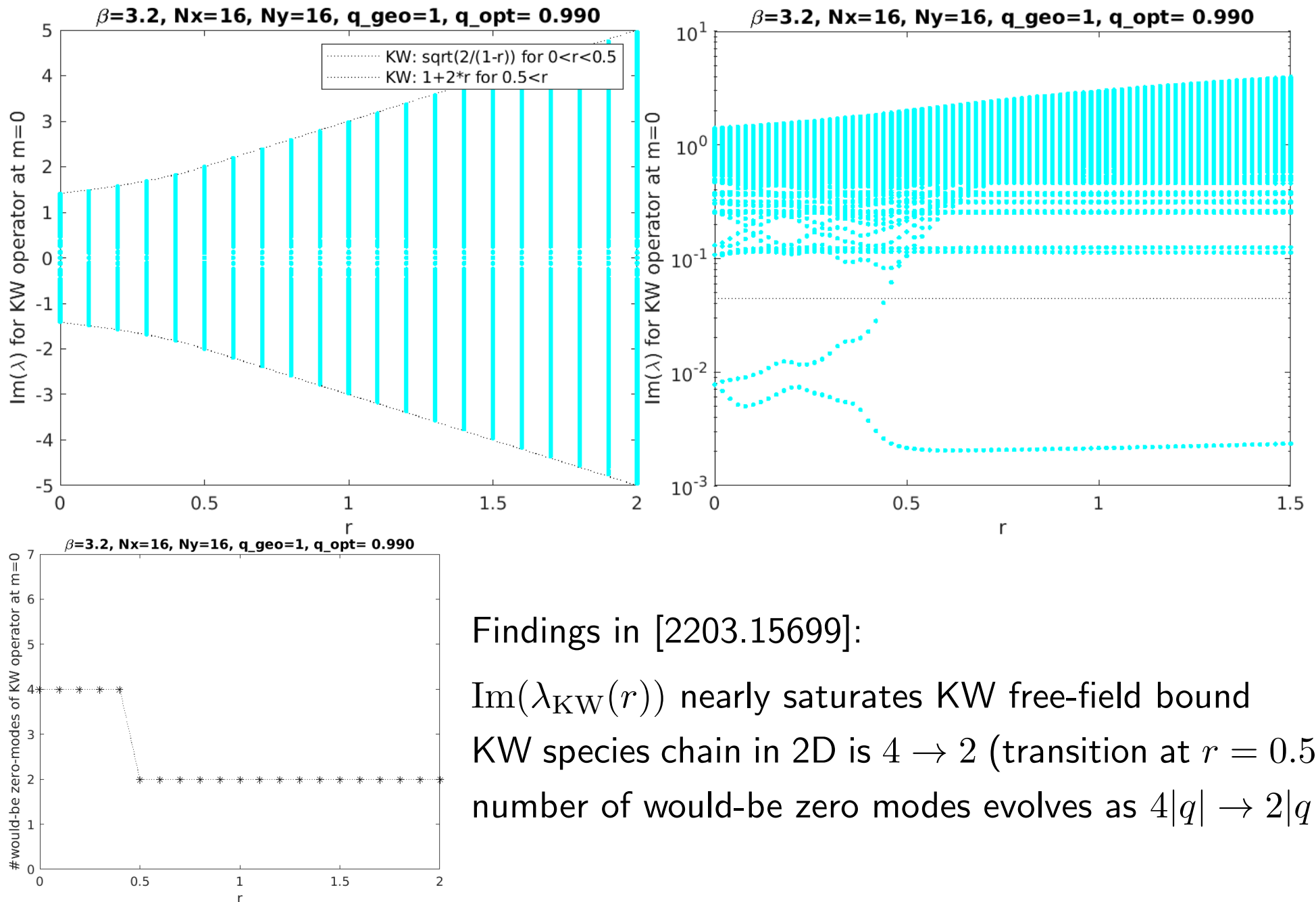


- $2|q|$  would-be zero modes at  $r=1$  (unchanged in 4D), remnant chiral symmetry
- pertinent L/R-eigenmodes of  $D_{\text{KW}}$  not sensitive to  $\gamma_5$

Operator  $X$  can be crafted to have  $\langle L | X | R \rangle \neq 0$  with L/R-eigenmodes of  $D_{\text{KW}}$

Options are  $X = \frac{1}{2}(C_1 + C_2)^2 \otimes \gamma_5$  and  $X = C_{\text{sym}} \otimes \gamma_5$  with  $C_\mu \equiv \frac{1}{2}\Delta_\mu + 1$

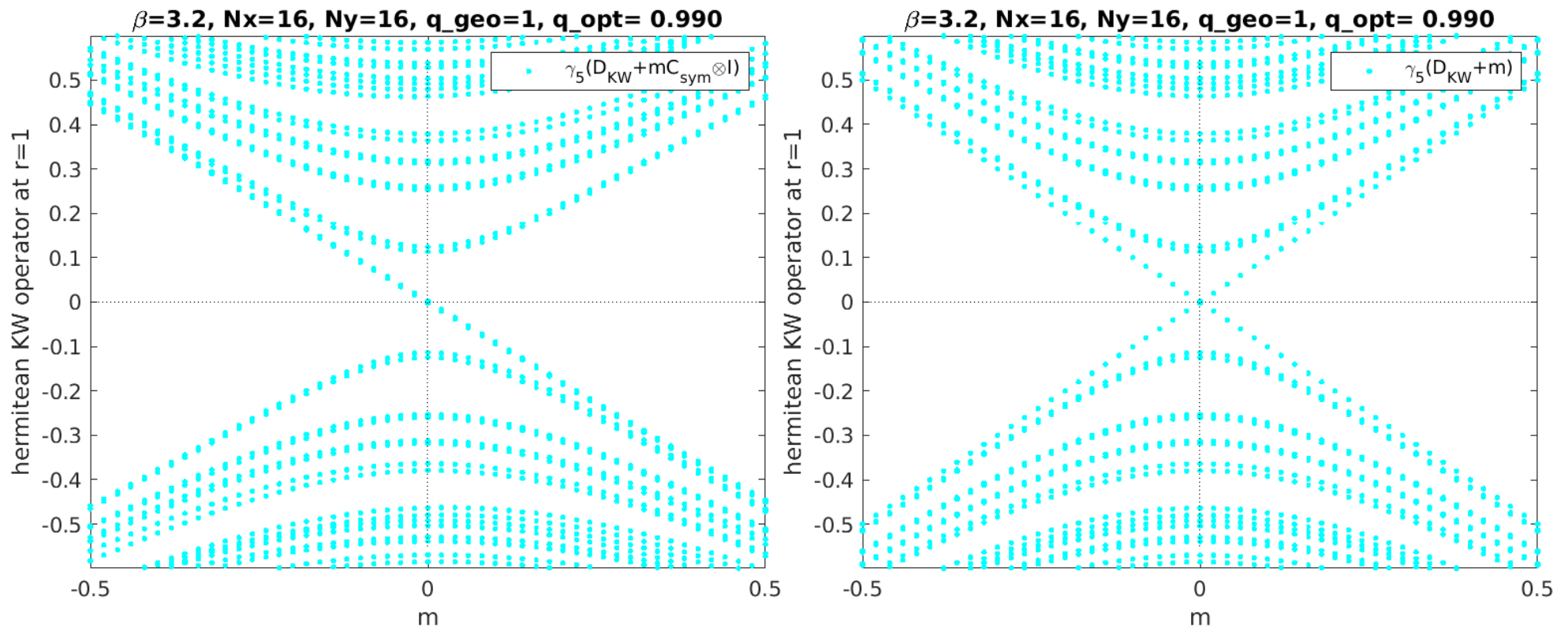
- Transition  $D_{\text{naive}} \rightarrow D_{\text{KW}}$  as a function of  $r$  on  $|q| = 1$  configuration



Findings in [2203.15699]:

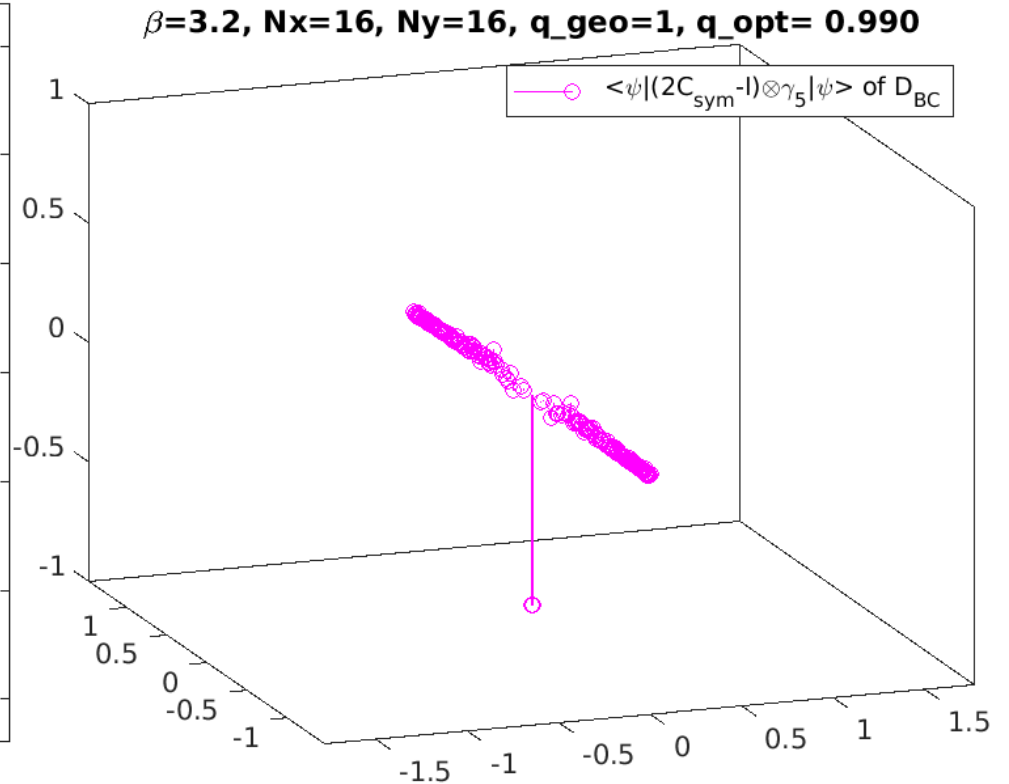
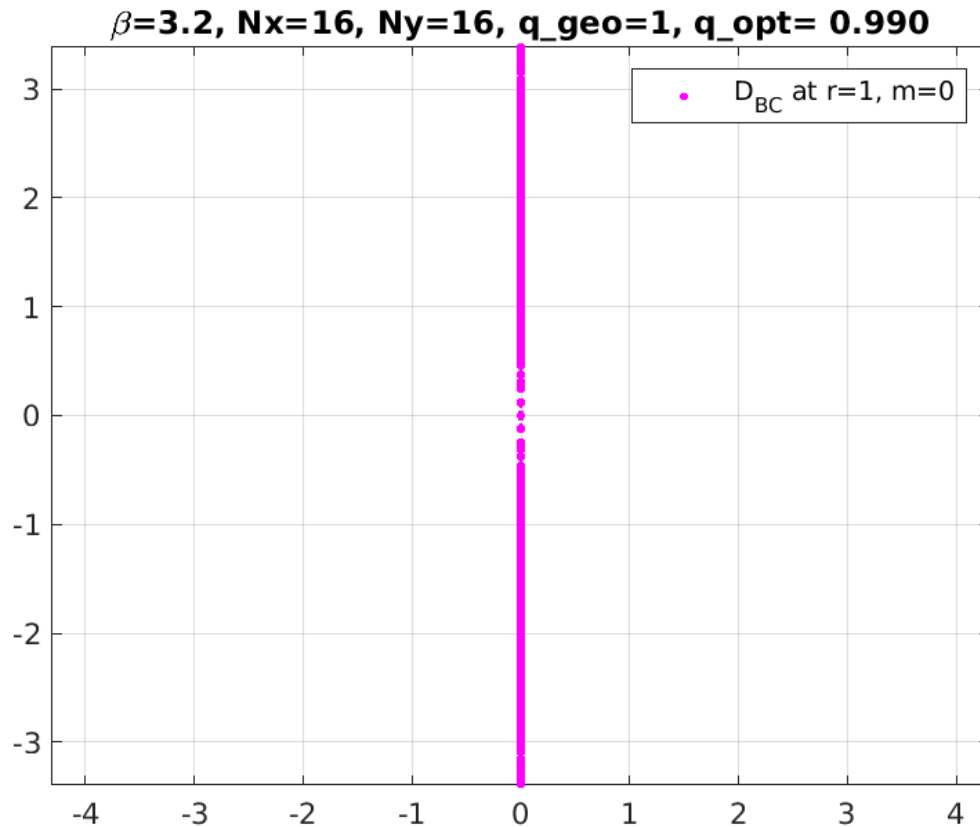
$\text{Im}(\lambda_{\text{KW}}(r))$  nearly saturates KW free-field bound  
 KW species chain in 2D is  $4 \rightarrow 2$  (transition at  $r = 0.5$ )  
 number of would-be zero modes evolves as  $4|q| \rightarrow 2|q|$

## • Spectral flow with Karsten-Wilczek fermions



- eigenvalues of  $H_{\text{KW}} \equiv \gamma_5(D_{\text{KW}} + mC_{\text{sym}} \otimes 1)$  versus  $am$
- choice matches  $X = C_{\text{sym}} \otimes \gamma_5$  being a good chirality operator for  $D_{\text{KW}}$
- sign of slope for  $|\lambda| \ll 1$  reflects chirality (cf. needle down)
- near-degeneracy much better than for BC fermions (cf. below)
- dull choice  $\gamma_5(D_{\text{KW}} + m)$  amounts to wrong chirality operator

# Eigenvalues and topology with Borici-Creutz fermions



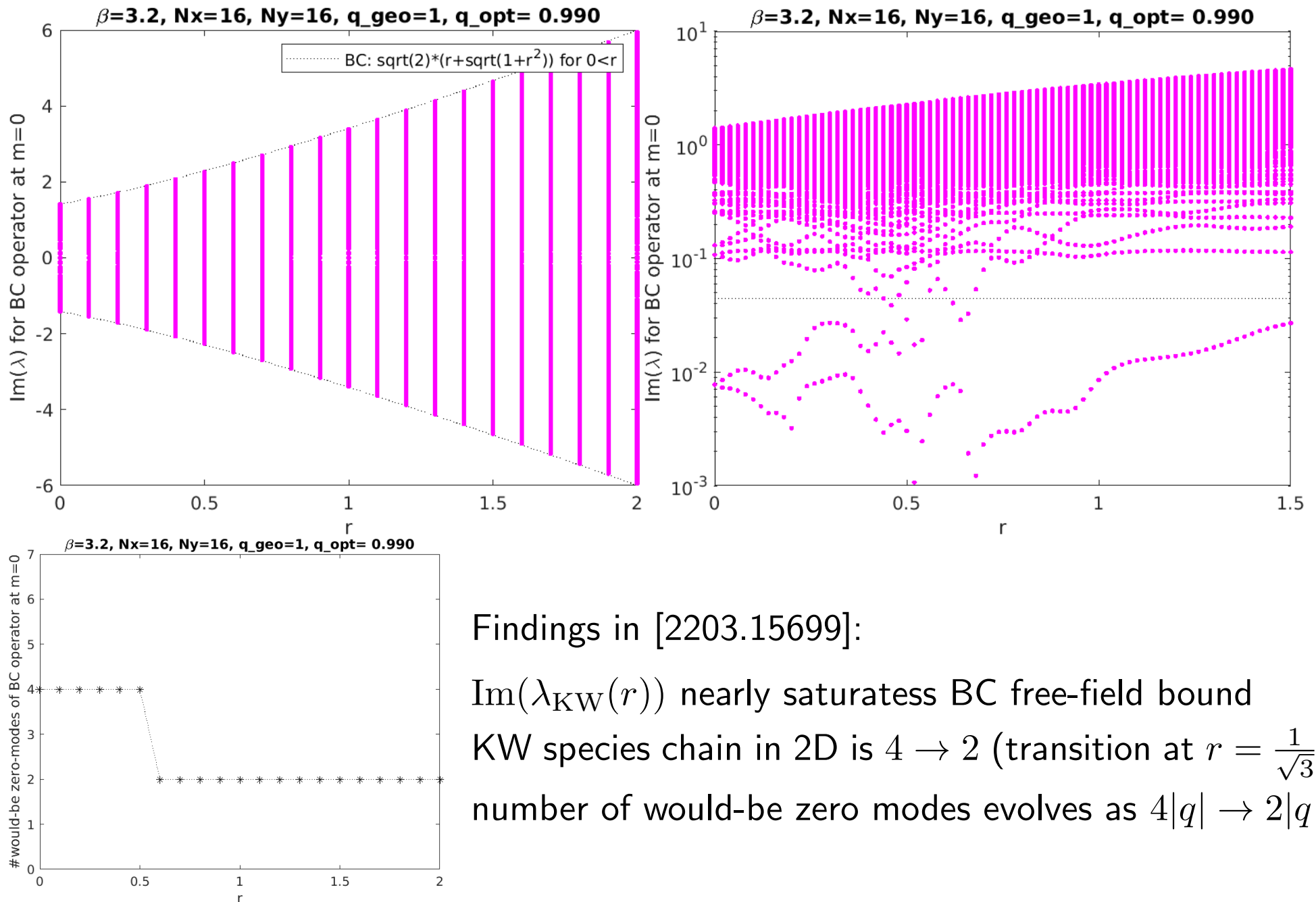
- $2|q|$  would-be zero modes at  $r=1$  (unchanged in 4D), remnant chiral symmetry
- pertinent L/R-eigenmodes of  $D_{\text{BC}}$  not sensitive to  $\gamma_5$  (not shown)

Operator  $X$  can be crafted to have  $\langle L | X | R \rangle \neq 0$  with L/R-eigenmodes of  $D_{\text{BC}}$

Options are  $X = \frac{1}{2}(C_1 + C_2)^2 \otimes \gamma_5$  and  $X = (2C_{\text{sym}} - 1) \otimes \gamma_5$  with  $C_\mu \equiv \frac{1}{2}\Delta_\mu + 1$



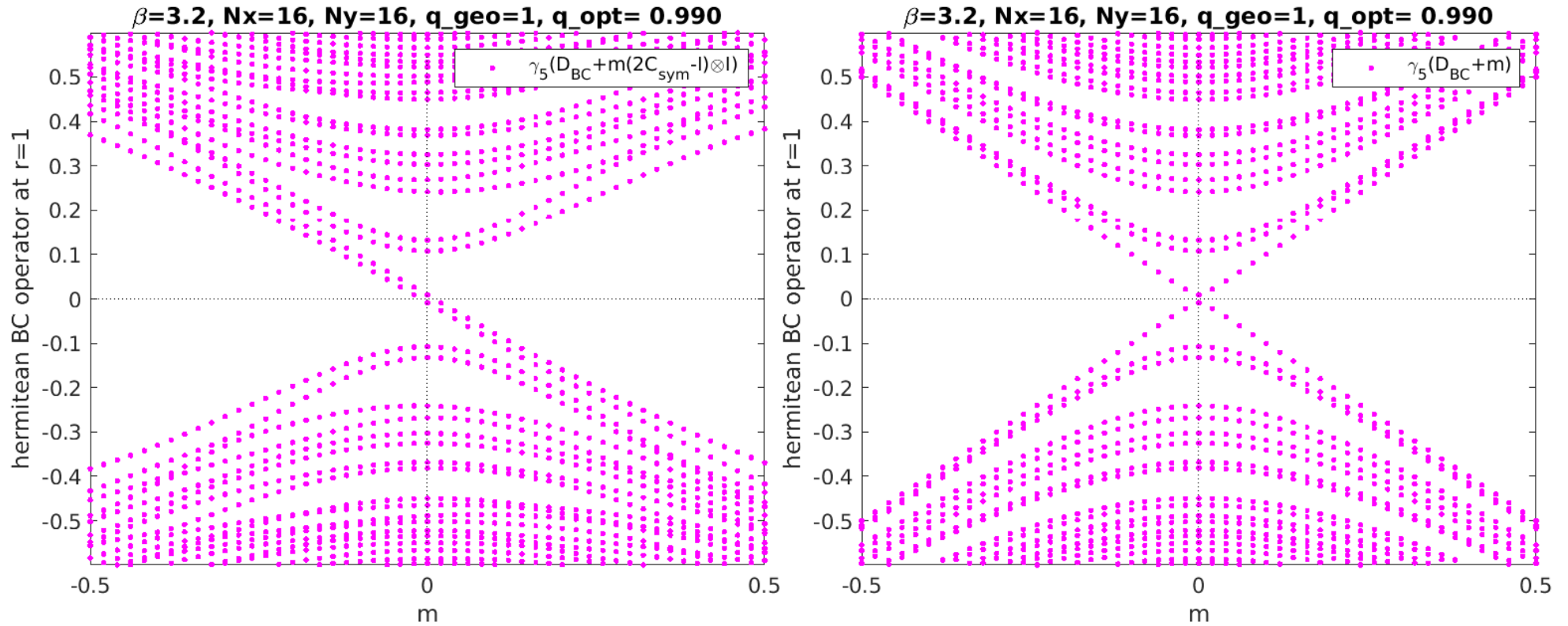
- Transition  $D_{\text{naive}} \rightarrow D_{\text{BC}}$  as a function of  $r$  on  $|q| = 1$  configuration



Findings in [2203.15699]:

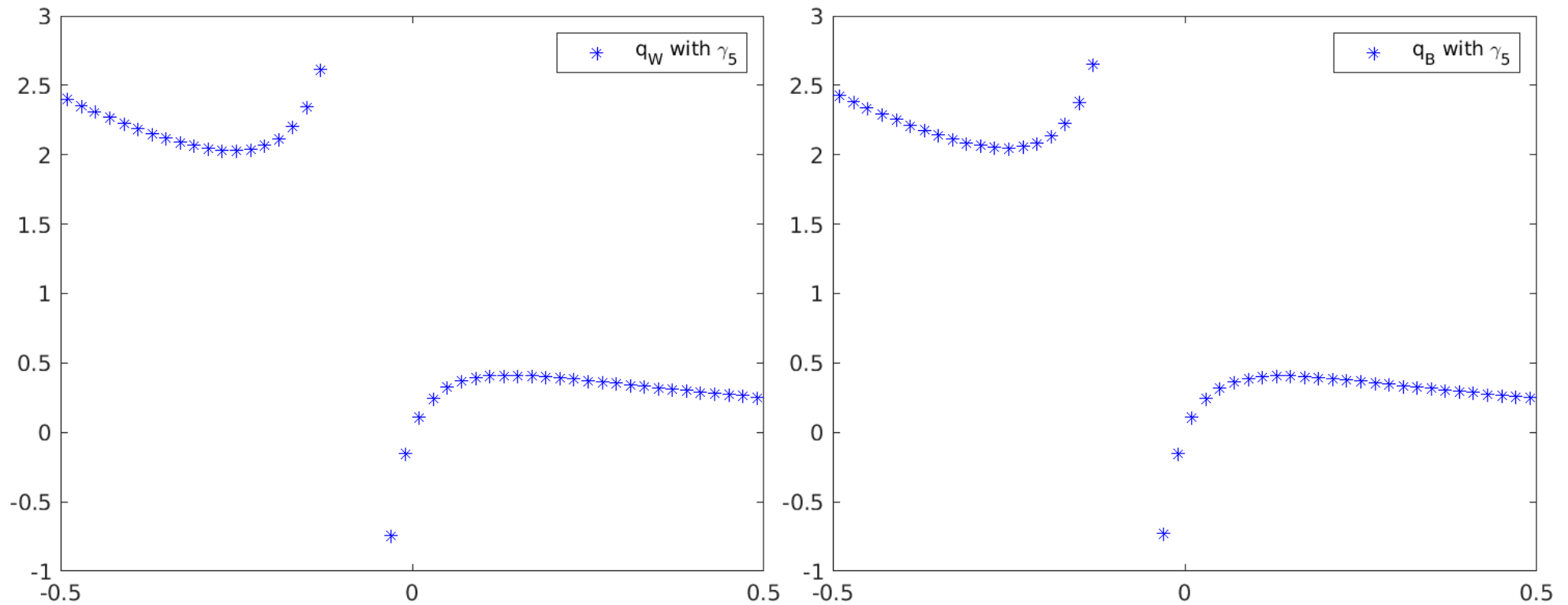
$\text{Im}(\lambda_{\text{KW}}(r))$  nearly saturates BC free-field bound  
 KW species chain in 2D is  $4 \rightarrow 2$  (transition at  $r = \frac{1}{\sqrt{3}}$ )  
 number of would-be zero modes evolves as  $4|q| \rightarrow 2|q|$

## • Spectral flow with Borici-Creutz fermions



- eigenvalues of  $H_{\text{BC}} \equiv \gamma_5(D_{\text{BC}} + m[2C_{\text{sym}} - 1] \otimes 1)$  versus  $am$
- choice matches  $X = [2C_{\text{sym}} - 1] \otimes \gamma_5$  being a good chirality operator for  $D_{\text{BC}}$
- sign of slope for  $|\lambda| \ll 1$  reflects chirality (cf. needle down)
- near-degeneracy much worse than for KW fermions (cf. above)
- dull choice  $\gamma_5(D_{\text{BC}} + m)$  amounts to wrong chirality operator

# Topological charge via Wilson/Brillouin fermion

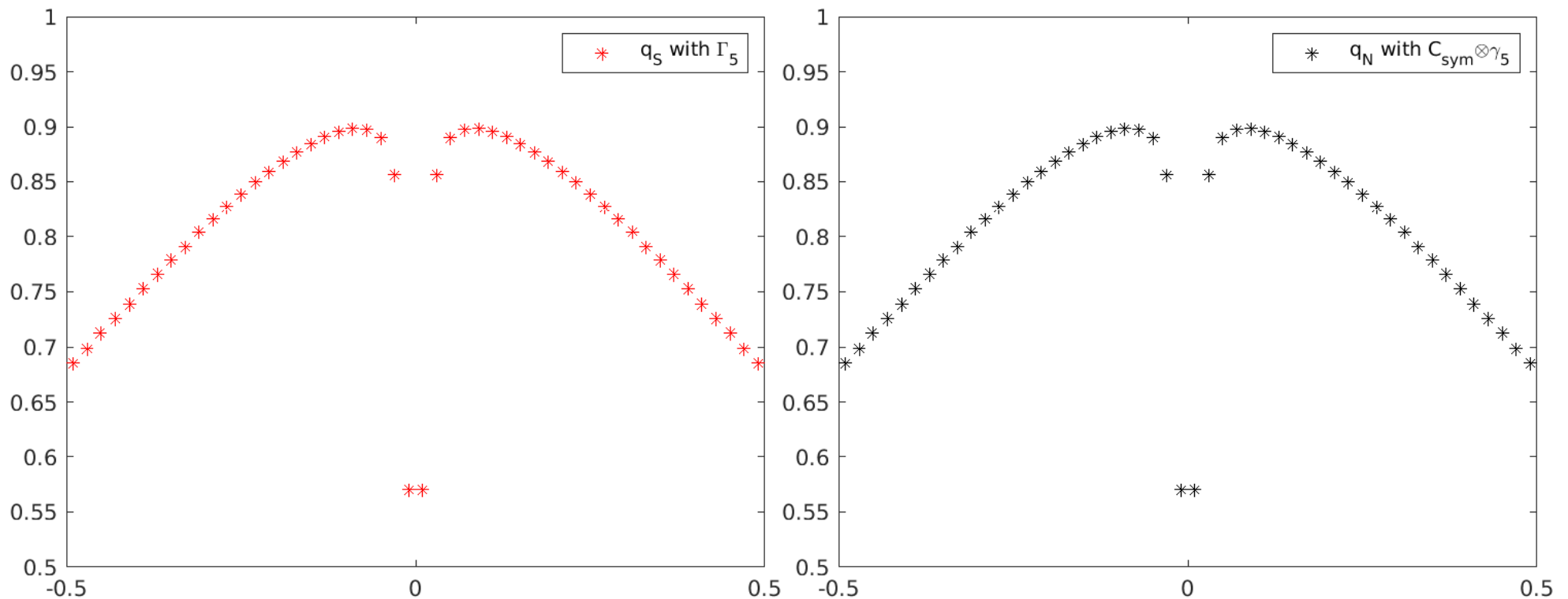


$$q_W[U] = -m \operatorname{tr}[(D_W + m)^{-1} I \otimes \gamma_5] \quad , \quad q_B[U] = -m \operatorname{tr}[(D_B + m)^{-1} I \otimes \gamma_5]$$

- apparent pole structure plausible (see App. C of arXiv:2203.15699) from

$$q_W \simeq m \frac{2(2r + m_{\text{crit}})^2}{(m - m_{\text{crit}})(2r + m_{\text{crit}})(4r + 2m_{\text{crit}})} = \frac{m}{m - m_{\text{crit}}}$$

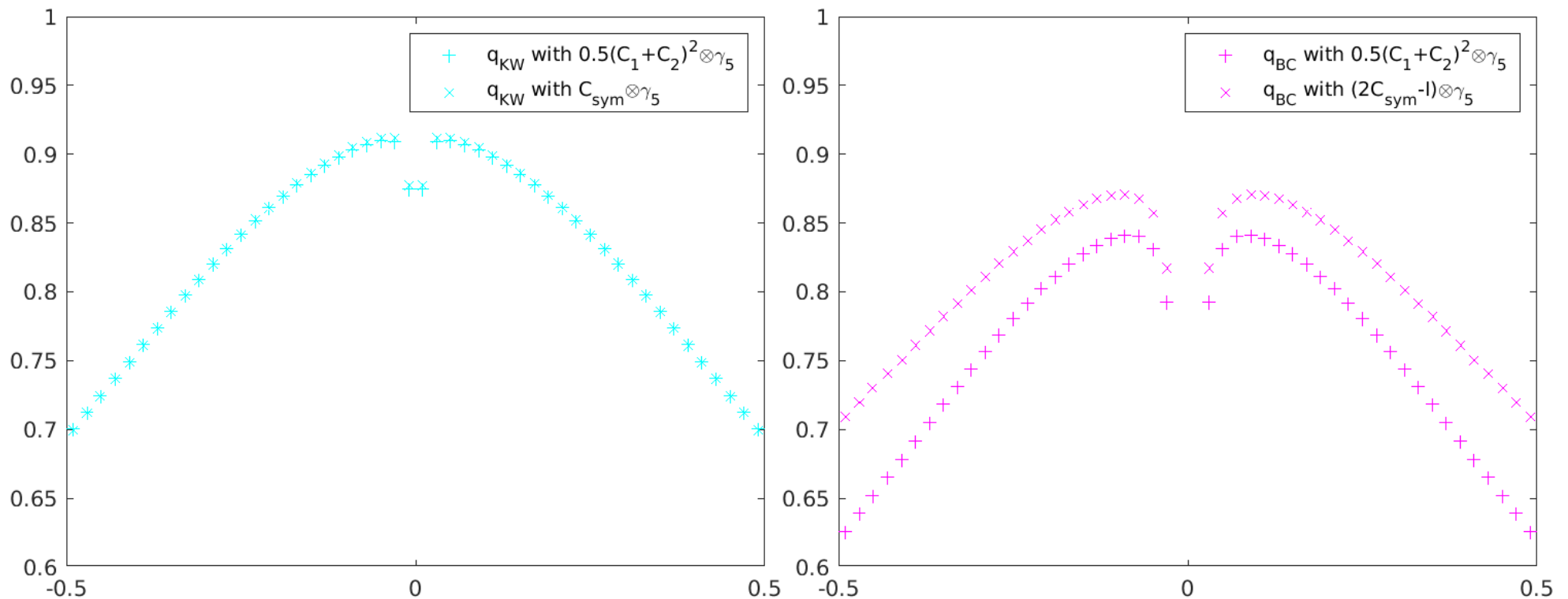
# Topological charge via staggered/naive fermion



$$q_S[U] = -\frac{m}{2} \text{tr}[(D_S + m)^{-1} \Gamma_{50}] \quad , \quad q_N[U] = -\frac{m}{4} \text{tr}[(D_N + m)^{-1} C_{\text{sym}} \otimes \gamma_5]$$

- two-species formulation requires factor  $\frac{1}{2}$  [staggered]
- four-species formulation requires factor  $\frac{1}{4}$  [naive]
- no additive mass shift with  $q_S[U]$  or  $q_N[U]$  (chiral symmetry)

# Topological charge via KW/BC fermion



$$q_{KW}[U] = -\frac{m}{2} \text{tr}[(D_{KW} + m)^{-1} X_{KW}] , \quad q_{BC}[U] = -\frac{m}{2} \text{tr}[(D_{BC} + m)^{-1} X_{BC}]$$

$$X_{KW} = \begin{cases} \frac{1}{2}(C_1 + C_2)^2 \otimes \gamma_5 \\ C_{sym} \otimes \gamma_5 \end{cases} , \quad X_{BC} = \begin{cases} \frac{1}{2}(C_1 + C_2)^2 \otimes \gamma_5 \\ (2C_{sym} - 1) \otimes \gamma_5 \end{cases}$$

- two-species formulation requires factor  $\frac{1}{2}$  [KW and BC]
- no additive mass shift for both KW and BC (chiral symmetry)

## Summary (“part 1”)

KW and BC fermions have **matrix size** like Wilson fermions ( $N_c 4N_x N_y N_z N_t$  in 4D).  
KW and BC fermions have **exact chiral symmetry** (eigenvalues on imaginary axis).  
KW and BC fermions have **condition number** less favorable than staggered fermions.

They have  $2|q|$  **would-be zero modes** with *opposite chiralities* (like staggered) in 2D.  
This figure remains  $2|q|$  in 4D (while staggered fermions have  $4|q|$  in 4D).

With an appropriate chirality operator  
all lattice fermions perceive correct  $q_{\text{top}}[U]$ .

# Dispersion relations of 4D fermion actions

- Dispersion relation of naive fermion

$$D_{\text{nai}} = \sum_{\mu} \gamma_{\mu} \nabla_{\mu} + m = i \sum_{\mu} \gamma_{\mu} \bar{p}_{\mu} + m$$

$$G_{\text{nai}} = \frac{-i \sum_{\mu} \gamma_{\mu} \bar{p}_{\mu} + m}{(i \sum_{\rho} \gamma_{\rho} \bar{p}_{\rho} + m)(-i \sum_{\sigma} \gamma_{\sigma} \bar{p}_{\sigma} + m)} = \frac{-i \sum_{\mu} \gamma_{\mu} \bar{p}_{\mu} + m}{\bar{p}^2 + m^2}$$

$$aE = \sqrt{a \sinh\left(\sum_i \sin^2(ap_i) + (am)^2\right)}$$

- Dispersion relation of Wilson fermion

At  $r = 1$  the DR for Wilson fermion simplifies to

$$2 \cosh(aE) \left[ d + am - \sum_i \cos(ap_i) \right] = 1 + \sum_i \sin^2(ap_i) + \left[ d + am - \sum_i \cos(ap_i) \right]^2$$

which one solves for  $aE > 0$  by means of  $\text{acosh}(x) = \ln(x + \sqrt{x^2 - 1})$  for  $x > 1$ .

- **Dispersion relation of KW fermion**

$$G_{\text{KW}} = \frac{-i \sum_{\mu} \gamma_{\mu} \bar{p}_{\mu} - i \frac{ar}{2} \gamma_d \sum_{i=1}^{d-1} \hat{p}_i^2 + m}{\sum_{i=1}^{d-1} \bar{p}_i^2 + (\bar{p}_d + \frac{ar}{2} \sum_{i=1}^{d-1} \hat{p}_i^2)^2 + m^2}$$

$$\sinh(aE) = ir \sum_{i=1}^{d-1} \{1 - \cos(ap_i)\} \pm \sqrt{\sum_{i=1}^{d-1} \sin^2(ap_i) + (am)^2}$$

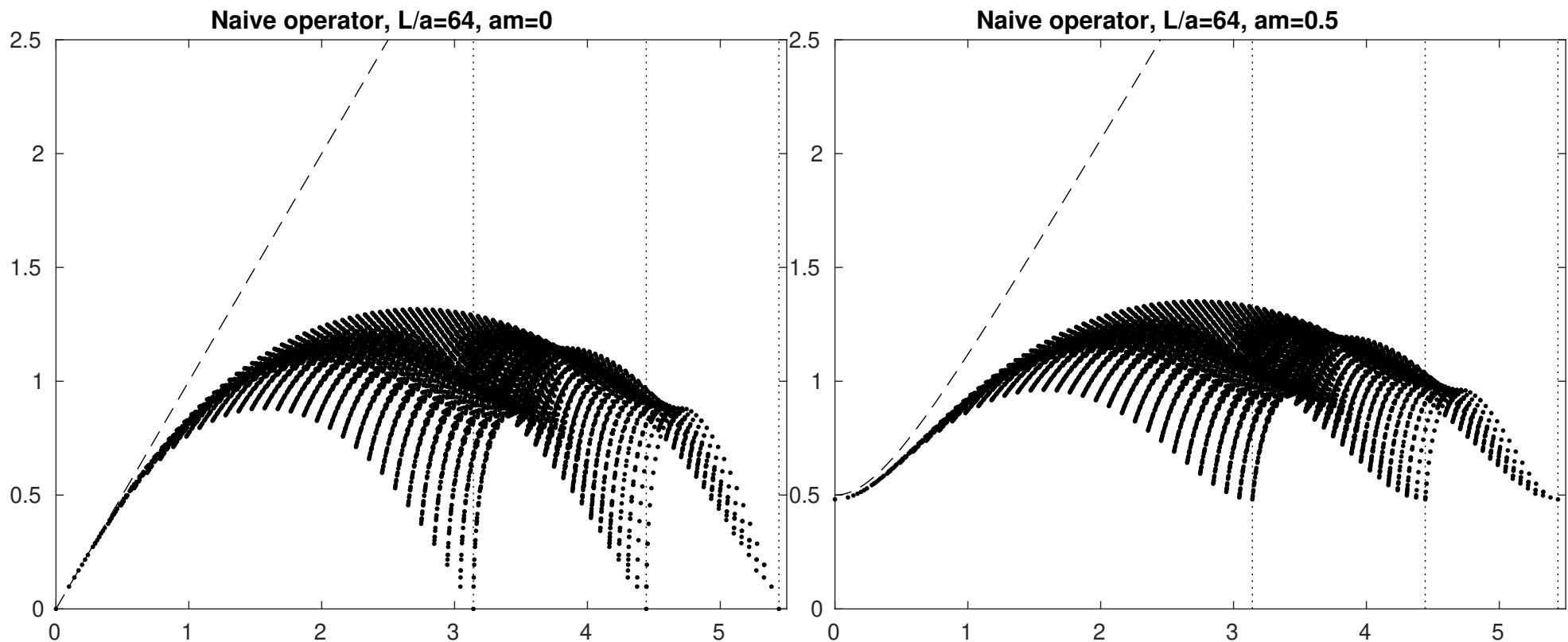
- **Dispersion relation of BC fermion**

$$G_{\text{BC}} = \frac{-i \sum_{\mu} \gamma_{\mu} \bar{p}_{\mu} - i \frac{ar}{2} \sum_{\mu} \gamma'_{\mu} \hat{p}_{\mu}^2 + m}{\sum_{\lambda} \bar{p}_{\lambda}^2 - ar \sum_{\lambda} \bar{p}_{\lambda} \hat{p}_{\lambda}^2 + \frac{a^2 r^2}{4} \sum_{\lambda} \hat{p}_{\lambda}^4 + \frac{2ar}{d} \sum_{\rho, \sigma} \bar{p}_{\rho} \hat{p}_{\sigma}^2 + m^2}$$

$$\begin{aligned} 0 &= \sum_i \left[ \sin(ap_i) - r \{1 - \cos(ap_i)\} \right]^2 + \left[ i \sinh(aE) - r \{1 - \cosh(aE)\} \right]^2 \\ &+ \frac{4r}{d} \sum_{i,j} \sin(ap_i) \{1 - \cos(ap_j)\} + \frac{4ir}{d} \sinh(aE) \sum_j \{1 - \cos(ap_j)\} \\ &+ \frac{4r}{d} \sum_i \sin(ap_i) \{1 - \cosh(aE)\} + \frac{4ir}{d} \sinh(aE) \{1 - \cosh(aE)\} + (am)^2 \end{aligned}$$



- **Dispersion relation of naive fermion**

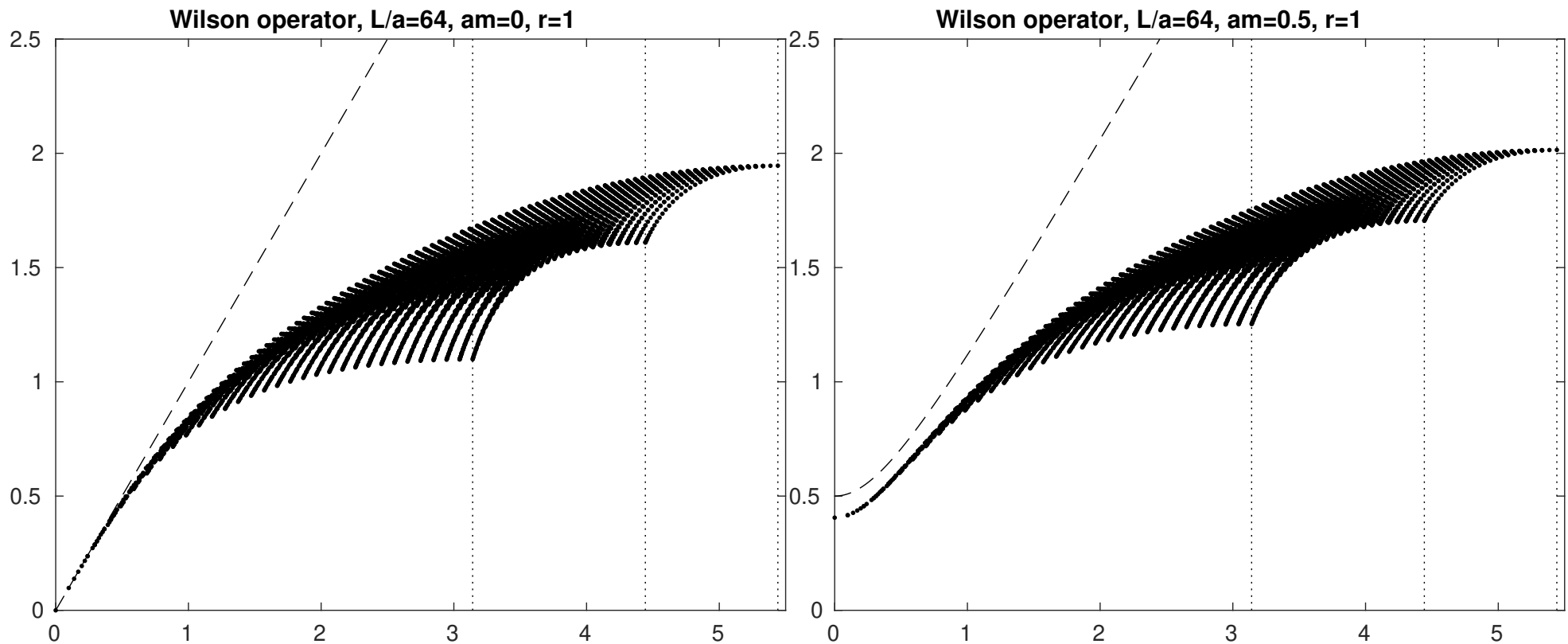


Momentum configurations with  $|\vec{p}| = 0, \pi, \sqrt{2}\pi, \sqrt{3}\pi, 2\pi$  realize 1,4,6,4,1 species.

Useful feature for heavy-quark physics: cut-off effects at  $|a\vec{p}| = 0$  are quadratic:

$$aE = am \left\{ 1 - \frac{1}{6}(am)^2 + \frac{3}{40}(am)^4 + O((am)^6) \right\}$$

## • Dispersion relation of Wilson fermion

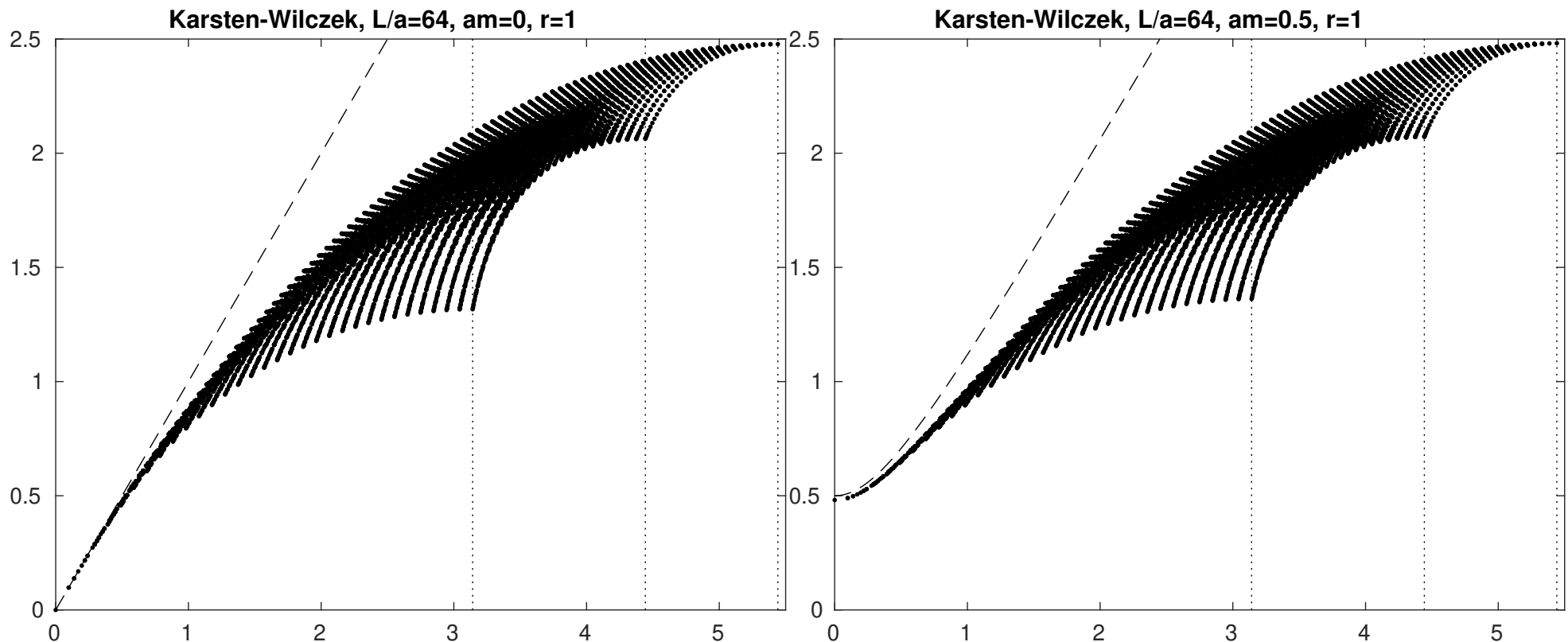


Inconvenient feature for heavy-quark physics: cut-off effects at  $|a\vec{p}| = 0$  are linear:

$$aE = am \left\{ 1 - \frac{r}{2}am + \frac{3r^2 - 1}{6}(am)^2 - \frac{[5r^2 - 3]r}{8}(am)^3 + O((am)^4) \right\}$$

Non-zero momenta up to  $|a\vec{p}| = O(1)$  seem affected by common mismatch in  $am$ .

- **Dispersion relation of KW fermion**

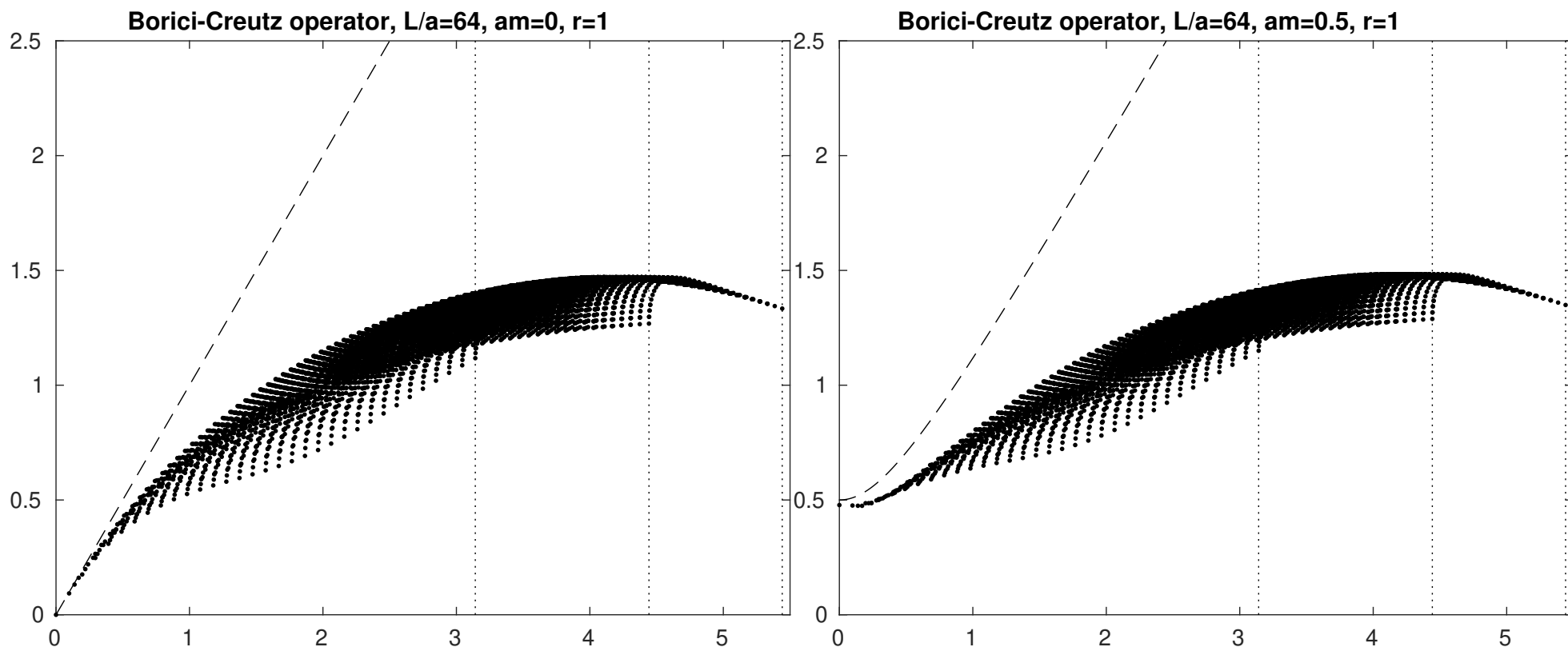


Feature for heavy-quark physics: cut-off effects at  $|a\vec{p}| = 0$  are quadratic:

$$aE = am \left\{ 1 - \frac{1}{6}(am)^2 + \frac{3}{40}(am)^4 + O((am)^6) \right\}$$

Non-zero momenta up to  $|a\vec{p}| = O(1)$  seem well represented [arXiv:2003.10803].

- **Dispersion relation of BC fermion**



Feature for heavy-quark physics: cut-off effects in real/imag part are linear/quadratic

$$aE = am \left\{ 1 + \frac{ir}{4}am - \frac{3r^2+16}{96}(am)^2 + \frac{i[r^3-3r]}{16}(am)^3 - \frac{805r^4-960r^2-768}{10240}(am)^4 \right\}$$

Unclear/questionable features for tiny momenta at  $am \simeq 0.5$  [arXiv:2003.10803].

## Summary (“part 2”)

Naive fermions have (for any  $am$ ) very nice dispersion relation (despite doublers).

Wilson fermions at  $am = 0$  have very nice dispersion relation (an no doublers).

Wilson fermions at  $am > 0$  have large  $O(a)$  cutoff effects (visible at  $a\vec{p} = \vec{0}$ ).

KW fermions have (for any  $am$ ) very nice dispersion relation.

KW fermions have same perturbative expansion in  $am$  as Wilson fermions at  $a\vec{p} = \vec{0}$ .

BC fermions have not-so-nice features at any  $am$  and  $a\vec{p}$ .

BC fermions have factors of  $i$  in perturbative expansion in  $am$  at  $a\vec{p} = \vec{0}$ .

KW fermions are interesting for heavy-quark physics.  
BC fermions likely to cause troubles in physics applications.

# Schwinger Model: QED in 2D with any $N_f$

SM at  $N_f=0$  simulated with Metropolis/overrelax/instanton-hit/parity-hit.  
Topological charge autocorrelation time is  $O(1)$  at any  $\beta$  [arXiv:1203.2560].

Wilson gauge action per site:

$$s_{\text{wil}}(x) = 1 - \text{Re}(U(x)) = 1 - \cos(\theta(x))$$

Plaquette at position  $x = (x_1, x_2)$ :

$$U(x) = U_1(x)U_2(x+e_1)U_1^\dagger(x+e_2)U_2^\dagger(x)$$

$$U(x) = \exp(i\theta(x))$$

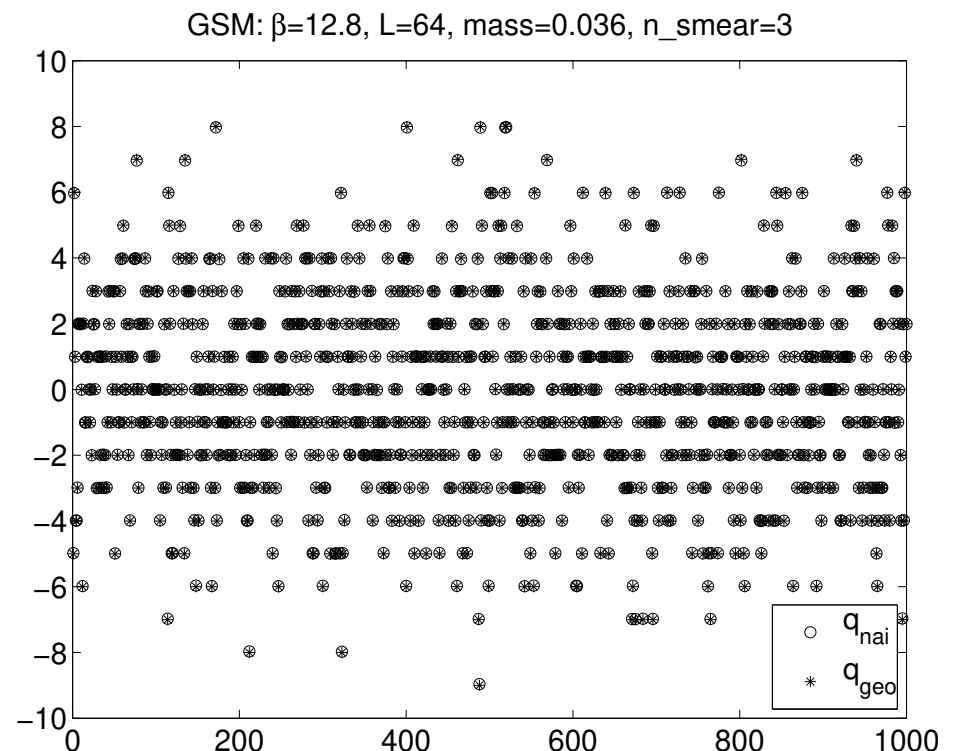
Two gluonic topological charges:

$$q_{\text{raw}}^{(n)} = \sum \sin(\theta^{(n)}(x))/(2\pi) \in \mathbf{R} \quad (\text{“fth}/Z\text{”})$$

$$q_{\text{geo}}^{(n)} = \sum \theta^{(n)}(x)/(2\pi) \in \mathbf{Z} \quad (\text{“geometric”})$$

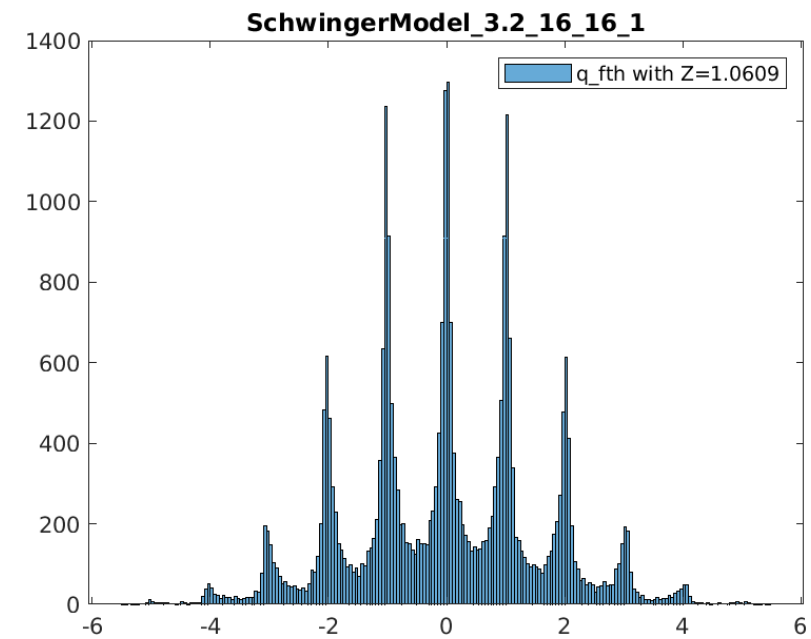
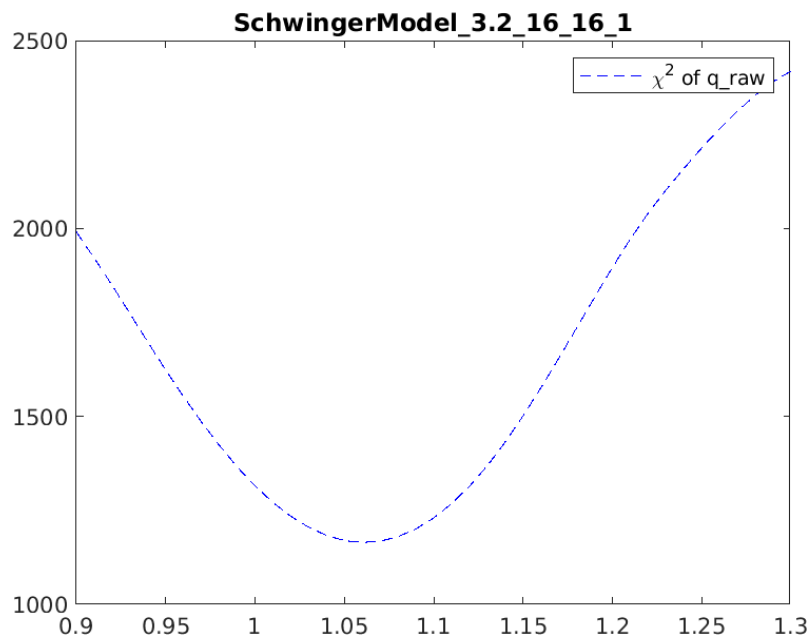
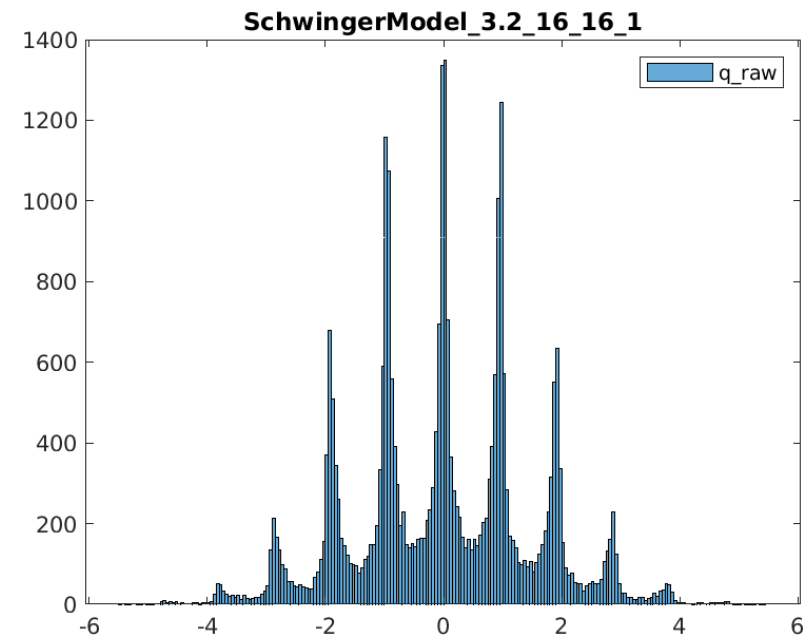
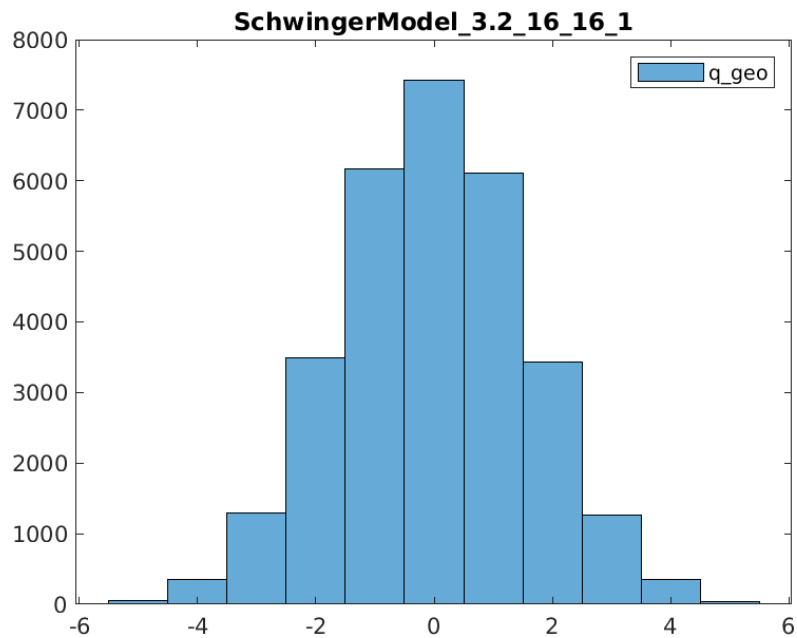
$\theta^{(n)}$  plaquette angle after  $n$  smearings

$q_{\text{opt}}(x)$  is clover-leaf version of  $q_{\text{raw}}(x)$



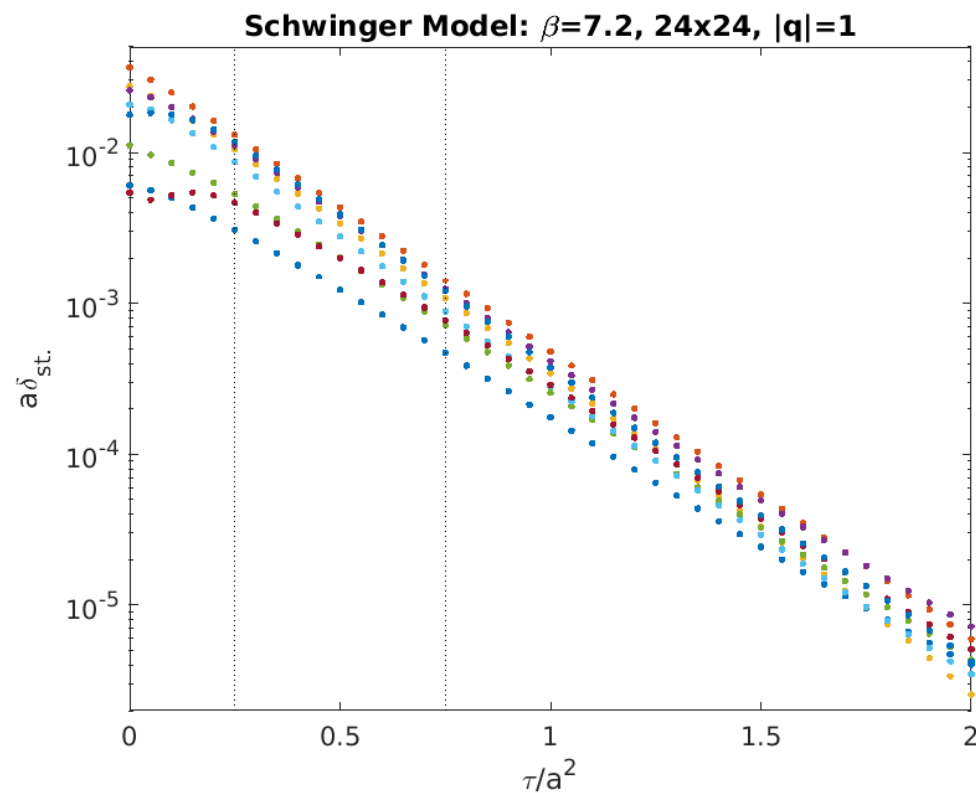
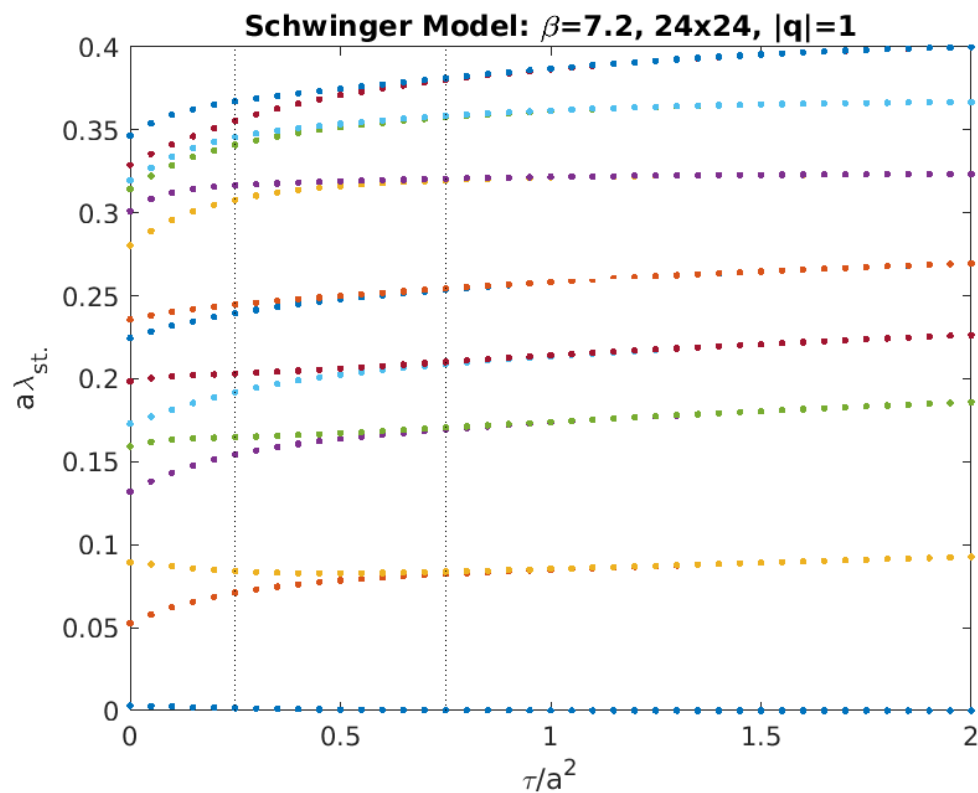
Operators use  $n=0, 1, 3$  steps of  $\rho=0.25$  stout-smearing [Morningstar Peardon 2003].

# Schwinger Model: topological charge distributions



## Taste splittings: $a\delta_{\text{stag}}$ under gradient flow

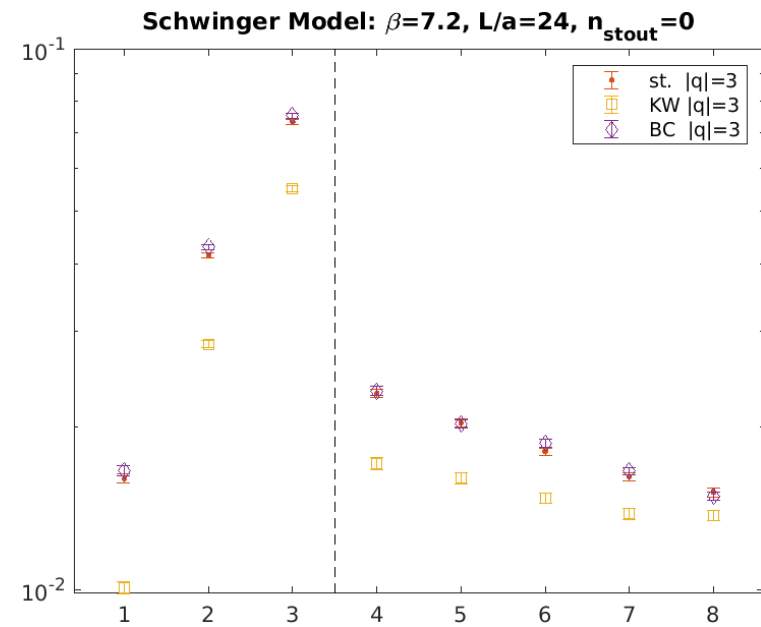
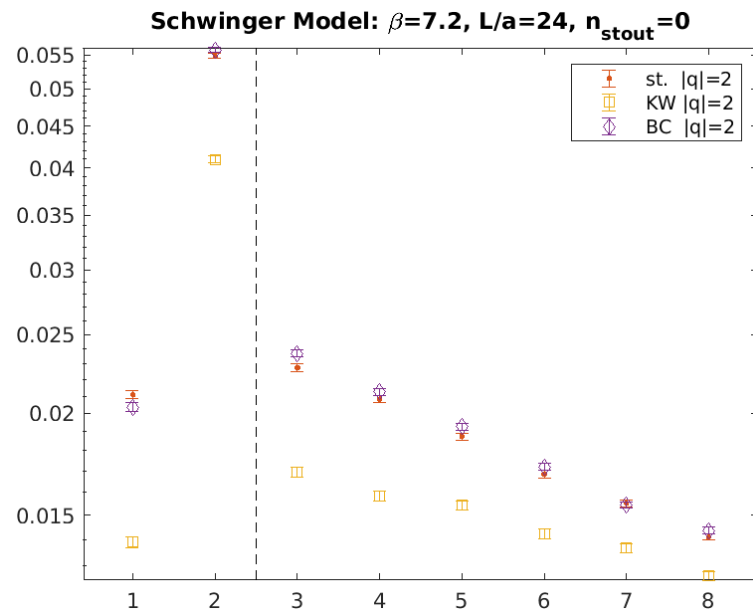
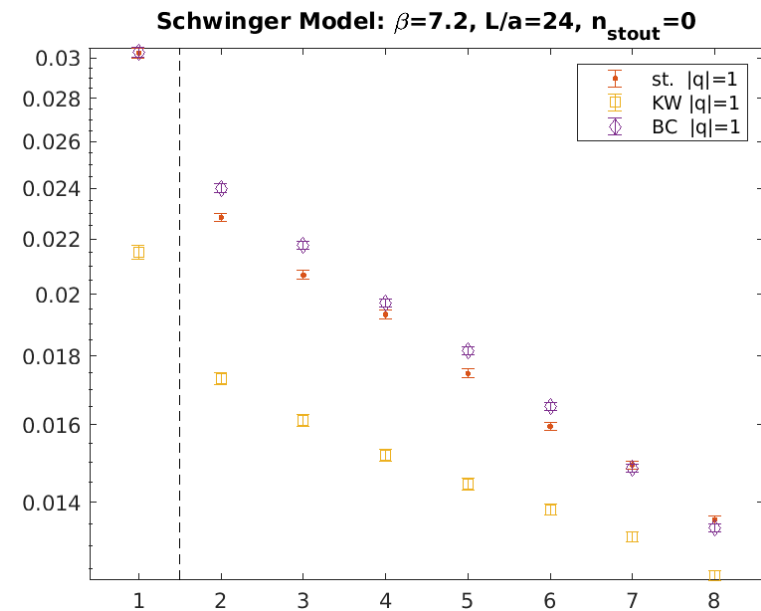
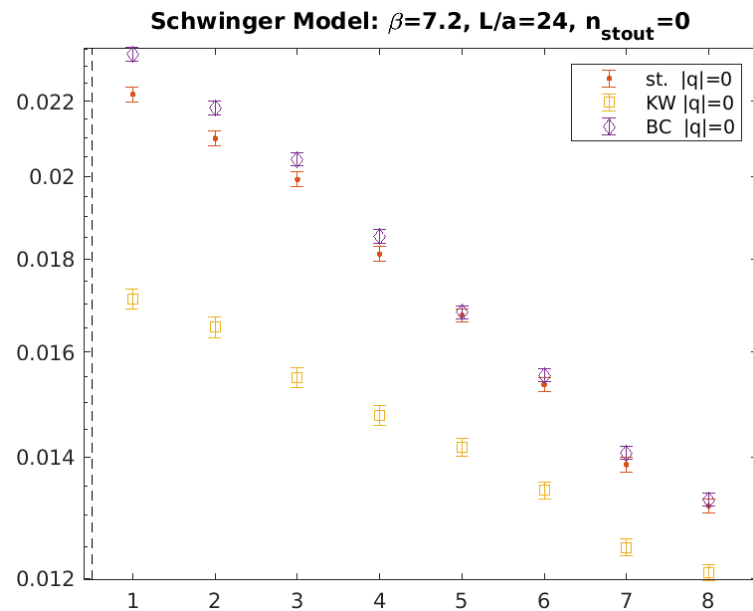
Eigenvalues  $a\lambda_1, \dots, a\lambda_{15}$  of  $D_{\text{stag}}/i$  on a  $|q|=1$  configuration at  $(\beta, L/a) = (7.2, 24)$  versus gradient flow time  $\tau/a^2$ . Note that  $\lambda_1$  pairs with  $-\lambda_1$ , while  $\lambda_2 \simeq \lambda_3$  pair, and so on. Splittings defined with proper pairing:  $\delta_1 = 2\lambda_1$ ,  $\delta_2 = \lambda_3 - \lambda_2$ , ... for  $|q| = 1$ .



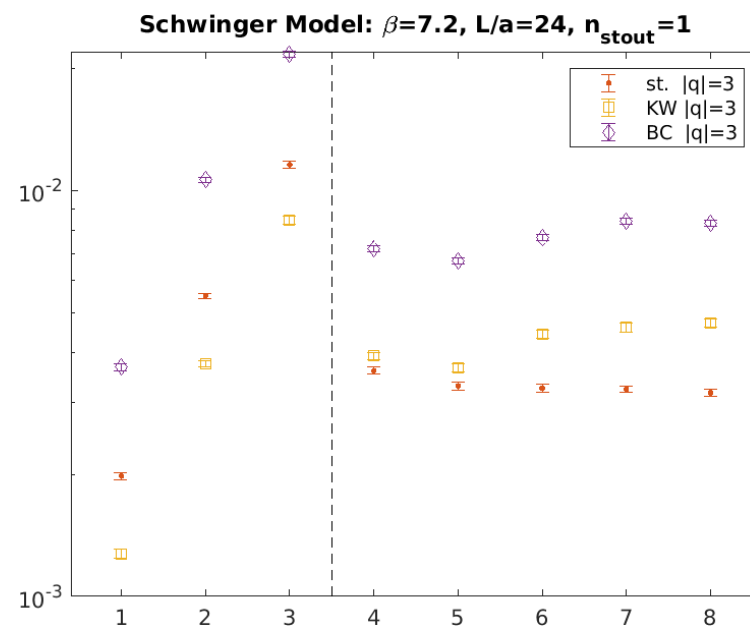
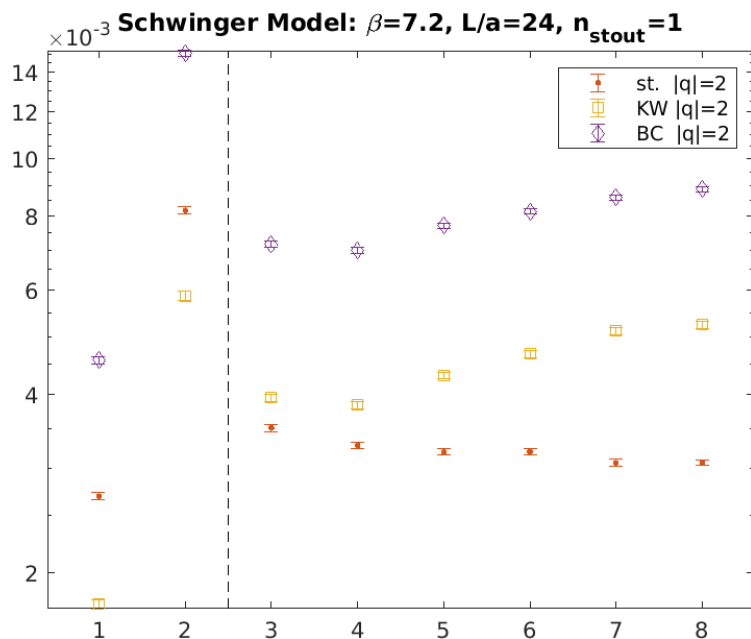
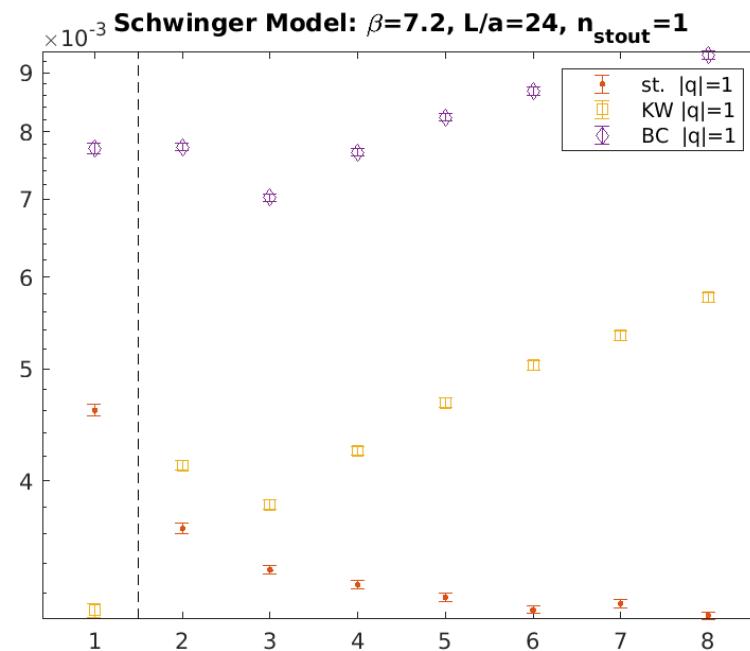
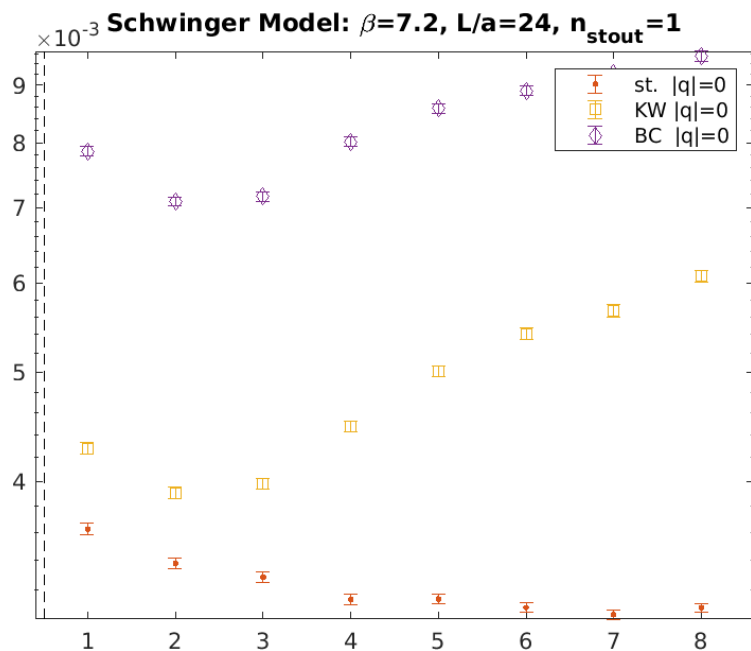
Main investigation carried out with  $n = 0, 1, 3$  steps of  $\rho = 0.25$  stout smearing, paramount to  $\tau/a^2 = 0, 0.25, 0.75$  (up to small discretization effects).



# Taste splittings: $a\delta$ on “central ensemble” with $n_{\text{stout}} = 0$



# Taste splittings: $a\delta$ on “central ensemble” with $n_{\text{stout}} = 1$



## Schwinger Model: ensemble details

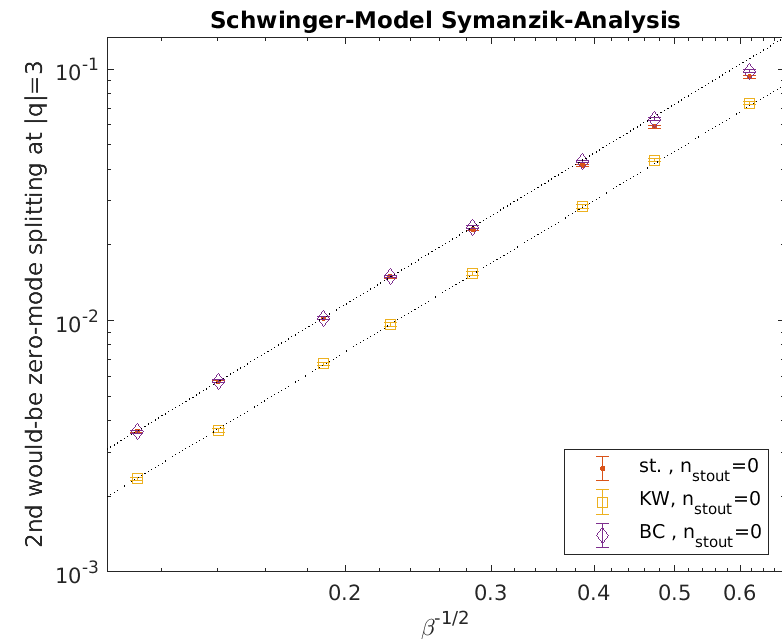
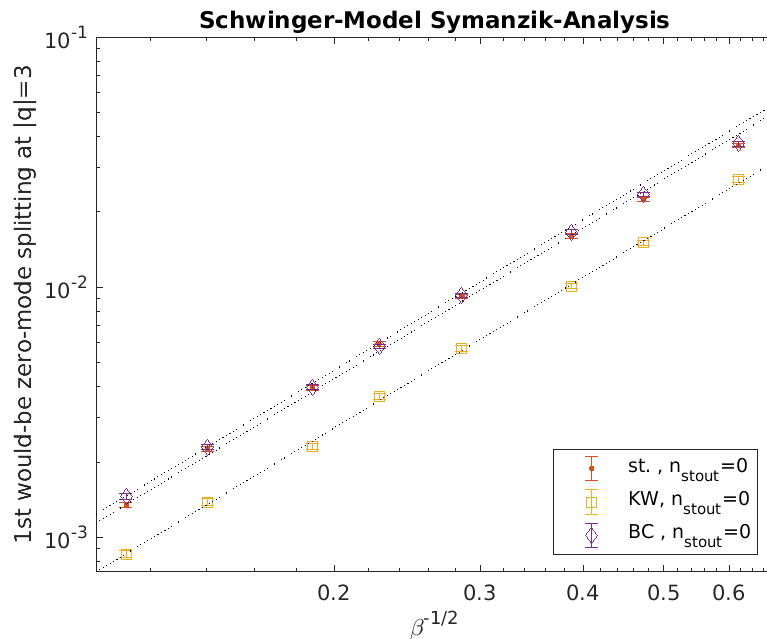
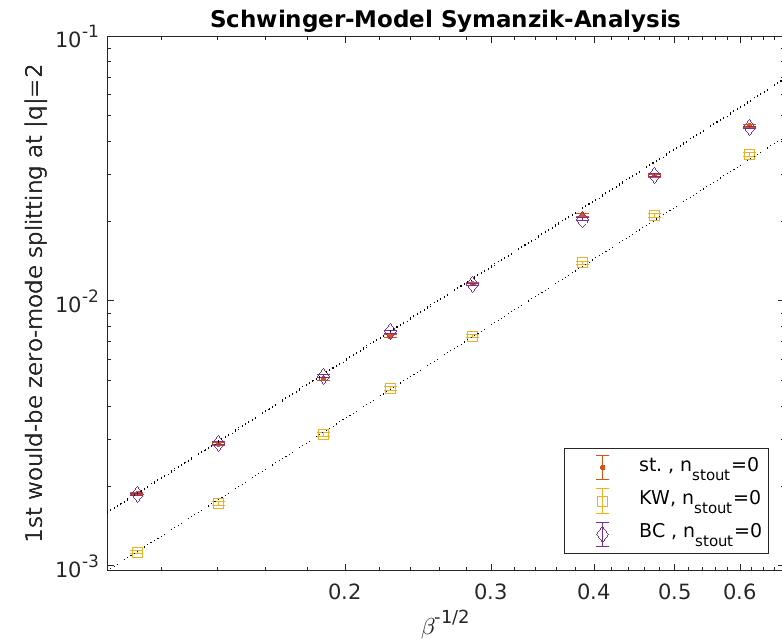
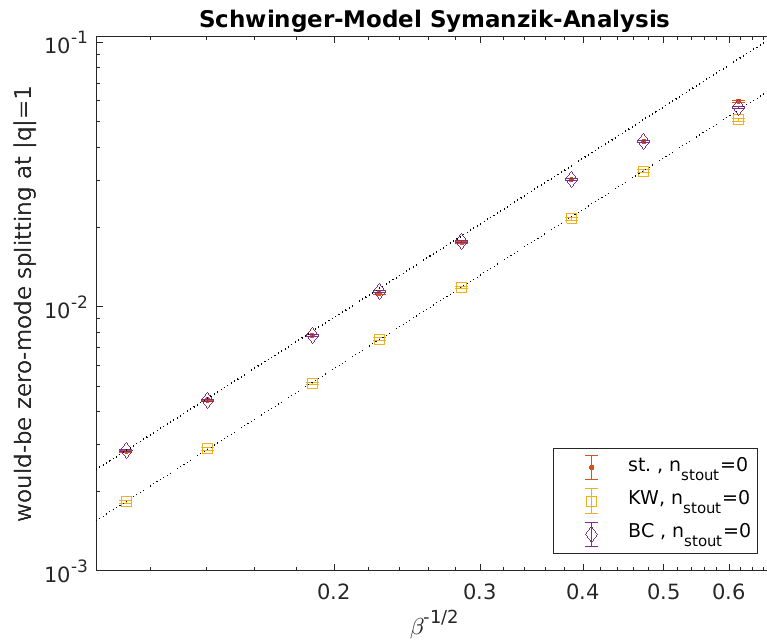
$\beta$	3.2	5.0	7.2	12.8	20.0	28.8	51.2	80.0
$L/a$	16	20	24	32	40	48	64	80
$n_{\text{stout}}$	0,1,3	0,1,3	0,1,3	0,1,3	0,1,3	0,1,3	0,1,3	0,1,3
$s_{\text{wils}}^{(0)}$	0.17625	0.10662	0.07230	0.03989	0.02533	0.01752	0.00981	0.00627
$p_{\text{inst.hit}}$	0.750(2)	0.737(2)	0.729(2)	0.725(2)	0.726(2)	0.722(2)	0.721(2)	0.721(1)

Table 1: Ensembles used in the “cut-off effect” study; they implement constant physical volume through  $(L/a)^2/\beta = 80$ . For every choice of  $(\beta, L/a)$  three ensembles of 10 000 configurations are generated, to be used with 0, 1 or 3 steps of  $\rho = 0.25$  stout smearing, respectively. The **analytic result**  $s_{\text{wils}}^{(0)}$  is taken from [Elser:2001pe].

$\beta$	7.2	7.2	7.2	7.2	7.2
$L/a$	16	20	24	32	40
$n_{\text{stout}}$	1	1	1	1	1
$p_{\text{inst.hit}}$	0.597(2)	0.677(2)	0.729(2)	0.799(2)	0.838(2)

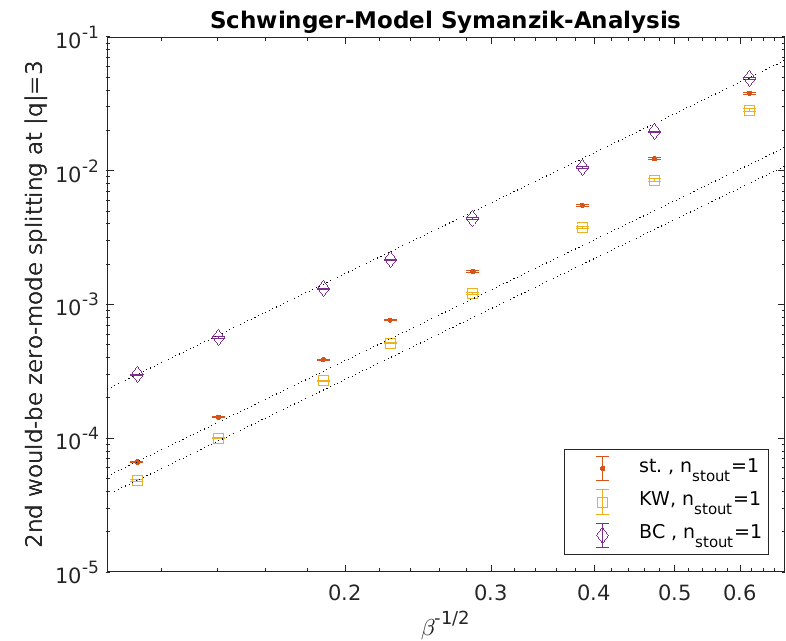
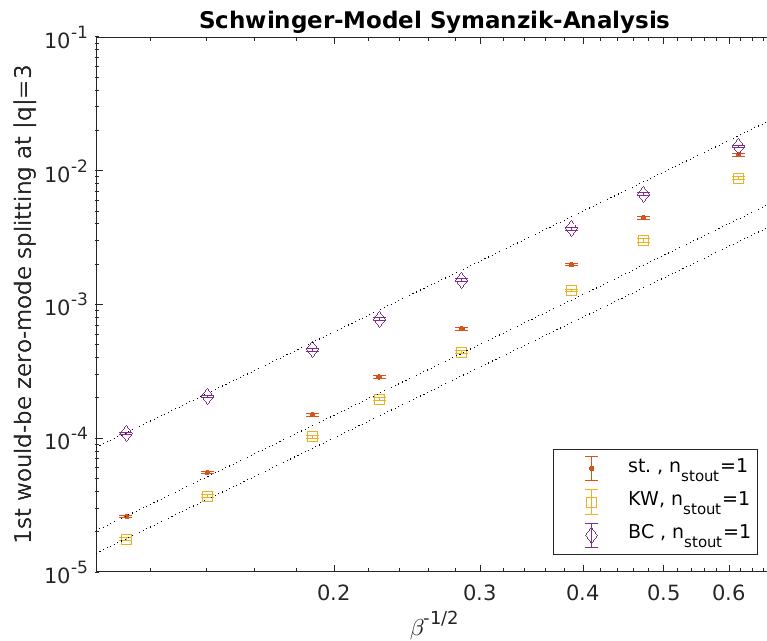
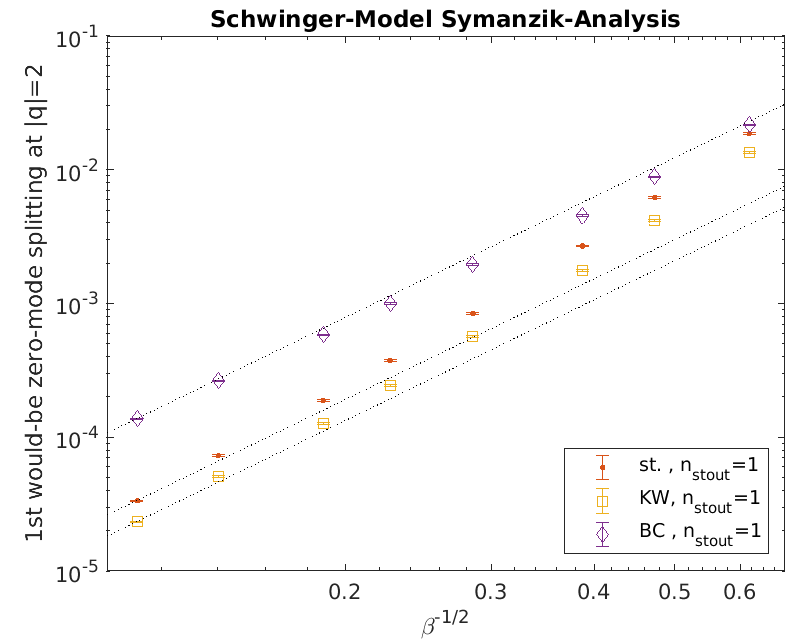
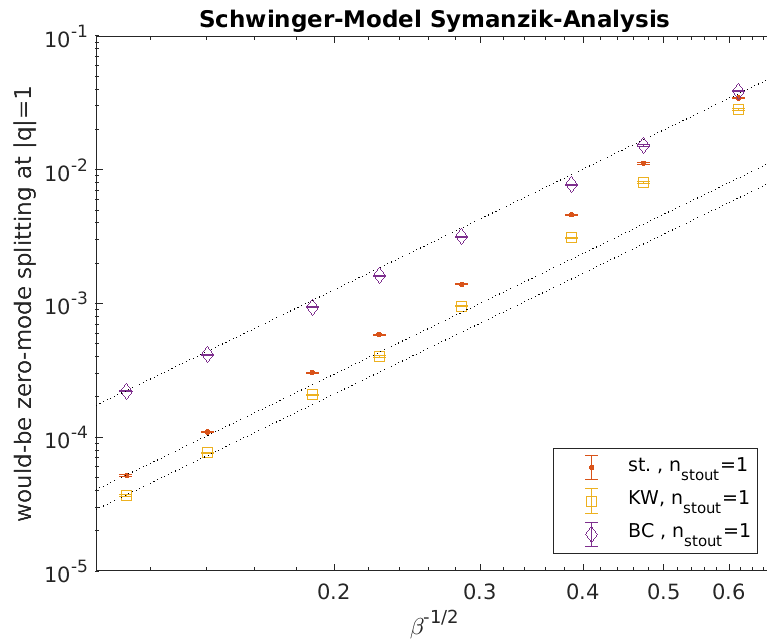
Table 2: Ensembles used in the “finite volume” study; each one contains 10 000 configurations and is used after a single step of  $\rho = 0.25$  stout smearing. Also the **acceptance ratio of the instanton hit update** at the respective  $(\beta, L/a)$  is given.

# Taste splittings: would-be zero mode scaling for $n_{\text{stout}} = 0$



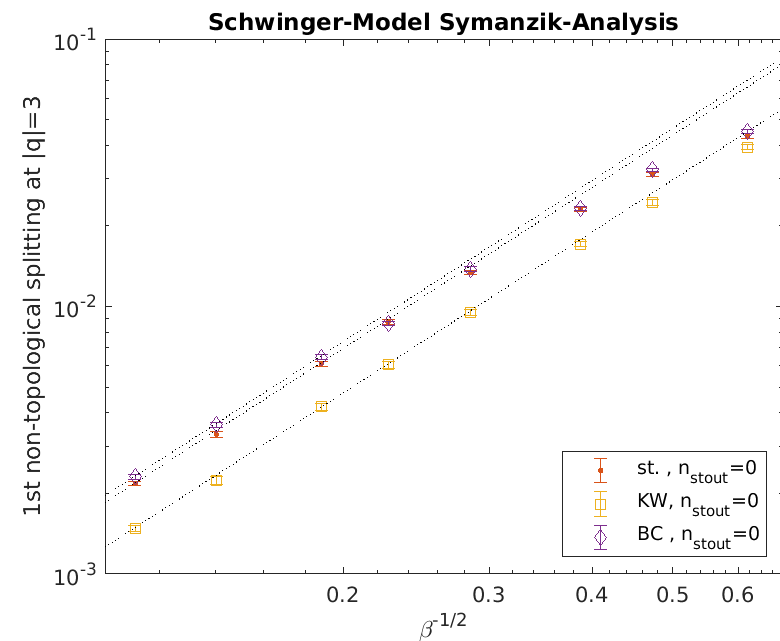
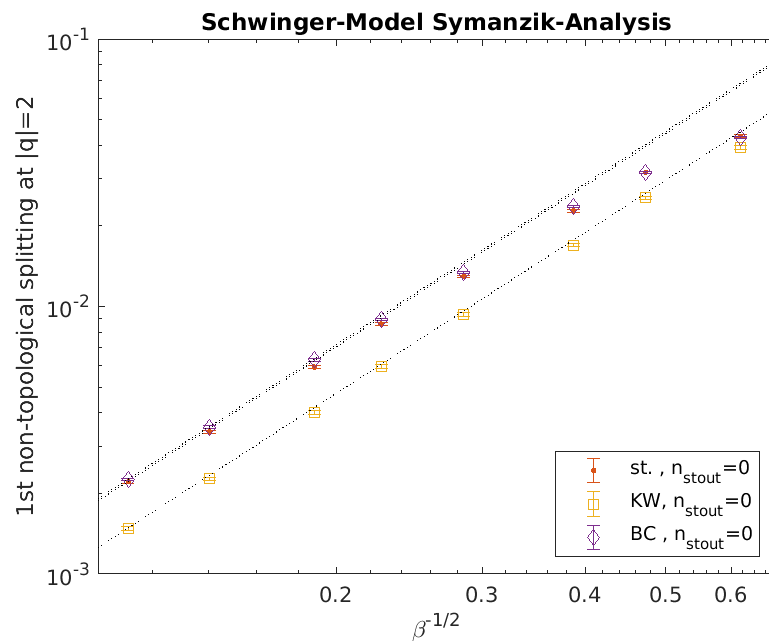
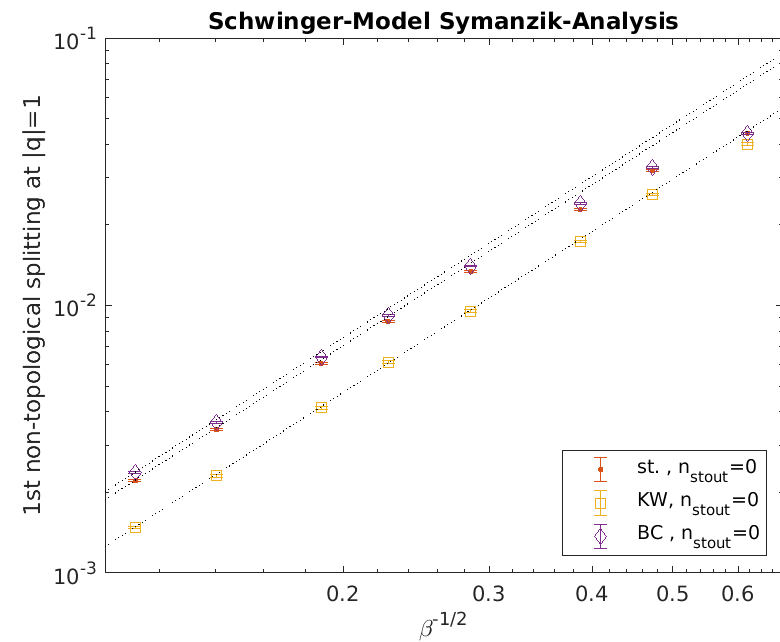
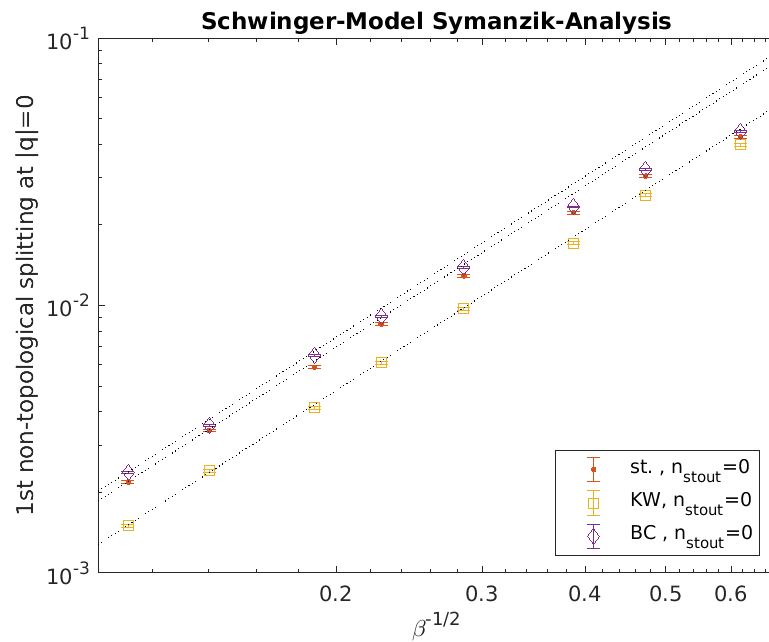
all  $a^2$

# Taste splittings: would-be zero mode scaling for $n_{\text{stout}} = 1$



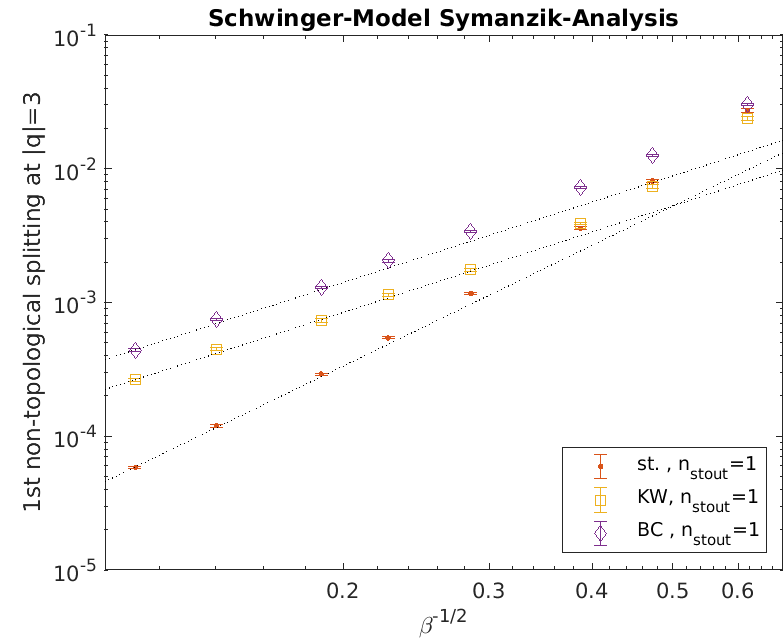
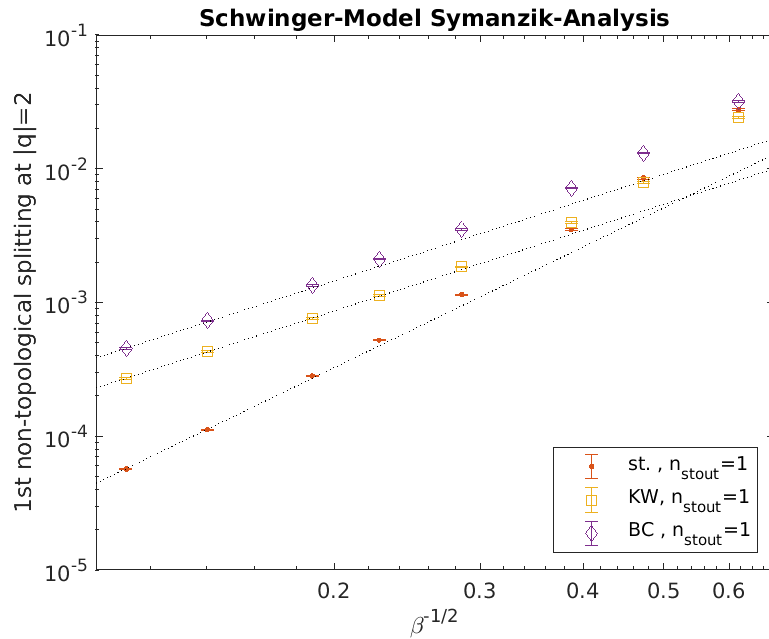
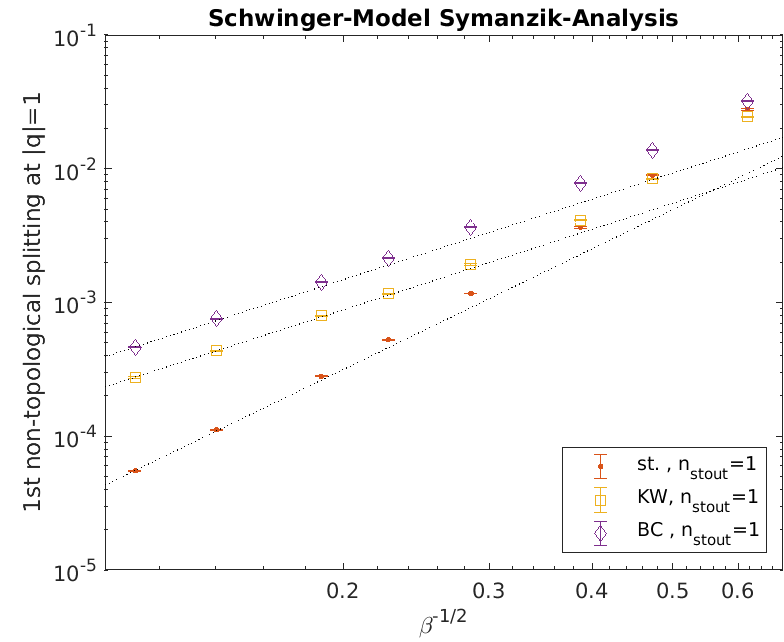
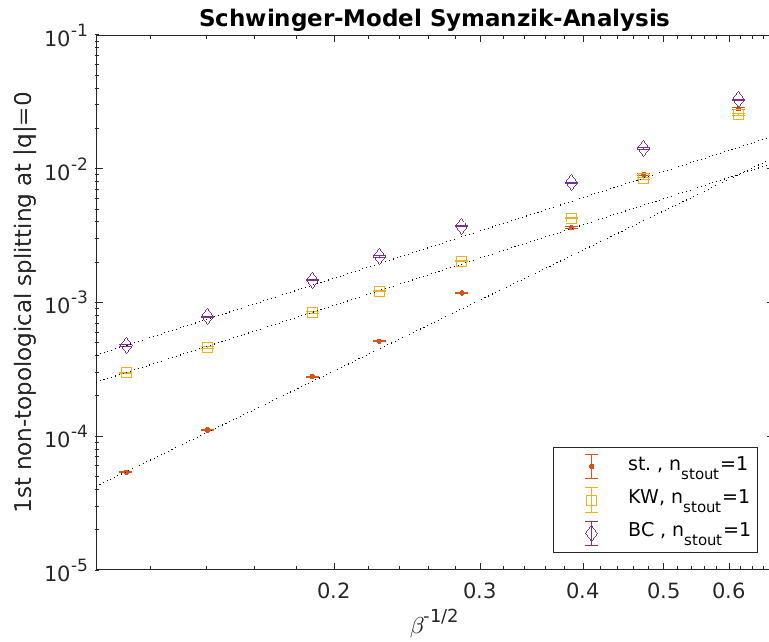
all  $a^3$

# Taste splittings: non-topological mode scaling for $n_{\text{stout}} = 0$



all  $a^2$

# Taste splittings: non-topological mode scaling for $n_{\text{stout}} = 1$



$a^{2,3}$

## Summary (“part 3”)

In 2D all of  $D_{\text{stag}}, D_{\text{KW}}, D_{\text{BC}}$  are minimally doubled, since on any  $U_{|q|=1}$  one finds

- 2 would-be zero modes of  $D_{\text{stag}}$  with *opposite chiralities* (invisible to  $\epsilon$ )
- 2 would-be zero modes of  $D_{\text{KW}}$  with *opposite chiralities* (invisible to  $\gamma_5$ )
- 2 would-be zero modes of  $D_{\text{BC}}$  with *opposite chiralities* (invisible to  $\gamma_5$ )

but in all cases appropriate chirality operators can be defined [arXiv:2203.15699].

In quenched SM intra-taste splittings  $\delta_{\text{stag}}, \delta_{\text{KW}}, \delta_{\text{BC}}$  are measured over a wide  $\beta$ -range, considering would-be zero modes and non-topological modes separately.

	$n_{\text{stout}} = 0$		$n_{\text{stout}} = 1, 3$	
	wbz	ntm	wbz	ntm
$\delta_{\text{stag}} \propto a^\#$	1	1	2	2
$\delta_{\text{KW}} \propto a^\#$	1	1	2	1
$\delta_{\text{BC}} \propto a^\#$	1	1	2	1

Power  $\#$  in Symanzik scaling law  $\delta \propto a^\#$  depends on would-be zero mode (wbz) versus non-topological mode (ntm) and/or smearing level (which is disturbing).



**BACKUP PAGES**

## Flavored mass/lifting terms

$$C_\mu(x, y) = \frac{1}{2}[U_\mu(x)\delta_{x+\hat{\mu}, y} + U_\mu^\dagger(x-\hat{\mu})\delta_{x-\hat{\mu}, y}] = \frac{1}{2}a^2\Delta_\mu(x, y) + \delta_{x, y}$$

$M_S = 1$	Scalar	(0-link)	1
$M_V = \sum_{\text{sym}} C_\mu$	Vector	(1-link)	$\frac{1}{4}[C_1 + C_2 + C_3 + C_4]$
$M_T = \sum_{\text{sym}} \sum_{\text{per}} C_\mu C_\nu$	Tensor	(2-link)	see detail
$M_A = \sum_{\text{sym}} \sum_{\text{per}} C_\mu C_\nu C_\rho$	Axial	(3-link)	see detail
$M_P = \sum_{\text{per}} C_\mu C_\nu C_\rho C_\sigma$	Pseudo	(4-link)	$\frac{1}{24}[C_1 C_2 C_3 C_4 + \text{perms}] = C_{\text{sym}}$

detail T:  $\frac{1}{12}[C_1 C_2 + \text{perm}] + \dots + \frac{1}{12}[C_3 C_4 + \text{perm}]$   
 6 square brackets [...] each of which contains 2 terms

detail A:  $\frac{1}{24}[C_2 C_3 C_4 + \text{perms}] + \dots$   
 4 square brackets [...] each of which contains 6 terms

Brillouin fermion: dim=5 term (Laplacian) is  $M_V + M_T + M_A + M_P$

Brillouin fermion: dim=4 term is  $\sum_\mu \gamma_\mu \nabla_\mu^{\text{iso}}$  instead of  $\sum_\mu \gamma_\mu \nabla_\mu^{\text{std}}$

Creutz, Kimura, Misumi (10, 11)

## Review of staggered mass/lifting terms

The  $(\gamma_\mu \otimes 1)$  and  $(\gamma_5 \otimes 1)$  “taste singlet” operators are defined by

$$\begin{aligned}\Gamma_\mu(x, y) &\equiv \Gamma_{\mu 0}(x, y) = \frac{1}{2} \eta_\mu(x) \left[ U_\mu(x) \delta_{x+\hat{\mu}, y} + U_\mu^\dagger(x-\hat{\mu}) \delta_{x-\hat{\mu}, y} \right] \\ \Gamma_5(x, y) &\equiv \Gamma_{50}(x, y) = \frac{1}{4!} \sum_{\text{perm}} \epsilon_{\text{perm}} \Gamma_1 \Gamma_2 \Gamma_3 \Gamma_4\end{aligned}$$

and the  $(1 \otimes \xi_\mu)$  and  $(1 \otimes \xi_5)$  “spinor singlet” operators are defined by

$$\begin{aligned}\Xi_\mu(x, y) &\equiv \Gamma_{0\mu}(x, y) = \frac{1}{2} \zeta_\mu(x) \left[ U_\mu(x) \delta_{x+\hat{\mu}, y} + U_\mu^\dagger(x-\hat{\mu}) \delta_{x-\hat{\mu}, y} \right] \\ \Xi_5(x, y) &\equiv \Gamma_{05}(x, y) = \frac{1}{4!} \sum_{\text{perm}} \epsilon_{\text{perm}} \Xi_1 \Xi_2 \Xi_3 \Xi_4\end{aligned}$$

with the consequence that both  $\Gamma_{50}$  and  $\Gamma_{05}$  are 4-hop operators. Furthermore, the latter two operators relate to each other by a simple  $\Gamma_{55}$  operation (from left or right).

Acceptable mass terms are proportional to  $(1 \otimes 1)$  or  $(1 \otimes \xi_5)$  or possibly  $(1 \otimes \xi_\mu \xi_\nu)$ .

## Adams species lifting

In practice it is advantageous to introduce the commutators in spinor and taste space

$$\Gamma_{\mu\nu}(x, y) \equiv \frac{i}{2}(\Gamma_\mu\Gamma_\nu - \Gamma_\nu\Gamma_\mu) \longleftrightarrow \gamma_{\mu\nu} \otimes 1$$

$$\Xi_{\mu\nu}(x, y) \equiv \frac{i}{2}(\Xi_\mu\Xi_\nu - \Xi_\nu\Xi_\mu) \longleftrightarrow 1 \otimes \xi_{\mu\nu}$$

respectively, with  $\gamma_{\mu\nu} \equiv \frac{i}{2}[\gamma_\mu, \gamma_\nu]$  a.k.a.  $\sigma_{\mu\nu}$  and  $\xi_{\mu\nu} \equiv \frac{i}{2}[\xi_\mu, \xi_\nu]$ , which yields

$$\Gamma_{50}(x, y) \simeq -\frac{1}{6}(\Gamma_{12}\Gamma_{34} - \Gamma_{13}\Gamma_{24} + \Gamma_{14}\Gamma_{23} + \Gamma_{23}\Gamma_{14} - \Gamma_{24}\Gamma_{13} + \Gamma_{34}\Gamma_{12})$$

$$\Gamma_{05}(x, y) \simeq -\frac{1}{6}(\Xi_{12}\Xi_{34} - \Xi_{13}\Xi_{24} + \Xi_{14}\Xi_{23} + \Xi_{23}\Xi_{14} - \Xi_{24}\Xi_{13} + \Xi_{34}\Xi_{12})$$

Adams: Promote 2 of the 4 tastes of  $D_{\text{stag}}$  to doublers by  $\Gamma_{05} = \Xi_5 \simeq (1 \otimes \xi_5)$ . Key observation is that the remaining 2 species share *one chirality*.

Corollary: It makes sense to apply overlap construction to shifted kernel  $D_A - \rho$ . The resulting operator will be doubled, and the two species will be chiral.