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# Double-layer capacitance peaks: Origins, ion dependence, and temperature effects

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## ABSTRACT

Differential capacitance ( $C_{dl}$ ) is arguably the most important lumped parameter of electrical double layers (EDLs). Two peaks in the  $C_{dl}$  profile have been commonly attributed to the crowding of counterions within the EDL. More recent studies have suggested that the two peaks are primarily caused by orientational polarization of interfacial water molecules. Herein, this recent perspective is extended by considering orientation-dependent adsorption free energy of water and tested at Au(111)–aqueous solution interfaces. Our comparative analysis of the ion dependency of the  $C_{dl}$  profile corroborates the view that the capacitance peaks are caused mainly by the saturation of the orientational polarization of interfacial water molecules. In addition, the temperature dependency of the  $C_{dl}$  profile is consistently interpreted as a consequence of the temperature effects on the orientational polarization of interfacial water.

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## I. INTRODUCTION

The electrical double layer (EDL) is a fundamental concept describing the charge separation at the electrified interfaces.<sup>1–5</sup> In a modelistic picture, the EDL comprises two layers, a compact layer of adsorbed solvent molecules and ions, and a diffuse layer with ion distributions dictated by electrostatic and thermal forces.<sup>6</sup> Understanding the EDL is essential for optimizing the performance of electrochemical devices such as supercapacitors and fuel cells.<sup>7,8</sup> Central to understanding the EDL is its differential double-layer capacitance ( $C_{dl}$ ), which has been extensively used as the quintessential property of the structure and dynamics of the EDL.<sup>9–18</sup> As regards mercury and “simple” solid metals (e.g., Au, Ag), the  $C_{dl}$  curves often exhibit a pronounced minimum at the potential of zero free charge (pzfc) in dilute electrolyte solutions. As the electrode potential deviates away from the pzfc,  $C_{dl}$  quickly increases and then decreases, delineating a distinctive camel-like profile. As electrolyte concentrations increase,

the two peaks converge and ultimately merge into a single peak, resulting in a bell-shaped  $C_{dl}$  profile.<sup>19–23</sup>

Several explanations have been put forward regarding the two distinct peaks of the camel-shaped  $C_{dl}$  curves. We note that the “peaks” discussed in this work should be distinguished from the peak of bell-shaped (Helmholtz) capacitance near the pzfc, as investigated in Refs. 13 and 24.

In the prevailing view, the two  $C_{dl}$  peaks are associated with ion overcrowding within the EDL.<sup>25–27</sup> Ion overcrowding effectively increases the thickness of the EDL and decreases  $C_{dl}$ . This mechanism has been incorporated into implicit solvation models of EDLs; for instance, Nattino *et al.*<sup>27</sup> adopted a size-modified Poisson–Boltzmann (MPB) model with a maximum local ion concentration ( $c_{max}$ ) to account for steric repulsion between solvated ions. They used a value of 2M for  $c_{max}$ , which is arguably too small, in order to fit the camel-shaped  $C_{dl}$  curves observed experimentally for Ag(100)–aqueous interfaces. As the electrode potential is biased

beyond  $\pm 0.3$  V relative to the pzc, the counterion density saturates at  $c_{\max}$  near the electrode surface. The concentration plateau is wider at larger potential bias, effectively increasing the thickness of the EDL and decreasing  $C_{\text{dl}}$ .

According to this widely held view, variations in ion size should directly influence the potential and magnitude of the two camel-shaped  $C_{\text{dl}}$  peaks. However, emerging experimental evidence reveals inconsistencies with this paradigm. Studies at the Au(111) electrodes-aqueous solutions have shown that the  $C_{\text{dl}}$  peak on the negative side is identical within the experimental error for different alkali metal cations ( $\text{Li}^+$ ,  $\text{Na}^+$ , and  $\text{K}^+$ ).<sup>28</sup> Moreover, it is expected that the smaller the ionic hydration diameter, the higher the peak of  $C_{\text{dl}}$  curves. Nonetheless, in a 1 mM  $\text{HClO}_4$  solution, the experimental  $C_{\text{dl}}$  curves of the Au(111) exhibit a smaller cathodic peak than that of the anodic peak.<sup>23,29</sup> This contradicts the expectation based on the diameters of the hydronium (5.6 Å) and the hydrated perchlorate ion (6.76 Å).<sup>30</sup> Similarly, Ag(111)-KPF<sub>6</sub> interfaces show symmetric  $C_{\text{dl}}$  peaks despite the size asymmetry between  $\text{K}^+$  (~5.0 Å) and  $\text{PF}_6^-$  (~4.0 Å).<sup>31,32</sup> These anomalies suggest new underlying factors, other than the overcrowding of counterions, for the  $C_{\text{dl}}$  peaks.

Schwarz and Sundararaman proposed an alternative view<sup>33,34</sup> that the hump in the  $C_{\text{dl}}$  curves of the Ag(100)-KPF<sub>6</sub> solution interface is related to the nonlinear dielectric response of water, which was studied first by Booth<sup>35</sup> and more recently by Abrashkin *et al.*<sup>36</sup> and Gunceler *et al.*<sup>37</sup> The key idea involves the saturation of orientational polarization of water molecules induced by the strong local electric field near the electrode surface. The dielectric saturation reduces the local dielectric constant from its bulk value of ~78.5 to below 20 at potential deviating by  $\pm 0.2$  V from the pzc within 2–3 Å of the compact layer, thereby suppressing  $C_{\text{dl}}$  at electrode potentials far away from the pzc.<sup>33</sup>

Currently, the origin of the double-layer peaks, ion overcrowding, or saturation of orientational polarization of water, is a topic of debate. Herein, we address this open question by using a refined

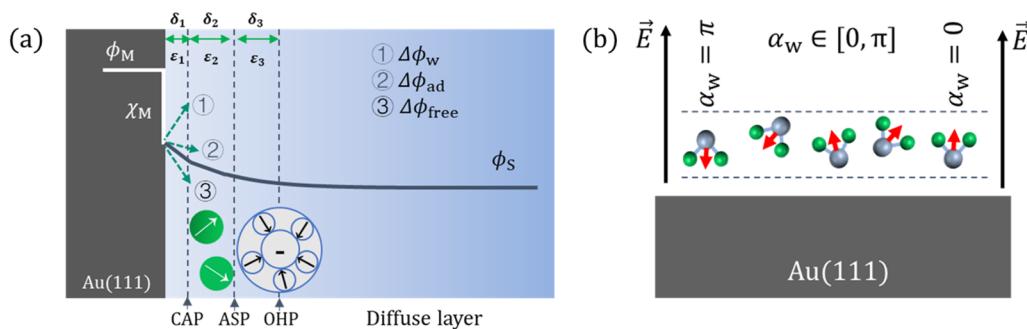
model that incorporates the orientational polarization of the first-layer water molecules, the asymmetric steric effects of ions, and a field-dependent dielectric “constant” of water. This model is parameterized using experimental data and *ab initio* molecular dynamics (AIMD) simulations on Au(111)-aqueous interfaces taken from the literature. Then, the parameterized model is used to investigate the origins of the  $C_{\text{dl}}$  peaks, their dependence on ion species, and the impact of temperature.

## II. MODEL DEVELOPMENT

### A. A continuum model for the EDL

A schematic illustration of the continuum EDL model for the Au(111)/electrolyte interface is exhibited in Fig. 1(a). The Au(111) electrode is assumed to be ideally planar. The inner layer is divided into two parts. One is a closest approach plane (CAP), donating the inner plane of the first-layer water molecules closest to the electrode surface.<sup>15</sup> The other is an adsorbed solvent plane (ASP), donating the outer plane of adsorbed water molecules. The water molecules in the first layer are typically strongly polarized, owing to the strong interfacial electric field and chemical interactions with the metal surface.<sup>38</sup> More generally, such refinements can take into account the dielectric environments experienced by the adsorbed water molecules.<sup>17,39</sup>

In the space between the metal surface and the ASP, some water molecules are closer to the Au(111), corresponding to the chemisorbed water found in AIMD simulation. The other water molecules are more distant from the metal, representing the physisorbed water, as shown in Fig. 1(a). Le *et al.* revealed a higher coverage of chemisorbed water at more positive potentials and further related this to the capacitance peak observed on Pt(111)-aqueous solution interfaces.<sup>15,16</sup> It is noted that our model does not distinguish the coverages of different water states; instead, it assumes a constant total coverage of all chemisorbed and



**FIG. 1.** (a) Continuum model for the EDL at the Au(111)/electrolyte interface, consisting of the closest approach plane (CAP), donating the inner plane of the first-layer water molecules closest to the electrode surface, an adsorbed solvent plane (ASP) donating the outer plane of adsorbed water molecules, and an outer Helmholtz plane (OHP) denoting the central plane of nonspecifically adsorbed ions. The regions between the electrode surface and the CAP, the CAP and the ASP, and the ASP and the OHP are described as dielectric continua, parameterized with respective thicknesses  $\delta_1$ ,  $\delta_2$ , and  $\delta_3$ , and dielectric permittivities  $\epsilon_1$ ,  $\epsilon_2$ , and  $\epsilon_3$ . (b) A schematic illustration of first-layer water model within the ASP with a continuous spectrum of orientational states. Closer to the electrode surface might be referred to as chemisorbed water and slightly further away from the electrode surface physisorbed water.  $\alpha_w$  denotes the angle between water dipoles and the local electric field.  $\alpha_w$  can take any values between 0 and  $\pi$ . In this context, the dipole orientation is defined from its negative to its positive end.  $\delta\mu$  represents the difference in chemical interaction energy between the scenarios where  $\alpha_w$  equals 0 and where  $\alpha_w$  equals  $\pi$ , formulated by  $\delta\mu = \Delta G_w(\alpha_w = 0) - \Delta G_w(\alpha_w = \pi)$ .

physisorbed water molecules within the ASP. At least for  $C_{dl}$  curves, our simplified treatment is shown to be sufficient.

Nonspecifically adsorbed ions, such as hydrated  $H^+$ ,  $K^+$ , and  $ClO_4^-$ , along with their solvation shell, reside in the diffuse layer that extends from the outer Helmholtz plane (OHP) toward the electrolyte bulk. The regions between the electrode surface and the CAP, the CAP and the ASP, and the ASP and the OHP, are described as dielectric continua, parameterized with respective thicknesses  $\delta_1$ ,  $\delta_2$ , and  $\delta_3$ , and permittivities  $\epsilon_1$ ,  $\epsilon_2$ , and  $\epsilon_3$ .

## B. Decomposition of the electric potential difference

The relationship between the free surface charge ( $\sigma_{free}$ ) and the electrode potential ( $E$ ), named the surface charging relation ( $\sigma_{free} - E$ ), is an informative property of the EDL.<sup>40</sup> To obtain the  $\sigma_{free} - E$  relationships, the potential distribution extending from the Au(111) electrode surface to the bulk solution should be determined. The total potential difference between the Au(111) electrode and the solution bulk comprises four elements, as shown in Fig. 1(a)  $\chi_M$  is the potential drop at the metal–aqueous solution interface, due to electron spillover;<sup>41</sup>  $\Delta\phi_w$  is the potential change caused by the orientational polarization of adsorbed water molecules;  $\Delta\phi_{ad}$  is the potential change due to partially charged and chemisorbed ions;<sup>40</sup> and  $\Delta\phi_{free}$  is the potential change as a result of excess ionic charge accumulated in the diffuse layer. The above-mentioned decomposition is expressed as

$$\phi_M - \phi_S = \chi_M + \Delta\phi_{free} + \Delta\phi_w + \Delta\phi_{ad}. \quad (1)$$

In the present study, the electrolyte ions ( $H^+$ ,  $Li^+$ ,  $Na^+$ ,  $K^+$ ,  $ClO_4^-$ ) do not adsorb specifically on the Au(111) surface. After safely neglecting the  $\Delta\phi_{ad}$  term in the present case, we simplify Eq. (1) to

$$\phi_M - \phi_S = \chi_M + \Delta\phi_{free} + \Delta\phi_w. \quad (2)$$

As for metals,  $\chi_M$  is often viewed as a constant independent of  $\phi_M$ .<sup>42,43</sup> In a continuum picture,  $\Delta\phi_{free}$  is expressed as

$$\Delta\phi_{free} = \sigma_{free} \left( \frac{\delta_1}{\epsilon_1} + \frac{\delta_2}{\epsilon_2} + \frac{\delta_3}{\epsilon_3} \right) + \Delta\phi_{OHP}^S, \quad (3)$$

where  $\Delta\phi_{OHP}^S = \phi_{OHP} - \phi_S$ , with  $\phi_{OHP}$  being the potential at the OHP, which is to be calculated in the section of the diffuse layer model. The term  $\Delta\phi_w$  in Eq. (2) represents the potential difference, resulted from the average dipole moment of the water molecules in the first layer,

$$\Delta\phi_w = - \frac{N_{ad} \theta_w \mu_w \langle \cos \alpha_w \rangle}{\epsilon_2}, \quad (4)$$

where  $N_{ad}$  is the number density of adsorption sites,  $\theta_w$  is the coverage of water molecules at the ASP, and  $\mu_w$  is the intrinsic dipole moment of a water molecules.  $\langle \cos \alpha_w \rangle$  represents the statistical average of  $\cos \alpha_w$ , where  $\alpha_w$  denotes the angle between water dipoles and the outward electric field, as illustrated in Fig. 1(b). In this context, the dipole orientation is defined from the negative to positive end of a dipole. A statistical analysis of interfacial water

molecules at the ASP (see the [supplementary material](#) for a detailed derivation) gives

$$\langle \cos \alpha_w \rangle = - \coth \left( \frac{\mu_w E_{loc} + \delta\mu}{kT} \right) + \frac{kT}{\mu_w E_{loc} + \delta\mu}, \quad (5)$$

where  $k$  is the Boltzmann constant and  $T$  is the temperature.  $E_{loc}$  is the local electric field, calculated as  $E_{loc} = -\sigma_{free}/\epsilon_2$ .  $\delta\mu$  represents the difference in the chemical energy of water with  $\alpha_w = 0$  and that of water with  $\alpha_w = \pi$ , namely,  $\delta\mu = \Delta G_w(\alpha_w = 0) - \Delta G_w(\alpha_w = \pi)$ , with  $\Delta G_w$  being adsorption free energy of interfacial water molecules.  $\delta\mu$  accounts for the chemical interactions between water molecules and the metal surface. If  $\delta\mu < 0$ , water molecules prefer the O-down configuration, otherwise water molecules prefer the H-down configuration.

## C. Diffuse layer model

To understand the  $C_{dl}$  peaks, the diffuse layer model should, at least, consider asymmetric steric effects of ions and field-dependent permittivity of solvent. Herein, we adopt a mean field model to consider both effects. The Helmholtz free energy per unit volume of the electrolyte solution is expressed as<sup>44,45</sup>

$$f_H = e_0 \phi (n_c - n_a) - \frac{\epsilon_\infty (\nabla \phi)^2}{2} - \frac{1}{\beta} n_s \ln \left( \frac{\sinh(\mu_w^{\text{eff}} \beta \nabla \phi)}{\mu_w^{\text{eff}} \beta \nabla \phi} \right) + \frac{1}{\beta} \ln \left( \frac{n!}{n_c! n_a! n_s!} \right), \quad (6)$$

where  $e_0$  is the elementary charge,  $\epsilon_\infty$  is the optical permittivity, and  $\mu_w^{\text{eff}}$  is the effective dipole moment of water molecules. We use a different dipole moment for the water molecules in the first layer above the metal surface [ $\mu_w$  in Eq. (5)] and those in the bulk solution [ $\mu_w^{\text{eff}}$  in Eq. (6)]. This distinction accounts for the different structural symmetries at the interface and in the bulk solution: the first-layer water resembles more isolated molecules with less interconnection, while bulk water enjoys isotropic interactions in a hydrogen bond network.  $\beta = \frac{1}{kT}$  is the inverse thermal energy.  $n_c$ ,  $n_a$ , and  $n_s$  are the number densities of cations, anions, and solvent molecules, respectively.  $n$  is the total number density of lattice sites, that is,  $n = \sum_{i=a,c,s} \gamma_i n_i$ , with  $\gamma_i = \left( \frac{d_i}{d_s} \right)^3$  being the relative size of electrolyte component  $i$  referenced to the size of lattice.  $d_i$  is the effective diameters of component  $i$ .  $d_s$  refers to the diameter of solvent molecules, and it serves as the reference size. On the right-hand side of Eq. (6), the first term is the electrostatic free energy of ions. The second term is the self-energy of the electric field. The third term is the electrostatic free energy associated with solvent molecules. The last term is the entropic free energy linked to the configuration of species within the solution, determined through a lattice-gas method.<sup>44</sup>

A variational analysis of Eq. (6) leads to the modified Poisson–Boltzmann equation,

$$-\nabla \cdot (\epsilon_{\text{eff}} \nabla \phi) = e_0 (n_c - n_a), \quad (7)$$

with an effective dielectric permittivity,

$$\epsilon_{\text{eff}} = \epsilon_{\infty} + \frac{\mu_w^{\text{eff}} n_s}{|\nabla \phi|} \left[ \coth(\mu_w^{\text{eff}} \beta |\nabla \phi|) - \frac{1}{\mu_w^{\text{eff}} \beta |\nabla \phi|} \right]. \quad (8)$$

In comparison with the conventional PB equation, Eq. (8) incorporates an electric field-dependent local dielectric permittivity,  $\epsilon_{\text{eff}}$ , which was proposed by Abrashkin *et al.*,<sup>36</sup> as well as Gongadze and Iglic earlier.<sup>46</sup>

Equating the electrochemical potentials for cations and anions in the EDL to those in the bulk solution yields

$$n_c = \frac{n \chi_c \exp(-\beta e_0 \phi)}{\chi_c \gamma_c \exp(-\beta e_0 \phi) + \chi_a \gamma_a \exp(\beta e_0 \phi) + (1 - \chi_c - \chi_a) \frac{\sinh(\mu_w^{\text{eff}} \beta |\nabla \phi|)}{\mu_w^{\text{eff}} \beta |\nabla \phi|}}, \quad (9)$$

$$n_a = \frac{n \chi_a \exp(\beta e_0 \phi)}{\chi_c \gamma_c \exp(-\beta e_0 \phi) + \chi_a \gamma_a \exp(\beta e_0 \phi) + (1 - \chi_c - \chi_a) \frac{\sinh(\mu_w^{\text{eff}} \beta |\nabla \phi|)}{\mu_w^{\text{eff}} \beta |\nabla \phi|}}, \quad (10)$$

where  $\chi_c = n_c/n$  and  $\chi_a = n_a/n$  are the bulk number density of cations and anions, respectively, normalized to  $n$ .

By substituting Eqs. (9) and (10) into Eq. (7), we obtain a single ordinary differential equation (ODE) that controls the electric potential distribution. The right boundary condition in the bulk solution is written as

$$\phi(x_{\text{bulk}}) = 0. \quad (11)$$

The OHP is defined as the left boundary,  $x = 0$ . Based on Eqs. (2)–(5), the left boundary condition is rearranged to

$$\phi(x = 0) = \phi_M - \phi_S - \chi_M - \sigma_{\text{free}} \left( \frac{\delta_1}{\epsilon_1} + \frac{\delta_2}{\epsilon_2} + \frac{\delta_3}{\epsilon_3} \right) - \frac{N_{\text{ad}} \theta_w \mu_w}{\epsilon_2} \left[ \coth \left( \frac{\mu_w E_{\text{loc}} + \delta \mu}{kT} \right) - \frac{kT}{\mu_w E_{\text{loc}} + \delta \mu} \right]. \quad (12)$$

According to the Gauss theorem,  $\sigma_{\text{free}}$  can be written as the gradient of  $\phi$ , namely,  $\sigma_{\text{free}} = -\epsilon_{x=0} (\frac{\partial \phi}{\partial x})_{x=0}$ .<sup>47</sup> The applied electrode potential ( $E_{\text{SHE}}$ ) can be written as  $E_{\text{SHE}} = \phi_M - \phi_S - \mu_e^M / F - E_{\text{abs}}^{\text{SHE}}$ , with  $F$  being the Faraday constant,  $\mu_e^M$  being the chemical potential of the electrons in the metal electrode, and  $E_{\text{abs}}^{\text{SHE}}$  being the absolute potential of the standard hydrogen electrode (SHE). Equation (12) is reformulated as

$$\phi(x = 0) = E_{\text{SHE}} - E_{\text{pzc}, \text{SHE}}^0 + \epsilon_{x=0} \left( \frac{\partial \phi}{\partial x} \right)_{x=0} \left( \frac{\delta_1}{\epsilon_1} + \frac{\delta_2}{\epsilon_2} + \frac{\delta_3}{\epsilon_3} \right) - \frac{N_{\text{ad}} \theta_w \mu_w}{\epsilon_2} \left[ \coth \left( \frac{\mu_w E_{\text{loc}} + \delta \mu}{kT} \right) - \frac{kT}{\mu_w E_{\text{loc}} + \delta \mu} \right], \quad (13)$$

where  $E_{\text{pzc}, \text{SHE}}^0 = \chi_M - \mu_e^M / F - E_{\text{abs}}^{\text{SHE}}$ .  $E_{\text{pzc}, \text{SHE}}^0$  already accounts for the pzc shift due to the electronic polarization of the adsorbed water molecules.

## D. Double-layer capacitance

The differential double-layer capacitance,  $C_{\text{dl}}$ , is defined as

$$\frac{1}{C_{\text{dl}}} = \frac{\partial E_{\text{SHE}}}{\partial \sigma_{\text{free}}}. \quad (14)$$

Based on the definition of Eq. (15), by taking the partial derivative of both sides of Eq. (13) with respect to  $\sigma_{\text{free}}$ , we obtain

$$\frac{1}{C_{\text{dl}}} = \frac{1}{C_{\text{str}}} + \frac{1}{C_{\text{dl,dif}}} + \frac{1}{C_w}. \quad (15)$$

Here  $C_{\text{str}}$ ,  $C_{\text{dl,dif}}$ , and  $C_w$  are capacitances of the EDL structure, the diffuse layer, and water dipole layer, written as, respectively,

$$\frac{1}{C_{\text{str}}} = \frac{\delta_1}{\epsilon_1} + \frac{\delta_2}{\epsilon_2} + \frac{\delta_3}{\epsilon_3}, \quad (16)$$

$$\frac{1}{C_{\text{dl,dif}}} = \frac{\partial \Delta \phi_{\text{OHP}}^S}{\partial \sigma_{\text{free}}}, \quad (17)$$

$$\frac{1}{C_w} = \frac{\partial \Delta \phi_w}{\partial \sigma_{\text{free}}} = \frac{N_{\text{ad}} \theta_w \mu_w^2}{kT \epsilon_2^2} \times \left\{ \frac{1}{\sinh^2[(-\mu_w \sigma_{\text{free}} / \epsilon_2 + \delta \mu) / kT]} - \frac{1}{[(-\mu_w \sigma_{\text{free}} / \epsilon_2 + \delta \mu) / kT]^2} \right\}. \quad (18)$$

## E. Model parameterization

This model comprises five sets of parameters, as listed in Table I, termed “constant,” “electrolyte solution properties,” “EDL structure,” “adsorbed water molecules,” and “metal electrode.” The following analysis focuses on sensitive model parameters, according to a parametric sensitivity analysis provided in the [supplementary material](#).

The parameters of the electrolyte solution correspond to 1 mM  $\text{HClO}_4$ <sup>23</sup> and 1 mM  $\text{HClO}_4 + 8$  mM  $\text{XClO}_4$  ( $\text{X} = \text{Li}^+, \text{Na}^+, \text{K}^+$ ).<sup>28</sup> Hydrated diameters of ions are cited from Nightingale’s work.<sup>30</sup>  $d_{\text{H}^+}$ ,  $d_{\text{Li}^+}$ ,  $d_{\text{Na}^+}$ ,  $d_{\text{K}^+}$ , and  $d_{\text{ClO}_4^-}$  are 5.6, 7.6, 7.16, 6.6, and 6.76 Å, respectively. By utilizing the formula for the effective dielectric permittivity provided in Eq. (8), we derive the bulk dielectric permittivity, represented as  $\epsilon_b = \epsilon_{\infty} + (\mu_w^{\text{eff}})^2 n_s^b / (3kT)$ . Consequently, we determine the effective dipole moment of water molecules to be  $\mu_w^{\text{eff}} = \sqrt{3kT(\epsilon_b - \epsilon_{\infty})/n_s^b}$ .

The parameters of the EDL structure include  $\epsilon_i$  and  $\delta_i$  ( $i = 1, 2, 3$ ). When an electron tail exists in the gap layer,  $\epsilon_1$  should exceed the vacuum permittivity  $\epsilon_0$ . Herein, we set  $\epsilon_1 = 10\epsilon_0$  to encompass the influence of electrons escaping from  $\text{Au}(111)$ .<sup>18</sup> Li *et al.* gave a gap layer thickness of ~1.5 Å at  $\text{Au}(111)$  using AIMD simulations. Therefore, we use  $\delta_1 = 1.5$  Å. Li *et al.* and Xu *et al.* calculated that the distance between the nearest layer of water and  $\text{Au}(111)$  is 3 ~ 4 Å.<sup>48,49</sup> We use an average value in this range, 3.5 Å. Therefore, the thickness of the gap between the CAP and the ASP,  $\delta_2$ , is about 2 Å. Parsons determined that  $\epsilon_2$  is 7.5  $\epsilon_0$ .<sup>50</sup> Bockris suggested that  $\epsilon_3$  is 30  $\epsilon_0$ .<sup>51</sup> The values of  $\epsilon_2 = 7.5 \epsilon_0$  and  $\epsilon_3 = 35 \epsilon_0$ , used in this work, are closed to those used by Parsons and Bockris. For the space between the ASP and OHP, we adopt  $\delta_3 = 3$  Å, a representative radius for hydrated ions.

The parameters of the adsorbed water molecules in the first layer contain  $\theta_w$ ,  $\mu_w$ , and  $\delta u$ . Le and Cheng calculated a total coverage of ~0.5 and ~0.55, for adsorbed water molecules at  $\text{Pt}(111)$  and  $\text{Ag}(111)$ , respectively.<sup>13,15</sup> In our model, the adsorbed water coverage refers to the total coverage of all chemisorbed and physisorbed

TABLE I. Model parameters of the base case.

Symbol	Value	Physical significance
Constants		
$k$ (J/K)	$1.38 \times 10^{-23}$	Boltzmann constant
$e_0$ (C)	$1.6 \times 10^{-19}$	Elementary charge
$N_A$ (/mol)	$6.02 \times 10^{-23}$	Avogadro constant
$F$ (C/mol)	96 485	Faraday constant
$h$ (J/s)	$6.626 \times 10^{-34}$	Planck constant
$\epsilon_0$ (F/m)	$8.85 \times 10^{-11}$	Vacuum permittivity
$\epsilon_b$ (F/m)	$78.5 \epsilon_0$	Permittivity of bulk water
$T$ (K)	298	Temperature
Electrolyte solution properties		
$d_s$ (Å)	2.75 <sup>45</sup>	Diameter of water molecules
$d_{H^+}$ (Å)	5.6 <sup>30</sup>	Effective diameter of solvated $H^+$
$d_{Li^+}$ (Å)	7.6 <sup>30</sup>	Effective diameter of solvated $Li^+$
$d_{Na^+}$ (Å)	7.16 <sup>30</sup>	Effective diameter of solvated $Na^+$
$d_{K^+}$ (Å)	6.6 <sup>30</sup>	Effective diameter of solvated $K^+$
$d_{ClO_4^-}$ (Å)	6.76 <sup>30</sup>	Effective diameter of solvated $ClO_4^-$
$n_s^b$ (/m <sup>3</sup> )	55 600	Bulk number density of water
$n_{H^+}^b$ (/m <sup>3</sup> )	$1N_A$	Bulk number density of $H^+$
$n_{Li^+, Na^+, K^+}^b$ (/m <sup>3</sup> )	$8 N_A$	Bulk number density of $Li^+, Na^+, K^+$
$n_{ClO_4^-}^b$ (/m <sup>3</sup> )	[1, 9] $N_A$	Bulk number density of $ClO_4^-$
$\epsilon_\infty$ (F/m)	$1.8 \epsilon_0$	Optical permittivity
$\mu_w^{\text{eff}}$ (C m)	$\sqrt{3kT(\epsilon_b - \epsilon_\infty)/n_s^b}$	Effective dipole moment of water molecules
EDL structure		
$\epsilon_1$ (F/m)	$10 \epsilon_0$ <sup>18</sup>	Permittivity between the electrode and the CAP
$\epsilon_2$ (F/m)	$7.5 \epsilon_0$ <sup>50</sup>	Permittivity between the CAP and the ASP
$\epsilon_3$ (F/m)	$35 \epsilon_0$ <sup>51</sup>	Permittivity between the ASP and the OHP
$\delta_1$ (Å)	1.5 <sup>48</sup>	Thickness between the electrode and the CAP
$\delta_2$ (Å)	2 <sup>48,49</sup>	Thickness between the CAP and the ASP
$\delta_3$ (Å)	3 <sup>18</sup>	Thickness between the ASP and the OHP
Adsorbed water molecules		
$\theta_w$	0.55 <sup>13,15</sup>	Coverage of adsorbed water molecules
$\mu_w$ (C m)	$1.85 \times 3.335 \times 10^{-35}$	Intrinsic dipole moment of water molecule
$\delta u(kT)$	1 <sup>48,49,53</sup>	Au(111)-water interaction
Metal electrode		
$a_{Au(111)}$ (Å)	4.08	Lattice constant of the Au(111) electrode
$N_{ad}$ (/m <sup>2</sup> )	$1.39 \times 10^{19}$	Calculated using $4/(\sqrt{3}a_{Au111}^2)$
$E_{\text{pzfc, SHE}}^0$ (V)	0.30	pzfc when $\delta u = 0$

water molecules at the interface. Density functional theory (DFT) calculations of Melander *et al.* showed similar coverage of the water layer for Pt, Au, and Ag,<sup>52</sup> so we used a value of 0.55 for Au(111). As for  $\mu_w$ , we adopt the intrinsic dipole moment of a water molecule,  $\mu_w = 1.85$  D. Grob and Sakong employed an ice-like water bilayer model to compute the adsorption energy of O-down and H-down

water configurations on various metals. The O-down and H-down orientations correspond to  $\alpha_w$  values of 0 and  $\pi$  in this work, respectively. According to their calculations, the adsorption energies of O-down and H-down water at Au(111) are  $-0.41$  and  $-0.43$  eV, respectively.<sup>53</sup> Therefore, we use  $\delta u \approx 1$  kT at the Au(111), indicating that the H atoms of the first-layer water molecules are closer to

the uncharged Au(111) than the O atoms. Recently, Xu *et al.* calculated the distribution of water molecules at the Au(111) using three functionals: BEEF-vdW, RPBE-D3, and PBE-D3 through AIMD.<sup>49</sup> A distinct H peak appeared at about 2 Å from the Au(111), while the O peak appeared at around 3 Å, slightly farther than the H peak, due to its hydrophobicity.<sup>54</sup>

Considering the Au(111) as the metal, we have  $N_{ad} = 1.39 \times 10^{19}/m^2$  base on a lattice constant of 4.08 Å. The pzc of the Au(111) in the 0.01M HClO<sub>4</sub> solution is  $E_{pzc,SHE} = 0.53$  V<sub>SHE</sub>.<sup>55</sup> Considering that the orientational polarization of chemisorbed water increases the pzc by 0.23 V,  $E_{pzc,SHE}^0$ , excluding this dipole effect, would be 0.30 V<sub>SHE</sub>.

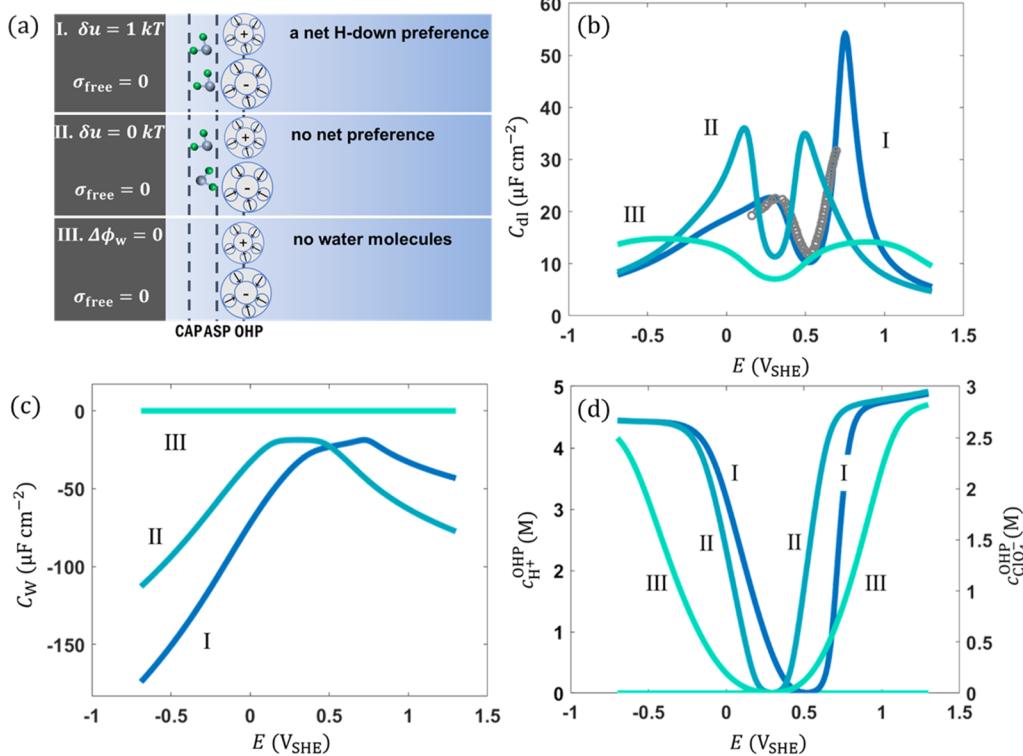
### III. RESULTS AND DISCUSSION

#### A. Origins of peaks in $C_{dl}$ at Au(111)-aqueous solution interfaces

The  $C_{dl}$  profile based on the full model is denoted as curve I in Fig. 2(b) for the Au(111) in 1 mM HClO<sub>4</sub> solution at 298 K. The full model [Case I in Fig. 2(a)] comprehensively accounts for the orientational polarization effect of first-layer water molecules,

chemical interactions between water molecules and the Au(111), the asymmetric steric effect of ions, and the electric field-dependent dielectric permittivity. Previously, Schwarz and Sundararaman employed the Nonlinear Electrochemical Soft-Sphere (NESS) solvation model to capture the capacitance features observed experimentally at the Ag(100)-KPF<sub>6</sub> solution interface.<sup>33,34</sup> As an extension to the NESS model, our model further incorporates the adsorption energy difference ( $\delta\mu$ ) between H-down and O-down water configurations. This comprehensive model allows for a detailed analysis of different factors influencing the peaks observed in the capacitance curves.

The full model's results align closely with the experimental data obtained for  $C_{dl}$  of the Au(111) in the 1 mM HClO<sub>4</sub> solution.<sup>23</sup> The much higher anodic peak of  $C_{dl}$  compared to the cathodic peak is observed. This disparity arises primarily due to a net preferential orientation of water molecules with H-down at an uncharged Au(111) surface. Consequently, these H-down water molecules have a greater capacity to screen positive  $\sigma_{free}$ , which intends to rotate water molecules toward the O-down configuration ( $\alpha_w = 0$ ); see the Curve I in Fig. 2(c). Hence, the anodic peak of  $C_{dl}$  is much higher than the cathodic peak. At 0.3 V<sub>SHE</sub> corresponding to the cathodic peak, the concentration of  $c_{H^+}^{OHP}$  is ~0.31M, far below its



**FIG. 2.** (a) Schematic diagrams of three different models of the inner layer of the EDL. Case I is the full model. In the full model,  $\epsilon_{eff}$  and  $\langle \cos \alpha_w \rangle$  are field-dependent expressed in Eqs. (5) and (8), respectively, with  $\delta\mu = 1$  kT. Keeping other physical quantities unchanged and letting  $\delta\mu = 0$  kT, we can get case II. By further letting  $\Delta\phi_w = 0$  [Eq. (4)], we obtain case III. (b)  $C_{dl}$  as a function of electrode potential for the three cases. The electrolyte concentration is 1 mM HClO<sub>4</sub>. The experimental data, shown in circles, are taken from Hamelin's work.<sup>23</sup> (c) Capacitance associated with the orientational polarization of the first-layer water molecules,  $C_w$ , as a function of electrode potential, calculated by Eq. (18). (d) The concentrations of hydrated protons  $c_{H^+}^{OHP}$  and hydrated perchlorate ions  $c_{ClO_4^-}^{OHP}$  on the OHP as a function of the electrode potential.

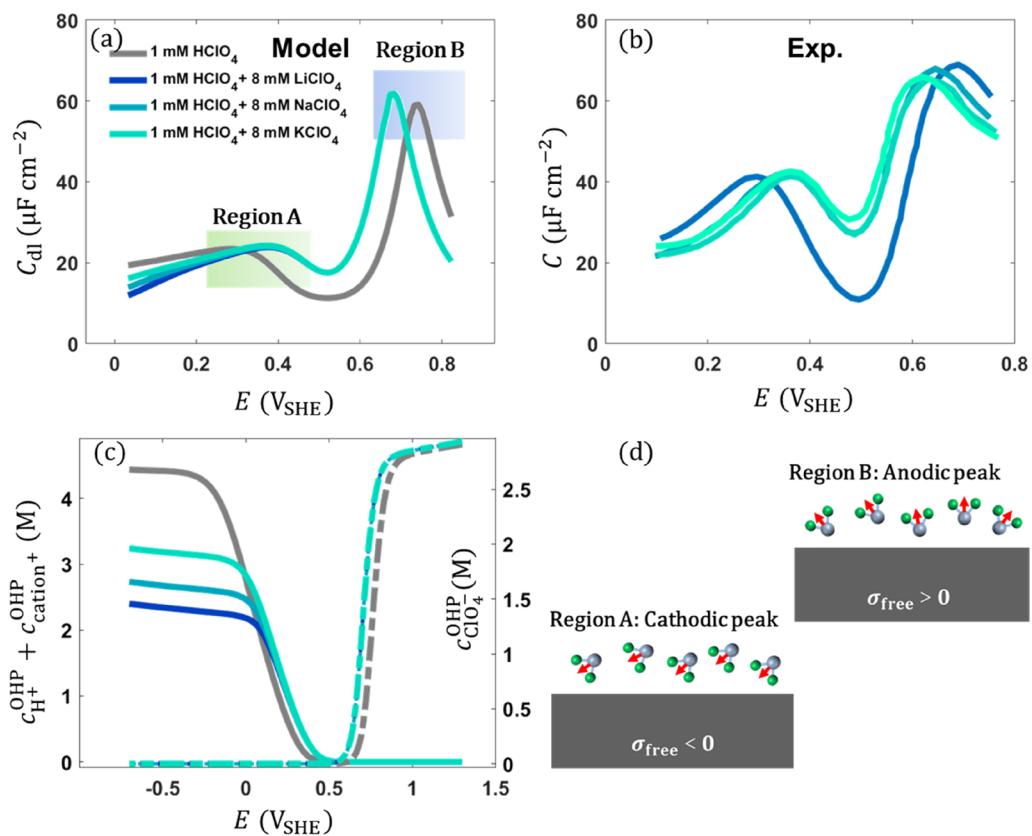
saturation concentration (~4.5M), meaning that ion overcrowding does not occur yet at the cathodic peak.

We have also considered the effect of partial desolvation of counterions on the peak of the double-layer capacitance.<sup>56</sup> The resulting influence on  $C_{dl}$  is mainly limited to the two tails of the capacitance curve, as shown in Fig. S3 in the [supplementary material](#), while the location and height of two capacitance peaks are largely unchanged.

Setting the full model as a baseline, curve II is derived by ignoring the metal–water interaction, setting  $\delta\mu = 0$  kT [Case II in Fig. 2(a)]. Compared to curve I, two notable differences are observed for the curve II. On the one hand, the pzc shifts negative at  $\delta\mu = 0$  kT. When  $\delta\mu > 0$ , the water molecules in the first layer tend to adopt the  $\alpha_w = \pi$  (H-down) orientation. This configuration leads to an extra potential decrease and a more positive  $E_{pzc,SHE}$ . On the other hand, a higher cathodic peak of  $C_{dl}$  is observed in the case II that ignores the metal–water interaction. The growth in the cathodic peak reflects the smaller diameter of hydrated  $H^+$  ions compared to hydrated  $ClO_4^-$  ions,<sup>26</sup> which results in a greater concentration for  $c_{H^+}^{OHP}$  (~1.1M at 0.1 V<sub>SHE</sub>) than that for  $c_{ClO_4^-}^{OHP}$  (~0.9M at 0.5 V<sub>SHE</sub>). However, neither of them reaches their overcrowding concentrations, as shown in Fig. 2(d).

Furthermore, by ignoring the influence of the electric potential drop caused by water molecules,  $\Delta\phi_w$  [case III in Fig. 2(a)], we obtain curve III. Compared to the case II, the position of the pzc remains unchanged, and there is no alteration in the relative heights of the double peaks. Nevertheless, a noticeable broadening of both peaks occurs, and the shape of the  $C_{dl}$  curve diverges significantly from the experimental curve. Comparing curve II and curve III in Fig. 2(b), we find that the negative capacitance component contributed by water molecules [curves I and II in Fig. 2(c)] increases the overall  $C_{dl}$ . The negative capacitance results primarily from the shielding effect of interfacial water molecules, inducing a potential drop in a direction opposite that caused by the free excess charge on the metal surface. This means a negative capacitance, according to Eq. (18) ( $\frac{1}{C_w} = \frac{\partial\Delta\phi_w}{\partial\sigma_{free}}$ ).

The above-mentioned findings suggest that the orientational polarization of water molecules in the first layer and the metal–water interactions significantly raise the anodic peak of  $C_{dl}$  compared to the cathodic peak, indicating that the relative heights of the two peaks cannot be solely determined based on the size of hydrated cations and anions. Moreover, at the Au(111)–aqueous solution interface, the origins of capacitance peaks are caused by saturated orientational polarization of interfacial water molecules, rather than



**FIG. 3.**  $C_{dl}$  curves at the Au(111)–aqueous solution interfaces as a function of the electrode potential in different electrolyte solutions. (a) Shows the model's results, and (b) shows experimental data, taken from the Ref. 28. (c) The total concentrations of hydrated cations (solid line) and hydrated perchlorate (dash-dot line) at the OHP. (d) Schematic diagram of the orientation of the first-layer water molecules corresponding to the cathodic peak and anodic peak, respectively.

overcrowding counterions. To further corroborate this view, we examine the cation dependence of the  $C_{dl}$  peaks.

### B. Cation dependence of $C_{dl}$ at Au(111)-aqueous solution interfaces

Figure 3(a) shows that cation-dependent  $C_{dl}$  profiles at the Au(111)-aqueous solution interfaces calculated using the model. Model-based and experimental data are plotted in the same graph in Fig. S4 for a direct comparison. All the parameters used here are the same as in Fig. 3. Hydrated diameters of ions are directly cited from Nightingale's work.<sup>30</sup>  $d_{H^+}$ ,  $d_{Li^+}$ ,  $d_{Na^+}$ ,  $d_{K^+}$ , and  $d_{ClO_4^-}$  are 5.6, 7.6, 7.16, 6.6, and 6.76 Å, respectively. The model results are consistent with the experimental results: the cathodic peaks of the  $C_{dl}$  curves hardly change with the size of the hydrated cations. At 0.38 V<sub>SHE</sub>, corresponding to the cathodic peak of the  $C_{dl}$  curves, the total concentration of hydrated cations at the OHP are all at around 0.27 M [Fig. 3(c)], which is far below their respective overcrowding concentrations.

Cation dependence of  $C_{dl}$  further corroborates the view that the peaks are not caused by overcrowding of hydrated ions. Our analysis supports the view of Schwarz and Sundararaman<sup>34</sup> that two peaks of the camel-shaped  $C_{dl}$  curves are caused by the nonlinear dielectric response of interfacial water molecules in dilute electrolyte solutions. While the ion crowding effect can generate a camel-shaped  $C_{dl}$  profile, its simulated magnitude remains significantly lower than experimental values [Curve III in Fig. 2(b)]. This effect dominates in solvent-free ionic liquids.<sup>26</sup> However, non-adsorbing aqueous electrolytes exhibit dielectric saturation as the primary driver of capacitance peaks, owing to its high dielectric permittivity and the proximity of water molecules to the electrode surface.<sup>33,34</sup>

Figure 3(d) shows the schematic diagram of the orientation of the first-layer water molecules in the ASP corresponding to the cathodic peak and anodic peak in Fig. 3(a), respectively. When  $\sigma_{free}$  is negative, the water molecules in ASP adopt an O-down configuration to shield the electric field; following the

line of reasoning, when  $\sigma_{free}$  is positive, they adopt a H-down configuration to shield the electric field. Moreover, the orientation of water molecules depends only on the free charge of the surface and is not an explicit function of the type of cation in the solution. Therefore, both peaks of  $C_{dl}$  curves are caused mainly by the saturation of the orientational polarization of interfacial water molecules. The overcrowding effect of hydrated ions is reflected in the tail of the  $C_{dl}$  curves. The higher the concentration of cations at the OHP, the higher the tail. As for the difference of the anodic peak of the  $C_{dl}$  curves in the experiment, it may be due to the weak adsorption of  $ClO_4^-$  and/or potential-dependent reconstruction.<sup>28,57</sup>

### C. Temperature effects of $C_{dl}$ at Au(111)-aqueous solution interfaces

The orientational polarization effect of first-layer water molecules and the metal–water interactions play a crucial role in shaping the peaks of  $C_{dl}$  at Au(111), as revealed in the proceeding discussion. The temperature dependence of  $C_{dl}$  constitutes an additional test of this mechanism.

Figures 4(a) and 4(b) shows the model–experiment comparison of  $C_{dl}$  curves for Au(111) in 1 mM HClO<sub>4</sub> solution at different temperatures.<sup>23</sup> Model-based and experimental data are plotted in the same graph in Fig. S5. The simulated  $C_{dl}$  curves align well with the experimental capacitance data. The model parameters except dielectric permittivities ( $\epsilon_1, \epsilon_2, \epsilon_3, \epsilon_{bulk}$ ) are fixed at their base values. Temperature-dependent dielectric permittivities are listed in Table II. Following the temperature dependence of dielectric permittivity of bulk water,<sup>58</sup> the interfacial dielectric permittivities,  $\epsilon_1, \epsilon_2$ , and  $\epsilon_3$  also decrease with increasing temperature. The model captures the positive shift in the pZFC as temperature increases; it can be understood by Eq. (13). At the pZFC, based on the definition,  $\sigma_{free} = 0$ , Eq. (13) is simplified to

$$E_{pZFC,SHE} - E_{pZFC,SHE}^0 = \frac{N_{ad} \theta_w \mu_w}{\epsilon_2} \left[ \coth \left( \frac{\delta \mu}{kT} \right) - \frac{kT}{\delta \mu} \right]. \quad (19)$$

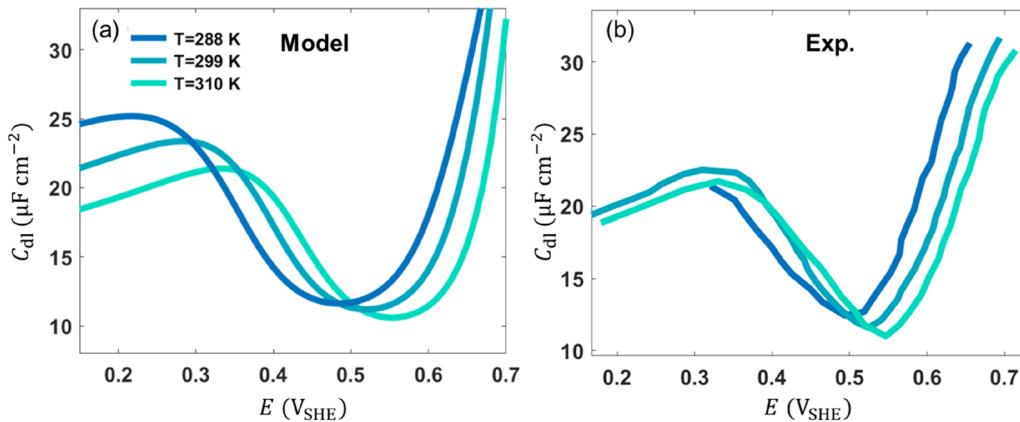


FIG. 4.  $C_{dl}$  curves at Au(111)-aqueous solution interfaces as a function of the electrode potential in 1 mM HClO<sub>4</sub> solution at different temperatures. (a) Shows the model results, and (b) shows experimental data, taken from the Ref. 23.

**TABLE II.** Temperature-dependent dielectric permittivities.

	$\varepsilon_1$	$\varepsilon_2$	$\varepsilon_3$	$\varepsilon_{\text{bulk}}^{58}$
288 K	$12\varepsilon_0$	$9.0\varepsilon_0$	$38.5\varepsilon_0$	$82.04\varepsilon_0$
299 K	$10\varepsilon_0$	$7.5\varepsilon_0$	$35\varepsilon_0$	$78.52\varepsilon_0$
310 K	$8\varepsilon_0$	$6.5\varepsilon_0$	$31.5\varepsilon_0$	$74.85\varepsilon_0$

In Eq. (19), the term on the right-hand side represents the pzfc shift caused by the orientational polarization of adsorbed water molecules, which is induced by the metal–water interaction in the absence of an external electric field. Because of  $\delta\mu = 1 \text{ kT} > 0$ , values of the term on the right are greater than 0. The smaller the parameter  $\varepsilon_2$ , the bigger the term  $E_{\text{pzfc,SHE}} - E_{\text{pzfc,SHE}}^0$ . This means that there is a greater potential drop due to the orientational polarization of adsorbed water molecules. Therefore, as the temperature increases,  $\varepsilon_2$  decreases and pzfc moves to a higher potential. Moreover, both the model and experiments show that the cathodic peaks decrease with increasing temperature, because a higher temperature reduces the dielectric permittivity, thus diminishing the interfacial water molecules' ability to shield the interfacial electric field and lowering the charge storage capacity.

#### IV. CONCLUSION

This study offers new insights into the origins of double-layer capacitance peaks at the Au(111)–aqueous solution interface, challenging the prevailing view that these peaks are solely due to counterion overcrowding. Our analysis is based on a refined model for the EDL that accounts for the orientational polarization of interfacial water molecules, asymmetric ion steric effects, and a field-dependent dielectric permittivity. The model reveals that the relative heights of the anodic and cathodic peaks are influenced by the orientational polarization of water molecules. The model's agreement with experimental data and its ability to capture the cation dependence of capacitance peaks further validate the new perspective of capacitance peaks. The temperature dependency of the capacitance profile is also explained by the effect of temperature on the dielectric permittivities and the orientational polarization of interfacial water. This work provides a deeper understanding of the processes governing EDL structure and capacitive behavior, emphasizing the pivotal role of interfacial water molecules.

#### SUPPLEMENTARY MATERIAL

See the [supplementary material](#) for the following: statistical model of the first-layer water, parameter sensitivity analysis of the electric double layer (EDL) structure and adsorbed water molecules, detailed analysis of the impact of partial desolvation on double-layer capacitance, model–experiment comparison for cation dependence of double-layer capacitance, and model–experiment comparison for temperature dependence of double-layer capacitance.

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#### AUTHOR DECLARATIONS

##### Conflict of Interest

The authors have no conflicts to disclose.

##### Author Contributions

**Erfei Zhen:** Conceptualization (equal); Data curation (equal); Formal analysis (equal); Methodology (equal); Software (equal); Validation (equal); Visualization (equal); Writing – original draft (equal); Writing – review & editing (equal). **Yanxia Chen:** Conceptualization (equal); Funding acquisition (equal); Validation (equal). **Jun Huang:** Conceptualization (equal); Data curation (equal); Formal analysis (equal); Methodology (equal); Validation (equal); Writing – original draft (equal); Writing – review & editing (equal).

#### DATA AVAILABILITY

The data that support the findings of this study are available within the article and its [supplementary material](#).

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