



# Tuning the electronic structure and SMSI by integrating trimetallic sites with defective ceria for the CO2 reduction reaction

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Heterogeneous catalysts have emerged as a potential key for closing the carbon cycle by converting carbon dioxide (CO<sub>2</sub>) into value-added chemicals. In this work, we report a highly active and stable ceria (CeO<sub>2</sub>)-based electronically tuned trimetallic catalyst for CO<sub>2</sub> to CO conversion. A unique distribution of electron density between the defective ceria support and the trimetallic nanoparticles (of Ni, Cu, Zn) was established by creating the strong metal support interaction (SMSI) between them. The catalyst showed CO productivity of 49,279 mmol g $^{-1}$  h $^{-1}$  at 650 °C. CO selectivity up to 99% and excellent stability (rate remained unchanged even after 100 h) stemmed from the synergistic interactions among Ni-Cu-Zn sites and their SMSI with the defective ceria support. High-energy-resolution fluorescence-detection X-ray absorption spectroscopy (HERFD-XAS) confirmed this SMSI, further corroborated by in situ electron energy loss spectroscopy (EELS) and density functional theory (DFT) simulations. The in situ studies (HERFD-XAS & EELS) indicated the key role of oxygen vacancies of defective CeO<sub>2</sub> during catalysis. The in situ transmission electron microscopy (TEM) imaging under catalytic conditions visualized the movement and growth of active trimetallic sites, which completely stopped once SMSI was established. In situ FTIR (supported by DFT) provided a molecular-level understanding of the formation of various reaction intermediates and their conversion into products, which followed a complex coupling of direct dissociation and redox pathway assisted by hydrogen, simultaneously on different active sites. Thus, sophisticated manipulation of electronic properties of trimetallic sites and defect dynamics significantly enhanced catalytic performance during CO<sub>2</sub> to CO conversion.

CO<sub>2</sub> conversion | catalyst | defects | SMSI

Addressing the escalating concerns of climate change and carbon footprint reduction has catalyzed the scientific community's pursuit of innovative technologies. Among these, the development of heterogeneous catalysts stands out as a cornerstone for fostering a sustainable future (1–16). These catalysts hold the promise of closing the carbon cycle by enabling the conversion of carbon dioxide (CO<sub>2</sub>) into value-added chemicals, by utilizing green hydrogen (1–16). Conversion of CO<sub>2</sub> into CO by the reverse water-gas shift reaction, an important C1-building block in large industrial processes like the Fischer-Tropsch hydrocarbon synthesis, the Monsanto/Cativa acetic acid synthesis, and higher alcohol syntheses, is considered to be one of the most promising ways to mitigate the CO<sub>2</sub> emissions (1–16). Despite the potential and strides made in this domain, the quest for optimal catalysts is hampered by challenges such as moderate catalytic activity, poor stability, coking, and loss of selectivity at high reaction temperatures, which made the processes unsustainable (1–16). These limitations underscore the imperative for breakthroughs in catalyst design and functionality for CO<sub>2</sub> reduction reaction (CO<sub>2</sub>RR).

One way to achieve this is via the utilization of the phenomenon known as strong metal-support interactions (SMSIs), which enables the design of active nanocatalysts endowed with exceptional thermal stability, thus offering a viable solution to stability challenges in harsh reaction environments (17-24). SMSI, as reported by Tauster et al. (17, 18), is a phenomenon where the interaction between metal nanoparticles and the support material is strong enough to alter the electronic properties of the metal nanoparticles. Leveraging the SMSI effect also offers a distinct advantage in orchestrating charge transfer dynamics between the active metal and the support (25-27). The ability to fine-tune the magnitude and direction of this electron density transfer between the metal and support by precisely adjusting the strength of SMSIs presents an effective approach

## **Significance**

The conversion of carbon dioxide (CO<sub>2</sub>) to CO through catalytic processes is hailed as an effective strategy for transforming CO2 into useful products. Using a unique approach to catalyst design by leveraging the concepts of defects and strong metal-support interaction (SMSI), we identified a Ni-Cu-Zn/CeO<sub>2</sub> catalyst with excellent performance. Same conventional ceria, same conventional metal sites—so why does this combination of trimetallic sites and defective ceria perform so dramatically? In situ transmission electron microscopy (TEM) and X-ray absorption spectroscopy (XAS) studies provided the insights into interaction dynamics and the unique electron density distribution between CeO2, its defects, and the trimetallic nanoparticles. These findings offer a unique approach to catalyst design.

The authors declare no competing interest.

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for modulating the Fermi levels (28–32). This modulation fosters the creation of abundant electron-rich or deficient interfacial active sites, thereby exerting significant influence on the adsorption behavior of reactant molecules and intermediate species, consequently tuning catalytic activity and selectivity (8, 33, 34).

In this work, we synthesized a ceria-based electronically tuned trimetallic catalyst, for the CO<sub>2</sub> to CO conversion. The Ni–Cu– Zn/CeO<sub>2</sub> catalyst achieved a unique synergy through the strategic distribution of electron density among the defective ceria support and the trimetallic nanoparticles (NPs) composed of Ni, Cu, and Zn. The SMSI established between these active sites and the ceria support not only enhanced the catalyst's activity but also its stability, effectively mitigating issues such as sintering and coking at elevated temperatures. Our breakthrough was evidenced by the catalyst's unprecedented catalytic performance, achieving CO productivity of 49,279 mmol g $^{-1}$  h $^{-1}$  at 650 °C. CO selectivity up to 99% and excellent stability (rate remained unchanged even after 100 h on stream) at 550 °C were also achieved.

We then undertook a comprehensive series of studies to understand why this combination of trimetallic sites and defective ceria outperforms the reported catalysts (as the use of ceria as well as these metals, was already heavily investigated in the literature). The underlying mechanism was rigorously investigated using in situ transmission electron microscopy (TEM), in situ scanning TEM (STEM)-electron energy loss spectroscopy (EELS), in situ high-energy-resolution fluorescence-detection X-ray absorption near edge structure (HERFD-XANES) spectroscopy, revealing the crucial role of strong interactions between the trimetallic NPs and the CeO<sub>2</sub> surface. These studies illuminated the importance of oxygen vacancies in both CO2 activation and coke combustion (35–38). In situ Fourier-transform infrared (FTIR) spectroscopy studies offered a deep molecular-level understanding of the dynamic formation and conversion of reaction intermediates over time and thus possible reaction pathways. Based on in situ FTIR and density functional theory (DFT) studies, a comprehensive CO<sub>2</sub> reduction mechanism that integrates both direct dissociation and a redox pathway, facilitated by hydrogen across various active sites was proposed.

#### **Results and Discussion**

Catalytic Performance of Ni-Cu-Zn/CeO $_2$  for CO $_2$  to CO Conversion. The Ni-Cu-Zn/CeO<sub>2</sub> catalyst along with other control catalysts i.e., the monometallic and bimetallic catalysts were evaluated for the CO<sub>2</sub> reduction reaction at 550 °C under 15 bar pressure, 1:1  $CO_2/H_2$  ratio, and 4,680 L  $g^{-1}$   $h^{-1}$  gas hourly space velocity (GHSV) in a flow reactor (SI Appendix, Schemes S1 and S2). The trimetallic catalyst surpassed all its mono- and bimetallic counterparts in terms of CO productivity, selectivity, and stability (Fig. 1A and SI Appendix, Fig. S1). When tested at various temperatures and higher GHSV of 33,429 L g<sup>-1</sup> h<sup>-1</sup>, the monometallic and bimetallic catalysts showed much lower activity as compared to the Ni-Cu-Zn/CeO2 (Fig. 1B). Metal loading optimization was conducted to achieve optimal weight percentages of Ni, Cu, and Zn on ceria support (SI Appendix, Fig. S2 and Supplementary Note 1). GHSV was then optimized for Ni–Cu–Zn/ CeO<sub>2</sub> catalyst at 550 °C, and 15 bar, the GHSV of 33,429 L g<sup>-1</sup> h<sup>-1</sup> was found to be the best, with a CO productivity of 21,148 mmol g<sup>-1</sup> h<sup>-1</sup> with 99% selectivity (Fig. 1C). Using optimized GHSV, the catalytic performance was evaluated under different CO<sub>2</sub>:H<sub>2</sub> ratios, and the CO productivity was found to be maximum at the 1:1 ratio (Fig. 1D). Following this, a pressure scan between 1 and 20 bar was conducted at 550 °C and a GHSV of 33,429 L g h<sup>-1</sup>. Productivity exhibited a rise with increasing pressure and at

15 bar, it showed excellent productivity of 21,148 mmol g<sup>-1</sup> h<sup>-1</sup> maintaining 99% CO selectivity (Fig. 1E). However, transitioning from 15 bar to 20 bar led to a decrease in selectivity; hence, we opted to continue with the pressure set at 15 bar. The catalyst was also tested at a higher temperature of 650 °C to evaluate its performance under harsh conditions. At 650 °C and 9,120 L g<sup>-1</sup> h<sup>-1</sup> GHSV, the catalyst showed even higher productivity of 49,279 mmol g<sup>-1</sup> h<sup>-1</sup> with 25% CO<sub>2</sub> conversion and slightly inferior selectivity of 97% (Fig. 1F). Upon decreasing GHSV to 480 L g<sup>-1</sup> h<sup>-1</sup>, 57% conversion was attained concurrently maintaining high CO productivity and selectivity (Fig. 1F). Considering the high energy input for 650 °C and loss in CO selectivity, the optimum temperature was considered to be 550 °C. To understand the role of ceria support, different versions of Ni-Cu-Zn trimetallic control catalysts were also prepared using commercial bulk and nanoceria supports, following the same experimental protocol. The catalytic activity of these catalysts was consistently lower than that of our synthesized CeO<sub>2</sub>-based catalyst, indicating the important role of physicochemical properties of CeO<sub>2</sub> synthesized using our protocol (SI Appendix, Fig. S3).

To confirm that CO<sub>2</sub> was the sole carbon source, the control experiment without CO2 was carried out, and no products (CO and CH<sub>4</sub>) were detected. TGA of ceria support was also performed and no carbon impurity was detected which excludes any participation of such impurities in the CO productivity (SI Appendix, Fig. S4). The carbon balance was calculated to be 99% (Fig. 1*E*). This was further confirmed by conducting the CO<sub>2</sub>RR using isotopically labeled <sup>13</sup>CO<sub>2</sub> gas. Gas chromatography-mass spectrometry (GC-MS) analysis of the products showed a signal corresponding to  $^{13}CO$  (m/z = 29) and  $^{13}CH_4$  (m/z = 17) in the mass spectrum (SI Appendix, Fig. S5), further confirming that CO was produced from the CO<sub>2</sub> feed gas.

In regard to stability, the CO productivity rate (~20,941 mmol g<sup>-1</sup> h<sup>-1</sup>) remained unchanged, even after operating for 100 h continuously, at 550 °C and 15 bar pressure (Fig. 1G), with no occurrence of coke formation [based on Raman spectroscopy, thermogravimetric analysis, and carbon balance (SI Appendix, Figs. S6-S8 and Supplementary Notes 2 and 3)]. This excellent stability of the catalyst was attributed to the strong interaction between defective CeO<sub>2</sub> support and trimetallic active sites (discussed in-depth in the subsequent sections).

Structural Characterization of the Ni-Cu-Zn/CeO<sub>2</sub> Catalysts. High-angle annular dark-field scanning TEM (HAADF-STEM) and secondary electron images of Ni-Cu-Zn/CeO2 revealed metal NPs, mostly 2 to 10 nm in diameter, with a mean diameter of 7.4 nm (Fig. 2 A and B and SI Appendix, Figs. S9–S11). The energy dispersive X-ray spectroscopy (EDX) elemental map and fast Fourier transform (FFT) patterns analysis showed that the metal NPs consisted of Ni, Cu, and Zn, with some Zn species also present outside of the metal nanoparticles on the ceria surface (Fig. 2 B-G and SI Appendix, Figs. S9 and S10 and Supplementary *Note* 4). The Ni:Cu:Zn atomic ratios in the catalyst were 3:1:0.7 based on EDX and inductively coupled plasma mass spectrometry (ICP-MS) data (*SI Appendix*, Table S1). The STEM-EDX line scan data provided a clear indication of the elemental distribution along a specific trajectory on the sample (Fig. 2 H and I). As seen in the line plot, Ni and Cu signals are consistently observed together, with the Zn signal also present wherever Ni and Cu are detected, albeit at lower concentrations, indicating homogeneous mixing of three metals (Fig. 2 H and I). The magnified version of the figure (Inset in Fig. 2) clearly showed a distinct Zn signal alongside Ni and Cu. The Zn signal (at 80 nm) for trimetallic nanoparticle had a count of 6, while Ce, in the vacuum region

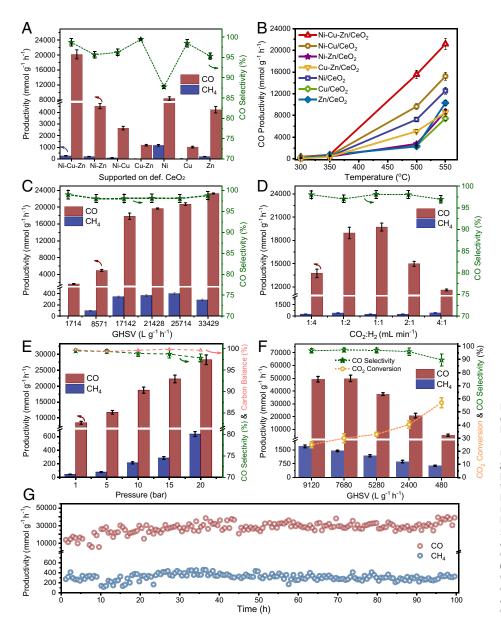


Fig. 1. Catalytic performance of Ni-Cu-Zn/CeO<sub>2</sub> catalyst for CO<sub>2</sub>RR. Comparison of monometallic, bimetallic, and trimetallic catalysts at (A) 550 °C, 15 bar pressure, with 5 mg catalyst and 390 mL total flow (1:1 CO<sub>2</sub>:H<sub>2</sub> ratio), i.e., 4,680 L g<sup>-1</sup> h<sup>-1</sup> GHSV, (B) various temperatures, 15 bar pressure, with 0.7 mg catalyst and 390 mL min<sup>-</sup> total flow (1:1 CO2:H2 ratio), i.e., 33,429 L g h<sup>-1</sup> GHSV; Effect of (C) GHSV (using 1:1 CO<sub>2</sub>:H<sub>2</sub> ratio) and (D) CO<sub>2</sub>/H<sub>2</sub> ratio (using 33,429 L g h<sup>-1</sup> GHSV), on CO productivity and selectivity, at 550 °C and 15 bar pressure; Effect of (E) Pressure at 550 °C using 33,429 L g<sup>-1</sup> h<sup>-1</sup> GHSV, and 1:1 CO<sub>2</sub>/H<sub>2</sub> ratio on catalyst activity and selectivity; (F) CO<sub>2</sub> conversion at 650 °C, and 15 bar using 1:1 CO<sub>2</sub>/H<sub>2</sub> ratio and various GHSVs; (G) Long-term catalyst stability for 100 h on stream at 550 °C and 15 bar using 33,429 L g<sup>-1</sup> h<sup>-1</sup> GHSV, and 1:1 CO<sub>2</sub>/H<sub>2</sub> ratio.

(at 60 nm), showed a count close to 0, confirming that the Zn signal was not a background noise. While the Zn concentration was 0.7 wt% (counts ~ 6), part of it was distributed on the ceria surface, and the rest was incorporated into the trimetallic nanoparticle, leading to the observed lower counts relative to Ni (3 wt%, counts ~30) and Cu (1 wt%, counts ~12). To reconfirm this, we reran the EDX line map, which produced similar results (SI Appendix, Fig. S9 C and D). The electron microscopy images and EDX mapping of various control catalysts also showed good dispersion of metal NPs on the ceria and other supports (SI Appendix, Figs. S12-S21).

Mono- and bimetallic counterparts of the catalyst were also fully characterized by X-ray photoelectron spectroscopy (XPS), powder X-ray diffraction (PXRD), and  $N_2$ -sorption techniques. The surface area of the catalyst changes because of structural distortion upon defect creation (SI Appendix, Figs. S22-S26 and Table S2). Our synthesized Ni-Cu-Zn/CeO2 exhibited a higher surface area and greater porosity, which contributed to the enhanced catalytic performance (SI Appendix, Figs. S3, S22, and S26 and Supplementary Note 5). These findings suggest that the superior performance of our catalyst can be attributed not only to the presence of oxygen vacancies but also to the optimized textural properties of the

support. PXRD analysis of ceria support and catalysts showed a diffraction pattern similar to that of fluorite CeO2, although the intensities were lower than those of pure CeO2. This was due to distortion in the CeO<sub>2</sub> lattice on the creation of defects (*SI Appendix*, Figs. S27 and S28 and Supplementary Note 6).

To further elucidate the electronic structure and defect concentration within the catalysts, a high-resolution XPS analysis was performed, focusing on the Ce 3d region. The Ce 3d XPS indicated that the defect concentration or the Ce<sup>3+</sup> phase increased in the following order among different catalysts: CeO<sub>2</sub>< Cu-Zn/CeO<sub>2</sub>  $\sim$  Ni-Cu/CeO $_2$  < Ni-Zn/CeO $_2$  < Zn/CeO $_2$   $\sim$  Ni-Cu-Zn/CeO $_2$  < Cu/CeO<sub>2</sub> < Ni/CeO<sub>2</sub> (SI Appendix, Figs. S29–S37 and Supplementary *Note* 7) as observed from the relative intensity of the peak at 918 eV. This indicated that an optimum concentration of defects was present in the trimetallic catalyst resulting in high activity. From Ni 2p XPS, the electron density on Ni sites in various catalysts was found to increase in the following order Ni-Zn/CeO<sub>2</sub> < Ni/ CeO<sub>2</sub> < Ni-Cu-Zn/CeO<sub>2</sub> (SI Appendix, Figs. S30, S33, and S34) as observed from the increasing Ni<sup>0</sup> peaks. This indicated that the electron density on Ni sites increased slightly when Cu was present and decreased in the presence of Zn sites. The satellite peaks for Cu<sup>2+</sup> were observed in the as-prepared sample at 963.4 eV which

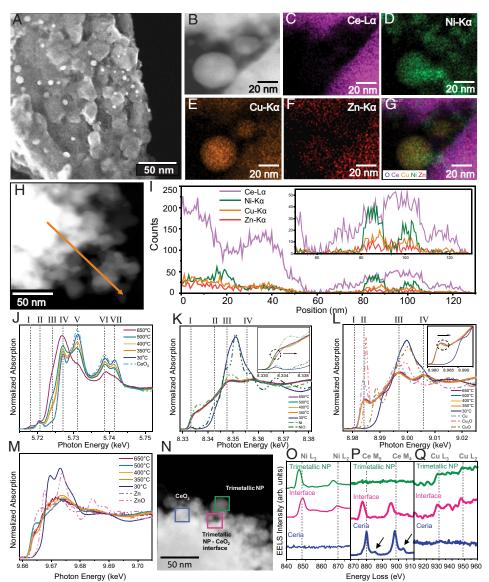


Fig. 2. Morphological and electronic characterization of the Ni-Cu-Zn/CeO2 catalyst. (A) Secondary electron image, (B) HAADF-STEM image, (C-G) STEM-EDX element mapping; (H)STEM dark field image with an arrow indicating the EDX line scan position and (I) EDX line scan with Ce-L $\alpha$ , Ni-K $\alpha$ , Cu-K $\alpha$ , and Zn-K $\alpha$  signal of the reduced Ni-Cu-Zn/CeO2 catalyst; in situ HERFD-XANES of as-prepared Ni-Cu-Zn/CeO2 catalyst during in situ H<sub>2</sub> reduction conditions with 20 mL min<sup>-1</sup> H<sub>2</sub> flow at various temperatures with a ramp of 20  $^{\circ}$ C min<sup>-1</sup>, (/) Ce L<sub>3</sub>edge, (K) Ni K-edge, (L) Cu K-edge, and (M) Zn K-edge. In situ EELS of Ni-Cu-Zn/CeO2 catalyst, (N) HAADF-STEM image where blue, pink, and green area denotes bulk CeO2, trimetallic NP-CeO<sub>2</sub> interface, and trimetallic NP, respectively. In situ EELS spectra of different blue, pink, and green areas, (O) Ni L<sub>2,3</sub>-edge, (P) Ce M<sub>4,5</sub>-edge (arrows indicate small shoulders due to Ce species) and (Q) Cu  $L_{2,3}$ -edge, under  $H_2$  flow of 2.5 mL min<sup>-1</sup> at 650 °C and  $2.5 \times 10^{-2}$  Pa.

disappeared as samples were reduced indicating the absence of Cu<sup>2+</sup> sites in the catalyst (*SI Appendix*, Figs. S29–S32 and S35). The Zn 2p spectra suggested the presence of a small amount of ZnO in the catalyst, from the residual zinc species, which did not participate in the trimetallic nanoparticle formation and was present as oxide on the CeO<sub>2</sub> surface (SI Appendix, Figs. S29, S30, S32, and S33 and Supplementary Note 7). The trend in defect concentrations in all catalysts, as revealed by the Ce 3d XPS analysis, aligned with the observed PXRD patterns, reinforcing the relationship between lattice distortion and defect formation within the CeO<sub>2</sub> support across different catalysts.

To complement these findings and gain deeper insight into the catalyst's behavior, in situ STEM imaging was utilized to closely observe the dynamic processes occurring during the catalyst reduction step and under CO2RR conditions, allowing for detailed real-time visualization of the formation, movement, and growth of active trimetallic sites. During the initial reduction stage, as temperatures reached 400 °C, trimetallic NPs formation was observed. At 500 °C, a noticeable growth in NPs size due to the aggregation and/or sintering of smaller nanoparticles was vividly captured in the supplementary in situ STEM (SI Appendix, Figs. S38-S43 and Supplementary Note 8, and in situ Movies S1 and S2). Intriguingly, upon reaching a higher temperature regime of 650 °C, the anticipated aggregation and sintering process of

NPs did not occur (SI Appendix, Figs. S41 and S42, and in situ Movie S3). This phenomenon indicated the catalyst's transition into a state of strong metal-support interaction, which effectively halted further nanoparticle diffusion and sintering even at elevated temperatures. Further in situ STEM imaging performed under CO<sub>2</sub>RR conditions, by flowing CO<sub>2</sub> and H<sub>2</sub> 1:1 gaseous mixture at 500 °C (SI Appendix, Fig. S43 and in situ Movie S4), revealed that the trimetallic nanoparticles maintained their stability and positional integrity on the ceria support. This observation serves to reinforce the critical role of SMSI in ensuring the nanoparticles' resistance to the sintering process (SI Appendix, Figs. S44 and S45), for the development of efficient and long-lasting catalytic systems (39, 40). This prompted a deeper investigation into how these interactions between the nanoparticles and the ceria support evolve under reduction and reaction conditions.

Probing Defect Tuned SMSI by In Situ HERFD-XANES and In Situ STEM-EELS. The evolution of SMSI during the catalyst reduction step was studied by an in situ HERFD-XANES (SI Appendix, Scheme S3). The CeO<sub>2</sub> support in Ni-Cu-Zn/CeO<sub>2</sub> (as-prepared) exhibits fluorite structure (FCC) comprising solely Ce<sup>4+</sup> sites (Fig. 2J and SI Appendix, Supplementary Note 9) (41-44). With increasing temperatures under reducing conditions (H<sub>2</sub> = 20 mL min<sup>-1</sup>), a noticeable attenuation in spectral characteristics associated with CeO<sub>2</sub> (II, V-VII) was observed, indicating the formation of Ce<sup>3+</sup>. At 650 °C, the Ce<sup>3+</sup> phase reached its highest concentration as evidenced by the increase in the intensity of the pre-edge feature I (5,718.2 eV). With increasing temperature under reducing conditions, a pronounced decline in peak intensity at feature V (5,731.0 eV) for Ce4+ was also observed (Fig. 2/). To understand the electronic structure of metal active sites and their role in tuning the SMSI, we then examined the Ni K-edge XANES spectra of Ni-Cu-Zn/CeO<sub>2</sub> (Fig. 2K and SI Appendix, Supplementary Note 9). Notably, the preedge peak intensity (feature I around 8,333 eV) was pronounced in standard NiO and the as-prepared sample, but diminished upon catalyst reduction. The absence of this feature indicated the presence of Ni in metallic form under reducing conditions from 350 °C to 650 °C (45–48). The XANES pre-edge positions, shown in the inset, fall between those of Ni foil and NiO, suggesting the presence of metallic Ni and Ni<sup>2+</sup> (Fig. 2 K, Inset) which aligns with the Ni 2p XPS analysis (SI Appendix, Figs. S30, S33, and S34). However, a blue shift in the pre-edge region, feature I (8,333 eV), of the Ni K-edge compared to Ni foil at 650 °C was observed (Fig. 2 K, *Inset*). This shift attests to local distortions around Ni atoms and an augmented electron redistribution from Ni 4s/4p orbitals to the CeO<sub>2</sub> support, indicative of SMSI. A series of Cu K-edge XANES spectra were also recorded during the catalyst reduction step (Fig. 2L and SI Appendix, Supplementary Note 9). Feature I indicates the presence of the Cu<sup>2+</sup> phase in the as-prepared catalyst (48, 49). However, upon introduction of a reducing H<sub>2</sub> gas, Cu<sup>2+</sup> readily reduced at 350 °C. The XANES pre-edge positions of the reduced catalyst (area encircled in Fig. 2 L, Inset) lay between Cu foil and Cu2O, suggesting the presence of a mixed state of Cu as also indicated by high-resolution XPS analysis of Cu K-edge (SI Appendix, Figs. S29–S32 and S35) (50–52). The feature II, in the sample reduced at 350 °C, 400 °C, and 500 °C, was observed at the energy of 8,943 eV. However, at 650 °C, there was a 0.9 eV shift toward higher energy, which indicated a higher partial positive charge being developed on Cu atoms (Fig. 2 L, Inset). This was due to the Cu site's interactions with defective ceria, forming partially oxidized Cu, which indicated the SMSI between defective ceria support and the Cu of the trimetallic NP. At 650 °C, feature III edge energy also shifted to higher energy by 1.1 eV (Fig. 2L), again indicating the partial positive charge being developed on Cu atoms at higher temperatures; a similar observation was also made for feature IV. In the case of Zn sites, as temperature increased from 350 °C to 650 °C the metallic Zn character increased (Fig. 2M and SI Appendix, Supplementary Note 9) (53, 54). Additionally, a shift in the edge-peak from 9,673.6 eV at 350 °C to 9,674.9 eV at 650 °C was observed which suggested the development of a partial positive charge due to strong interactions with ceria support. However, this was not observed in high-resolution XPS (SI Appendix, Fig. S30), where exposure to air during the measurements resulted in the complete oxidation of Zn, preventing the detection of metallic Zn

Although the HERFD-XANES experiment provided comprehensive insights into SMSI between active trimetallic sites and ceria defects, it primarily captured these interactions at a bulk level. To investigate the spatially resolved charge distribution and SMSI, we carried out an in situ STEM-EELS study. The STEM images of the catalyst revealed the presence of Ni-Cu-Zn trimetallic NPs anchored on the CeO<sub>2</sub> surface (Fig. 2 N-Q). The Ce M<sub>4.5</sub>, Ni L<sub>2.3</sub>, and Cu L<sub>2.3</sub> edges of Ni-Cu-Zn trimetallic NP alone, the metal NP-CeO<sub>2</sub> interface and ceria support alone were examined using EELS. The Ce M<sub>4,5</sub> edges revealed that the relative intensity (IM<sub>5</sub>/IM<sub>4</sub>) ratio of Ce M<sub>4,5</sub> edges decreased from 1.34 at the interface of trimetallic NP-CeO2 to 1.12 on the CeO2 alone, indicating the formation of Ce<sup>3+</sup> species at the interface (Fig. 2 N and P and SI Appendix, Table S3 and Supplementary Note

10) (55–59). In addition, the bulk peaks of Ce have small shoulders compared to the interface at 885 and 902 eV, a signature of  $Ce^{4+}$  species (Fig. 2*P*) (55).

In the trimetallic NPs, the (IL<sub>3</sub>/IL<sub>2</sub>) ratio of Ni L<sub>2,3</sub> was 2.81 which decreased to 2.29 at the interface of trimetallic NP–CeO<sub>2</sub>, indicating partial oxidation of the Ni site near the interface in comparison to Ni sites in the trimetallic nanoparticle alone (Fig. 2 N and O and SI Appendix, Table S3) (57, 58). For the Cu, in trimetallic NP alone, the Cu sites were in the reduced state i.e., Cu<sup>o</sup>, while the trimetallic NP-CeO2 interface was found to consist of partially oxidized Cu species, as evidenced by variations in the shape (as  $Cu^0$  has a  $3d^{10}$  electronic configuration, the intensities are incalculable) of the Cu L<sub>2,3</sub> edges (Fig. 2 N and Q) (58, 59). Thus, the change in the IL<sub>3</sub>/IL<sub>2</sub> ratio in Ni, and, variations in the shapes of the L<sub>2,3</sub> edges in Cu in trimetallic nanoparticles supported on CeO<sub>2</sub> established the presence of the SMSI effect which induces the existence of Ni(II)-O, and Cu(I)-O oxidized states due to the interaction of metal atoms with the defects of CeO<sub>2-x</sub> interface layer.

The STEM-EELS showed a mixture of metallic sites in reduced and partially oxidized forms that were strongly bonded with the defective CeO2 surface, indicating the strong interactions via charge transfer from metal NPs and the CeO2 surface. This effectively inhibited the growth and aggregation of nanoparticles during catalytic CO<sub>2</sub> reduction, resulting in stable catalysts. Notably, the trimetallic NP did not show any EELS signature of Ce M-edges, which indicated that there was no formation of a suboxide layer over the trimetallic NP surface (Fig. 2P) (which generally hinders the adsorption of reactant molecules) (60, 61). This ensured the availability of trimetallic sites for the adsorption of CO<sub>2</sub> and H<sub>2</sub> molecules followed by catalytic CO<sub>2</sub>RR.

Thus, in situ EELS and in situ XANES analysis elucidated the interaction dynamics between CeO2, its defects and the trimetallic NPs within the Ni-Cu-Zn/CeO<sub>2</sub> catalyst, revealing significant shifts in oxidation states and electron density distribution among the metal sites and ceria. These investigations emphasize the crucial role of electronic structure adjustments, facilitated through SMSI, in optimizing the catalyst's performance.

### Comparison with Best Reported Catalytic Systems for CO<sub>2</sub>RR.

The performance of the Ni–Cu–Zn/CeO<sub>2</sub> catalyst was compared with the best-reported metal-based catalysts for CO<sub>2</sub> to CO conversion (27, 62-81). For CO<sub>2</sub> reduction, high catalytic activity is often achieved at the expense of selectivity and stability via triggering undesirable side reactions. Therefore, plotting selectivity versus productivity along with CO2 conversion can be a good indication of overall performance. The pentagon plot in Fig. 3 compares various catalysts based on five key performance parameters, CO<sub>2</sub> conversion, temperature, GHSV, CO selectivity, and CO productivity (refer to SI Appendix, Supplementary Note 11 for details). Remarkably, Ni-Cu-Zn/CeO2 demonstrated superior CO production rates, selectivity, conversion, and stability when compared to previously reported CO<sub>2</sub>RR thermal catalysts (Fig. 3 and SI Appendix, Fig. S46-I and Table S4). Our catalyst exhibited a remarkable CO production rate of 49,279 mmol g<sup>-1</sup> h<sup>-1</sup> at 650 °C, representing a significant increase over the previous best-reported result (27, 62-81). Although this comparison is not perfect due to variations in experimental conditions used by various published reports, the difference in activity is enormous (ninefold). We also conducted a comparison using benchmark catalysts, tested under identical experimental setups and conditions. The results indicate that our developed catalyst outperforms the top four catalysts reported in the literature (SI Appendix, Fig. S46-II). A comprehensive comparison of the top 62 reported catalysts, including all pertinent experimental details has been provided in

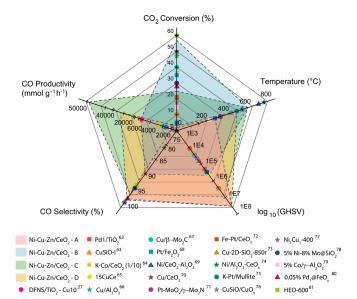


Fig. 3. Comparison (CO productivity, selectivity, CO<sub>2</sub> conversion, GHSV, reaction temperature, and catalyst stability) with the best-reported catalytic system for CO<sub>2</sub> to CO conversion where temperature & GHSV conditions were, (4) (Ni–Cu–Zn/CeO $_2$ ) 350 °C & 33,429 L g $^{-1}$  h $^{-1}$ , (*B*) (Ni–Cu–Zn/CeO $_2$ ) 650 °C & 480 L g $^{-1}$  h $^{-1}$ , (*C*) (Ni–Cu–Zn/CeO $_2$ ) 650 °C & 9,120 L g $^{-1}$  h $^{-1}$  and (*D*) (Ni–Cu–Zn/CeO $_2$ ) 550 °C & 33,429 L g $^{-1}$  h $^{-1}$ . A more detailed comparison is provided in SI Appendix, Table S4.

SI Appendix, Table S4. Thus, owing to the SMSI and electronic distribution as probed by XANES (Fig. 2 *J–M*) and STEM-EELS (Fig. 2 N-Q), the catalyst surpassed all other reported catalysts.

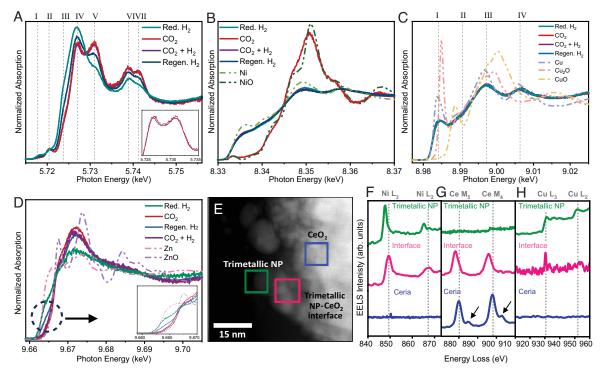
The Interplay of Oxygen Vacancies and Metal Sites in CO<sub>2</sub> **Reduction Reaction.** In previous sections, we observed how SMSI helped to improve the catalytic activity and stability to surpass other reported catalysts (Figs. 2 and 3). Oxygen vacancies are widely acknowledged as key sites for adsorbing and activating CO<sub>2</sub> and hence play a significant role in CO<sub>2</sub> reduction (27, 28, 35–38, 75). We tried to monitor how the electronic distribution was changing during the reaction by interaction with CO2 and how the active sites were being regenerated in the process. The oxygen vacancies, metal sites, and interplay between them for the CO<sub>2</sub> activation step were investigated by in situ XANES and in situ STEM-EELS studies. Upon exposure to CO<sub>2</sub> at 650 °C, the oxygen vacancies in Ni-Cu-Zn/CeO2 catalyst were occupied by the oxygen of CO<sub>2</sub> and hence there was an increase in XANES peak intensity corresponding to Ce<sup>4+</sup> (Features II, IV–VII in Fig. 4A). However, when CO<sub>2</sub> and H<sub>2</sub> were simultaneously introduced at 650 °C, the amount of Ce<sup>3+</sup> species increased in comparison to when only CO<sub>2</sub> was introduced, due to the simultaneous defect creation alongside CO<sub>2</sub> adsorption, particularly enhanced by metal-assisted H<sub>2</sub> spillover effects (Fig. 4 A, Inset) (27, 35–38). It was also evident when the catalyst was exposed with CO<sub>2</sub> + H<sub>2</sub> at 650 °C compared to 350 °C (SI Appendix, Fig. S47A), the Ce<sup>3+</sup> concentration was higher at higher temperatures due to regeneration of O vacancies which were previously occupied by the oxygen atoms of CO<sub>2</sub> molecules. These continuous generation & regeneration of oxygen vacancies and Ce<sup>3+</sup> centers during the progress of the reaction allowed the catalyst to remain active and stable even after 100 h.

We further studied this aspect by exploring the role of individual metal centers in the catalyst. In the case of Ni K-edge, the XANES pre-edge positions (area encircled, *SI Appendix*, Fig. S47*B*) lay close to Ni foil indicating that the Ni was in reduced form at all reaction temperatures (82). This suggested that both the

processes of CO<sub>2</sub> adsorption and dissociation as well as H<sub>2</sub> dissociation were simultaneously happening, and in turn, the active Ni sites were being regenerated in the catalyst quickly. To confirm this, when only CO<sub>2</sub> was introduced (without H<sub>2</sub>), CO<sub>2</sub> was strongly adsorbed on the Ni<sup>0</sup> sites and the oxidation state of Ni changed to +2 (Fig. 4B) (28, 83). Following this, when the catalyst was exposed to a reducing environment (only H<sub>2</sub>), the Ni<sup>2+</sup> sites were instantaneously reduced to Ni<sup>o</sup> (Fig. 4B). The evolution of CO<sub>2</sub> adsorbing on the Ni<sup>0</sup> sites was also studied by in situ XANES (SI Appendix, Fig. S48 and Supplementary Note 12). This study indicated the O vacancies in CeO2 and Ni as key active sites for CO<sub>2</sub> adsorption.

The XANES pre-edge positions of the Cu K-edge of the catalyst at temperatures from 350 °C to 650 °C in the presence of the reaction mixture (CO<sub>2</sub> + H<sub>2</sub>) lay between Cu foil and Cu<sub>2</sub>O, indicating that their oxidation state was between 0 and +1 (Fig. 4C and SI Appendix, Fig. S47C) (83). Interestingly, when only CO<sub>2</sub> was introduced, there was no change observed in Cu K-edge spectra, indicating that CO2 was not interacting strongly with Cu sites, however, Cu sites were actively dissociating H2 (as indicated by the high concentration of defects formed by H<sub>2</sub> spillover in monometallic Cu/CeO<sub>2</sub>, SI Appendix, Fig. S35 and XANES, discussed in the later section). This observation indicated that Cu sites did not act as CO<sub>2</sub> adsorption sites, unlike the Ni sites and rather only dissociated H<sub>2</sub> in a controlled way suppressing overhydrogenation to CH<sub>4</sub>. To understand the role of Zn sites, Zn-K-edge was recorded in the presence of the reaction mixture. The edge-peak energy of Zn K-edge was highly blue shifted as shown in the encircled area (SI Appendix, Fig. S47D), indicating oxidation of Zn sites in the presence of CO<sub>2</sub> (15). Zn was oxidized to ZnO under the reaction conditions, as the CO<sub>2</sub> was absorbed at the Zn sites (Fig. 4D). This observation indicated that Zn sites promote CO<sub>2</sub> capture and activation. However, these sites were not actively dissociating H<sub>2</sub> resulting in CO<sub>2</sub>-saturated Zn sites (52, 84). These results confirmed the strong interaction of CO<sub>2</sub> with Zn/ZnO sites resulting in their low desorption rate from the Ni–Cu–Zn/ CeO<sub>2</sub> catalyst surface (Fig. 4D).

For a spatially resolved picture of these electronic density shifts, we further probed these XANES observations, by in situ STEM-EELS studies (SI Appendix, Scheme S4 and Fig. 4 E–H). In the case of the Ce  $M_{4,5}$  edges, the relative intensity  $(IM_5/IM_4)$  ratio of Ce M<sub>4,5</sub> edges were 1.27 and 0.85, at the interface of metal NP-CeO<sub>2</sub> and in the CeO<sub>2</sub> alone, respectively, in the presence of CO<sub>2</sub> + H<sub>2</sub> mixture (Fig. 4 F and H and SI Appendix, Table S5) (85). In the trimetallic NP alone, the  $(IL_3/IL_2)$  ratio of Ni  $L_{2,3}$  was 3.16, which decreased to 2.95 at the interface of trimetallic NP and CeO<sub>2</sub> implying the presence of partially oxidized Ni sites at the interface (Fig. 4G and SI Appendix, Table S5). For the Cu sites, as evidenced by variations in the shapes of the Cu L<sub>2,3</sub> edges, the trimetallic NP was found to be in the reduced state, while a partially oxidized Cu species was found at the NP-CeO<sub>2</sub> interface (Fig. 4H and SI Appendix, Table S5). The findings from this in situ EELS experiment were the persistence of the SMSI between the defective ceria support and the trimetallic nanoparticles even under reaction conditions which reduced the migration of NPs corroborating the observations from the in situ TEM studies (SI Appendix, Fig. S43 and Movie S4). The STEM-EELS spectra of the spent catalyst also showed signatures of oxidized metal sites at the interface of the trimetallic NP and CeO2 indicating intact SMSI (SI Appendix, Fig. S49, Table S6, and Supplementary Note 13). Thus, the activity and stability of the catalyst were greatly enhanced due to the strong CO<sub>2</sub> activation ability of the trimetallic sites and the simultaneous formation and utilization of oxygen vacancies in CeO2 support, which facilitated Mars-van Krevelen-type coke combustion (86, 87).



**Fig. 4.** Role of oxygen vacancies and trimetallic sites in CO<sub>2</sub>RR. In situ HERFD-XANES studies of Ni-Cu-Zn/CeO<sub>2</sub> reduced sample under various conditions; only CO<sub>2</sub> (20 mL min<sup>-1</sup>), reaction mixture of CO<sub>2</sub> +  $H_2$  (10 mL min<sup>-1</sup> each), and regeneration step using  $H_2$  (20 mL min<sup>-1</sup>), at 650 °C, (A) Ce  $L_3$ -edge, (B) Ni K-edge, (C) Cu K-edge, and (D) Zn K-edge; In situ STEM-EELS studies of Ni-Cu-Zn/CeO<sub>2</sub> reduced sample in presence of, CO<sub>2</sub> +  $H_2$  with 1.2 mL min<sup>-1</sup> flow each at 500 °C, (E) HAADF-STEM image and EELS spectra of (F) Ni  $L_2$ 3-edge, (G) Ce  $M_4$ 5-edge (arrow line) and the second state of the se pink, and green area denotes CeO<sub>2</sub>, trimetallic NP-CeO<sub>2</sub> interface, and trimetallic NP alone, respectively.

**Tuning the Electronic Structure of the Trimetallic Active Sites** and Their Cooperativity with Defects. The previous discussions focused on how the unique electronic distribution was established at higher temperatures and preserved during the reaction. A major question still persisted about the role each metal component (Ni, Cu, and Zn) was playing to establish such a unique distribution and subsequently unprecedented high activity of the trimetallic catalyst as compared to the mono- and bimetallic counterparts (SI Appendix, Fig. S1). The Cu and Ni monometallic systems exhibited the highest defect concentrations, followed by similar levels in the Zn and Ni-Cu-Zn systems, despite this the latter demonstrated significantly higher CO productivity. It indicated that while defects were crucial for the formation of SMSI and could enhance catalytic properties, it was clear that other factors were also influencing the unique activity of the trimetallic system. In monometallic Ni/CeO<sub>2</sub>, there was high defect concentration as well as the presence of multiple CO2 activation sites, Ni and O vacancies. Although the Ni/CeO<sub>2</sub> catalyst showed a high initial CO<sub>2</sub> productivity, CO selectivity was compromised (87%), and rapid deactivation occurred within 5 to 6 h due to coke formation (SI Appendix, Fig. S8). On the other hand, monometallic Cu/ CeO<sub>2</sub>, despite actively dissociating H<sub>2</sub> and creating a high number of defects in the ceria support, was less effective at activating CO<sub>2</sub>. This was because, in the Cu/CeO<sub>2</sub> system, the O vacancies were the only sites for CO<sub>2</sub> activation, leading to lower overall activity in Cu/CeO<sub>2</sub>. The monometallic Zn/CeO<sub>2</sub> catalyst was stable but it showed low CO2 conversion (SI Appendix, Fig. S1). On the other hand, Cu/CeO2 showcased 100% CO selectivity but was less active for CO<sub>2</sub> conversion as compared to Ni/CeO<sub>2</sub> and Zn/ CeO<sub>2</sub> (SI Appendix, Fig. S1). In the case of bimetallic sites also, interestingly, the initial conversion of CO<sub>2</sub> differed depending on the metal component in the following order: Ni-Zn > Ni-Cu > Zn >> Cu-Zn (*SI Appendix*, Fig. S1).

These results implied that Zn promoted CO<sub>2</sub> capture and activation and alloying with Ni enhanced the activity, while alloying with copper alone significantly reduced this ability. Therefore, alloying Zn with both Ni and Cu may have neutralized the negative effect of Cu, thereby promoting CO2 conversion, while maintaining high selectivity and stability. This demonstrated that the trimetallic alloy structure Ni-Cu-Zn was essential for high catalytic performance.

To understand these individual contributions of Ni, Cu, and Zn in modulating the electronic attributes of the trimetallic NPs and associated SMSI, XANES spectra of both mono- and bimetallic configurations were analyzed. It was observed that the highest defect concentration was in monometallic ceria followed by trimetallic and bimetallic ceria (SI Appendix, Fig. S50). This indicated that an optimum defect concentration in the trimetallic system allowed for reaching a favorable balance between the concentration of defect sites and the strength of interactions between ceria and metal nanoparticles (88).

The Ni sites are known to favor CO<sub>2</sub> reduction to methane (CO<sub>2</sub>RR) (89–91). However, decreased electron density on Ni sites is known to promote early CO desorption without further hydrogenation, enhancing CO selectivity (88-90). The Ni K-edge XANES spectra of control catalysts were also recorded to comprehend the effect of Cu and Zn on Ni sites (SI Appendix, Fig. S51 and Supplementary Note 14). For Ni K-edge, the white line intensity decreased from Ni-Zn/CeO<sub>2</sub> to Ni-Cu/CeO<sub>2</sub> which implies that the electron density on Ni sites decreased in the presence of Zn and increased in the presence of Cu. The white line feature III (8,996 eV) in the Cu-K edge spectrum in various catalysts revealed that the partial positive charge on Cu increases in the presence of Ni due to the transfer of electron density from Cu to Ni sites (*SI Appendix*, Fig. S52). Based on the pre-edge region of Zn K-edge XANES spectra of various control catalysts (area encircled SI Appendix, Fig. S53 and Supplementary Note 14), the electron density on Zn sites increased drastically as Ni and Cu were introduced in the catalyst, again implying that Zn sites were extracting the electron density from Ni and Cu (*SI Appendix*, Fig. S53). These trends were also supported by DFT studies in the subsequent section.

In our Ni-Cu-Zn/CeO<sub>2</sub> catalyst design, Zn was introduced to modify the electronic structure, depleting electron density from Ni sites, resulting in partially positive Ni sites and thus increased CO selectivity. Cu sites were chosen for their high CO selectivity and ability to facilitate H<sub>2</sub> dissociation, aiding controlled H transport to Ni sites, thereby preventing further hydrogenation of CO. This electronic tuning was augmented by Zn metal sites and SMSI with defective ceria support, promoting preferential CO desorption from electron-deficient metal species surfaces. This highlighted the significant impact of electronic tuning among trimetallic sites and the SMSI effect on surface coordination, enhancing activity and selectivity. Thus, the HERFD-XANES data provided valuable insights into the spatial proximity and interactions between Ni, Cu, and Zn. The electron density distributions indicated a homogeneous mixing of Ni, Cu, and Zn.

Thus, factors influencing the unique activity of the trimetallic system were,

- i) Metal Synergy: The trimetallic system benefits from synergistic effects between the three metals (Ni, Cu, and Zn) that were not present in the mono- and bimetallic systems. These interactions enhance the catalytic performance beyond what was explained by defects alone. The specific combination and distribution of metals in the trimetallic system led to more favorable reaction pathways or enhanced stability compared to individual metals or bimetallic combinations.
- ii) Active Sites and Reaction Pathways: The presence of multiple metals creates a more diverse range of active sites, improving the overall catalytic efficiency. While defects can alone adsorb and activate CO<sub>2</sub>, the conversion to CO through the redox pathway requires dissociated H<sub>2</sub>. Monometallic Ni and Cu, although they have a high number of defects, do not achieve the same level of stabilized catalytic activity as the trimetallic system. This was because, monometallic Ni, while effective in CO<sub>2</sub> activation, has a strong affinity for CO, leading to a slower desorption rate. This can result in over hydrogenation of CO, forming CH<sub>4</sub> and reducing CO selectivity. On the other hand, monometallic Cu, despite actively dissociating H<sub>2</sub> and creating a high number of defects in the ceria support, was less effective at activating CO<sub>2</sub>. In the Cu/CeO<sub>2</sub> system, the O vacancies or defects in CeO2 were the only sites for CO2 activation, leading to lower overall activity in Cu/CeO2. The synergy among Ni, Cu, and Zn modifies and integrates the different reaction pathways, leading to increased CO productivity.
- iii) Support Interaction: The interaction between the trimetallic nanoparticles and the CeO<sub>2</sub> support was optimized via SMSI to tune the selectivity, maximize catalytic activity, and stability, beyond what was observed in their mono- and bimetallic counterparts.

Molecular Mechanism of CO<sub>2</sub>RR by In Situ FTIR Study. The conversion of CO2 to CO can occur through two pathways: the redox mechanism and the direct dissociative mechanism (27-29, 91–95). To get insight into the molecular mechanism, intermediate formation, and reaction dynamics of the CO<sub>2</sub> reduction process over Ni-Cu-Zn/CeO<sub>2</sub> catalyst, a time-dependent in situ FTIR study was conducted in transmission mode (Fig. 5 A and B).

When the reduced Ni-Cu-Zn/CeO<sub>2</sub> was exposed to CO<sub>2</sub>, the C=O stretching vibrations of linearly bonded CO atop a Ni atom (Ni<sup>0</sup>–CO) were observed at 2,075 cm<sup>-1</sup> indicating the dissociative pathway (Fig. 5 A and B) (90, 96, 97). Additional band around 1,847 to 1,852 cm<sup>-1</sup> was attributed to the CO stretching of CO<sub>2</sub> molecule adsorbed on oxygen vacancies, suggesting the role of defect sites in CO2 activation which was also observed from the increase in  $Ce^{4+}$  signal in the XANES spectra (Fig. 4A) (37, 93–95).

The band at 1,454 cm<sup>-1</sup> was attributed to the asymmetric stretching vibration  $\nu_{as}$  (COO) of bicarbonates, while the broadband at 1,693 to 1,697 cm<sup>-1</sup> was due to the CO stretch vibration of bicarbonates (28, 88). These suggest a parallel occurrence of redox mechanism, possibly for the CO2 captured at the defect sites as they were also observed with defective CeO<sub>2</sub> (SI Appendix, Fig. S54 and Supplementary Note 15). This was further confirmed by the strong bands at 1,517 to 1,521 cm<sup>-1</sup> and 1,997 cm<sup>-1</sup>, attributed to the C-H stretching of formate species (Fig. 5 A and B) (70, 75). The presence of bicarbonate and formate species was observed even without supplying H<sub>2</sub>. This can be due to the reaction between adsorbed CO<sub>2</sub> and residual surface hydroxyl species of the  $CeO_2$  support (27).

When  $H_2$  was introduced along with  $CO_2$ , C-O stretching bands around 2,182 and 2,105 cm<sup>-1</sup> were observed for gaseous CO as the reaction progressed via the regeneration of surface defect sites (via redox mechanism) and Ni sites (via dissociative mechanism) (74, 90). The presence of the Ni<sup>0</sup>-CO band without the presence of H<sub>2</sub> suggested a CO<sub>2</sub> dissociation pathway at the nickel sites (Fig. 5A), which was indicated by the charge depletion at Ni in the Ni K-edge in situ XANES in the presence of only CO2 (Fig. 4B and SI Appendix, Fig. S48) (90). A highly dispersed Ni site in the trimetallic system, favored the formation of linearly bonded Ni<sup>0</sup>-CO over strong multicoordinated Ni bonding to CO. This allowed efficient desorption and high CO productivity, in addition to good selectivity. Since the bicarbonates and formate peaks were also observed for defective CeO<sub>2</sub>, we conclude that the defect sites favored the redox mechanism which was enhanced due to metal-assisted H<sub>2</sub> dissociation (Fig. 5B).

Thus, the in situ FTIR study provided information about the formation of various reaction intermediates and their conversion to products with reaction time (Fig. 5A), while the in situ XANES (Fig. 4), in situ TEM (SI Appendix, Figs. S38–S43 and Movie S4), and in situ STEM-EELS (Fig. 4) studies provided information about how defect sites were formed and interacted with reactants during CO<sub>2</sub>RR (Figs. 2 and 4). Based on these observations, we have proposed a reaction mechanism for Ni-Cu-Zn/CeO<sub>2</sub> catalyzed CO<sub>2</sub>RR, which followed the direct dissociation pathway-(a) (shown in red) and the redox pathway-(b) (shown in blue) (Fig. 5C). In pathway (a), we observed the direct dissociation of CO<sub>2</sub> on the Ni site in the trimetallic nanoparticle supported defective CeO2. Following CO2 adsorption in step II (a), the molecule directly dissociated into CO\* and O\* species in step III (a). CO could desorb, while the O species was hydrogenated to form water in a subsequent step involving H2 adsorption, step IV (a). In pathway (b), first, the CO<sub>2</sub> molecule adsorbed onto CeO<sub>2</sub> defect sites (oxygen vacancy), while H<sub>2</sub> dissociated on Cu and Ni sites in the trimetallic nanoparticles, step II (b). Here, hydrogen-assisted CO<sub>2</sub> conversion via the COOH intermediate was considered. The adsorbed CO2 then reacted with the terminal hydroxy of CeO2 to produce unstable bicarbonate species in step III (b). Hydrogenation of the OH moiety, by dissociated H<sub>2</sub> on Cu or Ni sites in the trimetallic nanoparticles, followed by spillover on CeO<sub>2</sub>, in adsorbed COOH leads to the formation of formate

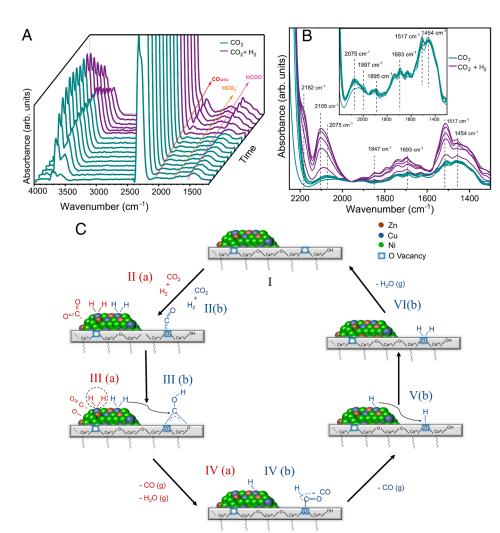


Fig. 5. In situ FTIR study for CO<sub>2</sub>RR and formation of various reaction intermediates. (A) Time-dependent in situ FTIR spectra of Ni-Cu-Zn/CeO<sub>2</sub> catalyst during CO<sub>2</sub> and H<sub>2</sub> treatment at 550 °C; Enlarged view of the spectra while undergoing (B)  $CO_2$  and  $CO_2$  +  $H_2$  treatment (the Inset corresponds to the band for CO during H2 treatment); (C) Proposed reaction pathways for the CO<sub>2</sub> reduction to CO on Ni-Cu-Zn/ CeO<sub>2</sub> catalyst. The direct dissociation pathway-(a) is shown in red and the redox pathway-(b) is shown in blue color.

species. In step IV (b) this formate intermediate readily breaks down into CO (gas) and OH (adsorbed) species. CO desorption took place in step V (b) and subsequently, in step VI (b) water was produced through the reaction of adsorbed H and OH species. After the desorption of this water molecule, the oxygen vacancy and Ce<sup>3+</sup> sites were regenerated, to initiate a new redox cycle.

#### DFT Simulations to Understand SMSI and Reaction Mechanism.

To get further insight into the adsorption energies of the intermediates and the activation energy for the CO<sub>2</sub> reduction reaction, DFT calculations were performed to validate the experimentally observed SMSI and reaction mechanism (SI Appendix, Figs. S55–S95, Tables S7–S9, and Supplementary Note 16–18 and Datasets S1–S41). The STEM-EDX analysis revealed that the elemental ratio of Ni:Cu: Zn in the synthesized catalyst was approximately 3:1:0.7. To closely match this experimental ratio, we developed a DFT model of the trimetallic site with a Ni:Cu:Zn ratio of 3.33:1:0.67, formulated as Ni<sub>10</sub>Cu<sub>3</sub>Zn<sub>2</sub>. These models were chosen to accurately reflect the observed atomic composition. We employed this complex model to explore both the SMSI and the reaction mechanism. To understand the SMSI, we performed the Bader charge analysis of the ceriasupported trimetallic cluster and compared them with isolated Ni<sub>15</sub> and Ni<sub>10</sub>Cu<sub>3</sub>Zn<sub>2</sub> (SI Appendix, Fig. S55). The incorporation of the cluster on support led to charge exchange between them. This charge transfer between the cluster and the support was considered a key parameter to represent SMSI. The higher the exchange of charge between cluster and support, the stronger the metal-support interaction. The isolated monometallic and trimetallic clusters showed

zero charges, as expected. However, when incorporated on the support, a net positive charge of 0.967 |e| and 0.954 |e| was observed on Ni<sub>15</sub> and Ni<sub>10</sub>Cu<sub>3</sub>Zn<sub>2</sub> clusters, respectively (*SI Appendix*, Table S7).

Interestingly, the metal atoms at the cluster-ceria interface attained partial positive charge, pulling the surface oxygen atoms toward them whereas the Zn atom in the bulk gained a negative charge of -0.002 from surrounded Ni atoms (Fig. 6 A-D). This coincides with the XANES (Figs. 2 and 4) and EELS (Fig. 2) analysis indicating SMSI which restricted diffusion and sintering of the cluster. The interaction between cluster atoms and surface oxygen weakened the cerium-oxygen bond, leading to charge transfer to the cerium atom. This stabilizes the Ce atom, possibly triggering defect formation on the surface (98). This explains the higher concentration of defects on monometallic ceria than on trimetallic ceria, as observed in the XANES (Fig. 2) and XPS (SI Appendix, Figs. S29–S37) studies.

Additionally, we studied the CO<sub>2</sub> conversion mechanism on the Ni-Cu-Zn trimetallic slab by exploring the direct dissociation and hydrogenation (formate and COOH\*) pathways. Our DFT simulations showed that CO<sub>2</sub> preferred a direct dissociation with an activation barrier of 86.19 kJ mol<sup>-1</sup> instead of its hydrogenation to HCOO\* and COOH\* which showed the activation barrier of 92.86 kJ  $\mathrm{mol}^{-1}$  and 107.04 kJ  $\mathrm{mol}^{-1}$  respectively (Fig. 6E and SI Appendix, Table S9). To understand the effect of different metal interfaces, we also considered the different metal atom combinations i.e., Ni-Ni, Ni-Cu, and Ni-Zn for CO2 direct dissociation and hydrogenation pathways on respective surfaces (SI Appendix, Figs. S62–S71 and S76–S87). The Ni-Ni and Ni-Cu sites exhibited

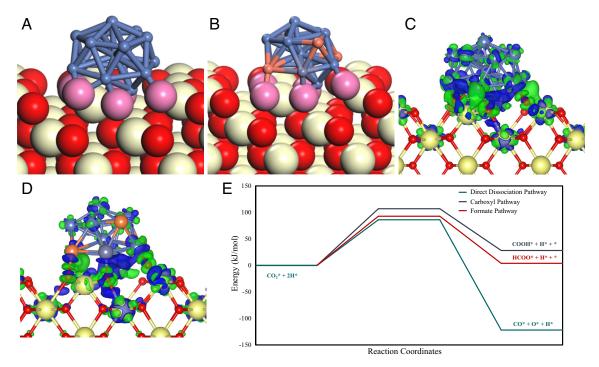


Fig. 6. Interface atoms interaction and reaction energy diagram. Interface oxygen atoms stretching toward metal cluster atoms showing partial oxidation of interface cluster metal atoms; (A) Side view of Ni<sub>15</sub> on ceria, (B) Side view of Ni<sub>15</sub> Cu<sub>3</sub>Zn<sub>2</sub> on ceria; Electron density difference plots for (C) Ni and (D) Ni<sub>15</sub>Cu<sub>3</sub>Zn<sub>2</sub>, on CeO<sub>2</sub> where green contours represent charge accumulations and blue contours denote charge depressions. The pink ball represents stretched oxygen atoms and the yellow, red, blue, orange, and black balls represent Ce, O, Ni, Cu, and Zn atoms, respectively; (E) The energy profile for CO2 dissociation into CO and O on Ni-Cu-Zn.

almost similar activation barriers for direct dissociation of CO<sub>2</sub>. However, the formation of HCOO\* and COOH\* observed a significantly larger difference of 20 kJ mol<sup>-1</sup> in the activation barrier on these interfaces (SI Appendix, Figs. S76 and S77 and Table S9).

We also compared the CO<sub>2</sub> direct dissociation on Ni-Cu-Zn trimetallic surface with the CeO<sub>2</sub>, monometallic Ni, Cu, and bimetallic Ni-Cu systems. CO<sub>2</sub> exhibited stronger bonding on the CeO<sub>2</sub> surface (binding energy of -109.8 kJ mol<sup>-1</sup>) compared to the Ni-Cu-Zn slab (binding energy of -24 kJ mol<sup>-1</sup>), possibly due to a strong CO<sub>2</sub> oxygen vacancy interaction on the reduced ceria surface (99). CO<sub>2</sub> dissociation on  $CeO_2$  was highly endothermic ( $\Delta E_{rxn} = 259.2 \text{ kJ}$ mol<sup>-1</sup>), suggesting that the activation barrier was even higher, posing a kinetic challenge for CO<sub>2</sub> dissociation on the ceria surface (97). In contrast, the activation barrier for CO<sub>2</sub> dissociation on the Ni-Cu-Zn trimetallic system was substantially lower at 86.19 kJ mol<sup>-1</sup>. This notable difference in the activation barrier between Ni-Cu-Zn and CeO<sub>2</sub> (110) surfaces explains the higher CO<sub>2</sub> dissociation kinetics observed experimentally on the trimetallic cluster. Similarly, monometallic Cu showed a significantly higher activation barrier of 149.52 kJ mol<sup>-1</sup>, which could be a reason for the poor performance of monometallic Cu. Contrary to this, direct dissociation of CO<sub>2</sub> on monometallic Ni and bimetallic Ni-Cu system reflected a lower activation barrier of 47.06 kJ mol<sup>-1</sup> and 75.57 kJ mol<sup>-1</sup>, respectively, indicating easier CO formation. These findings contradict the experimental observation of lower CO production rate on these surfaces (lowest on Ni-Cu surface at Ni-Ni interphase). To explain this discrepancy, we further investigated CO-binding energies on these catalyst surfaces.

Our DFT simulations revealed that CO binds more strongly on Ni and Ni-Cu surfaces than on Ni-Cu-Zn making CO desorption challenging on monometallic and bimetallic surfaces (SI Appendix, Table S8). It was observed that CO prefers the hollow sites on these surfaces (SI Appendix, Figs. S88–S95). However, when adsorbed at the hollow site of Ni, Cu, and Zn atom conjecture, CO migrated atop of Ni atom forming a Ni<sup>0</sup>-CO bond as showcased by in situ FTIR analysis (SI Appendix, Fig. S80). This linear attachment reported a CO binding energy of -175.90 kJ mol<sup>-1</sup>, the lowest among mono-, bi- and, trimetallic systems, indicating easier desorption of CO from the surface, thereby avoiding the subsequent reactions leading other C<sub>1</sub> product formation (SI Appendix, Figs. S88-S95 and Table S8). The higher CO production rate observed in experimental studies was attributed to this special synergy of Ni, Cu, and Zn elements in the catalytic system.

#### **Conclusions**

This work introduces an innovative ceria (CeO<sub>2</sub>)-based, electronically tuned trimetallic catalyst for the efficient conversion of CO<sub>2</sub> to CO. The strategic incorporation of a trimetallic alloy comprising Ni, Cu, and Zn active sites, interfaced with defect-rich ceria supports harnessed SMSI and achieved an optimal distribution of electron density across the catalyst surface. The Ni-Cu-Zn/CeO2 catalyst sets a new benchmark in  $CO_2$  conversion efficiency exhibiting a CO production rate of 49,279 mmol  $g^{-1} h^{-1}$  at 650 °C. This performance was coupled with good CO selectivity and catalyst stability.

Through an array of sophisticated techniques including in situ EELS, and in situ XANES, a mechanistic insight into the formation and role of defect sites (Ce<sup>3+</sup> and O-vacancies) in tuning SMSI was achieved. It revealed the interaction dynamics among CeO2, its defects, and trimetallic NPs, showing notable shifts in oxidation states and electron density distribution. These investigations emphasized the essential role of electronic structure adjustments via SMSI in enhancing the catalyst's performance. In situ TEM imaging during catalytic conditions visualized real-time movement and growth of active trimetallic sites. Once SMSI was established, even at high temperatures, diffusion and sintering ceased completely.

The in situ FTIR study indicated a complex interplay between the direct dissociation of CO<sub>2</sub> to CO on Ni sites and the redox

reduction pathway followed by CO<sub>2</sub> adsorbed on ceria O vacancies mediated by hydrogen. This intricate mechanism was facilitated by the distinct active sites present on the catalyst surface, highlighting the nuanced interaction between multimetallic systems and defect sites. Alloying Ni with Cu enhanced the CO selectivity and stability due to the ensemble effect while doped Zn species promoted CO<sub>2</sub> adsorption and activation while tuning electron density on Ni sites. The incorporation of Cu and Zn also helped in tuning the electron density on Ni enhancing the preferential CO desorption which was further corroborated by DFT studies. The O atoms oxidize the eliminated hydrogen to form H<sub>2</sub>O or combust coke on the alloy surface or neighboring CeO<sub>2</sub> sites.

Thus, the unique symphony between the defective ceria support and the trimetallic nanoparticles fosters an environment conducive to enhanced catalytic performance.

**Experimental and Computational Details.** Experimental details for the synthesis of various catalysts, their characterizations, SEM, TEM, PXRD, XPS, N<sub>2</sub> sorption, TGA, Raman, in situ (XANES, EELS, and FTIR) studies, and catalytic CO<sub>2</sub> reduction are provided in *SI Appendix*. All the periodic DFT simulations were performed in the Vienna Ab initio simulation package and details are provided in *SI Appendix*.

Data, Materials, and Software Availability. Information includes experimental details for the synthesis of catalysts, catalysts characterizations, in-situ studies, catalysis experimental, reactor schematic, computational details, and structural coordinates of different models [SI Appendix, Schemes S1–S4, Figs. S1–S95, Supplementary Notes 1–18 and Tables S1–S9, DFT Coordinates file (Datasets S1–S41) and in situ Movies S1–S4]. All study data are included in the article and/or supporting information.

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