

# Comparison of measured and modeled stratospheric UV/visible actinic fluxes at large solar zenith angles

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**Abstract.** Measured and modeled stratospheric filter sensitivity weighted ultraviolet/visible (UV/vis) actinic fluxes - approximating the NO<sub>2</sub> photolysis rate coefficients ( $j_{\text{NO}_2}$ ) - are compared. The measurements were performed with two calibrated 2 $\pi$ -actinometers assembled on the azimuth angle-controlled LPMA/DOAS (Laboratoire de Physique Moléculaire et Applications / Differential Optical Absorption Spectroscopy) gondola during a series of balloon flights. Since the actinometer's spectral sensitivity curve did not exactly match the actinic spectrum of NO<sub>2</sub> and the skylight's spectrum shape changes with atmospheric height and solar illumination, only proxies (proxy-) $j_{\text{NO}_2}$  rather than true  $j_{\text{NO}_2}$  values were monitored during balloon ascents (0-30 km) for solar zenith angle (SZA) 75° < SZA < 86°, and at balloon float altitude during solar occultation (86° < SZA < 95°). The measured direct and diffuse total proxy- $j_{\text{NO}_2}$  values compare excellently with radiative transfer (RT) modeling. That finding allows us to rule out uncertainties in computing UV/vis actinic fluxes as a significant factor in the still insufficient modeling of stratospheric NO<sub>2</sub> at large SZAs.

## 1. Introduction

The photolysis of NO<sub>2</sub> ( $j_{\text{NO}_2}$ ) is an important factor controlling the partitioning of stratospheric NO<sub>x</sub> (NO, NO<sub>2</sub>, and NO<sub>3</sub>) through the steady state ratio between NO and NO<sub>2</sub> at daytime. Since  $j_{\text{NO}_2}$  modulates the daytime stratospheric NO<sub>2</sub> concentration, it indirectly affects the rate of HNO<sub>3</sub> formation via the reaction NO<sub>2</sub>+OH+M → HNO<sub>3</sub>+M, and thus the stratospheric NO<sub>x</sub> to NO<sub>y</sub> ratio. Recent studies indicate that

modeled stratospheric NO<sub>x</sub>/NO<sub>y</sub> ratios may still fall short even by including the recently updated rate reaction coefficients for the NO<sub>2</sub>+OH, and HNO<sub>3</sub>+OH reactions in the interpretation of the ER-2 measurements at 20 km for SZAs < 85° [e.g., *Brown et al.*, 1999; *Gao et al.*, 1999; *DelNegro et al.*, 1999]. In addition, recent investigations showed that for the Arctic winter inferred stratospheric ozone losses (i.e., at large SZAs) are underestimated by stratospheric CTM's [e.g., *Becker et al.*, 2000]. One possible speculation is based on a consideration whether photochemical models tend to underpredict systematically  $j$ -values at large SZAs [Markus Rex, priv. comm., 2000].

In order to further investigate the stratospheric NO<sub>x</sub> and NO<sub>y</sub> chemistry for large SZAs, we will compare modeled and measured profiles from 8 LPMA/DOAS balloon flights of O<sub>3</sub> measured in the UV, visible, and IR channels, NO (IR), NO<sub>2</sub> (UV, visible, and IR channel), ClONO<sub>2</sub> (in the IR) and HNO<sub>3</sub> (in the IR) in future publications. The comparisons show some striking discrepancies between measurements and 3D CTM SLIMCAT modeling [e.g., Chipperfield, 1999] for large SZAs (~90°), which can not be easily reconciled to uncertainties existing in the adopted stratospheric NO<sub>x</sub>/NO<sub>y</sub> photochemistry.

As a first step for unraveling possible causes, we show here that the measured UV/vis actinic fluxes nicely compare with those adopted in the 3D CTM SLIMCAT photochemical model. Since many more measurements are compared with SLIMCAT simulations - including in part those which claim underpredictions of modeled to measured ozone loss rates for high latitude in winter - it is particularly worthwhile to validate SLIMCAT's RT code for large SZAs. Accordingly, we report on a comparison of stratospheric proxy- $j_{\text{NO}_2}$  values measured during two balloon flights with RT calculations using two kinds of models.

## 2. Methodology

Actinic fluxes were measured during 2 LPMA/DOAS balloon flights from León/Spain (42.6° N, 5.7° W) on Nov. 23, 1996, and Esrange/Sweden (67.9° N, 21.1° E) on Feb. 14, 1997. Both flights were conducted under clear skies, however, with a widely differing ground albedo ( $A$ ). The two filter actinometers assembled on the azimuth angle-controlled LPMA/DOAS balloon gondola were technically similar to those developed by Junkermann et al. [1989] with improvements as given in Schiller et al. [1994]. The actinometers contain a special 2 $\pi$  viewing inlet device that collects the solar radiation from one hemisphere almost independent of the incident angle. Two actinometers of similar type were mounted in a way that each symmetry axis of the 2 $\pi$  inlet domes pointed to the horizon. One actinometer's symmetry axis (index 'for') was pointing to

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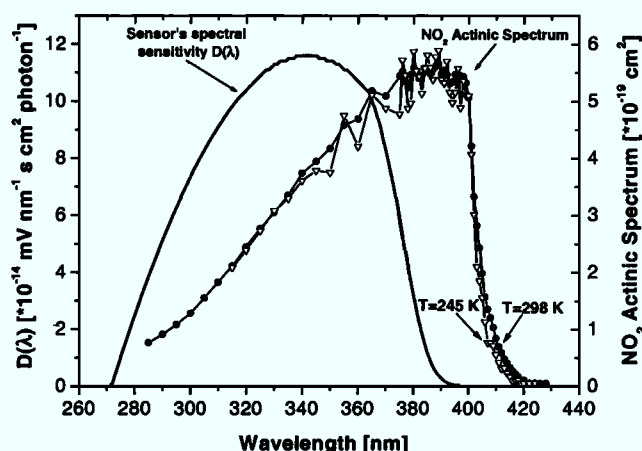
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**Figure 1.** Comparison of the actinic spectrum of  $\text{NO}_2$  and the spectral response of the actinometers. For the calculation of the actinic spectrum of  $\text{NO}_2$ , the quantum yield ( $\Phi$ ) of Roehl et al., [1994] and the  $\text{NO}_2$  ( $\sigma_{\text{NO}_2}$ ) absorption cross section of Davidson et al., [1998] is used. The actinic spectrum of  $\text{NO}_2$  is calculated for  $T = 245 \text{ K}$  and  $298 \text{ K}$  (indicated by open triangles and filled circles, respectively).

the horizon directly into the Sun's azimuthal direction gathering the direct solar radiation ( $j_{\text{dir}}$ ) and the diffuse radiation ( $j_{\text{diff}}$ ) from that part of the hemisphere. The other actinometer (index 'back') was directed to the opposite hemisphere thus only detecting the  $j_{\text{diff}}$ .

The actinometer's spectral characteristics were fixed to the broad band transmission given by the spectral transmission of Schott UG-11 glasses and the photo tube's spectral sensitivity, that is, from 320 to 400 nm (Figure 1, left y-axis). For a direct comparison with the RT calculations, the absolute spectral sensitivity (units:  $\text{mV/nm}$  per photons/ $\text{cm}^2/\text{s}$ ) of each actinometer was determined in the laboratory with calibrated lamps (1000W, Gigahertz-Optik, type BN-9101-1-PTB) [Schiller et al., 1994]. For calibration, first the relative wavelength dependent spectral sensitivity was measured using a monochromator and a light source. The relative emission spectrum of the system monochromator light source was determined by a calibrated photo diode. In a second step, each actinometer was exposed to the broadband radiation of a calibrated tungsten halogen lamp connected to a processor controlled highly stabilized power supply. The lamps were calibrated by the supplier for several wavelengths traceable to standards of the National Institute for Standards and Technology (NIST) and of the German Physikalisch Technische Bundesanstalt (PTB). The absolute calibration was repeated after each balloon flight in order to correct for possible electronic drifts. The overall accuracy of the actinometer measurements in this wavelength region is about  $\pm 7\%$ .

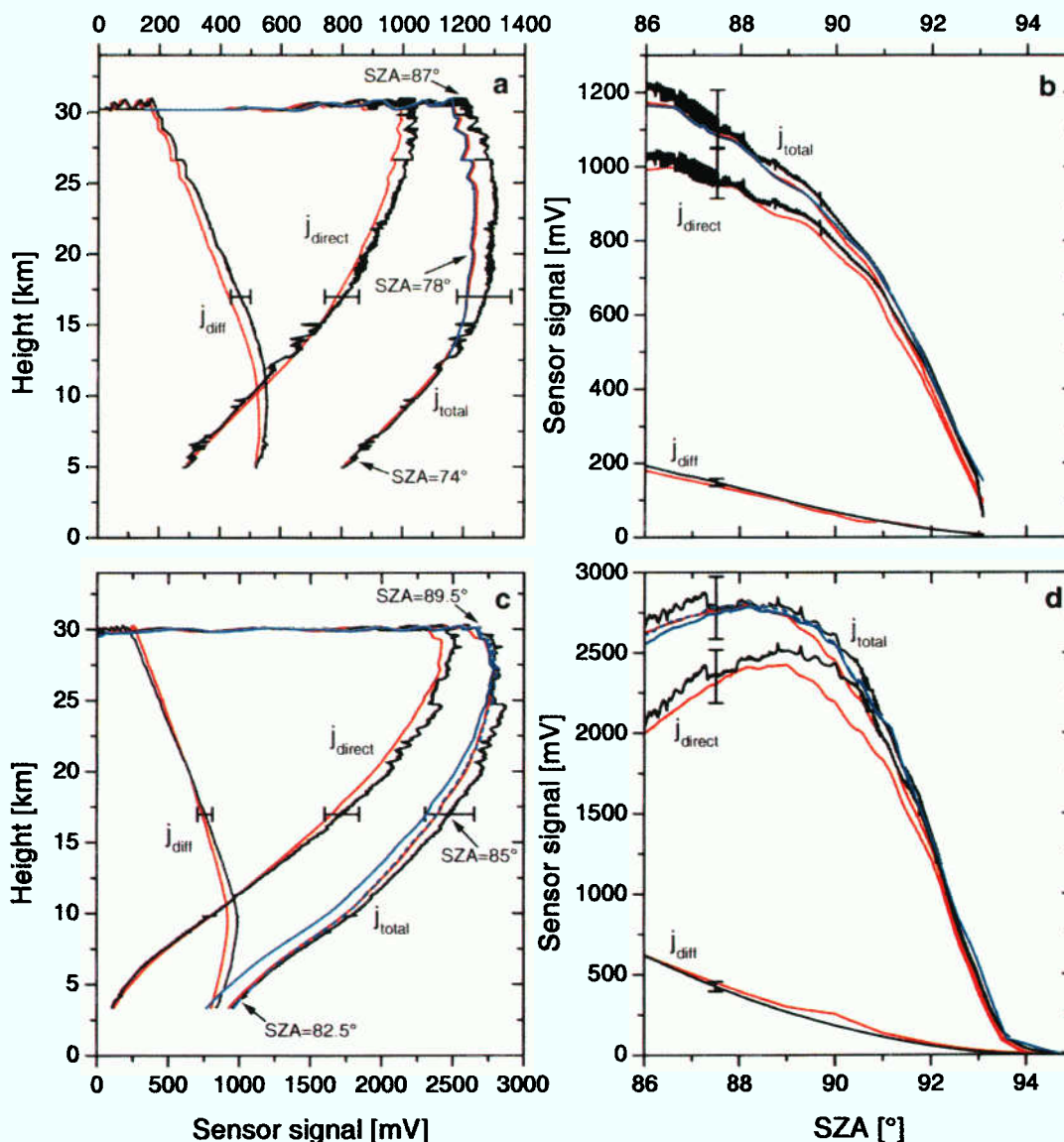
Since the actinometer's spectral sensitivities do not exactly match the actinic spectrum of  $\text{NO}_2$  (Figure 1, right y-axis), and the skylight spectrum shape changes with height and solar illumination, a fixed actinometer calibration with respect to  $j_{\text{NO}_2}$  does not exist. However, by knowing the spectrum's shape for each measurement, c.f., from RT modeling, and the sensor's calibration factors as well (see Figure 1 left y-axis), the measured proxy- $j_{\text{NO}_2}$  (in units of mV as given in Figure 2) can be directly converted into  $j_{\text{NO}_2}$  values, 'a posteriori'. Since the purpose of the present study was to test

the RT calculations rather than to infer  $j_{\text{NO}_2}$  values at large SZAs, the spectral sensitivity of each actinometer, rather than the actinic spectrum of  $\text{NO}_2$  was used in the RT calculations standing as a surrogate for  $j_{\text{NO}_2}$  (i.e., the proxy- $j_{\text{NO}_2}$ ) values.

By knowing the sensor's individually calibrated photoelectrical response ( $\alpha_{\text{for}}$  and  $\alpha_{\text{back}}$ , see below), the measured signals  $S_{\text{for}}$  and  $S_{\text{back}}$  allow us to infer (proxy- $j_{\text{diff}} = \alpha_{\text{back}} \times S_{\text{back}}$ ), and proxy- $j_{\text{dir}} = \alpha_{\text{for}} \times S_{\text{for}} - \alpha_{\text{back}} \times S_{\text{back}}$  assuming that proxy- $j_{\text{diff}}$  is the same for both monitored half hemispheres. The above assumption appears to be justified because the diffuse actinic flux in the stratosphere is clearly dominated by the diffuse and almost isotropic back reflection from the Earth's surface (or the cloud cover) and to a lesser extent by the more anisotropic Rayleigh- and Mie scattering of the clear sky atmosphere.

RT model 1 is based on the refined discrete ordinate algorithm (DISORT) [Kylling and Stamnes, 1992]. For the low Sun RT calculations, the system of discrete ordinate equations has been modified to incorporate the effect of a spherical shell and refractive atmosphere necessary for the direct beam and primary scattered skylight [Dahlbeck and Stamnes, 1991] since atmospheric refraction has shown to be very important for RT modeling for large SZA conditions [Anderson et al., 1986]. The spherical DISORT calculations (16 streams for 196 nm to 505 nm calculated in steps of 1 nm, 70 atmospheric layers of 1 km thickness) were based on the following parameters: the extraterrestrial solar flux from Woods et al. [1996]  $196 \text{ nm} \leq \lambda \leq 410 \text{ nm}$ ; atmospheric P's and T's, and the  $\text{O}_3$  profile were taken from nearby launched ECC sondes, and for  $z > 30 \text{ km}$  data from AFGL for mid-latitudes, and from Anderson et al. [1986] for the subarctic winter; the T-dependent  $\text{O}_3$  absorption cross section was taken from Molina and Molina [1986]; the Rayleigh scattering cross section from Nicolet [1984]; the wavelength dependent aerosol extinction  $\beta_{\text{aer}}$  profiles from SAGE II measurements (Michael Pitts, priv. comm.) for  $15 \text{ km} < z < 30 \text{ km}$ ; a Henyey-Greenstein phase function and an asymmetry parameter ( $g$ ) was taken from the MODTRAN optical data base as well as the standard aerosol profiles for  $z < 15 \text{ km}$ , but for  $z > 30 \text{ km}$  a standard aerosol profiles from Shettle [1989]; for the ground  $A$  (not measured directly) the following assumptions were made: for the León flight a mixture of vegetation and pasture with  $A=0.1$ , and for the Kiruna flight a snow covered ground providing  $A=0.7$ .

The RT model 2 is contained in the SLIMCAT 3D photochemical model. The model calculates the actinic fluxes with a scheme based on Lary and Pyle [1991], which in turn was based on Meier et al. [1982] and Nicolet et al. [1982]. The scheme treats the attenuation of the direct solar beam using full spherical, and refractive geometry for solar zenith angles up to  $96^\circ$ . Enhancement of the solar flux due to multiple scattering is included and is calculated using a plane-parallel atmosphere. In SLIMCAT actinic fluxes are precomputed in a four-dimensional look-up table which has coordinates of pressure altitude, temperature,  $\text{O}_3$  column and zenith angles. These tabulated actinic fluxes are interpolated to a particular location in the atmosphere and time. In this study we used local profiles of  $\text{O}_3$  and temperature from the appropriate balloon flight up to 30 km and 3D model values for the atmosphere above. The photochemical data used to calculate the  $j$ -values were taken from DeMore et al. [1997] and the solar fluxes from WMO [1985]. A fixed 'surface + cloud'  $A=0.3$  was used. In order to account for the larger  $A$  of



**Figure 2.** Upper two panels: Comparisons of measured (black lines) and modeled diffuse, direct, and proxy- $j_{\text{NO}_2}$  values (DISORT, red lines; LP-RT, blue line for  $A=0.3$ ), for the León balloon flight on Nov. 23, 1996. Lower two panels: the same but for the Kiruna balloon flight on Feb. 14, 1997 (LP-RT, blue line for  $A=0.3$ , and dashed blue line for  $A=0.7$ ) (left panel balloon ascent, right panel solar for solar occultation). The increase of proxy- $j_{\text{NO}_2}$  from  $\text{SZA}=86^\circ$  to  $89^\circ$  is due to the increase in the balloon flight altitude.

the snow covered ground at Kiruna, a model run with  $A=0.7$  was performed in addition.

### 3. Results and Discussion

Figure 2 compares the measured and modeled proxy  $j_{\text{NO}_2}$  values. Though the ground  $A$  was different during both flights, it is remarkable that, within the stated experimental errors, the measurements and both RT model calculations agree well for all modes of observation (balloon ascent and solar occultation). This agreement is perhaps less surprising for the DISORT calculations, since more parameters of the individual measurement (e.g., cloud cover, the meteorological parameters and aerosol content) were included than the assumptions (fixed surface and ground  $A$  of 0.3 and 0.7, respectively) made in the LP-RT calculations. Obviously, a large ground or tropospheric cloud  $A$  significantly increases the calculated stratospheric actinic

fluxes at  $\text{SZA} < 90^\circ$ , as demonstrated here (Figure 2, panel c), or by Madronich et al. [1987]. The upwelling diffuse actinic flux reflected from clouds or the ground adds considerably to the downwelling direct solar actinic flux which usually dominates in the stratosphere (the enhancement being roughly  $2 \times A$  times the direct solar flux incident on the ground or on the cloud top [Madronich et al., 1997]). Note that the SLIMCAT LP-RT shows better agreement in the range of 5 - 25 km at high latitudes (Figure 2c) when a more realistic of  $A = 0.7$  is assumed. For computational efficiency, a single value is used to set up the photolysis look-up table which, by default, is 0.3. Using a larger  $A$  at high latitudes would slightly improve the calculation of proxy- $j_{\text{NO}_2}$ .

At large  $\text{SZA}$ 's ( $>90^\circ$ ), however, a large ground or cloud albedo appears not to be very influential on actinic fluxes in the stratosphere, as the lower parts of the atmosphere and the ground reside in the shadow of



the Earth in this case. Then the stratospheric photolysis is almost completely dominated by the direct solar beam, and the diffuse contribution, primarily due to scattering from the atmosphere (or the ground) below, also becomes low (Figure 2, panels b, and d). Indeed, more detailed computations by Swartz et al. [1999] have shown a 30% increase (at most) of the actinic fluxes at 20 km and a  $\text{SZA}=95^\circ$  when the ground albedo is increased from 0 to 1. Tropospheric clouds, however, may also have a considerable influence on the actinic fluxes in the stratosphere for large SZA's, just by obscuring the direct solar beam as the Sun sets.

Finally, we note that our measured proxy- $j_{\text{NO}_2}$  values can be directly related to  $j_{\text{NO}_2}$  (within 10%) using the following calibration factors:  $7.4 \times 10^{-4}$ /s/100 mV at  $\text{SZA}=75^\circ$  for the León flight, and  $2.86 \times 10^{-4}$ /s/100 mV at  $\text{SZA}=83^\circ$  for the Kiruna flight, respectively. Though the varying importance of Rayleigh scattering and the absorption of ozone may modify somewhat differently the relative contributions of the direct and diffuse actinic fluxes as well as the shape of the spectrum. In practice these calibration factors are accurate to within  $\pm 15\%$  for each of the balloon flights.

#### 4. Summary and Conclusion

Measured and modeled stratospheric proxy- $j_{\text{NO}_2}$  (using two independent RT codes) were compared for two completely different geophysical conditions: 1. at mid-latitude and clear sky with low ground albedo, and 2. at high latitudes in winter under clear sky with a snow covered ground providing a large albedo. Overall, the measurements and the RT model calculations agree reasonably and within the stated error bars of the measurements ( $\pm 7\%$ ) for all the diffuse, direct, and total proxy- $j_{\text{NO}_2}$  values up to SZAs of  $94^\circ$ . So as long as the RT code employs realistic parameters (e.g., albedo ( $A$ )), errors of the RT models in calculating stratospheric UV actinic fluxes can be ruled out as considerable source of uncertainty in modeling correctly the stratospheric  $\text{NO}_x$  and  $\text{NO}_y$  partitioning, or even the ozone loss rates at very large SZAs.

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