

How to extract the ΛN scattering length from production reactions

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A dispersion integral is derived that allows one to relate directly (spin-dependent) ΛN invariant mass spectra, measured in a large-momentum transfer reaction such as $pp \rightarrow K^+ p \Lambda$ or $\gamma d \rightarrow K^+ n \Lambda$, to the scattering length for elastic ΛN scattering. The involved systematic uncertainties are estimated and could be 0.3 fm or even smaller. This estimate is confirmed by comparing results of the proposed formalism with those of microscopic model calculations. We also show, for the specific reaction $pp \rightarrow K^+ \Lambda p$, how polarization observables can be used to separate the two spin states of the ΛN system.

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I. INTRODUCTION

Nucleons and hyperons form a flavor-SU(3) octet. The underlying SU(3) symmetry is clearly broken, as is evidenced by the mass splittings within the members of the octet. This symmetry breaking can be well accounted for with relations such as the Gell-Mann–Okubo formula. The interesting question is, however, whether there is also a dynamical breaking of the SU(3) symmetry, besides these obvious “kinematic” effects.

The nucleon-nucleon (NN) interaction has been studied in great detail for many decades and we have a good understanding of this system at energies even beyond the pion production threshold. On the other hand, very little is known about the dynamics involving the other members of the octet. Because of that it has become common practice in studies of hyperon physics to assume *a priori* SU(3) flavor symmetry. Specifically, in meson exchange models of the hyperon-nucleon (YN) interaction such symmetry requirements provide relations between coupling constants of mesons of a given multiplet to the baryon current, which greatly reduce the number of free model parameters. Then coupling constants at the strange vertices are connected to nucleon-nucleon-meson coupling constants, which in turn are constrained by the wealth of empirical information on NN scattering [1–6]. The scarce and not very accurate data set available so far for elastic YN scattering [7–9] seems to be indeed consistent with the assumption of SU(3) symmetry. Unfortunately, the short lifetime of the hyperons hinders high precision scattering experiments at low energies and, therefore, has so far precluded a more thorough test of the validity of SU(3) flavor symmetry.

The poor status of our information on the YN interaction is most obviously reflected in the present knowledge of the ΛN scattering lengths. Attempts in 1960s to pin down the low energy parameters for the S waves led to results that were afflicted by rather large uncertainties [7,10]. In Ref. [10] the following values are given for the singlet scattering length a_s and the triplet scattering length a_t :

$$a_s = -1.8 \begin{cases} +2.3 \text{ fm} \\ -4.2 \text{ fm} \end{cases} \quad \text{and} \quad a_t = -1.6 \begin{cases} +1.1 \text{ fm} \\ -0.8 \text{ fm} \end{cases} \quad (1)$$

where the errors are strongly correlated. The situation of the corresponding effective ranges is even worse: for both spin states values between 0 and 16 fm are allowed by the data. Later, the application of microscopic models for the extrapolation of the data to the threshold was hardly more successful. For example, in Ref. [5] one can find six different models that equally well describe the available data but whose (S -wave) scattering lengths range from -0.7 to -2.6 fm in the singlet channel and from -1.7 to -2.15 fm in the triplet channel.

A natural alternative to scattering experiments is study of production reactions. In Ref. [11] it was suggested to use the reaction $K^- d \rightarrow n \Lambda \gamma$, where the initial state is in an atomic bound state, to determine the ΛN scattering lengths. From the experimental side so far, a feasibility study was performed which demonstrated that a separation of background and signal is possible [12]. The reaction $K^- d \rightarrow n \Lambda \gamma$ was studied theoretically in more detail in Refs. [13–15]. The main results especially of the last work are that it is indeed possible to use the radiative K^- capture to extract the ΛN scattering lengths and that polarization observables could be used to disentangle the different spin states. In that paper it was also shown, however, that to some extent the extraction is sensitive to the short-range behavior of the YN interaction. The reaction $K^- d \rightarrow \pi^- p \Lambda$ was analyzed in Ref. [16], leading to a scattering length of -2 ± 0.5 fm via fitting the invariant mass distribution to an effective range expansion—the author argued that this value is to be interpreted as the spin-triplet scattering length. It is difficult to estimate the theoretical uncertainty and the error given is that of the experiment only.

In the present paper we argue that large-momentum transfer reactions such as $pp \rightarrow K^+ p \Lambda$ [17–19] or $\gamma d \rightarrow K^+ n \Lambda$ [20–25] might be the best candidates for extracting information about the ΛN scattering lengths. In reactions with large-momentum transfer the production process is necessarily of short-ranged nature. As a consequence the results are basi-

cally insensitive to details of the production mechanism and therefore a reliable error estimation is possible. In Ref. [19] the reaction $pp \rightarrow K^+ p \Lambda$ at low excess energies was already used to determine the low energy parameters of the ΛN interaction. The authors extracted an average value of -2 ± 0.2 fm for the ΛN scattering length in an analysis that utilizes the effective range expansion. But also this work has some drawbacks. First, again the given error is statistical only. More serious, however, is the use of the effective range expansion. As we will show below this is only appropriate for systems in which the scattering length is significantly larger than the effective range. In addition, using this procedure one encounters strong correlations between the effective range parameters a and r that can only be disentangled by including other data, e.g., ΛN elastic cross sections, into the analysis [19].

In this paper we propose a method that allows extraction of the YN scattering lengths from the production data directly. In particular, we derive an integral representation for the ΛN scattering lengths in terms of a differential cross section of reactions with large-momentum transfer such as $pp \rightarrow K^+ p \Lambda$ or $\gamma d \rightarrow K^+ n \Lambda$. It reads

$$a_S = \lim_{m^2 \rightarrow m_0^2} \frac{1}{2\pi} \left(\frac{m_\Lambda + m_N}{\sqrt{m_\Lambda m_N}} \right) \mathbf{P} \int_{m_0^2}^{m_{\max}^2} dm'^2 \sqrt{\frac{m_{\max}^2 - m^2}{m_{\max}^2 - m'^2}} \times \frac{1}{\sqrt{m'^2 - m_0^2} (m'^2 - m^2)} \ln \left\{ \frac{1}{p'} \left(\frac{d^2 \sigma_S}{dm'^2 dt} \right) \right\}, \quad (2)$$

where σ_S denotes the spin cross section for the production of a Λ -nucleon pair with invariant mass m'^2 —corresponding to a relative momentum p' —and total spin S . In addition $t = (p_1 - p_{K^+})^2$, with p_1 being the beam momentum, $m_0^2 = (m_\Lambda + m_N)^2$, where m_Λ (m_N) denotes the mass of the Lambda hyperon (nucleon), and $m_{\max}$ is some suitably chosen cutoff in the mass integration. We will argue below that it is sufficient to include relative energies of the final ΛN system of at most 40 MeV in the range of integration to get accurate results. $\mathbf{P}$ denotes that the principal value of the integral is to be used and the limit has to be taken from above. This formula should enable determination of the scattering lengths to a theoretical uncertainty of at most 0.3 fm, subject to possible but controllable influences of the final-state interactions in the KN and $K\Lambda$ systems. Note that, due to recent progress in accelerator technology, the differential cross section required can be measured to high accuracy even for small ΛN energies.

The method we propose is applicable to all large-momentum transfer reactions, as long as the final ΛN system is dominated by a single partial wave. Evidently, the number of contributing partial waves is already strongly reduced by the kinematical requirements specified above. To be concrete, we do not expect that P or higher partial waves are of relevance for ΛN energies below the mentioned 40 MeV. However, in an unpolarized measurement both the spin-triplet (3S_1) as well as the spin-singlet (1S_0) final states can contribute with *a priori* unknown relative weight. Fortunately polarization observables allow one to disentangle

independently the different spin states. In Appendix B we demonstrate this for the specific reaction $pp \rightarrow p \Lambda K^+$.

II. FORMALISM

A. The enhancement factor

A standard method to calculate the effect of a particular final-state interaction is that of dispersion relations [26]. The production amplitude A depends on the total energy squared $s = (p_1 + p_2)^2$, the invariant mass squared of the outgoing Λp system $m^2 = (p_N + p_\Lambda)^2$, and the momentum transfer t defined above. The dispersion relation for A at fixed s and t and a specific partial wave S takes the form

$$A_S(s, t, m^2) = \frac{1}{\pi} \int_{-\infty}^{\tilde{m}^2} \frac{D_S(s, t, m'^2)}{m'^2 - m^2} dm'^2 + \frac{1}{\pi} \int_{m_0^2}^{\infty} \frac{D_S(s, t, m'^2)}{m'^2 - m^2} dm'^2, \quad (3)$$

where $m^2 = \tilde{m}^2$ is the left-hand singularity closest to the physical region and

$$D_S(s, t, m^2) = \frac{1}{2i} [A_S(s, t, m^2 + i0) - A_S(s, t, m^2 - i0)]. \quad (4)$$

Here S stands for the set of quantum numbers that characterize the production amplitude A projected on the hyperon-nucleon partial waves. Since we restrict ourselves to s waves in the YN system, S corresponds directly to the total spin of the YN system. In order to simplify the notation we will omit the spin index in the following.

The integrals in Eq. (3) represent the contributions from the left-hand and right-hand cuts. In particular, the second integral receives contributions from the various possible final-state interactions, namely, ΛK , NK , as well as ΛN . The first two can be suppressed by choosing the reaction kinematics properly and thus we may neglect them for the moment—we come back to their possible influence below—to get, for $m^2 > m_0^2$,

$$D(s, t, m^2) = A(s, t, m^2) e^{-i\delta} \sin \delta, \quad (5)$$

where δ is the elastic ΛN (1S_0 or 3S_1) scattering phase shift.¹

The solution of Eq. (3) in the physical region becomes (see Refs. [27–29])

$$A(s, t, m^2) = e^{u(m^2 + i0)} \frac{1}{\pi} \int_{-\infty}^{\tilde{m}^2} \frac{dm'^2 D(s, t, m'^2)}{m'^2 - m^2} e^{-u(m'^2)}, \quad (6)$$

where, in the absence of bound states,

¹Obviously this expression holds only for those values of m^2 that are below the first inelastic threshold. We will ignore this here and come back to the role of the inelastic channels later.

$$u(z) = \frac{1}{\pi} \int_{m_0^2}^{\infty} \frac{\delta(m'^2)}{m'^2 - z} dm'^2. \quad (7)$$

In a large-momentum transfer reaction, the only piece with a strong dependence on m^2 is given by the exponential factor in front of the integral in Eq. (6). We may thus define

$$A(s, t, m^2) = \exp \left[\frac{1}{\pi} \int_{m_0^2}^{\infty} \frac{\delta(m'^2)}{m'^2 - m^2 - i0} dm'^2 \right] \Phi(s, t, m^2), \quad (8)$$

where $\Phi(s, t, m^2)$ is a slowly varying function of m^2 . Henceforth we suppress the dependence of the amplitude on s and t in our notation. In the literature $A(m^2)$ is known as the enhancement factor [26].

It is interesting to investigate the form of $A(m^2)$ for phase shifts that are given by the first two terms in the effective range expansion,

$$p' \cot(\delta(m^2)) = -1/a + (1/2)rp'^2 \quad (9)$$

over the whole energy range. Here p' is the relative momentum of the final-state particles under consideration in their center-of-mass system. $A(m^2)$ can then be given in closed form as [26]

$$A(m^2) = \frac{(p'^2 + \alpha^2)r/2}{-1/a + (r/2)p'^2 - ip'} \Phi(m^2), \quad (10)$$

where $\alpha = 1/r(1 + \sqrt{1 - 2r/a})$. Note that for $a \gg r$, as is almost realized in the 1S_0 partial wave of the pp system, the energy dependence of $A(m^2)$ is given by $1/(1 + iap')$ as long as $p' \ll 1/r$. This, however, is identical with the energy dependence of pp elastic scattering (if we disregard the effect of the Coulomb interaction). Therefore one expects that, at least for small kinetic energies, pp elastic scattering and meson production in NN collisions with a pp final state exhibit the same energy dependence [26,30], which indeed was experimentally confirmed by the measurements of the reaction $pp \rightarrow pp\pi^0$ [31] close to threshold.

The situation is different, however, for interactions where the scattering length is of the same order as the effective range, because then the numerator of Eq. (10) introduces a non-negligible momentum dependence. This observation suggests that a large theoretical uncertainty is to be assigned to the scattering length extracted in Ref. [19]. If, furthermore, even higher-order terms in the effective range expansion are necessary to describe accurately the phase shifts, as might be the case, e.g., for the YN interaction [15], no closed form expression can be given anymore for $A(m^2)$. Thus one would have to evaluate numerically the integral given in Eq. (7) for specific models and then compare the result to the data. This procedure is not very transparent. Therefore we propose another approach to be outlined in the following section. Starting from Eq. (8) we demonstrate that the scattering length can be extracted from the data directly. Furthermore we show how—at the same time—an estimate of the theoretical uncertainty in the extraction can be obtained.

B. Extraction of the scattering length

Our aim is to establish a formalism that facilitates the extraction of the ΛN scattering length from experimental information on the invariant mass distribution. To do so we derive a dispersion relation for $|A(m^2)|^2$. We will use a method similar to the one utilized in Refs. [32,33]. First, notice that—by construction—the function

$$\frac{\ln\{A(m^2)/\Phi(m^2)\}}{\sqrt{m^2 - m_0^2}} = \frac{1}{\sqrt{m^2 - m_0^2}} \frac{1}{\pi} \int_{m_0^2}^{\infty} \frac{\delta(m'^2)}{m'^2 - m^2 - i0} dm'^2 \quad (11)$$

has no singularities on the physical sheet except for the cut from m_0^2 to ∞ . In addition, its value below the cut is equal to the negative of the complex conjugate of the corresponding value above the cut. Therefore, from Cauchy's theorem we get [c.f. Fig. 1(a)]:

$$\frac{\ln\{A(m^2)/\Phi(m^2)\}}{\sqrt{m^2 - m_0^2}} = \frac{1}{2\pi i} \int_{m_0^2}^{\infty} \frac{\ln|A(m'^2)/\Phi(m'^2)|^2}{\sqrt{m'^2 - m_0^2}(m'^2 - m^2 - i0)} dm'^2. \quad (12)$$

Taking the imaginary parts of Eqs. (11) and (12) one gets

$$\frac{\delta(m^2)}{\sqrt{m^2 - m_0^2}} = -\frac{1}{2\pi} \mathbf{P} \int_{m_0^2}^{\infty} \frac{\ln|A(m'^2)/\Phi(m'^2)|^2}{\sqrt{m'^2 - m_0^2}(m'^2 - m^2)} dm'^2. \quad (13)$$

Note that for small ΛN kinetic energies we may write $m^2 = m_0^2 + m_0 p'^2/\mu$, where $\mu = m_\Lambda m_N/(m_\Lambda + m_N)$. Thus, for small invariant masses, $\sqrt{m^2 - m_0^2} \propto p'$, which clearly demonstrates that the left-hand side of Eq. (13) converges to the (negative of the) scattering length times $\sqrt{\mu/m_0}$ for $m^2 \rightarrow m_0^2$.

The integral in Eq. (13) depends on the behavior of $A(m'^2)$ for large m'^2 which is not accessible experimentally. Therefore, in practice, the integration in Eq. (13) has to be restricted to a finite range. In fact, a truncation of the integrals is required anyway because in our formalism we do not take into account explicitly (and we do not want to) the complexities arising from further right-hand cuts such as those caused by the opening of the ΣN , $\pi \Lambda N$, etc., channels. Thus, let us go back to the definition of A in Eq. (8). A significant contribution of the integral there stems from large values of m'^2 . Those contributions depend only weakly on m^2 , at least for m^2 values in the region close to threshold. Therefore, they can be also absorbed into the function Φ defined in Eq. (8). Consequently, we can write

$$A(m^2) = \exp \left[\frac{1}{\pi} \int_{m_0^2}^{m_{max}^2} \frac{\delta(m'^2)}{m'^2 - m^2 - i0} dm'^2 \right] \tilde{\Phi}(m_{max}^2, m^2), \quad (14)$$

where $\tilde{\Phi}(m_{max}^2, m^2) = \Phi(m^2)\Phi_{m_{max}^2}(m^2)$ with

$$\Phi_{m_{\max}^2}(m^2) = \exp \left[\frac{1}{\pi} \int_{m_0^2}^{\infty} \frac{\delta(m'^2)}{m'^2 - m^2 - i0} dm'^2 \right]. \quad (15)$$

The quantity $m_{\max}^2$ is to be chosen by physical arguments in such a way that both $\Phi(m^2)$ and $\Phi_{m_{\max}^2}(m^2)$ vary slowly over the interval $(m_0^2, m_{\max}^2)$. In particular, it is crucial that it can be chosen to be smaller than the invariant mass corresponding to the ΣN threshold. Note also that, strictly speaking, Eq. (15) is not valid anymore in the presence of

inelastic channels. Rather one should view Eq. (14) as the actual definition of $\tilde{\Phi}$. But we will still use Eq. (15) later to estimate the uncertainty of Eq. (2).

We should mention that the integral in Eq. (14) contains an unphysical singularity of the type $\ln(m_{\max}^2 - m^2)$ —which is canceled by a corresponding singularity in $\tilde{\Phi}(m_{\max}^2, m^2)$ —but this again does not affect the region near threshold.

The method of reconstruction of $\delta(m^2)$ is similar to the one used for the infinite range integration. The function

$$\frac{\ln\{A(m^2)/\tilde{\Phi}(m_{\max}^2, m^2)\}}{\sqrt{(m^2 - m_0^2)(m_{\max}^2 - m^2)}} = \frac{1}{\sqrt{(m^2 - m_0^2)(m_{\max}^2 - m^2)}} \times \frac{1}{\pi} \int_{m_0^2}^{m_{\max}^2} \frac{\delta(m'^2)}{m'^2 - m^2 - i0} dm'^2 \quad (16)$$

has no singularities in the complex plane except the cut from m_0^2 to $m_{\max}^2$. Again, its value below the cut is equal to the negative of the complex conjugate of its value above the cut. Hence, applying Cauchy's theorem, one gets [c.f. Fig. 1(b)]:

$$\frac{\ln\{A(m^2)/\tilde{\Phi}(m_{\max}^2, m^2)\}}{\sqrt{(m^2 - m_0^2)(m_{\max}^2 - m^2)}} = \frac{1}{2\pi i} \int_{m_0^2}^{m_{\max}^2} \frac{\ln|A(m'^2)/\tilde{\Phi}(m_{\max}^2, m'^2)|^2}{\sqrt{(m'^2 - m_0^2)(m_{\max}^2 - m'^2)}(m'^2 - m^2 - i0)} dm'^2,$$

and, accordingly,

$$\frac{\delta(m^2)}{\sqrt{m^2 - m_0^2}} = -\frac{1}{2\pi} \mathbf{P} \int_{m_0^2}^{m_{\max}^2} \frac{\ln|A(m'^2)/\tilde{\Phi}(m_{\max}^2, m'^2)|^2}{\sqrt{m'^2 - m_0^2}(m'^2 - m^2)} \sqrt{\frac{m_{\max}^2 - m^2}{m_{\max}^2 - m'^2}} dm'^2. \quad (17)$$

It is important to stress that the principal value integral vanishes for a constant argument of the logarithm as long as $m_0^2 \leq m^2 \leq m_{\max}^2$. Therefore, if the function $\tilde{\Phi}$ depends only weakly on m'^2 —as it should in large-momentum transfer reactions—it can actually be omitted in the above equation. In addition,

$$\frac{d^2 \sigma_S}{dm'^2 dt} \propto p' |A_S(s, t, m^2)|^2,$$

where σ_S denotes the partial cross section corresponding to A_S , and where we included again the explicit S dependence as a reminder. Thus we can replace $|A(m^2)|^2$ in Eq. (17) by the cross section, where all constant prefactors can be omitted again because the integral vanishes for a constant argument of the logarithm. The result after performing these manipulations is given in Eq. (2).

Since Eq. (17) gives an integral expression not only for the threshold value of the phase shift but also for the energy dependence, one might ask whether it is possible to extract, besides the scattering length, also the effective range directly from production data. Unfortunately, this is not very practical. By taking the derivative with respect to m^2 of both sides of Eq. (17) one sees that one can get only an integral representation for the product $a_S^2[(2/3)a_S - r_S]$ but not for the effective range r_S alone. Thus, although the corresponding integral is even better behaved than the one for a_S in Eq. (2) (it has a weaker dependence on $m_{\max}^2$), the attainable accuracy

of r_S will always be limited by twice the relative error on a_S . In fact, a more promising strategy to pin down the YN low energy parameters might be to fix the scattering lengths from production reactions and then use the existing data on elastic scattering to determine the effective range parameters. In this case one would benefit from having to do an interpolation between the results at threshold, constrained from production reactions, and the elastic YN data available only at somewhat higher energies. This should significantly improve the accuracy as compared to analyses such as, e.g., in Ref. [10] which rely on elastic scattering data alone.

C. Relevant scales and error estimates

The next step is to estimate an appropriate range of values for $m_{\max}^2$ as well as the systematic uncertainty of the method. Naturally, $m_{\max}^2$ has to be large enough to allow resolution of the relevant structures of the final-state interaction. [Note that $m_{\max}^2 \rightarrow m_0^2$ leads to a vanishing value for the scattering length, cf. Eq. (2).] On the other hand it should be as small as possible in order to ensure that the ΛN system is still produced predominantly in an S -wave but also, as mentioned, to avoid the explicit inclusion of further right-hand cuts such as the one resulting from the opening of the ΣN channel.

Equation (10) suggests that we should choose $m_{\max}^2$ large enough to allow values of p' such that $ap' \sim 1$. Thus, for nonrelativistic kinematics, we find $m_{\max}^2 \sim m_0^2 [1 + 1/(\mu m_0 a^2)]$. A more transparent quantity is $\epsilon_{\max}$ defined by

$$\epsilon_{\max} = m_{\max} - m_0 \simeq \frac{1}{2\mu a^2},$$

i.e., the maximum kinetic energy of the ΛN system for which it contributes to the integral of Eq. (2). For $a \sim 1$ fm we get $\epsilon_{\max} \sim 40$ MeV. This is well below the ΣN threshold which corresponds to an energy of 75 MeV and the threshold for the $\pi\Lambda N$ channel which is at 140 MeV.

Let us now estimate the uncertainty. Except for the neglect of the kaon-baryon interactions, Eq. (17) is exact. Therefore $\delta a^{(th)}$ —the theoretical uncertainty of the scattering length extracted using Eq. (2)—is given by the integral,

$$\begin{aligned} \delta a^{(th)} = & - \lim_{m^2 \rightarrow m_0^2} \frac{1}{2\pi} \left(\frac{m_\Lambda + m_N}{\sqrt{m_\Lambda m_N}} \right) \\ & \times \mathbf{P} \int_{m_0^2}^{m_{\max}^2} \frac{\ln|\tilde{\Phi}(m_{\max}^2, m'^2)|^2}{\sqrt{m'^2 - m_0^2}(m'^2 - m^2)} \sqrt{\frac{m_{\max}^2 - m^2}{m_{\max}^2 - m'^2}} dm'^2. \end{aligned} \quad (18)$$

Since $\ln|\tilde{\Phi}(m_{\max}^2, m^2)|^2 = \ln|\Phi(m^2)|^2 + \ln|\Phi_{m_{\max}^2}(m^2)|^2$, we may write $\delta a^{(th)} = \delta a^{(lhc)} + \delta a^{m_{\max}}$, where the former, determined by $\Phi(m^2)$, is controlled by the left-hand cuts and the latter, determined by $\Phi_{m_{\max}^2}(m^2)$, by the large energy behavior of the ΛN scattering phase shifts. The closest left-hand singularity is that introduced by the exchange of light mesons (π and K) in the production operator and it is governed by the momentum transfer. Up to an irrelevant overall constant, we may therefore estimate the variation of $\Phi \sim 1 + \kappa(p'/p)^2$, where we assume κ to be of the order of 1. Evaluation of the integral (18) then gives

$$\delta a^{(lhc)} \sim \kappa(p'_{\max}/p^2) \sim 0.05 \text{ fm}.$$

Here we used $p'^2_{\max} = 2\mu\epsilon_{\max}$ with $\epsilon_{\max} \sim 40$ MeV and $p \sim 900$ MeV, where the latter is the center-of-mass momentum in the initial NN or γd state that corresponds to the $K\Lambda N$ threshold energy. Concerning $\delta a^{m_{\max}}$ we start from the definition of $\Phi_{m_{\max}^2}(m^2)$ in Eq. (15) from which one easily derives

$$|\delta a^{m_{\max}}| = \frac{2}{\pi p'_{\max}} \left| \int_0^\infty \frac{\delta(y) dy}{(1+y^2)^{(3/2)}} \right| \leq \frac{2}{\pi p'_{\max}} |\delta_{\max}|, \quad (19)$$

where $y^2 = (m^2 - m_{\max}^2)/(m_{\max}^2 - m_0^2)$. Thus, in order to obtain an estimate for $\delta a^{m_{\max}}$, we need to make an assumption about the maximum value of the elastic ΛN phase for $m^2 \geq m_{\max}^2$. In addition, it is important to note that the denominator in the integral appearing in Eq. (19) strongly suppresses large values of y . Since for none of the considered YN models [2–6] does $\delta_{\max}$ exceed 0.4 rad, we arrive at the estimate $\delta a^{m_{\max}} \sim 0.2$ fm. Note that when using the phase shifts, as given by those models directly in the integral, the value for $\delta a^{m_{\max}}$ is significantly smaller, since for all models considered the phase changes sign at energies above $m_{\max}^2$. Combining the two error estimates, we conclude

$$\delta a \lesssim 0.3 \text{ fm}.$$

Another source of uncertainty comes from possible final-state interactions in the KN and $K\Lambda$ systems. Their effects have been neglected in our considerations so far for a good reason: their influence is to be energy dependent and therefore no general estimate for the error induced by them can be given. For example, baryon resonances are expected to have a significant influence on the production cross sections [34]. However, it is possible to examine the influence of the meson-baryon interactions experimentally, namely, through a Dalitz plot analysis. This allows one to see directly if the area where the ΛN interaction is dominant is isolated or is overlapping with resonance structures [35]. In addition, to quantify the possible influence of the meson-baryon interactions on the resulting scattering lengths, the extraction procedure proposed above is to be performed at two or more different beam energies. If the obtained scattering lengths agree, we can take this as a verification that the result is not distorted by the interactions in the other subsystems because their influence necessarily depends on the total energy, whereas the extraction procedure does not.

III. TEST OF THE METHOD: COMPARISON TO MODEL CALCULATIONS

The most obvious way to test Eq. (2) would be to apply it to reactions where the involved scattering lengths are known as it is the case for any large-momentum transfer reaction with a two nucleon final state. Unfortunately, as far as we know there is no experimental information on nn or pn mass spectra with sufficient resolution to allow the application of Eq. (2). For existing invariant mass spectra with a pp final state, as given, e.g., in Ref. [36] for the reaction $pp \rightarrow pp\pi^0$, our formula is not applicable due to the presence of the Coulomb interaction that strongly distorts the invariant mass spectrum exactly in the regime of interest. Moreover, one has to keep in mind that the authors of Ref. [36] were forced to assume already a particular m^2 dependence of the outgoing pp system at small values of m^2 for their analysis because of the limited detector acceptance. Thus, a test with those data would not be conclusive, anyway.

Therefore, we chose a different strategy in order to test our method. We apply Eq. (2) to the results of a microscopic model for the reaction $pp \rightarrow K^+ p\Lambda$ [37]. (We expect that application to the reaction $\gamma d \rightarrow K^+ n\Lambda$ gives similar results.) In this model the K production is described by the so-called π and K exchange mechanisms. Thereby a meson (π or K) is first produced from one of the nucleons and then rescattered on the other nucleon before it is emitted (cf. Ref. [37] for details). The rescattering amplitudes ($\pi N \rightarrow KY$, $KN \rightarrow KN$) are parametrized by their on-shell values at threshold. The YN final-state interaction, however, is included without approximation. Specifically, the coupling of the ΛN to the ΣN system is treated with its full complexity. For the present test calculations we used all the YN models of Ref. [5] as well as the YN model of Ref. [6]. Thus, the test calculation will allow us to see a possible influence of the ΣN channel as well as that of the left-hand singularities induced by the pro-

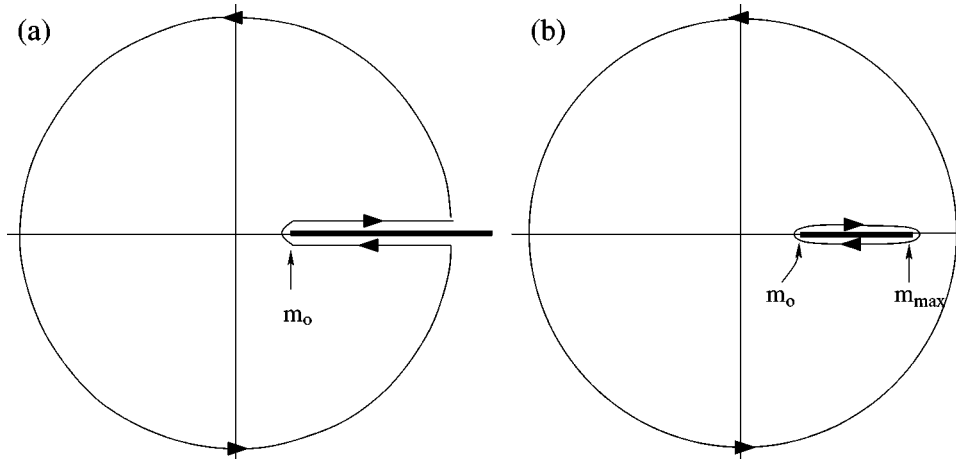


FIG. 1. The integration contours in the complex m'^2 plane to be used to derive Eq. (12) (a) and Eq. (17) (b). The thick lines indicate the branch cut singularities.

duction operator. In addition, we can study the effect of the value of the upper integration limit $m_{\max}^2$ or, equivalently, $\epsilon_{\max}$ on the scattering length extracted.

We now proceed as follows: we calculate the invariant mass spectra needed as input for Eq. (2), utilizing the YN models mentioned above. Then we use that equation to extract the scattering lengths from the invariant mass plots. In Fig. 2 the difference between the extracted scattering length and the exact one is shown as a function of $\epsilon_{\max}$ for several YN models. The direction of kaon emission was chosen as 90° in the center of mass. Considered are the two “extreme” models of Ref. [5], namely, NSC97a, with singlet scattering length $a_s = -0.71$ fm and triplet scattering length $a_t = -2.18$ fm, and NSC97f ($a_s = -2.51$ fm and $a_t = -1.75$ fm), and the new Jülich model ($a_s = -1.02$ fm and $a_t = -1.89$ fm). The last was included here because it should have a significantly different short-range behavior compared to the other two. As can be seen from the figure, for $\epsilon_{\max} \approx 40$ MeV, in all cases the extracted value for the scattering length does not deviate from the exact one by more than the previously estimated 0.3 fm, as is indicated by the shaded area in Fig. 2. This is in accordance with the error estimates given in the preceding section, where $\epsilon_{\max} \sim 40$ MeV—in the figure high-

lighted by the arrows—was deduced from quite general arguments. In addition, this investigation also suggests that the ΣN cuts, included in the model without approximation, do not play a significant role in the determination of the scattering length.

IV. APPLICATION TO EXISTING DATA

As was stressed in the derivation, Eq. (2) can be applied only to observables (cross sections) that are dominated by a single partial wave. This is indeed the case for specific polarization observables for near-threshold kinematics as we show in Appendix B for the reaction $pp \rightarrow NK\Lambda$. Unfortunately, at present no suitable polarization data are available that could be used for a practical application of our formalism. Thus, in order to demonstrate the potential of the proposed method, we will apply it to the unpolarized data for the reaction $pp \rightarrow K^+X$ measured at the SPES4 facility in Saclay [38]. Obviously, since we only consider the small invariant mass tail of the double differential cross section, we automatically project on the $p\Lambda$ S waves. The data, however, still contain contributions from both possible final spin states—spin triplet as well as spin singlet. Thus the scattering

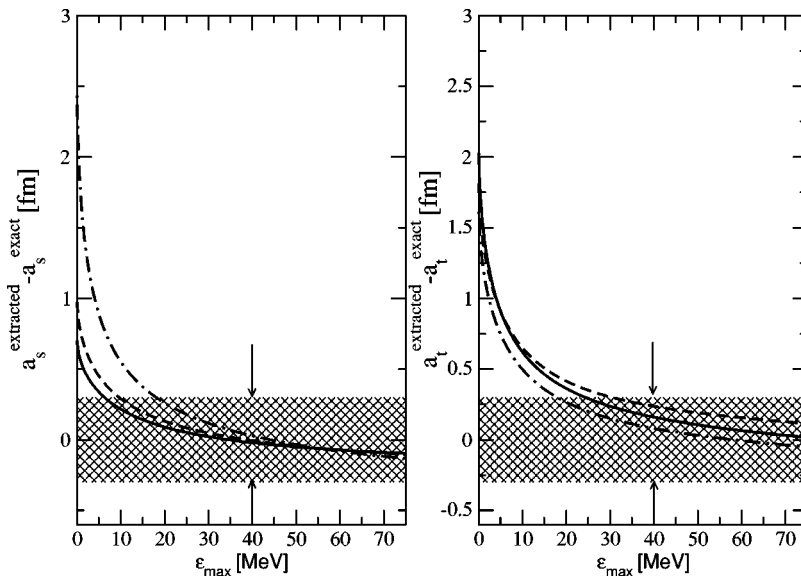


FIG. 2. Dependence of the extracted scattering lengths on the value of the upper limit of integration, $\epsilon_{\max}$. Shown is the difference from the exact results for the spin-singlet scattering length a_s (left panel) and the spin-triplet scattering length a_t (right panel). The solid and the dot-dashed lines correspond, respectively, to model NSC97a and NSC97f of Ref. [5] and the dashed one corresponds to the YN model of Ref. [6]. The shaded area indicates the estimated error of the proposed method and the arrows indicate the value for $\epsilon_{\max}$ as estimated based on scale arguments.

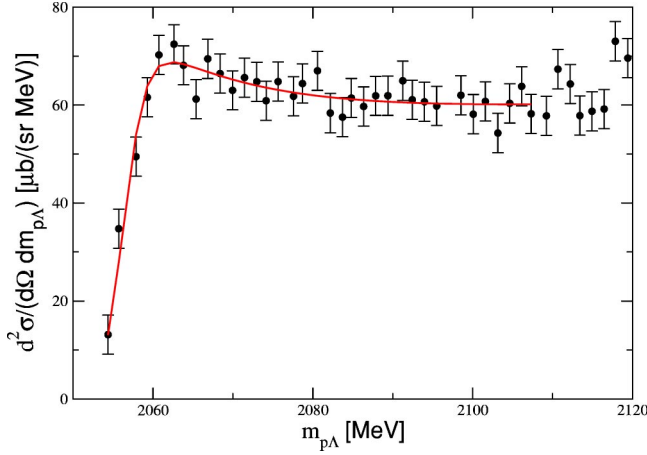


FIG. 3. $pp \rightarrow K^+X$ inclusive missing mass spectrum at $T_p = 2.3$ GeV for kaon laboratory scattering angles $\theta_K^L = 10 \pm 2^\circ$ [38]. The solid line is our fit as described in the text. Note that the scattering length extracted is insensitive to the parametrization of the data used (see Appendix A for details).

length extracted from those data is an effective value that averages over the spin dependence of the $p\Lambda$ interaction in the final state and also over the spin dependence of the production mechanism with unknown weights. It does not allow any concrete conclusions on the elementary $p\Lambda$ (1S_0 or 3S_1) scattering lengths. We should mention, however, that some model calculations of the reaction $pp \rightarrow K^+p\Lambda$ [37,39] indicate that the production mechanism could be dominated by the spin-triplet configuration. If this is indeed the case, then the production process would act like a spin filter and the scattering length extracted from the Saclay data could then be close to the one for the $p\Lambda$ 3S_1 partial wave.

For our exemplary calculation we use specifically the data on the $pp \rightarrow K^+X$ inclusive missing mass spectrum at $T_p = 2.3$ GeV for kaon laboratory scattering angles $\theta_K^L = 10 \pm 2^\circ$ [38] (cf. Fig. 3).² For convenience we represent those data in terms of simple analytical functions (the result of the best fit is shown as the solid line in the figure) as described in Appendix A. Please note, however, that the advantage of the proposed method is that the scattering length extracted is independent of the particular analytical parametrization employed; one can even use the data directly. In particular, we do not need to assume that the elastic ΛN interaction can be represented by the first two terms of an effective range expansion. The analytical representation of the data is then used to evaluate the corresponding scattering length utilizing Eq. (2). Details of the fitting as well as how we extract the uncertainty in the scattering length can be found in the Appendix A.

We find a scattering length of $(-1.5 \pm 0.15 \pm 0.3)$ fm, where the first error corresponds to the uncertainty from the data and the second one is the theoretical uncertainty discussed previously. Thus, already in the case of an experimental resolution of about 2 MeV the experimental error is smaller than the theoretical one.

²For the given energy and $\epsilon_{max} = 40$ MeV a fixed kaon angle is almost equivalent to a fixed t as is required for the dispersion integral.

V. SUMMARY

In this paper we presented a formalism that allows one to relate spectra from large-momentum transfer reactions, such as $pp \rightarrow K^+p\Lambda$ or $\gamma d \rightarrow K^+n\Lambda$, directly to the scattering length of the interaction of the final-state particles. We estimated the theoretical error of the analysis to be less than 0.3 fm, subject to possible but controllable influences of the final-state interactions in the KN and $K\Lambda$ systems. This estimate was confirmed by comparing results obtained with the proposed formalism to those of microscopic model calculations for the specific reaction $pp \rightarrow K^+\Lambda p$.

The formalism can be applied only to observables (cross sections) that are dominated by a single partial wave. This requirement is fulfilled by specific polarization observables for ΛN invariant masses near threshold as we show in Appendix B for the reaction $pp \rightarrow NK\Lambda$. Corresponding experiments require a polarized beam or target in order to pin down the spin-triplet scattering length and polarized beam and target for determining also the spin-singlet scattering length.

Since at present no suitable polarization data are available, we demonstrated the potential of the proposed method by applying it to unpolarized data from the reaction $pp \rightarrow K^+X$ measured at the SPES4 facility in Saclay [38]. Thereby a scattering length of $(-1.5 \pm 0.15 \pm 0.3)$ fm was extracted. This more academic exercise demonstrates that the resolution of 2 MeV of the SPES4 spectrometer is already sufficient to obtain scattering lengths with an experimental error of less than 0.2 fm. Such an accuracy would be already an improvement over the present situation.

The method of extraction of the YN scattering lengths discussed here should be viewed as an alternative method to proposed analyses of other reaction channels involving the YN system such as $K^-d \rightarrow \gamma n\Lambda$. The assumptions that go into the analyses are very different and therefore carrying out both analyses is useful in order to explore the systematic uncertainties.

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APPENDIX A: METHOD OF THE ANALYSIS

In order to evaluate the integral in Eq. (2) for the experimental results of Ref. [38] we first fit the data utilizing the following parametrization for the amplitude squared [cf. Eq. (17)]:

$$|A(m)|^2 = \exp \left[C_0 + \frac{C_1^2}{(m^2 - C_2^2)} \right]. \quad (\text{A1})$$

The advantage of such a parametrization is that the integral in Eq. (2) can be calculated analytically and one gets for the scattering length

$$a(C_1, C_2) = -\frac{1}{2}C_1^2 \sqrt{\left(\frac{m_0^2}{m_N m_\Lambda}\right) \frac{(m_{\max}^2 - m_0^2)}{(m_{\max}^2 - C_2^2)(m_0^2 - C_2^2)^3}}. \quad (\text{A2})$$

One can extend Eq. (A1) easily by including in the exponent additional terms such as $C_3^2/(m^2 - C_4^2)$ if required for a satisfactory analytical representation of the data. The accuracy of the presently available experimental results, however, did not necessitate such an extension, for already the form given in Eq. (A1) ensured a χ^2 per degree of freedom of less than 1.

In Ref. [40] it is stressed that there is a calibration uncertainty in the data of Ref. [38]. The actual value of this uncertainty was determined by including as a free parameter a shift Δm in the fitting procedure. In this way a value of $\Delta m = 1.17$ MeV was found. We use the same value. In addition, the finite resolution of the detector $\sigma_m = 2$ MeV has to be taken into account. Thus the function

$$\frac{d^2\sigma(m)}{dm d\Omega_K} = \int_{-\infty}^{\infty} \left(\frac{d^2\sigma(m')}{dm' d\Omega_K} \right)_0 \frac{1}{\sqrt{2\pi}\sigma_m} \times \exp\left[-\frac{(m - m' - \Delta m)^2}{\sigma_m^2} \right] dm' \quad (\text{A3})$$

is to be compared to the data, where $(d^2\sigma(m')/(dm' d\Omega_K))_0$ is calculated from the amplitude Eq. (A1).

The probability for the data to occur under the assumption that the cross section given in Eq. (A3) is true is given by the Likelihood function. Here we assume the data distribution to be Gaussian:

$$\mathcal{L}(\{\text{data}\}|C_0, C_1, C_2; I) \propto \exp\left[-\frac{1}{2}\chi^2(C_0, C_1, C_2)\right], \quad (\text{A4})$$

where the standard χ^2 function appears in the exponent. The letter I that appears in the argument of $\mathcal{L}$ is meant to remind the reader that some assumptions had to be made in order to write down Eq. (A4).

The distribution of interest to us is the probability distribution of the scattering length $\mathcal{L}(a|\{\text{data}\}; I)$, given the data, that can be written as [41]

$$\mathcal{L}(a|\{\text{data}\}; I) = \mathcal{N} \int \prod_i dC_i \mathcal{L}(\{\text{data}\}|C_0, C_1, C_2; I) \times \delta(a - a(C_1, C_2)), \quad (\text{A5})$$

where $\mathcal{N}$ is a normalization constant to be fixed through $\int \mathcal{L}(a) da = 1$ and $a(C_1, C_2)$ is given in Eq. (A2).

It turns out that the Likelihood function of Eq. (A4) is strongly peaked. For the numerical evaluation of Eq. (A5) we therefore linearize the individual terms with respect to the parameters C_i . Within this linear approximation the resulting probability density function $\mathcal{L}(a)$ also takes a Gaussian form. To test that there is no significant dependence of the result on the parametrization used for the data we also used the Jost function in the analysis. The results for the scattering lengths determined with the two different methods agreed within the statistical uncertainty.

APPENDIX B: SPIN DEPENDENCE OF THE REACTION $pp \rightarrow \Lambda p K^+$

The aim of this appendix is to show how polarization measurements for the reaction $pp \rightarrow \Lambda p K^+$ can be used to disentangle the spin dependence of the production cross section.

In terms of the so-called Cartesian polarization observables, the spin-dependent cross section can be written as [42]

$$\sigma(\xi, \vec{P}_b, \vec{P}_t, \vec{P}_f) = \sigma_0(\xi) \left[1 + \sum_i [(P_b)_i A_{i0}(\xi) + (P_f)_i D_{0i}(\xi)] + \sum_{ij} (P_b)_i (P_t)_j A_{ij}(\xi) + \dots \right], \quad (\text{B1})$$

where $\sigma_0(\xi)$ is the unpolarized differential cross section, the labels i, j , and k can be either x, y , or z , and P_b , P_t , and P_f denote the polarization vector of beam, target, and one of the final-state particles, respectively. All quantities are functions of the five dimensional phase space, abbreviated as ξ . The observables shown explicitly in Eq. (B1) include the beam analyzing powers A_{i0} , the corresponding quantities for the final-state polarization D_{0i} , and the spin correlation coefficients A_{ij} . Polarization observables not relevant for this work are not shown explicitly. All those observables that can be defined by just exchanging $\vec{P}_b$ and $\vec{P}_t$, such as the target analyzing power A_{0i} , are not shown explicitly.

The most general form of the transition matrix element may be written as [35]

$$\mathcal{M} = H(\mathcal{I}\mathcal{I}') + i\vec{Q} \cdot (\vec{S}\mathcal{I}') + i\vec{A} \cdot (\vec{S}'\mathcal{I}) + (S_i S'_j) B_{ij}, \quad (\text{B2})$$

where $\vec{S} = (\chi_2^T \sigma_y \vec{\sigma} \chi_1)$ and $\vec{S}' = [\chi_4^\dagger \vec{\sigma} \sigma_y (\chi_3^T)^\dagger]$ are to be used for spin-triplet initial and final states, respectively, and $\mathcal{I} = (\chi_2^T \sigma_y \chi_1)$ and $\mathcal{I}' = [\chi_4^\dagger \sigma_y (\chi_3^T)^\dagger]$ are to be used for the corresponding spin-singlet states. Here it is assumed that the outgoing baryons are nonrelativistic, as is the case for the kinematics considered.

Given this form it is straightforward to evaluate the expression for the various observables. For example,

$$4\sigma_0 = |H|^2 + |Q_m|^2 + |A_m|^2 + |B_{mn}|^2, \quad (\text{B3})$$

$$4A_{0i}\sigma_0 = i\epsilon_{ikn}(Q_k^* Q_n + B_{km}^* B_{nm}) + 2\text{Im}(B_{im}^* A_m - Q_i^* H), \quad (\text{B4})$$

$$4D_{0i}\sigma_0 = -i\epsilon_{ikn}(A_k^* A_n + B_{mk}^* B_{mn}) - 2\text{Im}(B_{mi}^* Q_m - A_i^* H), \quad (\text{B5})$$

$$4A_{ii}\sigma_0 = -|H|^2 + |Q_m|^2 - |A_m|^2 + |B_{mn}|^2 - 2|Q_i|^2 - 2|B_{im}|^2, \quad (\text{B6})$$

where the indices m, k , and n are to be summed. By definition, the spin-triplet final-state amplitudes contribute to A and B only, whereas the spin-singlet amplitudes contribute to H and Q . By definition, the spin-triplet states interfere with the spin-singlet states only, if the final-state polarization is measured. In the examples given above this is the case for D_{0i} only. This simplifies the analysis considerably. Equation

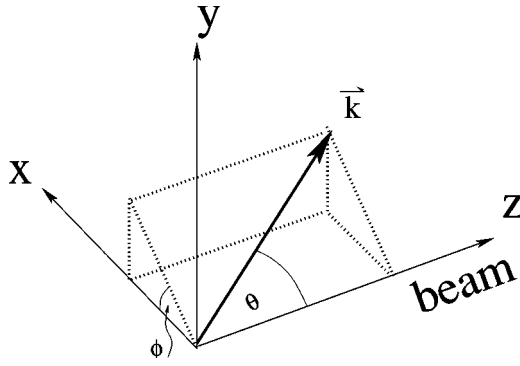


FIG. 4. The kaon momentum $\vec{k}$ plotted in the coordinate system used; the z axis is along the beam direction.

(2) needs as input only those cross sections that have low relative energies, where we can safely assume the ΛN system to be in an S wave. Thus, in order to construct the most general transition amplitude under this condition, we need to express the quantities H , $\vec{Q}$, $\vec{A}$ as well as B_{ij} in terms of the vectors $\vec{k}$ (the momentum of the kaon) and $\vec{p}$ (the momentum of the initial protons)—cf. Fig. 4. The Pauli principle demands for a proton-proton initial state, which $\vec{S}$ appears with odd powers of $\vec{p}$ and terms that do not contain $\vec{S}$ appear with even powers of $\vec{p}$. Correspondingly, due to parity conservation these amplitudes need to be even and odd in $\vec{k}$, respectively, since the kaon has a negative intrinsic parity. We may therefore write

$$H = 0,$$

$$\vec{Q} = s_1(\vec{k} \cdot \hat{p})\vec{k} + s_2\hat{p}, \quad (\text{B7})$$

$$\vec{A} = t_1\hat{p}(\hat{p} \cdot \vec{k}) + t_2\vec{k},$$

$$B_{ij} = \epsilon_{ijk}[t_3k_k(\vec{k} \cdot \hat{p}) + t_4\hat{p}_k] + (\vec{k} \times \hat{p})_i[t_5k_j + t_6(\hat{p} \cdot \vec{k})\hat{p}_j],$$

where $\hat{p} = \vec{p}/|\vec{p}|$. The amplitudes s_i and t_i appearing in the above equations are functions of p^2 , k^2 , and $(\vec{k} \cdot \vec{p})^2$. Now we want to identify observables that either depend only on (some of) s_i and thus are sensitive to the spin-singlet ΛN interaction only or on (some of) t_i and thus are sensitive to the spin triplet ΛN interaction only. It should be stressed in this context that it is entirely due to the relation $H=0$ —a direct consequence of the selection rules—that one can disentangle the spin-triplet and the spin-singlet channel without making assumptions about the kaon partial wave, as was first observed in Ref. [43].

Using Eqs. (B7) all observables can be expressed in terms of the amplitudes s_i and t_i , where the former correspond to a spin-singlet YN pair in the final state, while the latter correspond to a spin-triplet pair. We find

$$A_{0i}\sigma_0 = \frac{1}{2}(\vec{k} \times \hat{p})_i[\alpha + \beta(\vec{k} \cdot \hat{p}) + \gamma(\vec{k} \cdot \vec{p})^2], \quad (\text{B8})$$

where

$$\alpha = \text{Im}(t_4^*t_2 + t_5^*t_2\vec{k}^2), \quad (\text{B9})$$

$$\beta = \text{Im}(t_4^*t_3 + s_2^*s_1), \quad (\text{B10})$$

$$\gamma = \text{Im}(t_5^*t_1 + t_6^*t_1 + t_6^*t_2 - t_3^*t_1). \quad (\text{B11})$$

We may express the results in the coordinate system defined by the beam along the z axis and the x and y directions through the polarizations (cf. Fig. 4). Then we find for the analyzing power

$$A_{0y}\sigma_0 = -\frac{1}{4}k^2\beta \sin(2\theta)\cos(\phi) + \sin(\theta)\cos(\phi)(\text{spin triplet only}), \quad (\text{B12})$$

where we used $(\hat{p} \times \vec{k})_y = k \sin(\theta)\cos(\phi)$ and $\hat{p} \cdot \vec{k} = k \cos(\theta)$. Thus, the contributions from all those partial waves where the final ΛN system is in an 1S_0 state vanish when the kaon goes out in the xy plane. In addition, the term proportional to β is the only one that is odd in $\cos(\theta)$, and thus any integration with respect to the angle θ of $A_{0y}\sigma_0$ symmetric around $\pi/2$ removes any spin-singlet contribution from the observable. Please note that for any given energy it should be checked whether the range of angular integration performed is consistent with the requirement that the reaction was dominated by a large-momentum transfer—kaon emission at 90° maximizes the momentum transfer and thus minimizes the error in the extraction method.

Analogously one can show for the combination $(1 - A_{xx})\sigma_0$ that

$$(1 - A_{xx})\sigma_0 = \frac{1}{2}(|\vec{A}|^2 + |Q_x|^2 + |B_{xm}|^2) = \frac{1}{8}k^4|s_1|^2\sin^2(2\theta)\cos^2(\phi) + (\text{spin triplet only}), \quad (\text{B13})$$

i.e., that it also allows one to isolate the amplitudes with the YN system in the spin triplet. Furthermore,

$$(1 + A_{xx} + A_{yy} - A_{zz})\sigma_0 = |Q_z|^2 + |B_{zm}|^2 = \frac{1}{4}k^4|t_3|^4\sin^2(2\theta) + (\text{spin singlet only}) \quad (\text{B14})$$

and therefore allows one to isolate the spin-singlet amplitudes. (Note that in both cases a summation over m is to be performed.) Thus, for all those partial waves where the final YN system is in the 1S_0 final state, $(1 - A_{xx})$ vanishes, when the kaon goes out in the yz or in the xy plane and for all those partial waves where the final YN system is in the 3S_1 final state $(1 + A_{xx} + A_{yy} - A_{zz})$ vanishes, when the kaon goes out in the xy plane or in forward direction. These results hold independent of the orbital angular momentum of the kaon.

So far we assumed that higher partial waves of the ΛN system do not play a role, since we restricted ourselves to small relative energies. However, it is also possible to check this experimentally. First of all the angular distribution of the YN system $d\sigma/d\Omega_{p'}$, where $\vec{p}'$ is the relative momentum of the two outgoing baryons, needs to be flat. However, in the

presence of spins even flat angular distributions can stem from higher partial waves [44]. To exclude this possibility the Λ polarization can be used. For this test we need an observable that vanishes in the absence of higher ΛN partial waves. The easiest choice here is D_{0i} . It is straightforward to show that

$$D_{0i} \propto (\vec{p} \times \vec{k})_i,$$

as long as there are only S waves in the ΛN system. Therefore, in the absence of higher partial waves in the YN system D_{0z} has to vanish.

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