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The influence of foreign vs. North American emissions on surface ozone in the US

**The influence of
foreign vs. North
American emissions
in the US**

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Abstract

As part of the Hemispheric Transport of Air Pollution (HTAP; www.htap.org) project, we analyze results from 16 global and hemispheric chemical transport models and compare these to Clean Air Status and Trends Network (CASTNet) observations in the United States (US) for 2001. Using the policy-relevant maximum daily 8-h ozone (MDA8 O₃) statistic, the multi-model ensemble represents the observations well (mean $r^2=0.57$, ensemble bias=+4.1 ppbv for all regions and all seasons) despite a wide range in the individual model results. Correlations are strongest in the NorthEastern US during spring and fall ($r^2=0.68$); and weakest in the Midwestern US in summer ($r^2=0.46$). However, large positive mean biases exist during summer for all Eastern US regions, ranging from 10–20 ppbv, and a smaller negative bias is present in the Western US during spring (~3 ppbv). In most all other regions and seasons, the biases of the model ensemble simulations are ≤ 5 ppbv. Sensitivity simulations in which anthropogenic O₃-precursor emissions (NO_x+NMVOC+CO+aerosols) were decreased by 20% in each of four source regions: East Asia (EA), South Asia (SA), Europe (EU) and North America (NA) show that the greatest response of MDA8 O₃ to the summed foreign emissions reductions occurs during spring in the West (0.9 ppbv reduction due to 20% reductions from EA+SA+EU). East Asia is the largest contributor to MDA8 O₃ at all ranges of the O₃ distribution for most regions (typically ~0.45 ppbv). The exception is in the NorthEastern US where European emissions reductions had the greatest impact on MDA8 O₃, particularly in the middle of the MDA8 O₃ distribution (response of ~0.35 ppbv between 35–55 ppbv). In all regions and seasons, however, O₃-precursor emissions reductions of 20% in the NA source region decrease MDA8 O₃ the most – by a factor of 2 to nearly 10 relative to foreign emissions reductions. The O₃ response to anthropogenic NA emissions is greatest in the Eastern US during summer at the high end of the O₃ distribution (5–6 ppbv for 20% reductions). While the impact of foreign emissions on surface O₃ in the US is not negligible – and is of increasing concern given the growth in emissions upwind of the US – domestic emissions reductions remain a far

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more effective means of decreasing MDA8 O₃ values, particularly those above 75 ppb (the current US standard).

1 Introduction

It is well-established that the intercontinental transport of pollutant emissions affects surface air quality in the United States (Berntsen et al., 1999; Jacob et al., 1999; Jaffe et al., 1999; Fiore et al., 2002; Goldstein et al., 2004; Keating et al., 2005; Sudo and Akimoto, 2007; Lin et al., 2008; Oltmans et al., 2008; Zhang et al., 2008; Fischer et al., 2009). Transport “events” can lead to exceedances in air quality standards for downwind regions (Jaffe et al., 2004). As a result, foreign emissions can significantly affect the health of humans and crops in the US (Bell et al., 2004; Ellingsen et al., 2008; Casper-Anenberg et al., 2009). However, the effect foreign emissions have on air quality in the US can vary significantly on time-scales from days (Yienger et al., 2000; Liang et al., 2007) to months (Liu et al., 2003; Liang et al., 2004; Weiss-Penzias et al., 2004; Wang et al., 2006) to years (Liang et al., 2005; Liu et al., 2005; Reidmiller et al., 2009).

Over the past 15 years, a multitude of field campaigns have attempted to quantify the effect of Asian emissions on US air quality and how these emissions are affecting the photochemical environment over the North Pacific. The Pacific Exploratory Mission – West phase (PEM-West; Hoell et al., 1997) took place in 1994 to study the chemical outflow from East Asian emissions. The Photochemical Ozone Budget of the Eastern North Pacific Atmosphere (PHOBEA; Jaffe et al., 2001; Kotchenruther et al., 2001; Bertschi et al., 2004) campaign was a multi-year investigation spanning 1997–2002 using aircraft and ground measurements to quantify the impacts of Asian emissions on pollutant inflow to the Northwestern US. The Transport and Chemical Evolution over the Pacific (TRACE-P; Jacob et al., 2003) and Intercontinental Transport and Chemical Transformation (ITCT 2K2; Parrish et al., 2004; Goldstein et al., 2004) campaigns were conducted during spring – the season of greatest East Asian transport to North

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America – of 2001 and 2002, respectively. In 2004, the Pacific Exploration of Asian Continental Emission (PEACE; Parrish et al., 2004) experiment was carried out in two phases over winter and spring to determine seasonal differences in transpacific transport and photochemical environments. Also in 2004, a remote free tropospheric site near the US west coast was established atop Mt. Bachelor in Central Oregon (43.98° N, 121.69° W; 2.7 km a.s.l.) allowing frequent observations of Asian pollution plumes in the US (Weiss-Penzias et al., 2006; Swartzendruber et al., 2006). Most recently, in spring 2006, the Intercontinental Chemical Transport Experiment (INTEX-B; Singh et al., 2009) was a coordinated satellite, aircraft and ground-based campaign designed in large part to quantify the import of Asian pollutants to Western North America. Additionally, satellite data are now being used to better understand and quantify the intercontinental transport of pollutants (Heald et al., 2003; Damoah et al., 2004; Creilson et al., 2003; Wenig et al., 2003).

Beyond field campaigns and satellite observations, global chemical transport models (CTMs) are valuable tools with which we can quantify the intercontinental transport of pollution. While the existing literature on this topic is expansive (e.g., Jacob et al., 1999; Yienger et al., 2000; Fiore et al., 2002, 2003; Liang et al., 2004, 2005, 2007; Lin et al., 2008), there is a lack of coherency in these individual modeling studies that makes it difficult to draw any meaningful conclusions about the magnitude of the foreign influence on surface O₃ in the US. In response to this, the UN Economic Commission for Europe's Convention on Long-Range Transboundary Air Pollution developed the Task Force on Hemispheric Transport of Air Pollution (TF HTAP; <http://www.htap.org>) in December 2004. A major TF HTAP activity was to design a set of simulations that were executed by 20+ modeling groups in an effort to quantify the source-receptor relationships for various pollutants including O₃, Hg, aerosols and persistent organic pollutants (TF HTAP, 2007). Its objectives are to understand the key processes governing intercontinental transport, quantify source-receptor relationships and identify future research needs. In addition to the HTAP interim report (TF HTAP, 2007), several studies have utilized this valuable data set: Sanderson et al. (2008) investigate

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how nitrogen deposition is affected by intercontinental transport; Shindell et al. (2008) determine source attribution for pollutants transported to the Arctic; Fiore et al. (2009) quantify the source-receptor relationships for ground-level O₃ pollution using four northern hemispheric (NH) regions – East Asia (EA), South Asia (SA), Europe (EU) and North America (NA); Casper-Anenberg et al. (2009) estimate the mortalities avoided by 20% reductions of anthropogenic O₃ precursor emissions in the four source regions; Jonson et al. (2009) investigate the ability of the models to capture vertical O₃ distributions as measured by ozonesondes and intercontinental contribution throughout the atmospheric column.

Our objectives are to: (1) assess the multi-model skill in reproducing the observed maximum daily 8-h average O₃ (MDA8 O₃) statistic, (2) determine the contribution from intercontinental sources to surface O₃ in the US and (3) compare foreign vs. NA influences on MDA8 O₃ and how this relationship varies by region, season and across the O₃ distribution. The method adopted here begins by selecting regionally-representative CASTNet sites, putting observations from 2001 (the year of the HTAP simulations) in context with climatological O₃ behavior (Sect. 2.1) and briefly describing the global models used (Sect. 2.2). We then assess the ability of the multi-model mean to reproduce observed MDA8 O₃ in various regions on seasonal, monthly and daily timescales (Sect. 3). Finally, we use the perturbation simulations in which NA and foreign (i.e., EA+SA+EU) anthropogenic O₃-precursor emissions were reduced by 20% to quantify the differences between foreign (Sect. 4) vs. domestic sources (Sect. 5) on MDA8 O₃ throughout the US in different seasons and across the O₃ distribution.

2 Methodology

2.1 CASTNet observations

As required by the Clean Air Act Amendments of 1990, CASTNet was developed by the US Environmental Protection Agency (EPA) in order to establish an effective, rural

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monitoring and assessment network at locations away from pollutant emission sources and heavily populated areas (Eder et al., 2005). Monitoring locations were selected according to strict siting criteria designed to avoid undue influence from point sources, area sources and local activities. As a result, most CASTNet sites are located in rural areas with open, rolling terrain, well-removed from emission sources (Holland et al., 1999; Tong and Mauzerall, 2006).

The primary purpose of CASTNet is to identify and characterize broad-scale spatial and temporal trends of various air pollutants and their environmental effects (Eder et al., 2005). The network was developed from the existing National Dry Deposition Network and largely intended to assess the effectiveness of requirements promulgated to reduce emissions of SO₂ and NO_x as the US Congress recognized the need to track real-world environmental results as the Acid Rain Program was implemented (US EPA, 2007, 2008). Despite this predetermined focus on sulfate and nitrate deposition, CASTNet has become the nation's primary monitoring network for measuring concentrations of rural ambient (background) O₃ levels. Prior studies using CASTNet O₃ data include investigations of: sub-grid segregation on ozone production efficiency in a chemical model (Liang and Jacobson, 2000); variability in surface background O₃ throughout the US (Lefohn et al., 2001; Fiore et al., 2003); and the positive trend in O₃ throughout the Western US (Jaffe and Ray, 2007).

Figure 1 illustrates the 83 currently operational CASTNet sites. Table A1 (see the supplemental material: <http://www.atmos-chem-phys-discuss.net/9/7927/2009/acpd-9-7927-2009-supplement.pdf>) lists the geographic information (latitude, longitude and elevation) for these CASTNet sites. We divide the US into nine broad geographic regions based on boundaries of the EPA's 10 Regions, CASTNet site density and basic geographical and topographical features. Since the HTAP project uses CTMs with typical resolutions of 100–500 km, we attempt to use the CASTNet observations in a manner representative of these large spatial scales. As a result, we determine “regionally-representative” sites through a unique methodology, but with a similar goal and outcome to that of Lehman et al. (2004) and Fuentes (2003).

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We calculate monthly mean MDA8 O₃ for each of the 68 sites with nearly complete records in 2001 (≥ 21 days of data per month; Figs. 2 and A1). (Note, from here onward we compare the Mountain West and Southeast regions in this article to show differences in East vs. West US regions; results from the other seven regions are in the Auxiliary Materials: <http://www.atmos-chem-phys-discuss.net/9/7927/2009/acpd-9-7927-2009-supplement.pdf>.) Averaging all the sites within a given region (open circles in Fig. 2), we determine a “regional mean” (solid gray triangles) annual cycle of MDA8 O₃. We then calculate: (1) r^2 values between each site and the regional mean, as well as (2) the summation of the monthly mean deviations for each site from the regional mean. Each site is then assigned a ranking based on these two metrics. The rankings are then summed for each site (e.g., a ranking of “1” was assigned to the site with the highest correlation and also to the site with the lowest summed deviation for a cumulative ranking of “2”). The sites with the lowest summed ranking were classified as “regionally-representative” (solid red triangles in Figs. 2, A1 and bold entries in Table A1). If the number of sites within a given region is < 5 , then 2 regionally-representative sites (depicted by stars in Fig. 1) are chosen; if 5–12 sites are in a region, then 3 representative sites are chosen; if > 12 sites are in a region, then 4 representative sites are chosen. For the California region, it is difficult to classify regionally-representative sites due to the widely varying topography, meteorology and influence of local emissions (California Air Resources Board, 2001); we selected Death Valley (DEV) and Yosemite (YOS) National Park sites because they represent site elevation extremes and had the best rankings.

We compare MDA8 O₃ values for 2001 with the CASTNet climatology over the 1989–2004 period to examine whether 2001 was representative of typical conditions. Results for all years are illustrated in Fig. 3 for the Mountain West and Southeast regions (Fig. A2 shows the other 7 regions). From a policy-perspective, we are concerned with the number of exceedance days (when MDA8 O₃ > 75 ppbv). Table 1 shows the climatology (through 2004) of exceedance days for each site within a region. Exceedance days for the “Region” are determined by averaging the daily MDA8 O₃ values

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from each “representative” site in the region and then analyzing that multi-site regional mean MDA8 O₃ value. Using the current US EPA standard of the 4th highest MDA8 O₃>75 ppbv to classify an exceedance of the air quality standard, Table 1 shows that sites in the California, Plains, Great Lakes, Northeast and Southeast regions are regularly in exceedance.

To put the values from Fig. 3 (and Fig. A2) and Table 1 in context, we calculate seasonal mean MDA8 O₃ values and compare them to the climatological values (through 2004) in Table 2. We define a $\pm 3\%$ threshold deviation from the climatology to classify the season as “non-normal”. Only one season in one region had a seasonal mean MDA8 O₃ value that was $>1\sigma$ from the climatological mean for that season (MAM in the Far Northeast). During summer (JJA), all regions had MDA8 O₃ values that were at or below normal, with the Southeast (-3.7%), Florida/Gulf (-7.2%) and Great Lakes (-6.2%) regions exhibiting the greatest below-normal deviations. The East coast (with the exception of the Florida/Gulf region) experienced an above-normal O₃ season in autumn (SON), while the northernmost regions (Northwest and Far Northeast regions) also saw anomalously high O₃ seasons in spring (MAM) at $+11.1\%$ and $+5.8\%$, respectively.

2.2 Model simulations

Sixteen CTMs (Table A2) provided hourly surface ozone for a “base-case” year 2001 simulation from which we calculated MDA8 O₃ for our analysis. Tables 1 and A1–A3 in Fiore et al. (2009) describe meteorological fields and emissions inventories used by the 16 CTMs for the HTAP simulations. Methane concentrations were set to a uniform mixing ratio of 1760 ppb, while each modeling group was asked to employ their best estimate of O₃-precursor emissions for 2001 and a minimum initialization time of six months to allow the simulated trace gas concentrations to fully respond to the imposed perturbation.

Relative to the base-case simulations, perturbation experiments were conducted by 12 of the modeling groups (denoted by # in Table A2) in which anthropogenic O₃-

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precursor emissions (NO_x , NMVOC, CO and aerosols) were reduced by 20% in each of the four source regions depicted in Fig. A3 (EA, SA, EU and NA). We estimate the MDA8 O_3 response to simultaneous reductions in multiple source regions as the sum of the O_3 responses to the individual regional reductions (e.g., EA+SA+EU). The 20% emissions reduction represents a policy-relevant possibility, as well as a compromise between producing a detectable response in the O_3 simulations and applying a sufficiently small perturbation to allow the results to be scaled linearly to perturbations of different magnitudes (Fiore et al., 2009). The applicability of scaling and linearity of an O_3 response to changes in precursor emissions with respect to the HTAP experiments is discussed in further detail by Wu et al. (2009). In our analysis all models were sampled at the lowest model level in the grid cell containing the measurement site. We present uncertainty as 1σ of the multi-model mean unless otherwise stated, where σ is calculated from the simulated values at each site.

3 Model evaluation with CASTNet observations

Before interpreting the perturbation simulation results, it is essential to understand how well the models reproduce the observations. Figure 4 shows monthly mean MDA8 O_3 from each of the 16 CTMs, the CASTNet observations, and the multi-model mean for the Mountain West and Southeast regions (Fig. A4 illustrates the model evaluation for the other seven regions). Recall, here (and onward) we present regional values as averages of the observations from regionally-representative sites and the models sampled at those sites. The multi-model mean represents the observations quite well in most regions during most seasons with a mean $r^2=0.57$ (average of all multi-model mean vs. observations correlations in Table 3 in all regions and seasons), although the individual models span a wide range (76–145% of observations during spring in the Mountain West and 77–151% of observations in the Southeast during autumn). The greatest model spread occurs during summer for most regions (modeled values are 45–227% of observations depending on the region). In most cases, a given model per-

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forms similarly across all regions (i.e., if it overestimates observations in the Mountain West, it also overestimates observations in the Southeast and elsewhere). A review of CTM studies of tropospheric O₃ found that cross-tropopause transport, deposition, humidity and lightning all contribute to inter-model differences (Wild, 2007). Near the surface, uncertainties in deposition, humidity and isoprene chemistry are probably driving the inter-model spread shown here.

Table 3 summarizes the observations vs. multi-model mean MDA8 O₃ statistics for spring, summer and autumn in each region. Seasonal statistics are calculated from the daily MDA8 O₃ values; $n \approx 90$ for each season. Note, we have excluded winter (DJF) from our analysis for space considerations and because it is typically not a season of strong long-range transport (as spring and autumn tend to be), nor is it a season of climatologically high MDA8 O₃ values (as summer is) and, therefore, it is not as much of a concern from an O₃ air quality perspective. Correlations between the models and observations are generally stronger in the East (r^2 ranges from 0.37–0.80; mean $r^2 = 0.61$) than in the West (r^2 ranges from 0.22–0.81; mean $r^2 = 0.49$) and slightly more so in spring and fall (r^2 ranges from 0.22–0.81; mean $r^2 = 0.59$) than in summer (r^2 ranges from 0.32–0.73; mean $r^2 = 0.53$). In Fig. 5 we show daily MDA8 O₃ from observations, the multi-model mean and 1σ of the multi-model mean for spring, summer and autumn for the Mountain West and Southeast regions (the other 7 regions are shown in Fig. A5). In all regions, the spread of the models (indicated by the relative σ of the multi-model mean, $\sigma_{r,m}$, defined as $\sigma_{\text{multi-model mean}} / (\text{multi-model mean})$) peaks in summer ($\sigma_{r,m}$ ranges from 0.20–0.25) and reaches a minimum in spring ($\sigma_{r,m}$ ranges from 0.12–0.16). The multi-model mean correlates well with the observed values on synoptic time-scales, capturing large changes occurring over days to weeks. However, correlations are somewhat weaker in daily comparisons because the CTMs often fail to capture the magnitude of the day-to-day variability.

While the multi-model mean captures the magnitude of MDA8 O₃ and frequency of exceedance days in the Western US quite well, large positive biases are found along the East coast and westward into the Plains region from summer and into autumn.

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Table 3 illustrates these seasonal biases in the multi-model mean for each region, ranging from +5 to +20 ppbv. The largest positive biases in modeled MDA8 O₃ occur in the Southeast and Great Lakes regions during summer. Interestingly, in the region of most complex terrain (Mountain West) where one could imagine the models having a difficult time accurately capturing the magnitude of O₃ given the greater free tropospheric influence, the multi-model mean actually exhibits the smallest bias (ranging from +0.3 ppbv in summer to −3.0 ppbv in spring). Liang and Jacobson (2000) describe potential causes for the multi-model overestimate in the Eastern US by discussing how integrated ozone production may be overpredicted by as much as 60% in coarse-model grid cells as emissions of O₃-precursors are artificially diluted. Murazaki and Hess (2006) also reveal a positive bias in MOZART simulations of O₃ over the Eastern US and hypothesize that this could be due, at least in part, to MOZART's exclusion of elevated point sources of emissions and incomplete heterogeneous chemistry scheme. The authors go on to note that the fundamental nonlinearity of the chemistry of O₃ and the heterogeneity of surface emissions of O₃-precursors further complicate matters in simulating O₃ with global models. The issue of overestimating O₃ is not limited to global models, however. Godowitch et al. (2008), Gilliland et al. (2008) and Nolte et al. (2008) find positive O₃ biases in regional models over the Eastern US, as well, which they largely attribute to temperature, relative humidity and planetary boundary layer height uncertainties.

4 Impact of foreign emissions on surface O₃ in the US

Figure 6 shows the sum of the MDA8 O₃ responses across the distribution of MDA8 O₃ values to emissions reductions in the three foreign source regions (EA+SA+EU, hereafter referred to as “foreign emissions”). The slight multi-model underestimation of MDA8 O₃ during spring in the Mountain West and overestimation in the Southeast during summer are depicted as offsets between the red triangles (observations) and black squares (multi-model mean). In contrast, in the Mountain West during summer and in

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the Southeast during spring, the two lines nearly lie atop one another, indicating very good agreement in the number of days in each bin between the multi-model mean and the observations. A comparison between the Mountain West and Southeast regions illustrates broad characteristics that hold true for general East vs. West US regions (see Fig. A6 for the MDA8 O₃ response in the seven other US regions), so we generalize results where applicable.

In summer, the multi-model mean over-predicts MDA8 O₃ in many regions by a substantial amount (10–20 ppbv) and in spring the multi-model mean under-predicts the values in the Western US by a smaller amount (~3 ppbv). We explored whether these biases were correlated with the model calculated contributions from NA or foreign sources. Figure 7a and b shows these results. For spring, the negative bias shows a statistically significant relationship with the model calculated foreign contribution both in the Western US and the Southeast region. This relationship holds true for most regions of the country (Fig. A7). For summer, the multi-model mean shows essentially no relationship between the positive bias and model calculated NA contribution. These results suggest that the multi-model mean may be under-predicting the foreign contribution, however other factors that vary in the same way could also explain this result. In contrast, the lack of a relationship between the summer bias and the domestic contribution (Fig. 7c and d) argues that the bias is present in all airmasses, regardless of the degree of local O₃ buildup.

5 Seasonal and regional differences in the influence from foreign emissions

Figure 6 reveals the well-documented peak in foreign influence on surface O₃ in the Western US during spring (e.g., Holzer et al., 2005; Liang et al., 2004; Wang et al., 2006), and we show here that foreign influence on surface O₃ in the Eastern US also peaks in spring. In the Western US, a 20% anthropogenic emissions reduction in the three NH foreign source regions decreases MDA8 O₃ by ~0.9 ppbv in spring. In contrast, the response of MDA8 O₃ in the Eastern US to the same emissions reductions in

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spring is approximately 50% less at ~ 0.55 ppbv. In the Western US, the summed response to foreign emissions reductions of 20% is ~ 0.5 ppbv in summer and ~ 0.6 ppbv in autumn. Similar values for the Eastern US are ~ 0.2 ppbv in summer and ~ 0.4 ppbv in autumn.

5 In Fig. 8 we show the multi-model mean (black line) $\pm 1\sigma$ (gray shading) summed MDA8 O_3 response to the foreign emissions reductions of 20% at daily resolution for the Mountain West and Southeast regions (Fig. A8 shows the results for the other seven regions). Note that in contrast to Fig. 5, there is no way to use observations to directly confirm the results presented in Fig. 8. The season of greatest intercontinental
10 influence (spring) is also the season of greatest inter-model spread in the foreign influence, both in absolute (σ) and relative ($\sigma_{r,m}$) terms. An annual cycle in the magnitude of the foreign impact on MDA8 O_3 can be seen in all regions, peaking in spring, declining by over 50% in summer and increasing slightly in autumn to return to values that are $\sim 33\%$ below the maximum influence in spring. It is worth noting that no model predicts
15 a foreign influence on the order of tens of ppbv of O_3 that have been reported through observational studies (Yienger et al., 2000; Kotchenruther et al., 2001; Hudman et al., 2004; Jaffe et al., 2004; Weiss-Penzias et al., 2004; Keating et al., 2005). If we linearly scale even the strongest model surface O_3 response to 100% emissions reduction in the three foreign source regions, the maximum event in the Western US during spring has a summed foreign contribution of ~ 9 ppbv (~ 2 ppbv from SA; ~ 4 ppbv from EA; ~ 3 ppbv from EU). However, these observational studies are not directly comparable to the multi-model results presented here for several reasons: (1) most of these observational studies are free tropospheric/elevated aircraft studies, whereas we focus on surface O_3 , (2) the observational studies often focus on foreign influence within a plume, whereas the multi-model results have been averaged over a large spatial area, and (3) observational studies typically attribute total contribution from a given source region, whereas we are quantifying a 20% reduction in emissions from a given source region and any linear extrapolation we perform introduces uncertainty.
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5.1 Influence of foreign emissions over the range of MDA8 O₃ values

From a policy-perspective, it is important to understand how intercontinental transport of pollution affects different parts of the O₃ distribution. If foreign emissions have a greater impact at the high-end of the O₃ distribution (i.e., are significantly contributing to exceedances in air quality standards), efforts at formulating international air pollution treaties should be a priority (Holloway et al., 2003). Figure 6 (and A6) show(s) that in the Western US during spring, there is a nearly constant response across the MDA8 O₃ distribution (~0.9 ppbv reduction in response to a summed 20% emissions reduction). In contrast, during summer in the Western US, there is a decreasing influence from intercontinental transport as MDA8 O₃ values increase. This leads us to conclude that while intercontinental transport significantly affects high-O₃ values during spring in the Western US, it is less of a concern during summer, when most exceedances of air quality standards occur.

Shifting our attention to the Eastern US regions in Figs. 6 and A6, the influence of foreign emissions of MDA8 O₃ in spring (and autumn) is greatest (~0.7 ppbv in response to a summed 20% emissions reduction in EA+SA+EU) at low values of MDA8 O₃ and steadily declines towards higher values. In contrast, the effect of foreign emissions reductions is fairly flat across the O₃ distribution during the “O₃ season” of summer. It is also worth noting that the effect of intercontinental transport is greater at higher latitudes (Northeast and Great Lakes regions) than in the Southeast. While the response in MDA8 O₃ to foreign emissions reductions is relatively small on the East coast (0.2–0.45 ppbv), the effect is still significant at high-O₃ values. If O₃-precursor emissions continue to grow abroad (particularly in the EA and SA regions), intercontinental transport will play an increasing role in air quality exceedances in the Eastern US.

5.2 Response of US MDA8 O₃ to emissions in individual source regions

Figure 9 illustrates the multi-model mean response of MDA8 O₃ to 20% anthropogenic emissions reductions in the three foreign source regions for each of the nine US regions

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during spring across the MDA8 O₃ distribution. In almost all regions (and seasons) the influence from EA is greater than that from EU, both of which are far greater than that from SA. The lone exception to this is in the Northeast region where the EU influence is slightly greater than that from EA, although the difference is within the uncertainty as measured by the σ in the individual model responses. The SA influence from a 20% reduction in anthropogenic O₃-precursor emissions is 0.05–0.10 ppbv with little variability across the range of MDA8 O₃ values and in the various US regions. The EU influence peaks in the 35–65 ppbv range of the MDA8 O₃ distribution, with typical decreases of 0.2–0.4 ppbv from a 20% anthropogenic emissions reduction. The impact of 20% emissions reduction from EA on MDA8 O₃ is a ~0.45 ppbv reduction in the Western US (California, Northwest and Mountain West regions) that is fairly consistent across the O₃ distribution. Elsewhere (i.e., east of the Rockies), the EA impact is ~0.25 ppbv with maximum EA influence in the 35–55 ppbv range of the MDA8 O₃ distribution.

We can also use the results shown in Fig. 9 to compare the EA influence to trends in background O₃ in the Western US found in previous studies. As Figure 9 shows, 0.4 ppbv of the total 0.9 ppbv reduction in MDA8 O₃ in the Western US is due to the 20% emissions reductions in EA (model extremes show the EA contribution ranging from 0.20–0.64 ppbv). Assuming linearity, it follows that a 10%/yr increase in EA precursor emissions would correspond to an increase in MDA8 of ~0.2 ppbv/yr (full range of models: 0.10–0.32 ppbv/yr), which is similar in magnitude to the 0.34 ppbv/yr increase in mean daytime O₃ reported by Jaffe and Ray (2007). While the magnitude – and even existence – of trends in background O₃ in the Western US remains debatable (e.g., Jaffe and Ray, 2007; Oltmans et al., 2008; Parrish et al., 2009), the results presented herein will allow future investigations to compare possible trends to the well-documented O₃-precursor emissions increases in East Asia (Irie et al., 2005; Richter et al., 2005).

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6 North American emissions and surface O₃ in the US

In Fig. 10 (Fig. A9) we present the MDA8 O₃ response across the distribution of MDA8 O₃ values to the 20% emissions reductions in the NA source region. As was the case for the foreign emissions reductions simulations, a comparison between the Mountain West and Southeast regions illustrates broad characteristics that hold true for general East vs. West US regions (see also Fig. A9) in the NA simulations, as well. In contrast to Fig. 7a and b (the foreign influence), Fig. 7c and d (Fig. A7) shows that there is little correlation between the NA influence and the multi-model bias.

6.1 Seasonal and regional differences in the influence from NA emissions

In contrast to the foreign influence, Fig. 10 (Fig. A9) shows that the impact from NA emissions reductions peaks in summer. For all regions, the inter-seasonal difference (i.e., summer vs. spring/autumn) is ~25%, whereas for the foreign emission reductions the inter-seasonal difference (i.e., spring vs. summer/autumn) is far greater at 30–60%. This is largely driven by prevailing meteorology that allows for foreign emissions to be transported most efficiently in spring. NA emissions reductions have a far greater impact on MDA8 O₃ in the Eastern than Western US. The maximum MDA8 O₃ response from the daily data (Fig. 11) for the Mountain West region is 2.6 ppbv in spring, 3.4 ppbv in summer and 3.0 ppbv in autumn, whereas in the Southeast, these same values are 5.0, 6.3 and 5.8 ppbv, respectively. The effect of NA emissions reductions is almost twice as great in the Eastern US because most anthropogenic O₃-precursor emissions sources are east of the Mississippi River in addition to large altitude differences and the associated differences in transport and chemical processing. Even considering the model biases, the 20% NA emissions reductions have a far greater effect in the Eastern US than Western US. Fig. 11 (Fig. A10) illustrates the day-to-day variability in the impact these 20% NA anthropogenic emissions reductions have on MDA8 O₃. As was the case in Fig. 8 for the foreign influence, the inter-model spread is greatest in the season of maximum influence (i.e., summer in this case), both in absolute (σ)

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and relative ($\sigma_{r,m}$) terms. Depending on the season and range of MDA8 O₃ values in consideration, a 20% reduction in domestic anthropogenic O₃-precursor emissions results in a 4–7% (3–5%) decrease in MDA8 O₃ in the Southeast (Mountain West). Consistent with our findings, G  go et al. (2007) show that in response to the NO_x State Implementation Plan (SIP) Call – implemented in the early 2000s to reduce anthropogenic NO_x in the Eastern US – O₃ concentrations at CASTNet sites in the Southeast fell by ~18% on average to a ~60% NO_x emissions reduction from July 1997 to July 2004. A direct comparison to our results is difficult since the G  go et al. (2007) study focuses on NO_x emissions reductions alone (whereas the HTAP simulations also reduced CO, VOCs and aerosols), but if we were to linearly scale their results, a 20% NO_x emissions reduction would cause a ~6% decrease in MDA8 O₃ in the Southeast, within the 4–7% range we find through the HTAP experiments. Nevertheless, the large positive biases in the Eastern US underscore the need for a better understanding and model parameterization of O₃ chemistry.

6.2 Influence of NA emissions over the range of MDA8 O₃ values

Figure 12 illustrates the binned summertime (JJA) response of MDA8 O₃ to a 20% reduction in NA anthropogenic O₃-precursor emissions for all regions. As MDA8 O₃ increases, the impact NA emissions reductions have on MDA8 O₃ increases in a fairly linear manner. Godowitch et al. (2008) reach a similar conclusion in finding that greater absolute decreases in MDA8 O₃ occur at higher concentrations in response to NO_x emissions reductions due to the NO_x SIP Call. If we look at a region with data in the majority of MDA8 O₃ bins (e.g., Northeast region), we find that the relative decrease in MDA8 O₃ remains fairly constant at ~6% in response to a 20% emissions reduction. The relative decrease is similarly constant across the O₃ distribution for western regions, although the magnitude of the change is slightly lower at ~4%. In comparing the NA (Figs. 10 and A9) vs. foreign (Figs. 6 and A6) emissions reductions scenarios in their respective seasons of greatest influence, Fig. 10 (Fig. A9) shows that the MDA8 O₃ response is 2–10 times greater for NA emissions reductions in summer than for

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emissions reductions abroad in spring (Figs. 6 and A6). Coupling this with the fact that the NA emissions reductions have the greatest effect on MDA8 O₃ when O₃ air quality is typically of the greatest concern (i.e., summer) we conclude that NA emissions reductions remain a far more effective means of reducing the number of exceedance days, particularly in the Eastern US.

7 Summary and conclusions

We present multi-model results from the HTAP experiments which reduced anthropogenic O₃-precursor emissions by 20% in four northern hemispheric source regions. We quantify the influence of foreign and NA emissions reductions on surface MDA8 O₃ throughout the US. We began by developing a novel method to determine “regionally-representative” sites to which the multi-model results were compared (Figs. 2 and A1). We provided context for the year of the HTAP simulations (2001) by comparing with 17 years of CASTNet data (Figs. 3 and A2). Through this analysis, we find that most regions of the US experienced “normal” (i.e., $\pm 3\%$ of the 1988–2004 climatology) O₃ seasons for 2001. Our evaluation of the CASTNet observations to the “base-case” results from the multi-model simulations (Figs. 4–5 and A4–A5; Table 3) revealed that individual models exhibit a very wide spread, but that the multi-model mean represents the observations in most regions and seasons quite well (mean $r^2=0.57$ for all regions and in all seasons; mean annual biases typically <5 ppbv). A notable exception to this is in the Eastern US, where large positive biases exist, especially in summer (9–20 ppbv; ~ 20 –30%).

Results from the perturbation simulations in which foreign (SA+EA+EU) anthropogenic O₃-precursor emissions (NO_x+NMVOC+CO+aerosols) were reduced by 20%, show that the greatest impacts on MDA8 O₃ (~ 0.9 ppbv) are in the Western US during spring and that these responses are relatively flat across the O₃ distribution (Figs. 6 and A6). In contrast, the Eastern US shows a more muted MDA8 O₃ response to anthropogenic emissions reductions abroad. The maximum response is still seen in

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spring (also with a summer minimum), but the magnitude of the responses decreases from ~0.65 ppbv at low MDA8 O₃ values (35–45 ppbv) to ~0.30 ppbv at high O₃ values (65+ ppbv). For the foreign emissions considered (Fig. 9; SA vs. EA vs. EU), we find that EA emissions have the greatest effect on US air quality in most all regions and seasons (0.35–0.45 ppbv) followed closely by EU emissions (0.25–0.35 ppbv), both of which have a far greater impact than SA emissions (0.05–0.15 ppbv). Simulations in which anthropogenic O₃-precursor emissions were reduced by 20% in the NA source region (Figs. 10 and A9) resulted in a far greater impact on O₃ air quality than foreign emissions reductions – by a factor of 2–10 – in the seasons of maximum influence (spring for foreign and summer for NA emissions reductions). The largest effects on MDA8 O₃ (4–6 ppbv) are seen in the Eastern US during summer at the high end of the O₃ distribution (65+ ppbv). The Western US also sees a maximum MDA8 O₃ response in summer, but it is only ~3–4 ppbv.

These results should be interpreted in the context of the slight underestimation of MDA8 O₃ by the multi-model mean in the Western US during spring, which may cause the influence of foreign emissions on surface MDA8 O₃ to be underestimated here (Figs. 7a, b and A7). Also, the large, positive biases in the multi-model mean in the Eastern US during summer may cause the estimates of the NA emissions reductions in summer to be overestimated, though the lack of significant correlations in Fig. 7c and d (Fig. A7) precludes a definitive conclusion. It is difficult to quantify how these biases influence the estimated magnitudes of the surface O₃ response to emission perturbations since the source(s) of these biases remains unknown. In light of this, our study still shows that while the impact of foreign emissions on surface ozone in the US is not negligible – and is of increasing concern given the growth in emissions upwind of the US – domestic emissions reductions remain a far more effective means of decreasing policy-relevant MDA8 O₃ values (i.e., above the current air quality threshold of 75 ppbv), particularly in the O₃ season.

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Table 1. Climatology of exceedance days for each region (defined as MDA8 O₃>75 ppbv). Exceedance days for “Region” are determined by averaging MDA8 O₃ values from each site in the region and then analyzing the multi-site regional mean MDA8 O₃ value. Site-specific exceedance days occur when the daily MDA8 O₃>75 ppbv for that site.

	California			Northwest			Mtn. West			Plains			Great Lakes						
	DEV	YOS	Region	MOR	NCS	Region	PND	GRC	MEV	Region	BVL	CAD	STK	Region	MKG	DCP	OXF	SAL	Region
1988	–	–	–	–	–	–	–	–	–	–	–	–	–	–	47	0	58	0	44
1989	–	–	–	–	–	–	0	0	0	0	22	3	0	2	22	23	32	21	16
1990	–	–	–	–	–	–	0	0	0	0	17	4	0	3	18	24	25	16	18
1991	–	–	–	–	–	–	0	1	0	0	24	2	0	12	38	30	34	24	33
1992	–	–	–	–	–	–	0	3	0	0	7	3	0	0	13	10	8	11	8
1993	–	–	–	–	–	–	0	0	0	0	4	2	0	1	27	16	17	0	7
1994	–	–	–	–	–	–	0	1	0	0	18	3	7	5	17	24	27	20	19
1995	–	–	–	0	0	0	0	0	0	0	24	7	11	8	13	20	16	23	14
1996	7	41	9	0	0	0	2	3	0	2	16	1	9	5	12	31	24	18	17
1997	6	9	3	0	0	0	0	0	0	0	8	6	2	0	6	16	19	11	14
1998	13	26	9	0	0	0	0	0	0	0	19	13	6	8	26	30	31	15	25
1999	10	30	10	1	0	0	1	5	0	0	27	22	11	4	20	47	36	26	25
2000	8	28	9	0	0	0	3	2	3	1	8	12	5	1	5	11	10	8	6
2001	10	22	9	0	0	0	0	0	0	0	5	3	4	1	22	8	7	5	5
2002	12	69	33	0	0	0	1	12	1	0	21	12	8	5	19	27	26	20	21
2003	12	43	13	1	0	0	0	2	1	0	10	2	4	6	5	7	9	7	5
2004	9	37	19	0	0	0	0	2	0	0	0	1	0	0	2	1	2	1	0
Mean	9.7	33.9	12.7	0.2	0.0	0.0	0.4	1.9	0.3	0.2	14.4	6.0	4.2	3.8	18.4	19.1	22.4	13.3	16.3
Far Northeast				Northeast				Southeast				Florida/Gulf							
HOW	ASH	Region	CTH	PSU	WSP	Region	SND	CDZ	SPD	CND	Region	EVE	SUM	Region					
–	–	–	35	37	0	37	–	–	–	–	–	–	–	–					
–	–	–	10	8	33	15	14	0	1	0	2	–	–	–					
–	–	–	10	19	31	19	37	0	21	0	20	–	–	–					
–	–	–	30	39	49	36	6	0	6	2	1	–	–	–					
–	–	–	12	14	23	10	7	0	4	7	5	–	–	–					
2	0	1	12	29	35	23	16	0	2	17	4	–	–	–					
1	1	1	8	15	33	16	3	19	6	10	5	–	–	–					
2	0	1	11	17	42	22	16	19	16	11	8	–	–	–					
0	0	0	6	13	18	10	12	7	0	13	8	–	–	–					
2	1	1	10	14	14	13	8	10	11	26	6	–	–	–					
1	0	0	14	7	36	27	48	27	25	42	23	2	10	3					
2	1	1	12	26	34	27	52	33	23	32	26	3	4	1					
0	0	0	2	10	17	9	33	16	21	9	9	0	7	0					
2	0	1	10	17	26	18	9	4	9	9	3	0	2	0					
1	2	2	17	27	40	26	16	16	23	20	11	0	0	0					
1	0	0	7	4	9	5	7	2	1	3	1	1	4	0					
0	0	0	0	1	9	1	0	1	0	1	0	0	0	0					
1.2	0.4	0.7	12.1	17.5	26.4	18.5	17.8	9.6	10.6	12.6	8.3	0.9	3.9	0.6					

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Table 2. Seasonally-averaged MDA8 O₃ deviations from the climatological mean for the HTAP year (2001) for each region. As we have defined it, a “high” (“low”) MDA8 O₃ season is one in which the seasonal deviation from the climatological average is greater than +3% (more negative than –3%). A “normal” MDA8 O₃ season, therefore, is one in which the seasonal mean did not deviate by more than ±3% from climatology.

Region	Season	Type of O ₃ season in 2001 (% deviation from climatological mean)		
		High	Normal	Low
Northwest	MAM	+11.1		
	JJA		–1.9	
	SON	+8.5		
California	MAM		+1.5	
	JJA		–0.7	
	SON		–0.7	
Mtn West	MAM		–1.2	
	JJA		–0.4	
	SON		–0.3	
Plains	MAM		+1.7	
	JJA		+0.7	
	SON		–2.4	
Great Lakes	MAM		–0.1	
	JJA			–6.2
	SON		+1.4	
Far Northeast	MAM	+5.8		
	JJA		–0.2	
	SON	+5.0		
Northeast	MAM		–1.2	
	JJA		–0.6	
	SON	+8.2		
Southeast	MAM		+2.2	
	JJA			–3.7
	SON	+4.2		
Florida/Gulf	MAM			–4.5
	JJA			–7.2
	SON			–3.2

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Table 3. Region-by-region statistics (mean $\pm 1\sigma$ and r^2) for 2001 seasonally-averaged MDA8 O₃ from observations vs. the multi-model mean. Exceedance days occur when MDA8 O₃ > 75 ppbv. Each mean, σ and r^2 includes all daily MDA8 O₃ values for that season; $n \approx 90$.

Region	MDA8 O ₃ , Mean + 1σ (ppbv)						r^2			# Exceedance Days	
	MAM		JJA		SON		MAM	JJA	SON	Obs	Multi-model mean
	Obs	Multi-model mean	Obs	Multi-model mean	Obs	Multi-model mean					
Northwest	37±6	43±4	31±11	38±8	23±6	35±5	0.36	0.64	0.22	0	0
California	54±7	52±5	66±8	61±9	53±10	50±11	0.46	0.43	0.74	9	3
Mtn West	55±5	52±4	56±4	56±4	47±6	46±7	0.47	0.32	0.81	0	0
Plains	48±9	47±7	54±10	65±8	38±11	43±12	0.60	0.45	0.70	1	10
Great Lakes	49±12	49±11	56±12	72±10	38±13	44±16	0.70	0.46	0.75	5	43
Far Northeast	48±8	44±6	38±12	48±12	33±8	38±11	0.54	0.48	0.68	1	0
Northeast	48±13	48±11	59±14	71±11	40±14	43±16	0.59	0.68	0.80	18	48
Southeast	54±11	54±9	56±10	72±6	46±11	52±12	0.71	0.51	0.44	3	34
Florida/Gulf	44±11	55±7	30±9	50±8	36±9	51±7	0.70	0.71	0.37	0	0

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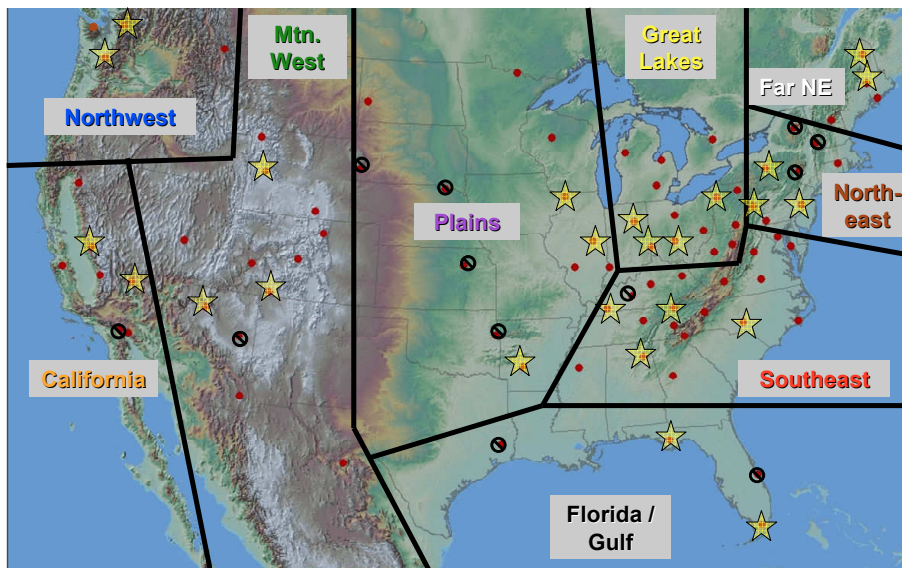


Fig. 1. Map of the 83 CASTNet sites (red dots) in the US. Geographic regions used in our analysis for the year 2001 are divided with black lines. “Regionally-representative” sites are highlighted with stars (criteria discussed in Sect. 2.1). Sites with more than 30 consecutive days of missing data for 2001 (and therefore excluded from our analysis) are denoted by black circles with lines through them.

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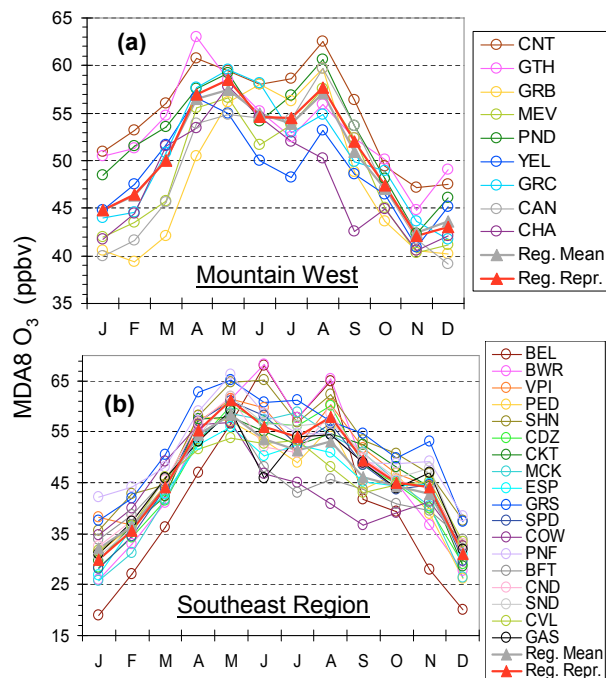


Fig. 2. Monthly mean MDA8 O₃ at the individual CASTNet sites (open circles) and the multi-site regional mean (solid gray triangles) in the (a) Mountain West and (b) Southeast regions. Regionally-representative sites for the Mountain West region are Mesa Verde NP, CO (MEV), Pinedale, WY (PND) and Grand Canyon NP, AZ (GRC); and Cadiz, KY (CDZ), Candor, NC (CND), Sand Mountain, AL (SND) and Speedwell, TN (SPD) for the Southeast region; the mean of these regionally-representative sites is depicted with solid red triangles. Geographic information and 3-letter abbreviations for all sites are listed in Table A1. Note the difference in the range of the y-axes between the two regions.

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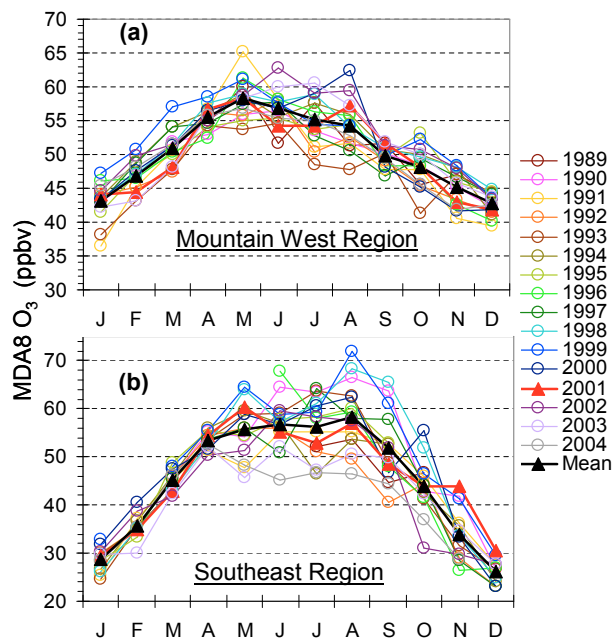


Fig. 3. Climatology of monthly mean MDA8 O₃ for the mean of the regionally-representative sites in the **(a)** Mountain West and **(b)** Southeast regions. Solid red triangles indicate the HTAP year of 2001; solid black triangles depict the multi-year average climatology. Datapoints are missing if <21 days of MDA8 O₃ data exist for that month. Note the difference in the range of the y-axes between the two regions.

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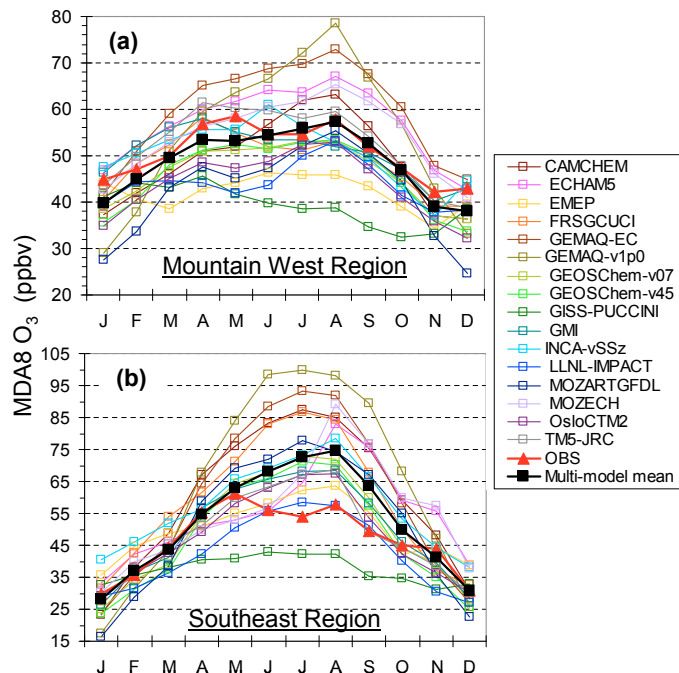


Fig. 4. Observed (solid red triangles) monthly mean MDA8 O₃ for the (a) Mountain West Region and (b) Southeast Region, calculated by averaging the data from the regionally-representative sites shown in Fig. 1 (GRC, MEV and PND for the Mountain West region; CDZ, CND, SND and SPD for the Southeast region). Monthly mean MDA8 O₃ values from each individual model (open squares) and the 16-model mean (solid black squares) were determined by averaging the results from the grid box where each regionally representative site is located. Note the large bias in the models during summer in the Southeast region and also the difference in y-axis ranges.

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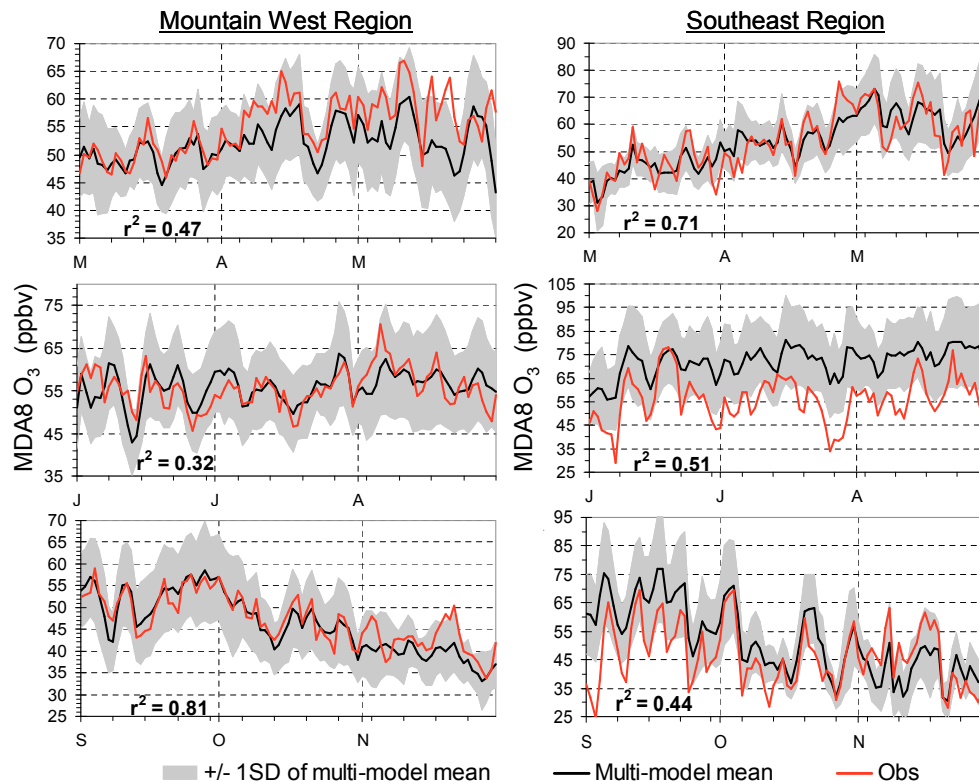


Fig. 5. Daily MDA8 O₃ from observations (red line), multi-model mean (black line) and 1 σ of the multi-model mean (gray shading) for spring (MAM), summer (JJA) and autumn (SON) in the Mountain West region (left) and Southeast region (right) depicted in Fig. 1. Note the range of magnitudes on the y-axes.

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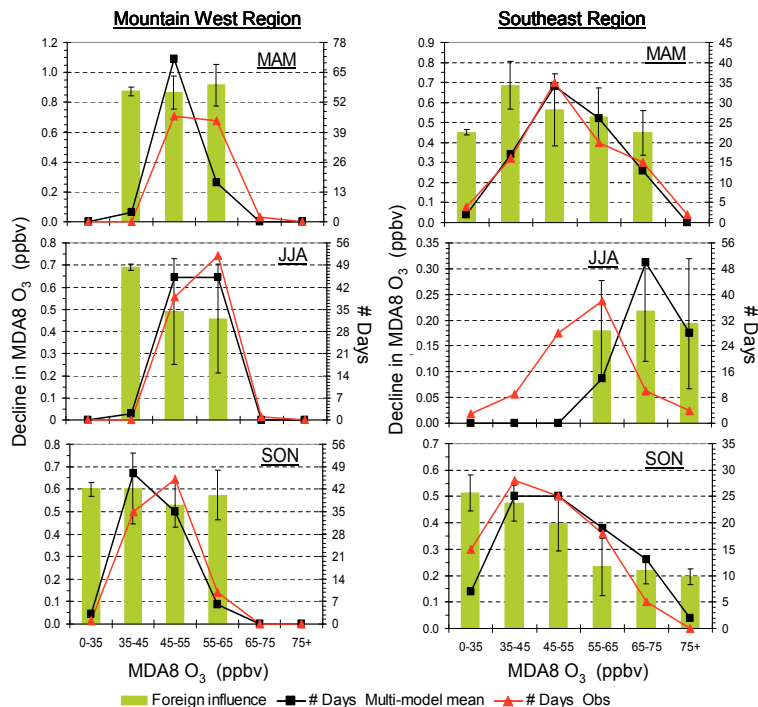


Fig. 6. Number of days for each MDA8 O₃ bin (right-axis) from the multi-model mean (black squares) and observations (red triangles) and the sum of the responses of MDA8 O₃ (left-axis; green columns with error bars representing 1 σ of the multi-model mean) in the Mountain West (left) and Southeast (right) regions to 20% emissions reductions of anthropogenic O₃-precursors (NO_x+CO+NMVOC+aerosols) in the three foreign source regions (EA+SA+EU; Fig. A3), binned by simulated MDA8 O₃, for spring (MAM), summer (JJA) and autumn (SON). Note the range of magnitudes on the y-axes.

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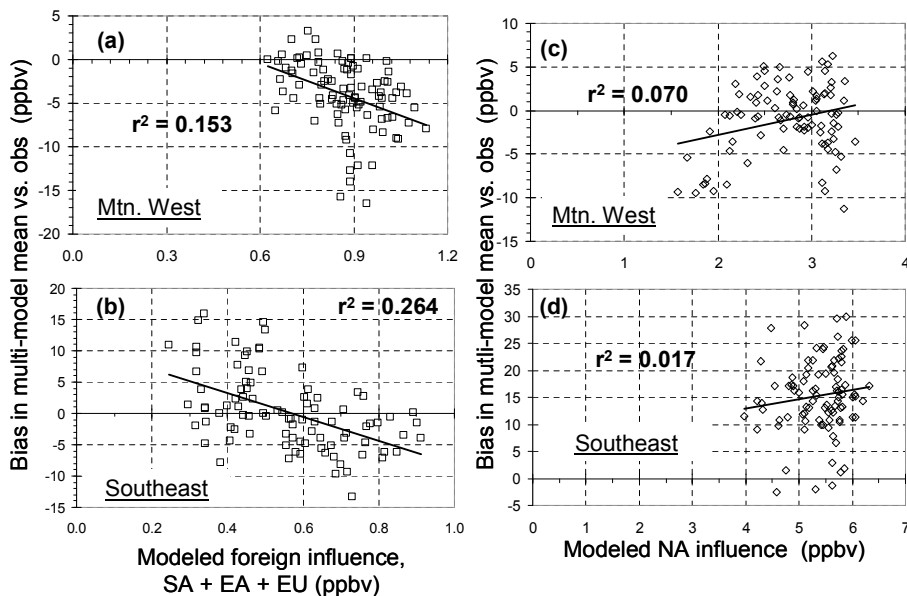


Fig. 7. Bias in the multi-model mean vs. the modeled influence from the three foreign source regions (SA+EA+EU; Fig. A3) during MAM in the (a) Mountain West and (b) Southeast regions. Similar plots but for the modeled NA influence during JJA are shown for the (c) Mountain West and (d) Southeast regions.

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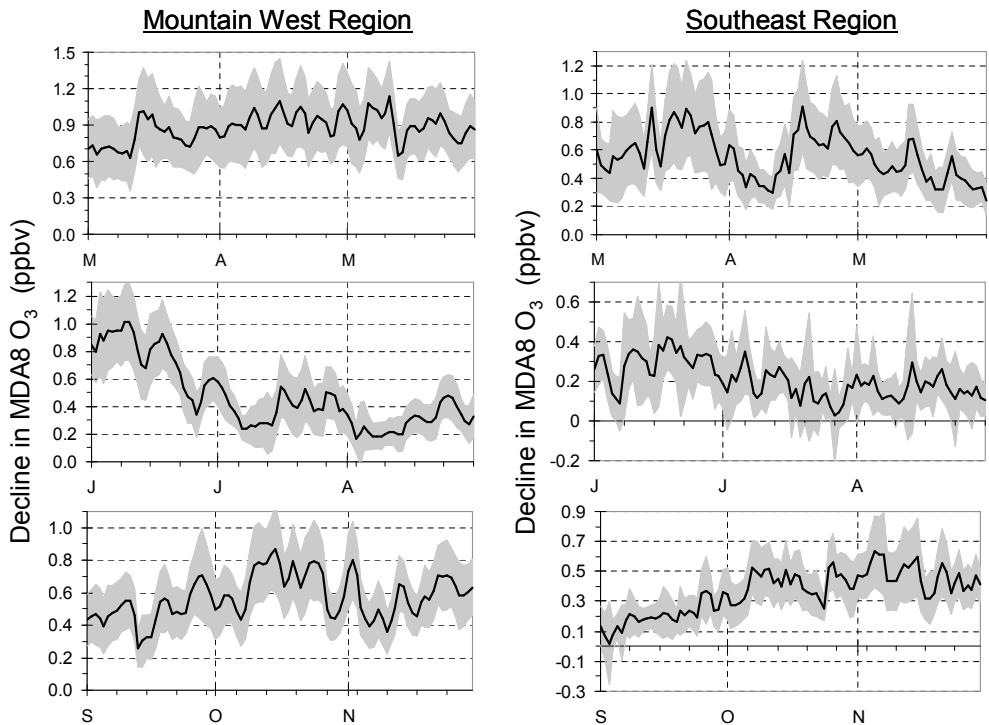


Fig. 8. Multi-model mean (black line) and 1σ of the multi-model mean (gray shading) in the day-to-day variability of the sum of the responses of MDA8 O_3 to 20% emissions reductions in anthropogenic O_3 -precursors ($NO_x + CO + NMVOC + aerosols$) in the three foreign sources regions (SA+EA+EU; Fig. A3) for the Mountain West (left) and Southeast regions (right). Note the range of magnitudes on the y-axes.

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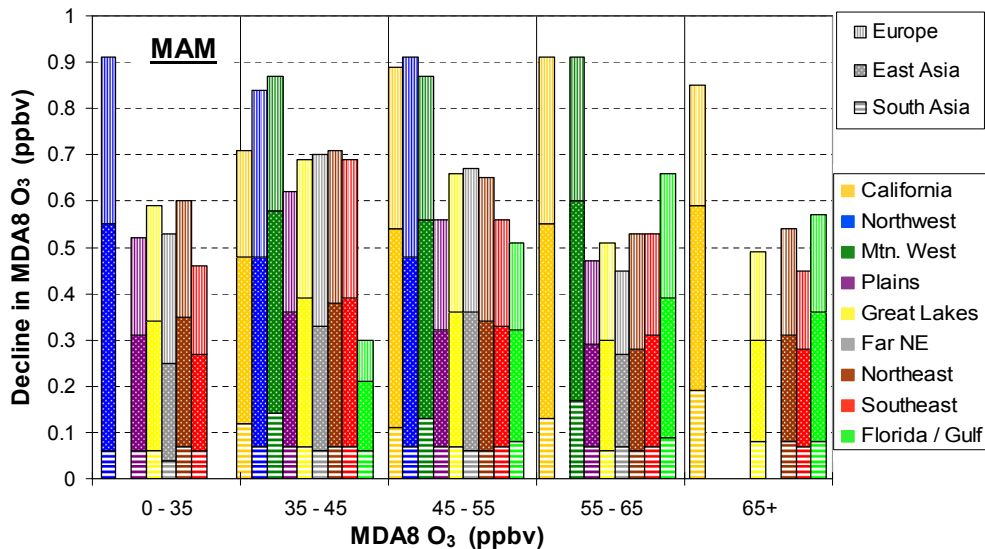


Fig. 9. The multi-model mean springtime (MAM) response of MDA8 O₃ to 20% emissions reductions in anthropogenic O₃ precursors (NO_x+CO+NMVOC+aerosols) in the three foreign sources regions (SA+EA+EU; Fig. A3), binned by simulated MDA8 O₃, for each of the nine geographic regions illustrated in Fig. 1. For clarity, we have omitted error bars, but for each region in each bin, the cumulative 1σ (i.e., sum of the σ for each source region) of the multi-model mean is approximately ±0.15 ppbv. Missing bars indicate that no values from the multi-model mean fell within that bin.

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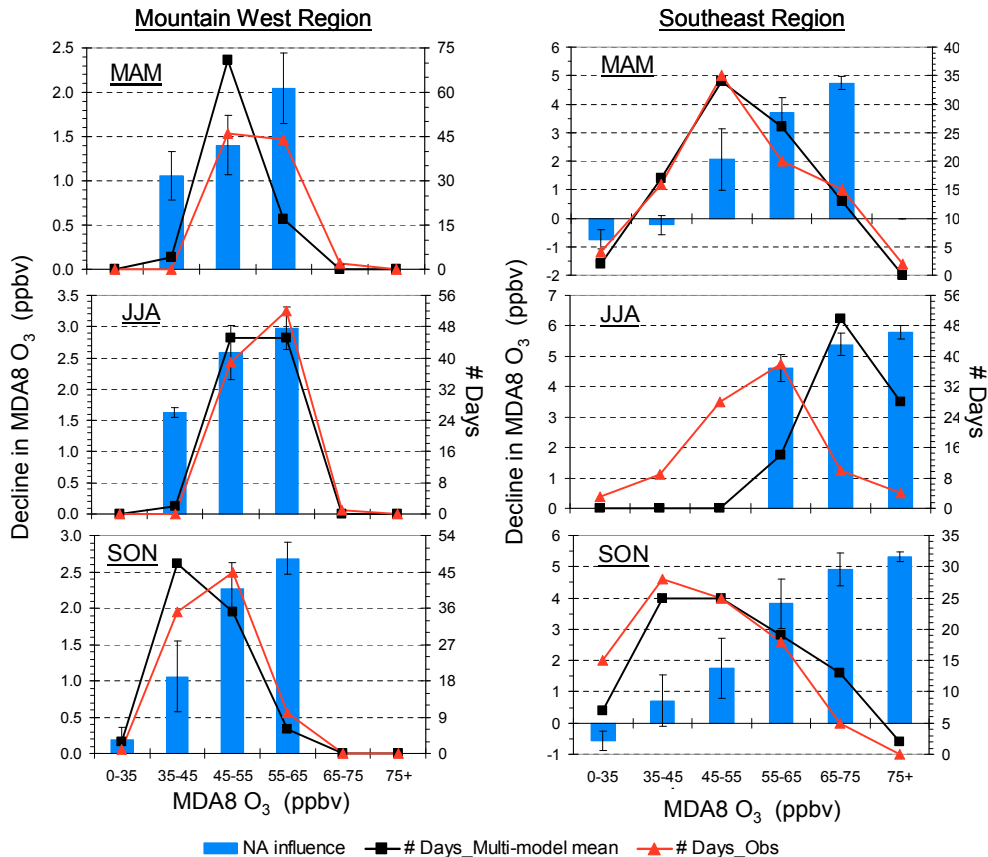


Fig. 10. As in Fig. 6, but for 20% emissions reductions of anthropogenic O₃-precursors (NO_x+CO+NMVOC+aerosols) in the North American source region (shown in Fig. A3). Note the range of magnitudes on the y-axes.

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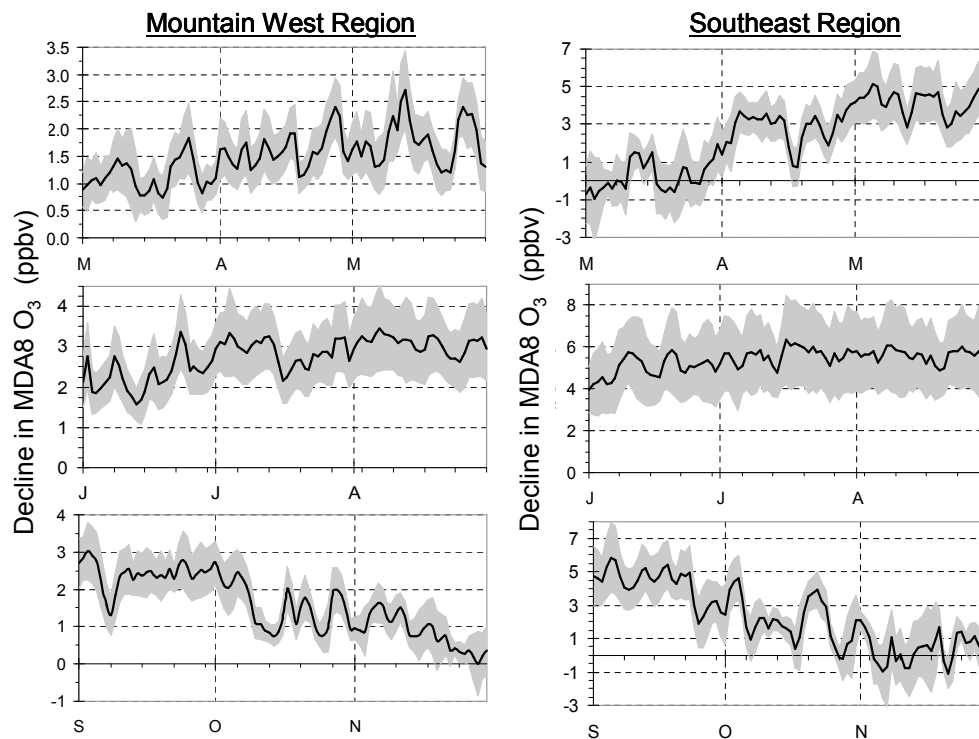


Fig. 11. As in Fig. 8, but for a 20% emissions reduction of anthropogenic O₃-precursors (NO_x+CO+NMVOC+aerosols) in the North American source region (shown in Fig. A3). Note the range of magnitudes on the y-axes.

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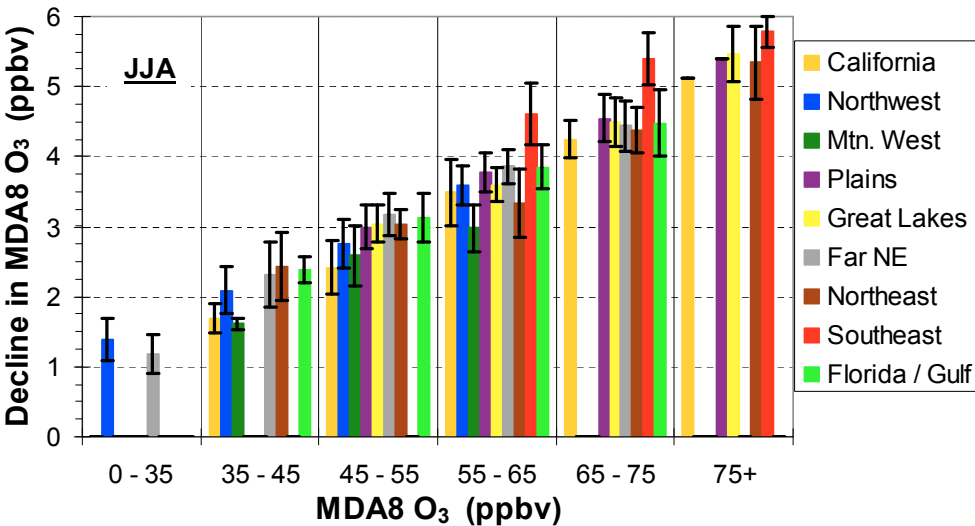


Fig. 12. The multi-model mean summertime (JJA) response of MDA8 O₃ to a 20% reduction in anthropogenic O₃ precursor emissions (NO_x+CO+NMVOC+aerosols) in the North American source region (Fig. A3), binned by simulated MDA8 O₃, for each of the nine geographic regions of the US. Error bars represent 1σ of the multi-model mean response.