# The triton and three-nucleon force in nuclear lattice simulations

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#### Abstract

We study the triton and three-nucleon force at lowest chiral order in pionless effective field theory both in the Hamiltonian and Euclidean nuclear lattice formalism. In the case of the Euclidean lattice formalism, we derive the exact few-body worldline amplitudes corresponding to the standard many-body lattice action. This will be useful for setting low-energy coefficients in future nuclear lattice simulations. We work in the Wigner SU(4)-symmetric limit where the S-wave scattering lengths  $^1S_0$  and  $^3S_1$  are equal. By comparing with continuum results, we demonstrate for the first time that the nuclear lattice formalism can be used to study few-body nucleon systems.

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#### 1 Introduction

The ultimate goal of nuclear physics is the derivation of physical observables from the underlying theory of the strong interactions, quantum chromodynamics (QCD). At low energies quarks and gluons are confined in nucleons and pions and one is forced to work in the non-perturbative regime of QCD. A model-independent approach to non-perturbative QCD is provided by lattice QCD simulations. However, modern lattice QCD simulations work with lattices not much larger than the size of a single nucleon. In the foreseeable future lattice QCD calculations will therefore not be suited to obtain direct results in few-body or many-body nuclear physics.

Alternatively, one can work with the effective degrees of freedom at such energies, i.e., the nucleons and pions. Their interactions are summarized in an effective chiral Lagrangian which in turn may be utilized, e.g., as the interaction kernel in the Lippmann-Schwinger or Faddeev-Yakubovsky equations for few-nucleon systems. The advantage of the effective chiral Lagrangian approach with respect to traditional potential models is the clear theoretical connection to QCD and the possibility to improve the calculations systematically by going to higher chiral orders. Over the last few years, effective field theory methods have been applied to few-nucleon systems, see e.g. [1,2] and references therein. In particular, in the three-nucleon system this framework provided an explanation for universal features such as the Phillips line [3], the Efimov effect [4,5], and the Thomas effect [6], which have no obvious explanation in conventional potential models [7].

Very recently, chiral effective field theory methods on the lattice have been applied to nuclear and neutron matter [8–11]. The chiral effective Lagrangian is discretized on the lattice and the path integral is evaluated non-perturbatively using Monte Carlo sampling. In this work we employ pionless effective field theory at lowest chiral order on the lattice to study the triton and three-nucleon force. We work in the Wigner SU(4)-symmetric limit where the  ${}^{1}S_{0}$  and the  ${}^{3}S_{1}$  scattering lengths are the same, and the isospin-spin  $SU(2)\times SU(2)$  symmetry is promoted to an SU(4) symmetry.

This is the first study of the three-nucleon system in the lattice formalism and the motivations for this study are twofold. First, it provides a starting point for more realistic studies of the triton system as well as other few-nucleon systems using effective field theory and efficient Monte Carlo lattice methods. Second, it provides the first measurement of the three-nucleon force on the lattice in the Wigner symmetry limit. This provides an important step towards future many-body nuclear simulations with arbitrary numbers of neutrons and protons including three-body effects.

This work is organized as follows. We start in the next section by reviewing continuum regularization results for the triton binding energy. This will serve us as a reference for the lattice calculations in the subsequent sections. In Sec. 3 we consider the Hamiltonian lattice using the Lanczos method, while in Sec. 4 the Euclidean lattice formulation is utilized and the path integral is evaluated with Monte Carlo sampling. Our results indicate that many-body simulations of dilute nuclear matter in the Wigner symmetry limit should be possible without a sign problem, even for unequal numbers of protons and neutrons. This will be discussed in Sec. 5, while our conclusions are presented in Sec. 6. A few formulae are deferred to the Appendix.

## 2 Continuum regularization

The nontrivial dependence of the three-body force on the cutoff is a non-perturbative effect. There is no finite set of diagrams which produces the ultraviolet divergence. According to the Thomas effect [6] a two-body interaction with range R and fixed binding energy  $B_2$  produces in the zero range limit a deeply bound three-body state with a binding energy that scales as  $1/R^2$ , see [7] for a review. This singular behavior of the three-body system yields a three-body force that could be quite a different function of the cutoff scale for different regularization schemes. In this section we therefore consider first the usual continuum regularization which will then be compared to lattice regularization employed in the following sections.

In the SU(4)-symmetric limit, where the isospin and spin degrees of freedom can be interchanged, the lowest order non-relativistic effective Lagrange density including three-body interactions reads

$$L = \psi^{\dagger} \left( i \partial_0 + \frac{\vec{\nabla}^2}{2m} \right) \psi - \frac{C_0}{2} \left( \psi^{\dagger} \psi \right)^2 - \frac{D_0}{6} \left( \psi^{\dagger} \psi \right)^3, \tag{1}$$

where  $\psi$  is a four-component column vector of the four nucleon states with mass m,

$$\psi = \begin{bmatrix} p_{\uparrow} \\ p_{\downarrow} \\ n_{\uparrow} \\ n_{\downarrow} \end{bmatrix} . \tag{2}$$

After summing bubble diagrams in the dinucleon or dimer propagator and renormalizing the two-body interaction, we have for the renormalized value of  $C_0$  the relation

$$C_0 = \frac{4\pi a_2}{m},\tag{3}$$

where  $a_2$  is the two-body scattering length. Following [12,13], the homogeneous S-wave bound state equation for dimer-nucleon scattering is

$$t(k,p) = \frac{2}{\pi} \int_0^{\Lambda} dq \frac{t(k,q)q^2}{-\frac{1}{a_2} + \sqrt{\frac{3q^2}{4} - mE_3}} \left[ \frac{1}{pq} \ln \left( \frac{p^2 + pq + q^2 - mE_3}{p^2 - pq + q^2 - mE_3} \right) - \frac{D_0}{3mC_0^2} \right], \tag{4}$$

where  $\Lambda$  is the cutoff momentum,  $E_3$  is the total energy, and k is determined by

$$k = \sqrt{\frac{4m}{3}(E_3 + B_2)} \,\,\,(5)$$

with  $B_2$  the two-body binding energy

$$B_2 = \frac{1}{ma_2^2} \ . \tag{6}$$

In the SU(4)-symmetric limit, the  ${}^{1}S_{0}$  and  ${}^{3}S_{1}$  two-body sectors are degenerate. Since the  ${}^{1}S_{0}$  channel has a nearly zero energy resonance, we take the SU(4)-symmetric two-nucleon binding energy to be about one-half the deuteron binding,

$$B_2 = 1 \text{ MeV}. \tag{7}$$

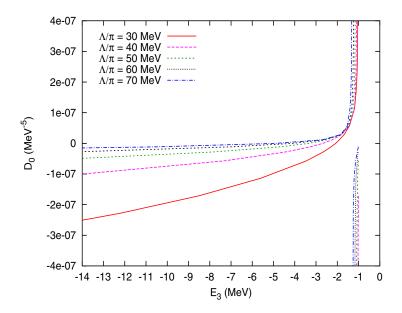


Figure 1: The three-body interaction  $D_0$  as a function of the triton energy  $E_3$  for various cutoff momenta using continuum regularization. The two-nucleon binding energy is set at 1 MeV.

The coupling  $D_0$  is shown in Fig. 1 as a function of  $E_3$ . In the notation of [12] we have

$$H(\Lambda) = \frac{h\Lambda^2}{2mq^2} = -\frac{D_0\Lambda^2}{6mC_0^2} = -\frac{D_0m\Lambda^2}{96\pi^2 a_2^2},\tag{8}$$

with  $H(\Lambda) \sim 1$  away from critical values of  $\Lambda$ , and so  $D_0$  scales roughly as  $\Lambda^{-2}$  for  $E_3$  much less than -1 MeV, as can be seen in Fig. 1. We observe a pole in  $D_0$  as a function of  $E_3$ . For the cutoff values considered here the pole occurs rather close to the -1 MeV continuum threshold for a dimer plus nucleon. The location of this pole,  $E_3^{\text{pole}}$ , slowly increases in magnitude with the cutoff  $\Lambda$ . For  $E_3$  greater than  $E_3^{\text{pole}}$ , there is no way to accommodate the triton as the ground state of the three-body system. As we cross  $E_3^{\text{pole}}$  we can instead switch labels and identify the triton with the first excited S-wave state. If we do this then the value of  $D_0$  must switch from infinitely repulsive to infinitely attractive, and the new ground state now lies outside the range of validity of effective field theory. For very large  $\Lambda a_2$  we expect to see many such poles, but in our case  $\Lambda a_2$  is less than 10 and there is only one pole.

## 3 Hamiltonian lattice with Lanczos method

In this section we consider the triton and three-body force in the Hamiltonian lattice formalism. After constructing the lattice Hamiltonian for the three-nucleon system, we use the Lanczos method [14] to find the lowest eigenvalues. Since we compute several low eigenvalues, the Lanczos method is well-suited to observing the singular behavior of the three-body coupling  $D_0$  in the triton energy. However, the method requires storing in memory the space of three-nucleon states at rest. Thus we cannot probe larger volumes nor any useful systems with more than three particles.

Let  $a_i(\vec{n}_s)$  be an annihilation operator for a nucleon with spin-isospin index i at the spatial lattice site  $\vec{n}_s$ . The lattice Hamiltonian with two-body and three-body interactions corresponding to the Lagrangian in Eq. (1) is

$$H = \frac{3}{m} \sum_{\vec{n}_s, i} a_i^{\dagger}(\vec{n}_s) a_i(\vec{n}_s) - \frac{1}{2m} \sum_{\vec{n}_s, i} \sum_{l_s = 1, 2, 3} \left[ a_i^{\dagger}(\vec{n}_s) a_i(\vec{n}_s + \hat{l}_s) + a_i^{\dagger}(\vec{n}_s) a_i(\vec{n}_s - \hat{l}_s) \right]$$

$$+ C_0 \sum_{\vec{n}_s, i > j} a_i^{\dagger}(\vec{n}_s) a_i(\vec{n}_s) a_j^{\dagger}(\vec{n}_s) a_j(\vec{n}_s) + D_0 \sum_{\vec{n}_s, i > j > k} a_i^{\dagger}(\vec{n}_s) a_i(\vec{n}_s) a_j^{\dagger}(\vec{n}_s) a_j(\vec{n}_s) a_k^{\dagger}(\vec{n}_s) a_k(\vec{n}_s)$$
(9)

with the unit lattice vectors  $\hat{l}_s$  in the spatial directions. All parameters are given in lattice units, i.e., physical parameters are multiplied by the appropriate power of the lattice spacing a. As derived in [11],  $C_0$  is determined by the nucleon-nucleon scattering length  $a_2$ ,

$$C_0 = \frac{1}{m} \frac{1}{\frac{1}{4\pi a_2} - \frac{1}{L^3} \sum_{\vec{k} \neq 0 \text{ integer}} \frac{1}{\Omega_{\vec{k}}}},$$
(10)

where

$$\Omega_{\vec{k}} = 6 - 2\cos\frac{2\pi k_1}{L} - 2\cos\frac{2\pi k_2}{L} - 2\cos\frac{2\pi k_3}{L}.$$
(11)

One disadvantage of using lattice discretization is that rotation invariance is no longer exact. We cannot project out S-wave bound states as in standard continuum regularization. Nevertheless we can identify the subspace of three-nucleon states with zero total momentum and construct the corresponding Hamiltonian submatrix. We then use the Lanczos method to identify the lowest energy eigenvalues and states invariant under the full cubic symmetry group of the lattice.

For lattice spacings  $a^{-1} = 30, 40, 50$  MeV we consider lattices with length L = 4, 5, 6, 7. The dimension of the space is given by  $L^6$  which can be seen as follows: in the rest frame, we need only to specify the relative displacements of the three distinguishable particles. This is given by two vectors and hence  $(L^3)^2 = L^6$  possibilities. We then use these finite volume results to extrapolate to the infinite volume limit. The results are shown in Fig. 2. As with the continuum regularization results we see that  $D_0$  scales roughly as  $\Lambda^{-2}$ . In contrast with continuum regularization results, however, it seems that  $D_0$  is also shifted towards more positive values for smaller values of  $\Lambda$ . There is again a pole singularity not too far below the threshold value of -1 MeV and the location of this pole again slowly increases in magnitude with the inverse lattice spacing.

## 4 Euclidean lattice with Monte Carlo

In this section we consider the triton and three-body force in the Euclidean lattice formalism. We employ the lattice action described in [9] for pure neutron matter and extend it to include protons in the Wigner SU(4) limit. Since the formalism was originally designed for many-body simulations at fixed chemical potential and arbitrary number of particles, we remove the dependence on the chemical potential. We now go through the steps somewhat carefully to find the corresponding Euclidean lattice formalism for fixed number of particles. Our rationale for this extra care is to preserve the same lattice discretization errors due to the spatial and temporal lattice spacings as in [9], so that we can use the few-body results to fix coefficients in the many-body simulation.

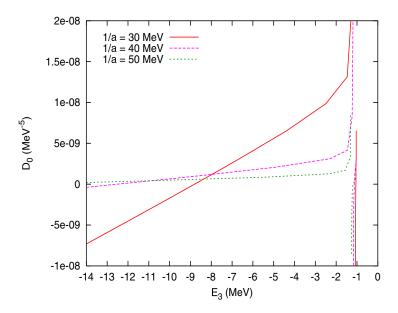


Figure 2: The three-body interaction  $D_0$  as a function of the triton energy  $E_3$  for various lattice spacings using the Hamiltonian lattice formalism. The two-nucleon binding energy is set at 1 MeV.

#### 4.1 Free particle

We start by studying the lattice action for a free single fermion. On the lattice the free Hamiltonian for a single fermion species is given by, see Eq. (9),

$$H^{free} = \frac{3}{m} \sum_{\vec{n}_s} a^{\dagger}(\vec{n}_s) a(\vec{n}_s) - \frac{1}{2m} \sum_{\vec{n}_s} \sum_{l_s = 1, 2, 3} \left[ a^{\dagger}(\vec{n}_s) a(\vec{n}_s + \hat{l}_s) + a^{\dagger}(\vec{n}_s) a(\vec{n}_s - \hat{l}_s) \right] . \tag{12}$$

One can approximate the partition function as a Euclidean path integral

$$Z^{free} = \operatorname{tr}\left[\exp\left(-\beta H^{free}\right)\right] \simeq \int Dc \, Dc^* \exp\left(-S^{free}\right) ,$$
 (13)

where we have dropped an irrelevant constant on the left side of Eq. (13). The lattice action for the free non-relativistic fermion is given by

$$S^{free} = \sum_{\vec{n}} \left[ c^*(\vec{n})c(\vec{n} + \hat{0}) - (1 - 6h)c^*(\vec{n})c(\vec{n}) \right]$$

$$- h \sum_{\vec{n},l_s} \left[ c^*(\vec{n})c(\vec{n} + \hat{l}_s) + c^*(\vec{n})c(\vec{n} - \hat{l}_s) \right]$$
(14)

with  $h = \alpha_t/(2m)$  and  $\alpha_t = a_t/a$  and Grassmann variables  $c, c^*$ , while the  $\hat{0}$  signifies one lattice unit in the forward time direction. Note that the relation between the partition function and the Euclidean path integral in Eq. (13) is exact for h = 0, so that discretization effects in temporal direction are minimized. By translating the c field back by one unit in the time

direction and using the same notation for simplicity, we can write this in the form

$$S^{free} = \sum_{\vec{n}} \left[ c^*(\vec{n})c(\vec{n}) - (1 - 6h)c^*(\vec{n})c(\vec{n} - \hat{0}) \right] - h \sum_{\vec{n},l_s} \left[ c^*(\vec{n})c(\vec{n} + \hat{l}_s - \hat{0}) + c^*(\vec{n})c(\vec{n} - \hat{l}_s - \hat{0}) \right] . \tag{15}$$

We now wish to calculate the path integral by considering explicit fermion worldlines, see Fig. 3 for sample worldlines.

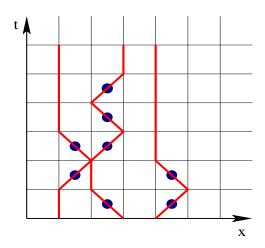


Figure 3: Shown are sample worldlines on a 1+1 dimensional lattice. The circles denote a hop during a time step to a neighboring lattice site.

Clearly it is not feasible to take into account all possible worldlines even for smaller lattices, so we approximate the actual value of the path integral by performing Monte Carlo sampling of the worldlines. The contribution of the worldines to the path integral is most conveniently calculated in the occupation number basis which we will introduce in the following.

To this end, let us first simplify the situation by considering the action without spatial hopping and fixed lattice site  $\vec{n}_s$ ,

$$S_{\vec{n}_s}^{free} = c^*(n)c(n) - (1 - 6h)c^*(n)c(n - 1) , \qquad (16)$$

where n is the temporal component of  $\vec{n}$ . So we are considering the physics of a single free particle at zero temperature with only one spatial lattice site, i.e., a 0+1 dimensional system. Next, let us define coherent quantum states

$$|\eta\rangle = e^{\eta a^{\dagger}} |0\rangle = (1 + \eta a^{\dagger}) |0\rangle,$$
 (17)

where  $\eta$  is a Grassmann variable. Then

$$a |\eta\rangle = ae^{\eta a^{\dagger}} |0\rangle = a (1 + \eta a^{\dagger}) |0\rangle = \eta |0\rangle$$
 (18)

and

$$\langle \eta' | \eta \rangle = \langle 0 | e^{\bar{\eta}' a} e^{\eta a^{\dagger}} | 0 \rangle = \langle 0 | (1 + \bar{\eta}' a)(1 + \eta a^{\dagger}) | 0 \rangle$$
$$= 1 + \bar{\eta}' \eta = e^{\bar{\eta}' \eta}. \tag{19}$$

Also, in this basis the identity is given by

$$\int d\bar{\eta}d\eta \, e^{-\bar{\eta}\eta} |\eta\rangle \langle \eta| = \int d\bar{\eta}d\eta \, (1 - \bar{\eta}\eta) \, (1 + a^{\dagger}\eta) \, |0\rangle \langle 0| \, (1 + \bar{\eta}a)$$

$$= \int d\bar{\eta}d\eta (-\bar{\eta}\eta) \, |0\rangle \langle 0| + \int d\bar{\eta}d\eta \, (\eta\bar{\eta}) \, |1\rangle \langle 1|$$

$$= |0\rangle \langle 0| + |1\rangle \langle 1| = 1 , \qquad (20)$$

where  $|1\rangle$  is the normalized state with occupation number 1. We now do some reverse engineering. Let O be the transition operator for the fermion from time slice i to the next time slice i + 1. We want the path integral with antiperiodic time boundary

$$\int Dc \, Dc^* \exp\left(-S_{\vec{n}_s}^{free}\right) \tag{21}$$

to arise from the trace of an n-fold product  $O^n$  where n is the number of lattice sites in temporal direction

$$\operatorname{tr}(O^{N}) = \int d\eta_{N-1} d\bar{\eta}_{N-1} e^{\bar{\eta}_{N-1}\eta_{N-1}} \langle \eta_{N-1} | O \cdot O \cdot O \cdot O \cdot O | \eta_{N-1} \rangle$$

$$= \int d\eta_{N-1} d\bar{\eta}_{N-1} e^{\bar{\eta}_{N-1}\eta_{N-1}} \langle \eta_{N-1} | O \int d\bar{\eta}_{N-2} d\eta_{N-2} e^{-\bar{\eta}_{N-2}\eta_{N-2}} | \eta_{N-2} \rangle$$

$$\times \langle \eta_{N-2} | O \int d\bar{\eta}_{N-3} d\eta_{N-3} e^{-\bar{\eta}_{N-3}\eta_{N-3}} | \eta_{N-3} \rangle \langle \eta_{N-3} | O \dots | \eta_{0} \rangle \langle \eta_{0} | O | \eta_{N-1} \rangle . \tag{22}$$

Explicit knowledge of the quantum operator O is not necessary, but its matrix elements are required to fulfill

$$\langle \eta_i | O | \eta_{i-1} \rangle = \exp\left[\bar{\eta}_i \eta_{i-1} (1 - 6h)\right] \tag{23}$$

such that the trace of  $O^n$ , Eq. (22), reproduces the path integral.

Let us now calculate matrix elements of O in the occupation number basis. For brevity we merely present the calculation for  $\langle 0|O|0\rangle$ , while the results for the remaining matrix elements are deferred to the appendix,

$$\langle 0|O|0\rangle = \langle 0|\int d\bar{\eta}' d\eta' e^{-\bar{\eta}'\eta'} |\eta'\rangle \langle \eta'|O\int d\bar{\eta} d\eta e^{-\bar{\eta}\eta} |\eta\rangle \langle \eta|0\rangle$$

$$= \int d\bar{\eta}' d\eta' \int d\bar{\eta} d\eta e^{-\bar{\eta}\eta} e^{-\bar{\eta}'\eta'} \exp\left[\bar{\eta}'\eta(1-6h)\right] \langle 0|\eta'\rangle \langle \eta|0\rangle$$

$$= \int d\bar{\eta}' d\eta' \int d\bar{\eta} d\eta e^{-\bar{\eta}\eta} e^{-\bar{\eta}'\eta'} \exp\left[\bar{\eta}'\eta(1-6h)\right]$$

$$= \int d\bar{\eta}' d\eta' \int d\bar{\eta} d\eta (1-\bar{\eta}\eta)(1-\bar{\eta}'\eta') \left[1+\bar{\eta}'\eta(1-6h)\right]$$

$$= \int d\bar{\eta}' d\eta' \int d\bar{\eta} d\eta (-\bar{\eta}\eta)(-\bar{\eta}'\eta') = 1. \tag{24}$$

Next, we consider the case with more than one lattice site and with nearest-neighbor hopping,

$$S^{free} = \sum_{\vec{n}} \left[ c^*(\vec{n})c(\vec{n}) - (1 - 6h)c^*(\vec{n})c(\vec{n} - \hat{0}) \right] - h \sum_{\vec{n},l_s} \left[ c^*(\vec{n})c(\vec{n} + \hat{l}_s - \hat{0}) + c^*(\vec{n})c(\vec{n} - \hat{l}_s - \hat{0}) \right].$$
 (25)

Let  $\eta_{n-1}$ ,  $\eta_n$  be the Grassmann variables at given spatial lattice site (call it A) at time steps n-1 and n. Let  $\theta_{n-1}$ ,  $\theta_n$  be the Grassmann variables at a neighboring spatial lattice site (call it B) at time steps n-1 and n. In order to reproduce the path integral we demand

$$\langle \eta_{n}, \theta_{n} | O | \eta_{n-1}, \theta_{n-1} \rangle = \exp \left[ \bar{\eta}_{n} \eta_{n-1} (1 - 6h) \right] \exp \left[ \bar{\theta}_{n} \theta_{n-1} (1 - 6h) \right] \times \exp \left[ \bar{\eta}_{n} \theta_{n-1} h \right] \exp \left[ \bar{\theta}_{n} \eta_{n-1} h \right]. \tag{26}$$

We now construct the matrix elements of O in the occupation number basis for sites A and B. To this aim, we consider the subspace when there is exactly one particle in either A or B. Let  $|1,0\rangle$  be the state when the particle is at A. Let  $|0,1\rangle$  be the state when the particle is at B. We find

$$\langle 1, 0 | O | 1, 0 \rangle = \int d\bar{\eta}' d\eta' \int d\bar{\eta} d\eta \int d\bar{\theta}' d\theta' \int d\bar{\theta} d\theta (1 - \bar{\eta}\eta) (1 - \bar{\eta}'\eta') (1 - \bar{\theta}\theta) (1 - \bar{\theta}'\theta')$$

$$\times \left[ 1 + \bar{\eta}'\eta (1 - 6h) \right] \left[ 1 + \bar{\theta}'\theta (1 - 6h) \right] \left[ 1 + \bar{\eta}'\theta h \right] \left[ 1 + \bar{\theta}'\eta h \right] \eta'\bar{\eta}$$

$$= (1 - 6h). \tag{27}$$

Also

$$\langle 1, 0 | O | 0, 1 \rangle = \int d\bar{\eta}' d\eta' \int d\bar{\eta} d\eta \int d\bar{\theta}' d\theta' \int d\bar{\theta} d\theta (1 - \bar{\eta}\eta) (1 - \bar{\eta}'\eta') (1 - \bar{\theta}\theta) (1 - \bar{\theta}'\theta')$$

$$\times \left[ 1 + \bar{\eta}'\eta (1 - 6h) \right] \left[ 1 + \bar{\theta}'\theta (1 - 6h) \right] \left[ 1 + \bar{\eta}'\theta h \right] \left[ 1 + \bar{\theta}'\eta h \right] \eta'\bar{\theta}$$

$$= h. \tag{28}$$

Clearly by symmetry between sites A and B one has

$$\langle 0, 1 | O | 0, 1 \rangle = (1 - 6h)$$
 (29)

$$\langle 0, 1 | O | 1, 0 \rangle = h. \tag{30}$$

The rules are now clear for a single free fermion worldline: for a hop to a neighboring lattice site we get an amplitude h, whereas one obtains (1-6h) if the particle stays at the same lattice site.

## 4.2 Two-body interaction

We continue by introducing a two-body interaction, the same for every pair of different fermion species as required in the Wigner SU(4) limit. We take the two-body contact term of the Hamiltonian in Eq. (9)

$$H_2 = C_0 \sum_{\vec{n}_s, i > j} a_i^{\dagger}(\vec{n}_s) a_i(\vec{n}_s) a_j^{\dagger}(\vec{n}_s) a_j(\vec{n}_s) . \tag{31}$$

Utilizing a Hubbard-Stratonovich transformation with an auxiliary scalar field  $s(\vec{n})$  eliminates the four-fermion term and yields

$$S = \sum_{\vec{n},i} \left[ c_i^*(\vec{n}) c_i(\vec{n} + \hat{0}) - e^{\sqrt{-C_0 \alpha_t} s(\vec{n}) + \frac{C_0 \alpha_t}{2}} (1 - 6h) c_i^*(\vec{n}) c_i'(\vec{n}) \right] - h \sum_{\vec{n},l_s,i} \left[ c_i^*(\vec{n}) c_i'(\vec{n} + \hat{l}_s) + c_i^*(\vec{n}) c_i'(\vec{n} - \hat{l}_s) \right] + \frac{1}{2} \sum_{\vec{n}} s^2(\vec{n}).$$
(32)

The strength of the two-body coefficient  $C_0$  is determined by summing nucleon-nucleon bubble diagrams [9]. Again we translate the c field

$$S = \sum_{\vec{n},i} \left[ c_i^*(\vec{n}) c_i(\vec{n}) - e^{\sqrt{-C_0 \alpha_t} s(\vec{n}) + \frac{C_0 \alpha_t}{2}} (1 - 6h) c_i^*(\vec{n}) c_i(\vec{n} - \hat{0}) \right]$$

$$- h \sum_{\vec{n},l_s,i} \left[ c_i^*(\vec{n}) c_i(\vec{n} + \hat{l}_s - \hat{0}) + c_i^*(\vec{n}) c_i(\vec{n} - \hat{l}_s - \hat{0}) \right] + \frac{1}{2} \sum_{\vec{n}} s^2(\vec{n})$$
(33)

and factorize the two-body interaction contribution

$$\exp[-S] = \exp\left[-S^{free}\right] \times \prod_{\vec{n}} \left[ e^{-\frac{1}{2}s^{2}(\vec{n})} \exp\left[\left(e^{\sqrt{-C_{0}\alpha_{t}}s(\vec{n}) + \frac{C_{0}\alpha_{t}}{2}} - 1\right)(1 - 6h)\sum_{i} c_{i}^{*}(\vec{n})c_{i}(\vec{n} - \hat{0})\right] \right].$$
(34)

For simplicity we start with the case when there are only two fermion species which we call  $i = \uparrow, \downarrow$ . Expanding the exponential of the two-body interaction in Eq. (34) and making use of

$$\int ds(\vec{n}) \ e^{-\frac{1}{2}s^2(\vec{n})} \left( e^{\sqrt{-C_0\alpha_t}s(\vec{n}) + \frac{C_0\alpha_t}{2}} - 1 \right) = 0 \tag{35}$$

we arrive at

$$\int ds(\vec{n}) \ e^{-\frac{1}{2}s^{2}(\vec{n})} \exp\left[\left(e^{\sqrt{-C_{0}\alpha_{t}}s(\vec{n}) + \frac{C_{0}\alpha_{t}}{2}} - 1\right)(1 - 6h)\sum_{i} c_{i}^{*}(\vec{n})c_{i}(\vec{n} - \hat{0})\right] 
= \int ds(\vec{n}) \left[e^{-\frac{1}{2}s^{2}(\vec{n})} + e^{-\frac{1}{2}s^{2}(\vec{n})}\left(e^{\sqrt{-C_{0}\alpha_{t}}s(\vec{n}) + \frac{C_{0}\alpha_{t}}{2}} - 1\right)^{2}(1 - 6h)^{2}c_{\uparrow}^{*}(\vec{n})c_{\uparrow}(\vec{n} - \hat{0})c_{\downarrow}^{*}(\vec{n})c_{\downarrow}(\vec{n} - \hat{0})\right] 
= \sqrt{2\pi} \exp\left[\left(e^{-C_{0}\alpha_{t}} - 1\right)(1 - 6h)^{2}c_{\uparrow}^{*}(\vec{n})c_{\uparrow}(\vec{n} - \hat{0})c_{\downarrow}^{*}(\vec{n})c_{\downarrow}(\vec{n} - \hat{0})\right].$$
(36)

The  $\sqrt{2\pi}$  is an irrelevant factor which drops out of every physical observable and will thus be neglected. The important term is

$$\exp\left[\left(e^{-C_0\alpha_t} - 1\right)(1 - 6h)^2 c_{\uparrow}^*(\vec{n})c_{\uparrow}(\vec{n} - \hat{0})c_{\downarrow}^*(\vec{n})c_{\downarrow}(\vec{n} - \hat{0})\right] \tag{37}$$

which yields a contribution only if an  $\uparrow$  particle and a  $\downarrow$  particle are at the same spatial site at the beginning of a time step and neither one hops during the time step. For such a configuration the free particle action delivers an amplitude of  $(1-6h)^2$  such that the entire amplitude including the interaction becomes

$$(1 - 6h)^2 + (e^{-C_0\alpha_t} - 1)(1 - 6h)^2 = e^{-C_0\alpha_t}(1 - 6h)^2.$$
(38)

Hence there is an additional factor of  $e^{-C_0\alpha_t}$  due to the two-body interaction. The reason for this simple result is that when h=0, there is no time discretization error in our lattice formulation. It exactly matches the Hamiltonian formulation without hopping.

We generalize our findings to the case with more than two fermion species. Expansion of the two-body interaction term yields

$$\exp\left[\left(e^{\sqrt{-C_0\alpha_t}s(\vec{n}) + \frac{C_0\alpha_t}{2}} - 1\right)(1 - 6h)\sum_{i} c_i^*(\vec{n})c_i(\vec{n} - \hat{0})\right]$$

$$= 1 + \left(e^{\sqrt{-C_0\alpha_t}s(\vec{n}) + \frac{C_0\alpha_t}{2}} - 1\right)(1 - 6h)\sum_{i} c_i^*(\vec{n})c_i(\vec{n} - \hat{0})$$

$$+ \left(e^{\sqrt{-C_0\alpha_t}s(\vec{n}) + \frac{C_0\alpha_t}{2}} - 1\right)^2(1 - 6h)^2\sum_{i>j} c_i^*(\vec{n})c_i(\vec{n} - \hat{0})c_j^*(\vec{n})c_j(\vec{n} - \hat{0})$$

$$+ \left(e^{\sqrt{-C_0\alpha_t}s(\vec{n}) + \frac{C_0\alpha_t}{2}} - 1\right)^3(1 - 6h)^3\sum_{i>j>k} c_i^*(\vec{n})c_i(\vec{n} - \hat{0})c_j^*(\vec{n})c_j(\vec{n} - \hat{0})c_k^*(\vec{n})c_k(\vec{n} - \hat{0})$$

$$+ \cdots,$$

$$(39)$$

where the ellipsis denotes higher orders in the Grassmann variables  $c^*$ , c. The integral formula

$$\int ds(\vec{n}) \ e^{-\frac{1}{2}s^2(\vec{n})} e^{k\left[\sqrt{-C_0\alpha_t}s(\vec{n}) + \frac{C_0\alpha_t}{2}\right]} = \int ds(\vec{n}) \ e^{-\frac{1}{2}s^2(\vec{n}) + k\sqrt{-C_0\alpha_t}s(\vec{n}) + k\frac{C_0\alpha_t}{2}}$$

$$= e^{(k-k^2)\frac{C_0\alpha_t}{2}} \sqrt{2\pi}.$$
(40)

allows us to perform the integration over the auxiliary field s. For three different fermion species, which we denote by i = 1, 2, 3, we obtain after integration over s

$$\exp\left[\left(e^{-C_0\alpha_t} - 1\right)(1 - 6h)^2 c_1^*(\vec{n})c_1(\vec{n} - \hat{0})c_2^*(\vec{n})c_2(\vec{n} - \hat{0})\right] \times \exp\left[\left(e^{-C_0\alpha_t} - 1\right)(1 - 6h)^2 c_2^*(\vec{n})c_2(\vec{n} - \hat{0})c_3^*(\vec{n})c_3(\vec{n} - \hat{0})\right] \times \exp\left[\left(e^{-C_0\alpha_t} - 1\right)(1 - 6h)^2 c_3^*(\vec{n})c_3(\vec{n} - \hat{0})c_1^*(\vec{n})c_1(\vec{n} - \hat{0})\right] \times \exp\left[\left(e^{-3C_0\alpha_t} - 3e^{-C_0\alpha_t} + 2\right)(1 - 6h)^3 c_1^*(\vec{n})c_1(\vec{n} - \hat{0})c_2^*(\vec{n})c_2(\vec{n} - \hat{0})c_3^*(\vec{n})c_3(\vec{n} - \hat{0})\right].$$
(41)

Note that the apparent three-body interaction from the Hubbard-Stratonovich transformation is  $O(\alpha_t^2)$ , whereas the other terms in Eq. (41) are of order  $O(\alpha_t)$ . It is therefore a discretization effect which disappears as the temporal lattice spacing goes to zero.

It is straightforward to see that this term simply produces the correct combinatorial factors in the pairwise two-body interactions, and in fact is not a three-body interaction. If there are exactly two particles of different species at the same site and neither one hops during the time step, we get a contribution analogous to Eq. (38),

$$(1 - 6h)^3 + (e^{-C_0\alpha_t} - 1)(1 - 6h)^3 = e^{-C_0\alpha_t}(1 - 6h)^3,$$
(42)

i.e., we obtain an extra factor of  $e^{-C_0\alpha_t}$  from the two-body interaction. But for three different particles at the same site and no hop during the time step the contribution to the amplitude is

$$(1 - 6h)^3 + 3\left(e^{-C_0\alpha_t} - 1\right)(1 - 6h)^3 + \left(e^{-3C_0\alpha_t} - 3e^{-C_0\alpha_t} + 2\right)(1 - 6h)^3$$

$$= e^{-3C_0\alpha_t}(1 - 6h)^3. \tag{43}$$

So we get a factor of  $e^{-3C_0\alpha_t}$  from the three pairwise two-body interactions. Again, the reason this is so simple is that when h=0, there is no time discretization in our lattice formulation. Moreover, from these considerations it should be clear that for an arbitrary number of fermion species we get a factor  $e^{-C_0\alpha_t}$  for each pairwise interaction.

#### 4.3 Three-body interaction

In the present investigation we choose the lattice three-body interaction in such a way that for exactly three particles of different kind at the same site and no hop during the time step the contribution to the amplitude reads  $e^{-D_0\alpha_t}(1-6h)^3$ . We assume that the lattice action with Hubbard-Stratonovich fields has been designed appropriately to coincide with this definition. Its explicit form is not relevant here.

#### 4.4 Results

After having discussed the possible contributions to the worldlines of three distinct fermions on the lattice, we present now the results of the simulation. Let  $|0,0,0\rangle$  be the state with three nucleons, each of different kind and each with zero momentum. We employ path integral Monte Carlo with worldlines to compute lattice approximations for

$$G(\beta) = \langle 0, 0, 0 | \exp[-\beta H] | 0, 0, 0 \rangle$$
, (44)

making use of the fact that the state  $|0,0,0\rangle$  has a non-vanishing overlap with the three-body ground state of the Hamiltonian H. By measuring the exponential tail of  $G(\beta)$  for large  $\beta$ , we have a measurement for the triton ground state energy  $E_3$ . For pairs of spatial and temporal lattice spacings,

$$a^{-1} = 30 \text{ MeV}, \ a_t^{-1} = 9 \text{ MeV};$$
 (45)

$$a^{-1} = 40 \text{ MeV}, \ a_t^{-1} = 16 \text{ MeV};$$
 (46)

$$a^{-1} = 50 \text{ MeV}, \ a_t^{-1} = 25 \text{ MeV};$$
 (47)

$$a^{-1} = 60 \text{ MeV}, \ a_t^{-1} = 36 \text{ MeV};$$
 (48)

we compute  $D_0$  as a function of the triton energy  $E_3$ . The results are shown in Fig. 4.

We have stayed away from the sensitive region  $E_3 \approx -1$  MeV. Since there are many dimer plus nucleon continuum states near the energy threshold -1 MeV, it is difficult to extract  $E_3$  accurately. It requires lattices with larger temporal extensions and significantly more CPU time to study this critical region. For  $E_3 < -2$  MeV, however, the simulation is not difficult and the finite volume effects are small. For  $a^{-1} = 30$  MeV and 40 MeV we used a lattice volume of  $L^3 = 6^3$ ; for  $a^{-1} = 50$  MeV we used  $L^3 = 7^3$ ; and for  $a^{-1} = 60$  MeV we used  $L^3 = 8^3$ . The error bars shown are stochastic error estimates, determined by the data from four different processors with completely independent runs. The finite volume errors are significantly smaller than the stochastic error estimates shown. We note the clear similarity between the Euclidean lattice results and Hamiltonian lattice results.

## 5 Lattice action positivity

Pure neutron matter has been studied on the lattice using effective field theory both with pions [8] and without pions [9–11]. For cold dilute neutron matter at momentum scales below the pion mass, pionless effective field theory should provide an adequate description of the low-energy physics. One nice feature of the lowest-order pionless theory for pure neutron matter is that it can be implemented on the lattice with a positive semi-definite action by means of a

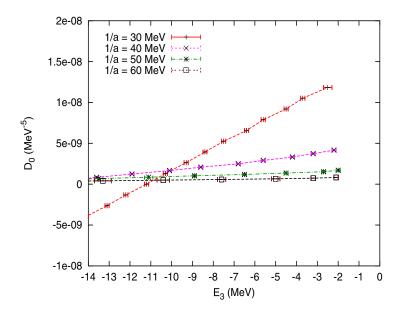


Figure 4: The three-body interaction  $D_0$  as a function of the triton energy  $E_3$  for various lattice spacings using the Euclidean lattice formalism. The two-nucleon binding energy is set at 1 MeV.

Hubbard-Stratonovich transformation. This feature is very important for performing efficient Monte Carlo simulations.

For cold dilute nuclear matter with a small proton fraction, one expects that pionless effective field theory also describes the relevant low-energy physics. However, in this case a three-nucleon force is required for consistent renormalization. Until recently it has been an open question whether or not this three-nucleon force would spoil positivity of the lattice action. It was shown in [15] that in the Wigner SU(4)-symmetric limit, the lattice action would remain positive so long as the three-nucleon force was not too strong and the four-nucleon force was not too repulsive. However, it was not known if the parameters of the real world satisfy these conditions. In this section we show that these conditions are indeed satisfied for typical lattice spacings relevant for cold dilute nuclear matter.

Consider a Euclidean lattice action with two-, three- and four-body interactions of the form

$$\sum_{\vec{n}} \left[ c_2(\bar{\psi}\psi(\vec{n}))^2 + c_3(\bar{\psi}\psi(\vec{n}))^3 + c_4(\bar{\psi}\psi(\vec{n}))^4 \right] . \tag{49}$$

In [15] it was shown that a Wigner SU(4)-symmetric nuclear lattice simulation is possible with unequal numbers of protons and neutrons without a sign problem if and only if the matrix

$$\begin{bmatrix} 1 & 0 & 2c_2 \\ 0 & 2c_2 & 6c_3 \\ 2c_2 & 6c_3 & 12c_2^2 + 24c_4 \end{bmatrix}$$
 (50)

is positive semi-definite, with the added condition that if  $c_2 = 0$  then  $c_4 = 0$ . The determinant of this matrix is  $16c_2^3 - 36c_3^2 + 48c_2c_4$ . The relations between  $c_2$  and  $c_3$  (in lattice units) and

$a^{-1} \text{ (MeV)}$	$a_t^{-1} \text{ (MeV)}$	h	$C_0 \; (\mathrm{MeV^{-2}})$	$D_0 \; (\mathrm{MeV^{-5}})$	$c_2$	$c_3$	$16c_2^3 - 36c_3^2$
30	9	0.0532	$-2.66 \times 10^{-4}$	$4.52 \times 10^{-9}$	0.28	-0.016	0.35
40	16	0.0532	$-1.83 \times 10^{-4}$	$2.22 \times 10^{-9}$	0.25	-0.023	0.23
50	25	0.0532	$-1.39 \times 10^{-4}$	$1.09 \times 10^{-9}$	0.23	-0.026	0.18
60	36	0.0532	$-1.13 \times 10^{-4}$	$5.64 \times 10^{-10}$	0.22	-0.027	0.15

Table 1: Shown are the values for  $c_2$ ,  $c_3$  and the determinant  $16c_2^3 - 36c_3^2$  for given values of the lattice spacings a and  $a_t$ .

our parameters  $C_0$  and  $D_0$  (in physical units) are

$$c_2 = \frac{1}{2}(1 - 6h)^2 \left(e^{-C_0 a^{-3} a_t} - 1\right), \tag{51}$$

$$c_3 = \frac{1}{6} (1 - 6h)^3 \left( e^{-D_0 a^{-6} a_t} - 1 \right). \tag{52}$$

While the three-nucleon force is required for consistent renormalization at lowest order, the four-nucleon force is an irrelevant operator and can be neglected for sufficiently small lattice spacings [16]. If we assume the four-nucleon force to be zero, then the determinant is  $16c_2^3-36c_3^2$ .

For an SU(4)-symmetric triton binding energy of 8 MeV, we find the results shown in Table 1. We see that for lattice spacings in this range the determinant  $16c_2^3 - 36c_3^2$  remains positive. This implies that many-body simulations of cold dilute nuclear matter in the Wigner symmetry limit should be possible without a sign problem, even for unequal numbers of protons and neutrons.

As noted above, we have ignored the irrelevant operator producing an SU(4)-symmetric four-nucleon force. If we do include a small four-nucleon force, this positivity result should most likely remain intact. However, this will be addressed explicitly in a future study of the four-nucleon system [17].

## 6 Conclusions

In this work we have introduced two novel approaches to few-body nuclear lattice simulations. We worked in the Wigner SU(4)-symmetric limit where the S-wave scattering lengths are equal. First, in the Hamiltonian lattice formalism with the Lanczos method the three-body interaction strength was calculated as a function of the triton binding energy for various lattice spacings, while keeping the two-nucleon binding energy fixed at 1 MeV. Our findings indicate that the coupling scales roughly—as expected—as the lattice spacing squared. We furthermore observe a pole singularity in the coupling slightly above the threshold value of 1 MeV in the triton binding energy. This is in good agreement with continuum regularization results.

The second framework applied is the Euclidean lattice formalism with Monte Carlo sampling of the path integral. We observe a clear similarity with the Hamiltonian lattice results for triton binding energies greater than 2 MeV. For binding energies closer to the threshold energy of 1 MeV it is difficult to extract the binding energy accurately with this method due to the presence of many dimer plus nucleon continuum states in this energy region.

Although we have restricted ourselves to three nucleons and two- and three-nucleon forces in the present investigation, our results suggest that the Euclidean lattice method can be generalized to a larger number of nucleons and more complicated forces amongst them. It sets the stage for further studies in the few-body sector, such as the inclusion of a four-body force which can be easily included in this formalism or effects due to breaking of Wigner symmetry [17]. The importance of higher chiral orders in the action can be studied as well.

Moreover, this work provides a first measurement of the three-nucleon force on the lattice in the Wigner symmetry limit and is an important step towards future many-body simulations with arbitrary numbers of nucleons including three-body effects.

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## A Matrix elements of O

In this appendix, we present the remaining matrix elements of O in the occupation number basis not given in the main text. For a lattice with one spatial lattice site and one fermion we obtain

$$\langle 0|O|1\rangle = \langle 0|\int d\bar{\eta}' d\eta' e^{-\bar{\eta}'\eta'} |\eta'\rangle \langle \eta'|O\int d\bar{\eta} d\eta e^{-\bar{\eta}\eta} |\eta\rangle \langle \eta|1\rangle$$

$$= \int d\bar{\eta}' d\eta' \int d\bar{\eta} d\eta e^{-\bar{\eta}\eta} e^{-\bar{\eta}'\eta'} \exp\left[\bar{\eta}'\eta(1-6h)\right]\bar{\eta}$$

$$= 0 \tag{A.1}$$

$$\langle 1|O|0\rangle = \langle 1|\int d\bar{\eta}' d\eta' e^{-\bar{\eta}'\eta'} |\eta'\rangle \langle \eta'|O\int d\bar{\eta} d\eta e^{-\bar{\eta}\eta} |\eta\rangle \langle \eta|0\rangle$$

$$= \int d\bar{\eta}' d\eta' \int d\bar{\eta} d\eta e^{-\bar{\eta}\eta} e^{-\bar{\eta}'\eta'} \exp\left[\bar{\eta}'\eta(1-6h)\right] \eta'$$

$$= 0 \tag{A.2}$$

$$\langle 1|O|1\rangle = \langle 1|\int d\bar{\eta}' d\eta' e^{-\bar{\eta}'\eta'} |\eta'\rangle \langle \eta'|O\int d\bar{\eta} d\eta e^{-\bar{\eta}\eta} |\eta\rangle \langle \eta|1\rangle$$

$$= \int d\bar{\eta}' d\eta' \int d\bar{\eta} d\eta e^{-\bar{\eta}\eta} e^{-\bar{\eta}'\eta'} \exp\left[\bar{\eta}'\eta(1-6h)\right] \eta'\bar{\eta}$$

$$= \int d\bar{\eta}' d\eta' \int d\bar{\eta} d\eta(1-\bar{\eta}\eta)(1-\bar{\eta}'\eta') \left[1+\bar{\eta}'\eta(1-6h)\right] \eta'\bar{\eta}$$

$$= \int d\bar{\eta}' d\eta' \int d\bar{\eta} d\eta \left[\bar{\eta}'\eta\eta'\bar{\eta}(1-6h)\right] = (1-6h). \tag{A.3}$$

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