



Cluster analysis of European surface ozone observations for evaluation of MACC reanalysis data

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Received: 30 November 2015 – Published in Atmos. Chem. Phys. Discuss.: 8 February 2016

Revised: 6 April 2016 – Accepted: 14 April 2016 – Published: 6 June 2016

Abstract. The high density of European surface ozone monitoring sites provides unique opportunities for the investigation of regional ozone representativeness and for the evaluation of chemistry climate models. The regional representativeness of European ozone measurements is examined through a cluster analysis (CA) of 4 years of 3-hourly ozone data from 1492 European surface monitoring stations in the Airbase database; the time resolution corresponds to the output frequency of the model that is compared to the data in this study. *K*-means clustering is implemented for seasonal–diurnal variations (i) in absolute mixing ratio units and (ii) normalized by the overall mean ozone mixing ratio at each site. Statistical tests suggest that each CA can distinguish between four and five different ozone pollution regimes. The individual clusters reveal differences in seasonal–diurnal cycles, showing typical patterns of the ozone behavior for more polluted stations or more rural background. The robustness of the clustering was tested with a series of *k*-means runs decreasing randomly the size of the initial data set or lengths of the time series. Except for the Po Valley, the clustering does not provide a regional differentiation, as the member stations within each cluster are generally distributed all over Europe. The typical seasonal, diurnal, and weekly cycles of each cluster are compared to the output of the multi-year global reanalysis produced within the Monitoring of Atmospheric Composition and Climate (MACC) project. While the MACC reanalysis generally captures the shape of the diurnal cycles and the diurnal amplitudes, it is not able to reproduce the seasonal cycles very well and it exhibits a high bias up to 12 nmol mol^{-1} . The bias decreases from more polluted clusters to cleaner ones. Also, the seasonal and weekly cycles and frequency distributions

of ozone mixing ratios are better described for clusters with relatively clean signatures. Due to relative sparsity of CO and NO_x measurements these were not included in the CA. However, simulated CO and NO_x mixing ratios are consistent with the general classification into more polluted and more background sites. Mean CO mixing ratios are within $140\text{--}145\text{ nmol mol}^{-1}$ (CL1–CL3) and $130\text{--}135\text{ nmol mol}^{-1}$ (CL4 and CL5), and NO_x mixing ratios are within $4\text{--}6\text{ nmol mol}^{-1}$ and $2\text{--}3\text{ nmol mol}^{-1}$, respectively. These results confirm that relatively coarse-scale global models are more suitable for simulation of regional background concentrations, which are less variable in space and time. We conclude that CA of surface ozone observations provides a powerful and robust way to stratify sets of stations, being thus more suitable for model evaluation.

1 Introduction

Tropospheric ozone is a strong oxidant affecting people's health (Touloumi et al., 1997; Bell et al., 2006; Schwartz et al., 1994) and reducing yields of agricultural plants (Emberson et al., 2003; Ashmore, 2005; Pang et al., 2009). Furthermore, it is responsible for a significant fraction of global warming (IPCC, 2013). Ozone is photochemically produced in the troposphere in a chain of chemical reactions from precursors which concentrations are strongly influenced by anthropogenic activities. Maximum ozone concentrations are therefore often found in or near large urban agglomerations during summer (NRC, 1991), giving rise to summer smog episodes. Since the 1990s tropospheric ozone has been continuously monitored at many ground sites across Europe.

Numerical models of atmospheric transport and chemistry (CTMs) have become indispensable tools for the interpretation of measurement data, the analysis of sensitivities towards, for example, emission changes, and the evaluation of potential future air quality changes in the context of climate change.

Since 2005, a major European effort is under way to establish an operational system for monitoring and predicting global and European air quality with the help of data assimilation and numerical models (Hollingsworth et al., 2008). This Copernicus Atmosphere Monitoring Service (<http://www.copernicus-atmosphere.eu/>) has been developed in a series of projects funded by the European Commission under the acronym of Monitoring Atmospheric Composition and Climate (MACC). One of the products from MACC is a global reanalysis of atmospheric chemical composition covering the period 2003–2012 (Inness et al., 2013).

The quality of all model-based estimates of atmospheric composition and its changes has to be assessed by in-depth model evaluations against observations. Currently model evaluation is often performed either on individual observations or on the average of the set of measurements, selected from specific geographical regions. This is done for evaluation of global (Stevenson et al., 2006; Fiore et al., 2009; Lamarque et al., 2012; Katragkou et al., 2015) as well as regional (van Loon et al., 2007; Coman et al., 2012; Solazzo et al., 2012; Mailler et al., 2013) models or their ensemble. This approach is problematic because there is no guarantee that the regional average of selected stations gives a representative picture of the ozone distribution in that region. Furthermore there is large variability of ozone regimes even on small spacial scales, and models will not be able to capture this variability unless they are run on very fine resolution. Therefore, rather than aggregate data geographically we propose evaluating models based on groups of stations which share common characteristics with respect to their ozone seasonal and diurnal cycles.

In the Airbase database (<http://acm.eionet.europa.eu/databases/airbase/>) more than 4000 stations from 39 European countries are classified based on the evaluation of the population distribution and emission sources in the proximity of the station. This scheme was defined in the Council Decision 97/101/EC (EC Decision, 1997), which was revised and amended by Commission Decision 2001/752/EC (EC Decision, 2001) and finally modified by 2011/850/EU (EC Decision, 2011) and described in Mol et al. (2008).

Analysis of the population distribution distinguishes the station type between urban, suburban, and rural, while the assessment of emission sources in the surrounding area divides sites into traffic, industrial, or background. Such categorization has the disadvantage of being based on subjective assessments by the different station maintainers or regional agencies. Moreover the station information may become outdated, for example due to newly built industries, residential areas, roads, or changes to forest areas. Such changes would trans-

form stations from “background” to “urban”, which would impede objective ozone analysis. Thus, a static category label as given in Airbase may not provide an objective and reproducible classification for use in further statistical analyses. Instead, we suggest applying cluster analysis (CA) to the measurement data as a data-driven classification. The main goal of this study is to identify typical European air quality ozone regimes, determine their indicative patterns with respect to the temporal behavior of ozone mixing ratios, to assess how well the classification works, and apply the categorization to the evaluation of a global chemistry transport model. Analysis of group separation was presented in Lyapina (2015) and will not be discussed here.

The output from the MACC reanalysis was sampled at all station locations, and the results were grouped into the same clusters as the measurement data. Through comparison of the mean seasonal, weekly, and diurnal cycles and analysis of the variability of clusters, we can identify how well the MACC reanalysis can reproduce the ozone mixing ratios and seasonal–diurnal features of each regime and, as a consequence, which regime is most consistent with the model results and thus representative for the scale of the model.

The paper is structured as follows: Sect. 2 describes the process of data filtering from the full Airbase database. The extraction conditions for the MACC model data are given as well as further steps of the preparation of both data sets. Section 3 provides details about the applied *k*-means algorithm and Earth mover’s distance (EMD) method. In Sect. 4 the results of the two CAs are presented and compared to the MACC model data. Section 5 discusses the robustness of the cluster analyses and their application for the evaluation of models. Section 6 contains the conclusions.

2 Data

2.1 Airbase

Airbase provides hourly integrated ground-based ozone data records, measured by UV photometric analyzers. Geographically, the station network covers all countries from the European Union and the European Environment Agency (EEA) member countries (<http://acm.eionet.europa.eu/databases/airbase/>), albeit with varying density. Station altitudes vary from 0 to about 3100 m above sea level. In this study, Airbase version 6 data from 2007 to 2010 were used. Atmospheric ozone content was recorded as ozone density in $\mu\text{g m}^{-3}$ units. For the analysis presented here these were converted to number densities (nmol mol^{-1} or ppb) using the density of dry air at $T_0 = 20^\circ\text{C}$ and pressure $P_0 = 101\,325\text{ Pa}$. This T_0 corresponds to a conversion factor of 2 (i.e., 0.5 nmol mol^{-1} correspond to $1\text{ }\mu\text{g m}^{-3}$ of ozone). $T_0 = 20^\circ\text{C}$ and $P_0 = 101\,325\text{ Pa}$ correspond to the standard settings of commercial ozone analyzers, which automatically

convert measurements at actual temperature and pressure to these standard conditions.

Several data sets in Airbase contain incomplete data and some ozone records appear unreliable. Therefore a four-step filtering procedure was applied to each data set in order to identify suitable time series and to remove individual outliers which could corrupt the time series statistics. First, all data less than 0 were eliminated, because they represent non-physical values. Next, data above either 2.83 times the value of the 95th percentile of the data or twice the value of the 99th percentile were eliminated. For a Gaussian distributed random variable both values should be approximately identical. Even though the ozone probability density functions are generally not Gaussian (see Fig. 9), this test can be used to define a reasonable upper limit value, because deviations from the normal distribution are mainly at the lowest percentile range of data. In a third step, those data points were removed which show erratic behavior near a missing value. The rationale behind this test is that a visual inspection of measurement time series sometimes indicates that data reporting stopped too late or resumed too early after a calibration procedure, an instrument maintenance, or malfunction. On each side of the missing value, the five nearest measurements are tested if they lie in the range of the surrounding values or exhibit abnormal variability. Finally, another outlier test (multi-step low-pass filter) was performed using the 240 data points moving average in the first pass, which removed data points exceeding 8 times the standard deviation within the moving sample. In the next two passes with a varying width between 10 and 72 points, thresholds of 8 and 6 standard deviations are applied.

The data filtering was tested extensively on many different ozone time series and found to reliably detect obvious errors while removing only very few valid data points. In order to retain a time series in the analysis it had to fulfill the following data capture criterion: in every year, at least 9 out of 12 months had to contain at least two-thirds of the theoretical maximum hourly values. After application of this criterion, the original Airbase data set of more than 4000 stations was reduced to 1525 stations (see Tables S1 and S2 in the Supplement). Their time series were then visually inspected for sudden changes in the baseline (this phenomenon is not captured by the automated data quality filter; see also Solberg et al., 2009). We adopted a conservative approach and flagged only those stations, where baseline shifts of 5 nmol mol^{-1} or greater occurred. The 33 stations which were filtered out at this step are presented in Table S2. Finally 1492 sites were used in the CA and model evaluation (Table S1).

As input for the CA multi-annual monthly mean diurnal variations averaged over the 4-year period 2007–2010 of the individual ozone time series were used. Seasonal–diurnal ozone variations appear as typical cycles and represent the concentrations resulting from many factors influencing the particular stations. We used 3 h resolution rather than the original hourly resolution in order to match the frequency

of the MACC model output (see Sect. 2.2). Thus each station is represented by a vector of dimension 96 (12 months times 8 time steps per day). The time averaged data at all stations were arranged as a data matrix of dimension 1492 by 96.

Two different input matrices for the CA were constructed leading to two different types of CA runs (first CA and second CA from here on). First, seasonal–diurnal ozone variations in absolute values are used as a set of properties. Second, we used normalized seasonal–diurnal ozone variations in order to avoid the influence of actual ozone concentrations on the results. Each normalized variation had 0 mean and unit standard deviation. This second CA produces different clusters than the first step but allocates stations to clusters based on seasonal and diurnal variations themselves, regardless of absolute concentrations. Since the data generally exhibit no trend during the 2007–2010 period and interannual variability is much smaller than the diurnal or seasonal variability, we did not detrend the data prior to the CA.

2.2 MACC

The model data were taken from the MACC reanalysis (Inness et al., 2013). The reanalysis invoked data assimilation of meteorological variables, trace gas columns of O_3 , CO, NO, and NO_2 , as well as ozone profile information from various satellite instruments. The model system was the European Centre for Medium Range Weather Forecasts (ECMWF) Integrated Forecasting System, which was coupled to the Model for Ozone and Related Tracers (MOZART) (Flemming et al., 2009; Stein et al., 2012). The model grid resolution was about $80 \text{ by } 80 \text{ km}^2$, with 60 hybrid sigma-pressure levels covering the atmosphere from the surface to about 60 km altitude. Output was stored every 3 h. We extracted gridded time series for the years 2007–2010. The model data at the 1492 Airbase stations used in the cluster analysis were obtained by a horizontal as well as vertical bi-linear interpolation to the locations and heights of the 1492 Airbase stations from the eight nearest neighboring grid points. Similar to O_3 , CO, and NO_x were also extracted and provided as mole fractions.

For comparison with the CA results the model output was arranged in the same way as the Airbase observations (order of stations and the set of properties). Then rows representing the reanalyzed trace gas concentrations at the observing stations were reordered according to the cluster membership of each station in the observation. In case of normalized set of properties the MACC data matrix was also normalized similarly to Airbase data and grouped according to the corresponding clustering results of the second CA.

3 Method

3.1 Cluster analysis

CA is a data-driven technique for classifying objects into groups whereby each object is described through a set of input parameters (properties or variables) which are used as criteria for grouping. Clusters are formed such that the intra cluster similarity between objects inside a cluster and the inter cluster dissimilarity between objects of different clusters are jointly maximized. Initially the concept of CA was suggested by Tryon (1939). Since then it has found applications in statistical processing of large data sets in biology, medicine, computer science, meteorology, and atmospheric sciences (Zhang et al., 2007; Lee and Feldstein, 2013; Camargo et al., 2007; Christiansen, 2007; Beaver and Palazoglu, 2006; Dorling and Davies, 1995; Marzban and Sandgathe, 2006), as well as in other fields.

Several cluster algorithms have been developed and different choices can be made for the computation of distances between objects or groups of objects. The most commonly used types of clustering are hierarchical and partitional (aka centroid-based clustering or k -means clustering). Hierarchical clustering progressively splits the data set into more and finer clusters, whereas partitional clustering groups the data into a pre-determined number of clusters. Clusters are non-overlapping groups, such that at the end of the computation each object will belong to exactly one cluster. In the present study we applied partitional clustering, because it allows for estimating the robustness of results and is less sensitive to outlier values than hierarchical clustering. K -means uses the Euclidean metric E_{dist} for the calculation of distances:

$$E_{\text{dist}}(\text{AB}) = \sqrt{2 \sum_{m=1}^M (x_{mA} - x_{mB})^2}, \quad (1)$$

where x_A and x_B are two objects of the data set, each with M properties (i.e., variables); A and B are two different stations. In our case an object is a station time series of monthly averaged diurnal variations of 3-hourly ozone concentrations such that the Euclidean distance is evaluated from $M = 96$ dimensions and is identical to the root mean square error between the two objects. The first CA uses absolute mixing ratio values, while in the second CA the mixing ratios at each station are normalized by the mean so that each object had zero ozone mean and unit standard deviation. The k -means algorithm minimizes the average Euclidean distances between individual objects and the given number of cluster centroids. A centroid c is an artificial object that represents its cluster and is the arithmetic mean of all properties of cluster members:

$$c_i = \frac{1}{n_i} \sum_{j=1}^{n_i} x_{ij}, \quad (2)$$

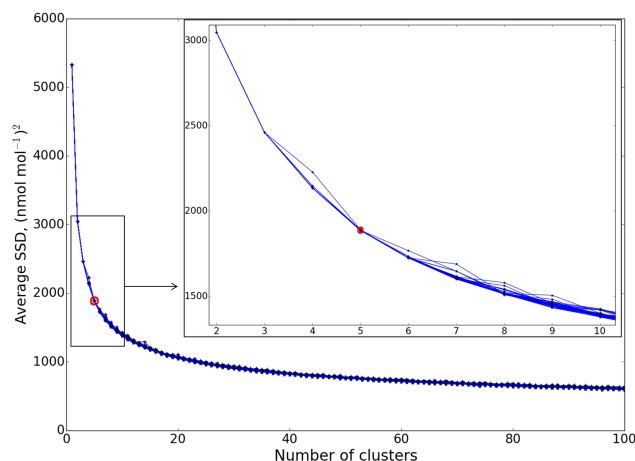


Figure 1. Averaged SSD (“elbow”) plot of 50 · 100 independent k -means runs with varying number of clusters k from 1 to 100, based on the first set of properties.

where n_i is the number of objects in i th cluster, c_i is the centroid of the i th cluster, and x_{ij} is the j th object of the i th cluster. Minimization is achieved iteratively in an analysis cycle of three steps. At the initial step of each k -means run, k centroids are defined randomly from the data array. The second step assigns each object to the closest centroid by sorting in ascending order the distances $E_{\text{dist}}(A c_i)$. Through this an initial seed of clusters is formed. In the third step, each centroid c is recalculated as the mean of the current cluster members. Steps 2 and 3 are then repeated until the centroid coordinates no longer change. The goodness of the clustering can be assessed with the sum of squared distances (SSD) between all objects and their corresponding centroids:

$$\text{SSD} = \sum_{i=1}^k \sum_{j=1}^{n_k} E_{\text{dist}}(c_i, x_{ij})^2, \quad (3)$$

where k is the number of clusters, and n_k is the number of objects inside the k th cluster. K -means requires that the number of clusters k be known for initialization of the algorithm, so prior to the CA we applied a method to determine the optimum value of k . Due to the random initialization, repetition of a k -means run with the same number of clusters will generate a sample of different SSD values as a function of the number of allowed clusters. Figure 1 shows an “elbow” curve (SSD vs. number of clusters k), derived from 50 · 100 independent k -means runs of the first set of properties (96 absolute seasonal–diurnal variations) with varying number of k from 1 to 100. The idea is to find the largest number of k where the SSD from the independent runs are consistent with each other, i.e., the curves in Fig. 1 ideally fall onto a single point. For the first CA the optimum number of clusters is obviously $k = 5$. The elbow curves for the second CA (Fig. 2) suggest the use of only four clusters in the analysis of normalized values.

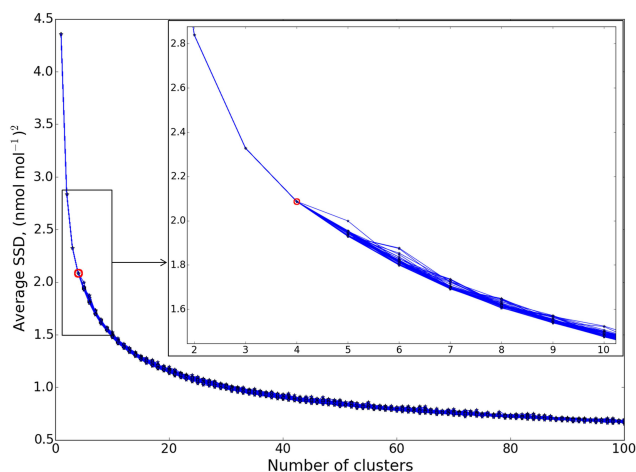


Figure 2. Averaged SSD (“elbow” plot) of 50 · 100 independent k -means runs with varying number of clusters k from 1 to 100, based on the second set of properties.

The elbow plots not only give the appropriate number of clusters to run k -means, but they also provide a preliminary answer on the question of stability of the CA run for the chosen k . For the presentation of results in Sects. 4 and 5 we picked the k -means run with the lowest SSD out of the 100 independent realizations shown in Figs. 20 and 21, respectively, for each kind of CA. Further details on the stability (i.e., reproducibility) of k -means runs are given in Sect. 5.

3.2 Earth mover’s distance

In order to quantitatively evaluate the model’s ability to reproduce the observed frequency distributions in each cluster, we calculated the EMD. Initially the EMD was suggested by Rubner et al. (1998). EMD provides an objective distance measure between two frequency distributions or estimates of probability density functions. It is a true distance measure in the sense that it is positive semi-definite and symmetric and fulfills the triangle inequality. Additionally it has the property of being (asymptotically) proper, meaning that the smallest distance is only achieved when the two probability densities are identical. The formula for EMD according to Rabin et al. (2008) is

$$D(f||g) = \frac{1}{n_b} \sum_{i=1}^{n_b} |F_X(x_i) - G_X(x_i)|, \quad (4)$$

where n_b is the number of bins, and $F_{X(x_i)}$ and $G_{X(x_i)}$ are two cumulative distribution functions of f and g , which themselves are the two corresponding estimated probability densities obtained from the normalization of the respective frequency distribution histograms over the n_b bins.

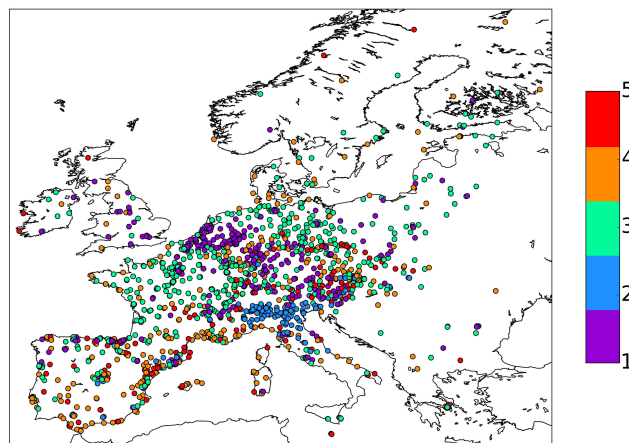


Figure 3. Map of 1492 Airbase stations clustered in five groups; first CA.

4 Results and discussion

4.1 Geographical distribution and cluster allocation of stations

4.1.1 First CA

The spatial distribution of the 1492 Airbase stations and the respective cluster number of their classification obtained after the first CA are shown in Fig. 3. Evidently, the five clusters do not simply represent different regions in Europe, although the members of cluster 1 (CL1) and cluster 2 (CL2) are concentrated in the Benelux and Ruhr regions and in the Po Valley region, respectively. CL1 extends from Slovenia to Great Britain through the Netherlands but also includes stations in France, Italy, Spain, and Eastern Europe. Besides the northern Italian stations CL2 also contains a few stations in the Alpine region, in the northwestern Balkans and in Spain. The third cluster (CL3) is much larger in its spatial extension and contains stations from almost all over Europe, including Scandinavia. The fourth cluster (CL4) spreads all over Europe with increased density along the Mediterranean coast and in the mountainous areas to the north and east of the Alps, the Bohemian Massif, and the Carpathian Mountains. Finally, the smallest cluster (CL5) largely overlaps with the mountainous regions of the Alps, the Pyrenees, Spain, and the Carpathians.

Table 2 presents a qualitative interpretation of the five clusters and shows the distribution of station altitudes for each cluster. The cluster descriptions were derived based on the geographical and altitude distribution together with a contingency analysis of the station type and station type of area attributes in the Airbase metadata. A contingency table with Airbase station attributes is provided in Table 1a, b. According to the Airbase classification (see Sect. 1) stations are marked as either “urban”, “suburban”, or “rural” depending on the area type and as “traffic”, “industrial”, or “back-

Table 1. (a) Contingency table, showing the distribution of stations in clusters (rows) vs. Airbase classification groups (columns); first CA. Abbreviations: Bac – background, Ind – industrial, Trf – traffic, Rur – rural, Sub – suburban, Urb – urban. (b) Same as (a) but for the second CA.

(a)	CL	BacRur	BacSub	BacUrb	IndRur	IndSub	IndUrb	TrfRur	TrfSub	TrfUrb	Total
	1	30	78	134	3	22	11	6	13	85	382
	2	22	45	64	2	6	3	1	3	9	155
	3	117	147	184	12	20	11	1	4	28	524
	4	135	53	50	16	22	10	0	3	15	304
	5	103	12	1	5	3	1	0	0	2	127
	Total	407	335	433	38	73	36	8	23	139	1492
			Bac	1175		Ind	147		Trf	170	
			Rur	453		Sub	431		Urb	608	

(b)	CL	BacRur	BacSub	BacUrb	IndRur	IndSub	IndUrb	TrfRur	TrfSub	TrfUrb	Total
	1	14	25	56	0	1	0	0	1	11	108
	2	46	136	154	6	29	11	6	10	58	456
	3	129	140	162	17	30	15	2	10	46	551
	4	218	34	61	15	13	10	0	2	24	377
	Total	407	335	433	38	73	36	8	23	139	1492
			Bac	1175		Ind	147		Trf	170	
			Rur	453		Sub	431		Urb	608	

Table 2. Cluster statistics and description based on the Airbase classification, geographical location, and altitude range of clusters; first CA.

CL	Cluster description	Number of stations	Mean altitude, m (25...75th percentiles)
1	urban traffic	382	177 (35...250)
2	urban/suburban, Po Valley	155	243 (72...381)
3	urban/suburban	524	203 (50...287)
4	rural/industrial/remote, middle-elevated	304	288 (45...503)
5	rural background, elevated	127	819 (370...1137)

ground” according to the station type. Each row in Table 1 corresponds to one of the Airbase clusters and shows the number of stations related to each of nine Airbase classification pairs. Most of the stations that we retained in our data filtering procedure (Sect. 2) are background stations, which could indicate that there are no local pollution sources in their vicinity. Measured concentrations should ideally be representative for a larger area (and hence suitable for the evaluation of numerical models), except when local effects from orography, land use, or land–sea contrast confound the analysis. There is a relatively even split between rural, suburban, and urban background stations. Industrial and traffic stations constitute about 10–15 % each and are concentrated in the suburban and urban environments, respectively.

4.1.2 Second CA

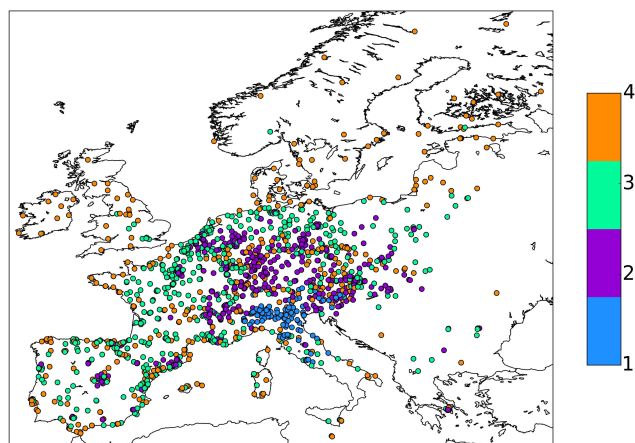
Table 3 presents the same information as Table 2 but for the second CA. There is some overlap between the cluster def-

initions of the first and second CA. The first cluster of the second CA corresponds to the second cluster of the first CA, with the exception that it does not contain stations from the Alpine region (Fig. 4). The second cluster is much larger and spreads over the Benelux and Ruhr regions in the center of Europe, partly covering France, Switzerland, and Eastern Europe and thus partially overlapping with the first cluster from the first CA.

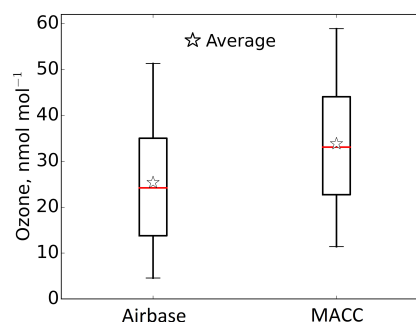
The third cluster extends all over Europe and has several stations in Scandinavia. This cluster contains the largest number of stations. The fourth cluster includes high-mountain stations from the Alpine region and the Pyrenees, from the mountainous areas to the north and east of the Alps, the Bohemian Massif, and the eastern part of the Carpathian Mountains. Moreover, it includes low-altitude stations from Spain, France, Great Britain, Scandinavia, and the Mediterranean coast. Geographically it is a mix of stations from nearly all clusters of the first CA. The contingency tables

Table 3. Cluster statistics and description based on the Airbase classification, geographical location, and altitude range of clusters; second CA.

CL	Cluster description	Number of stations	Mean altitude, m (25...75th percentiles)
1	Po Valley, urban, traffic	108	200 (45...293)
2	urban/suburban, industrial, traffic	456	250 (90...360)
3	moderately polluted (urb., sub., rur.), industrial, traffic	551	190 (35...273)
4	rural, remote, coastal, background, middle-elevated, industrial	377	433 (35...735)

**Figure 4.** Map of 1492 Airbase stations clustered in four groups; second CA.

with Airbase metadata (Table 1) and the geographical representation lead to the conclusion that the clusters from different CAs have some common features. For example, the first Po Valley cluster of the second CA, which is mostly concentrated in the north of Italy, is the same as the second cluster of the first CA. The second cluster of the second CA has the majority of stations, which were assigned to the first cluster in the first CA, and moreover also captures stations of the second and third clusters of the first CA. However, it appears as more elevated agglomeration. The third cluster shares 326 stations out of more than 500 with the third cluster of the first CA, resembling it also geographically and in altitude. It is the largest cluster in both CAs. The fourth cluster of the second CA contains both high- and low-altitude stations. It includes the entire fifth cluster and has some stations from the fourth and third clusters of the first CA. Therefore, on average the fourth cluster of the second CA with the mean altitude of 433 m is semi-elevated.

**Figure 5.** Percentiles (5–25–50–75–95) of 3-hourly ozone mixing ratios for 1492 stations; Airbase vs. MACC.

4.2 Comparison of Airbase clusters with MACC model results

4.2.1 Ozone means and consistency with ozone precursor concentrations

Figure 5 presents a comparison of the 5–25–50–75–95th percentiles distributions from the 3-hourly Airbase and MACC initial data sets for the period 2007–2010 (i.e., length of each data set = 1492 stations · 4 years · 365 days · 8 values per day). The mean and median volume mixing ratios averaged over the entire set of 1492 stations are 25 and 24 nmol mol⁻¹ for Airbase and 34 and 33 nmol mol⁻¹ for MACC, respectively. Thus the 50th percentile and the mean of the model data both show a positive bias of 9 nmol mol⁻¹.

A more detailed pattern emerges when analyzing the station mean values using box-and-whisker plots separately for the five individual clusters of the first CA (Fig. 6). With the exceptions of CL2 and CL3, which show quite similar distributions, the distributions of the observed (Airbase) values are rather distinct for each cluster and increase from CL1 to CL5. In comparison, the MACC distributions are generally broader and exhibit a high bias of 5–12 nmol mol⁻¹, except for CL5. MACC distributions also show increasing values from CL3 to CL5 but only little difference among CL1 to 3. Obviously, the model does not capture the differences among the somewhat more polluted sites very well. This is consistent with the distributions of simulated CO and NO_x concentrations (there are too few observations available to make a meaning-

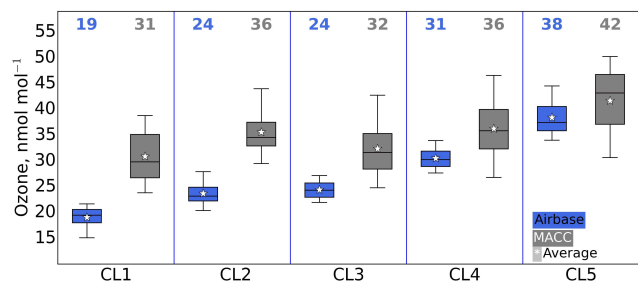


Figure 6. Percentiles (5–25–50–75–95) of ozone means in clusters; Airbase vs. MACC, first CA. Upper values indicate the mean of each cluster.

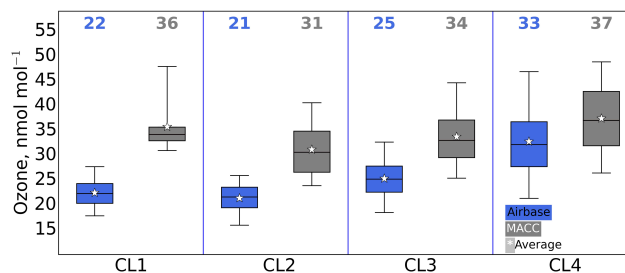


Figure 8. Percentiles (5–25–50–75–95) of ozone means in clusters; Airbase vs. MACC, second CA. Upper values indicate the mean of each cluster.

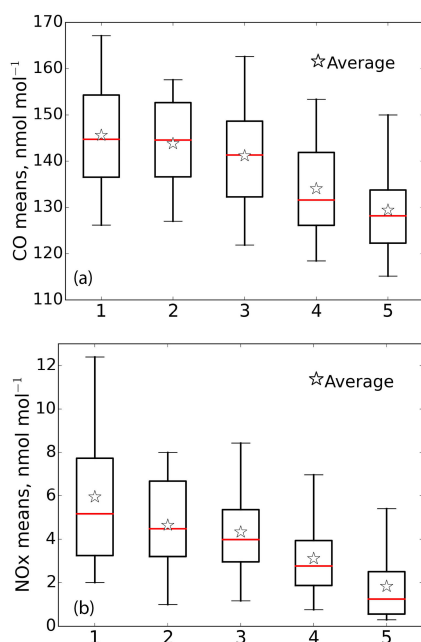


Figure 7. Percentiles (5–25–50–75–95) of modeled CO (a) and NO_x (b) means in clusters; first CA.

ful comparison) shown in Fig. 7. While the MACC model results show a clear separation between clusters 1–3 on the one hand and clusters 4–5 on the other hand, they do not distinguish among CL1, 2, and 3. These results are not surprising given that ozone concentrations in CL1–CL3 are more likely influenced by local, small-scale pollution sources, which the model cannot simulate correctly with its grid point distance of approximately 80 km. It is, however, reassuring to see that the simulated mean values of ozone precursors are larger in those clusters that have been labeled more polluted according to the Airbase characterization tags.

Figure 8 shows the distributions of mean ozone mixing ratios in the clusters of the second CA. The MACC distributions of mean values are again broader than the observations and the model overestimates all clusters with the highest bias of 14 nmol mol^{-1} for CL1 and the lowest 4 nmol mol^{-1} for CL4. The distribution of observed ozone means of CL4 is

broader than it is in the first CA. This can be explained by the mix of stations of various altitudes. For other clusters, the distributions are relatively narrow but still nearly twice as broad as those of the first CA, except for CL1 (Fig. 6). MACC model distributions of CO and NO_x concentrations for the clusters of the second CA (not shown) are reflecting higher pollution levels in the first two clusters and moderate pollution conditions for CL3. CL4 is relatively clean and shows the lowest CO and NO_x concentrations.

4.2.2 Frequency distributions of ozone in clusters

The comparison of ozone concentrations among the clusters and between the observations and the simulations was based upon quantiles characterizing the cumulative probability distribution. Another way is to estimate probability density functions or normalized frequency distributions computed by binning all available 3-hourly observations from both the Airbase and MACC data. Those frequency distributions are presented in Fig. 9 for each cluster of the first CA and distinguished between summer and winter.

In the Airbase wintertime data the three clusters with more urban characteristics (CL1, CL2, and CL3) contain a significant number of values with very low concentrations, which are primarily caused by ozone titration in the presence of large amounts of NO_x from traffic and industries. Peak frequencies are decreasing from CL1 to CL4, though the last shows only a few incidents of “zero” ozone. For clusters CL1, CL3 and CL4 the MACC model is able to capture some of this titration, but not for CL2 (Po Valley). No ozone titration occurs in CL5, either in the observational data or in the model results.

MACC exhibits quite a good fit to CL4 and CL5 winter ozone concentrations and in general shows a greater similarity with the frequency distributions of the observations in winter compared to summer. During summer the measured ozone data are almost normally distributed (except for CL1), which is not seen for the MACC summer values. The model summer curves exhibit a high bias and contain two maxima for CL2 and CL4 (Fig. 9).

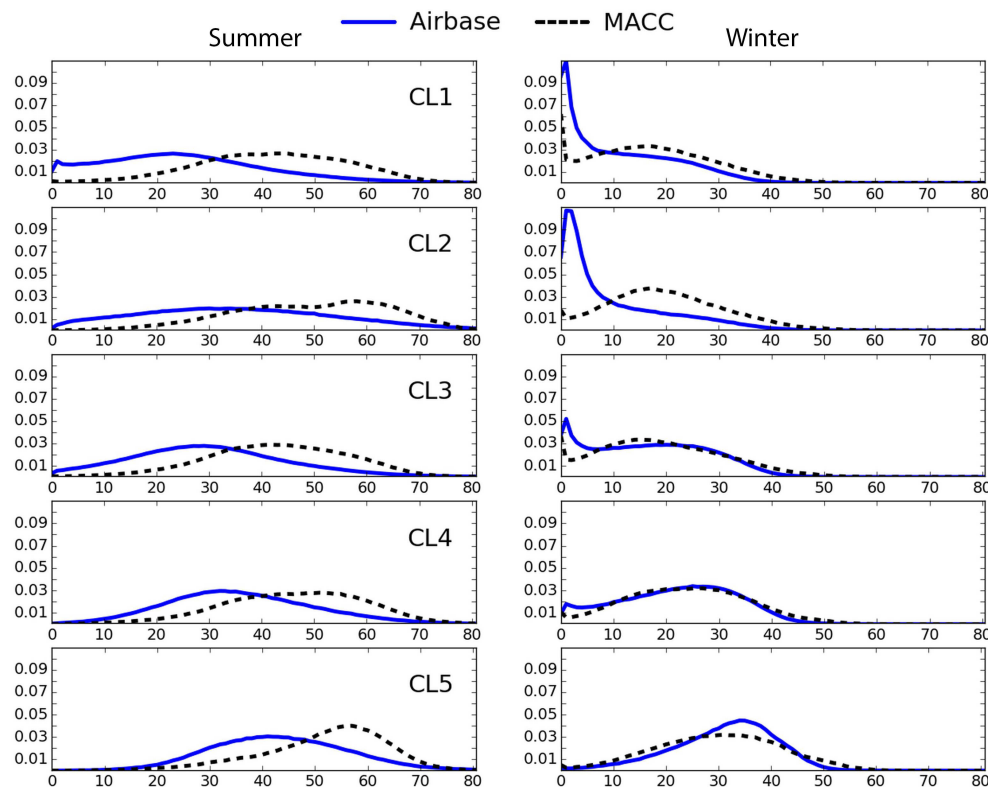


Figure 9. Normalized frequency distributions of 3-hourly ozone values in clusters (2007–2010), in summer (left) and winter (right); Airbase vs. MACC, first CA.

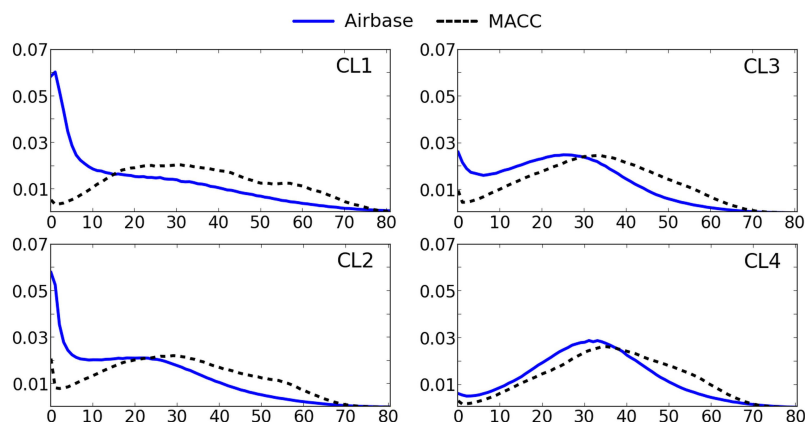


Figure 10. Normalized frequency distributions of 3-hourly ozone values in clusters (2007–2010); Airbase vs. MACC, second CA.

In order to quantitatively evaluate the model's ability to reproduce the observed frequency distributions in each cluster, we calculated the EMD (described in Sect. 3) (Table 4). As expected from Fig. 9, the largest EMD is found for CL1 and CL2 in summer, while the model shows greater skill in capturing the frequency distributions of CL4 and CL5 and to a lesser extent also CL3 (Table 4). This is again consistent with the previous characterizations of CL3 as a background, moderately polluted station and of CL4 and CL5 as (mostly

rural) background stations (Table 2). From CL1 to CL5 the EMD values for summer are decreasing; thus model prediction of observations improves in that order. We note that in the same order the level of pollution of clusters is decreasing while mean ozone concentrations are increasing. The winter EMD values are smaller than summer ones and show no dependence from CL1 to CL5. In general the model describes winter ozone relatively well with the one exception of CL2,

Table 4. EMD values for each cluster between Airbase and MACC data (2007–2010); first CA.

CL	Summer	Winter	All
1	0.181	0.068	0.126
2	0.146	0.112	0.134
3	0.139	0.028	0.083
4	0.110	0.021	0.064
5	0.092	0.025	0.041

Table 5. EMD values for each cluster between Airbase and MACC data; second CA.

CL	EMD (obs–mod)
1	0.15
2	0.106
3	0.091
4	0.051

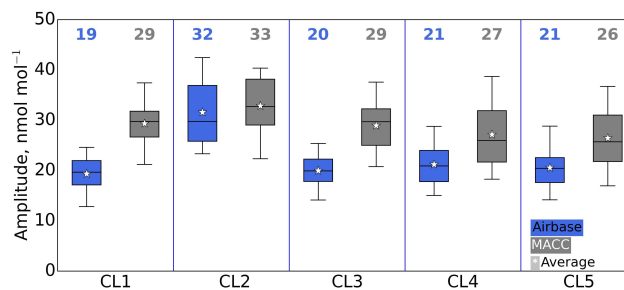
where MACC fails to predict the very low concentrations (Table 4, Fig. 9).

Frequency distributions of the 3-hourly surface ozone values of Airbase and MACC for each cluster of the second CA are presented in Fig. 10. As anticipated from the previous discussion, clusters with urban signatures CL1 and CL2 are expected to show a peak at low ozone concentrations, related to their higher pollution level. Indeed, the peaks of Airbase probabilities of zero ozone concentrations are pronounced for both clusters in comparison to the moderately polluted CL3, for example, where zero ozone occurs only half as often and the ozone maximum appears in the range 25–30 nmol mol^{−1}. The shape of the relatively clean CL4 curve resembles a Gaussian distribution with maximum probability at ≈ 35 nmol mol^{−1}. EMD calculated for comparison of observations to modeled frequency distributions (Table 5) show the strongest disagreement for CL1, followed by CL2 and CL3 with quite similar values, and finally is the smallest EMD value for CL4.

4.3 Analysis of seasonal, diurnal, and weekly variations

4.3.1 First CA

The mean seasonal amplitudes are defined as the difference between the highest and lowest 4-year average monthly mean ozone concentrations (Fig. 11). The amplitudes estimated from the Airbase stations within the clusters of the first CA are generally between 18 and 24 nmol mol^{−1} (25th to 75th percentiles), with the exception of CL2 (Po Valley stations), where seasonal amplitudes range from about 26 to 37 nmol mol^{−1} (25th to 75th percentiles). The MACC model data show a similar pattern among the clusters. However, the seasonal amplitude is often overestimated by 5–

**Figure 11.** Percentiles (5–25–50–75–95) of ozone seasonal amplitudes in clusters; Airbase vs. MACC, first CA. Upper values indicate the mean seasonal amplitude of each cluster.

10 nmol mol^{−1} due to the overestimation of summertime ozone. The seasonal amplitude of CL2 stations is captured relatively well, although the mean values in CL2 exhibited the second highest bias (12 nmol mol^{−1}, Fig. 6).

The seasonal cycles of the first CA cluster centroids are displayed in Fig. 12. In the observations CL1 and CL3 run almost parallel and show a broad maximum extending from April to July for CL1 and a slight maximum in April for CL3. More prominent spring maxima are evident in CL4 and CL5, but CL5 also exhibits a second small peak in July. The only cluster with a single pronounced maximum in summer (July) is CL2. The spring maximum is typical for seasonal cycles of western European sites and considered a northern hemispheric phenomenon (Monks, 2000). Indeed, a substantial subset of stations in CL3, CL4, and CL5 are situated along the western edge of the continent (see map, Fig. 3). The decline of ozone mixing ratios from spring until autumn in CL3 and CL4 suggests that summer photochemical ozone formation plays only a minor role at these sites. In contrast, the double peak of CL5 suggests a superposition of the “natural” spring maximum with the “anthropogenic” summertime photochemical ozone production. The stations in CL5 are more elevated and therefore can be influenced by ozone from the stratosphere–troposphere exchange, which is considered as a possible reason for the ozone spring maximum on high mountains (Elbern et al., 1997; Harris et al., 1998; Stohl et al., 2000; Monks, 2000; Zanis et al., 2003).

In contrast to the seasonal cycles of the Airbase cluster centroids, the cluster mean seasonal cycles of the MACC data all show a summer maximum of similar shape with peak in June. This suggests that either the summertime chemical ozone formation is exaggerated in the model or the largely transport-driven springtime maximum is underestimated. A potential influence from inconsistencies in the data assimilation (see Inness et al., 2013) is unlikely, but it cannot be excluded.

The seasonal cycles in Fig. 12 indicate that the MACC model performs better during winter than during the summer. This is particularly evident for CL3, 4, and 5, whereas a significant bias persists throughout the year for CL1 and CL2.

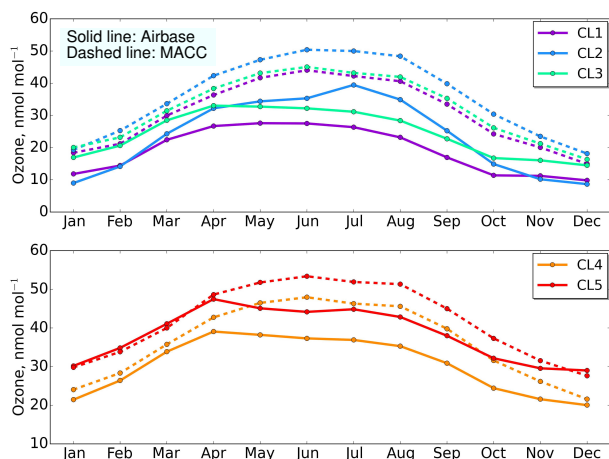


Figure 12. Seasonal cycles of cluster centroids; Airbase vs. MACC, first CA.

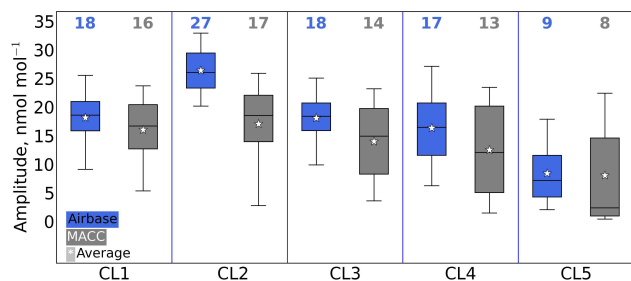


Figure 13. Percentiles (5–25–50–75–95) of ozone diurnal amplitudes in clusters; Airbase vs. MACC, first CA. Upper values indicate the mean daily amplitude of each cluster.

In the Validation Report of the MACC reanalysis (Benedictow et al., 2013), a comparison with GAW (Global Atmosphere Watch program, http://www.wmo.int/pages/prog/arep/gaw/gaw_home_en.html) surface ozone data shows that in most regions of the world ozone mixing ratios are generally underestimated during winter and overestimated during summertime. Inness et al. (2013) present an evaluation with EMEP (European Monitoring and Evaluation Program, <http://www.emep.int/>) data which is also consistent with this analysis. EMEP stations are almost exclusively characterized as background sites and are partly contained in the Airbase database as well.

Diurnal amplitudes were calculated from averaged diurnal cycles of each station as an absolute difference between daily maximum and minimum and then gathered into distributions for each cluster. Box-and-whisker plots of ozone average diurnal amplitudes (Fig. 13) show a clear signature that appears to be correlated with the ozone precursor concentrations as simulated by the MACC model (see Fig. 7). The largest diurnal amplitudes (mean 27 nmol mol^{-1}) are obtained for CL2 (Po Valley), followed by CL1 (mean 18 nmol mol^{-1}), CL3 (mean 18 nmol mol^{-1}), and CL4 (mean 17 nmol mol^{-1}).

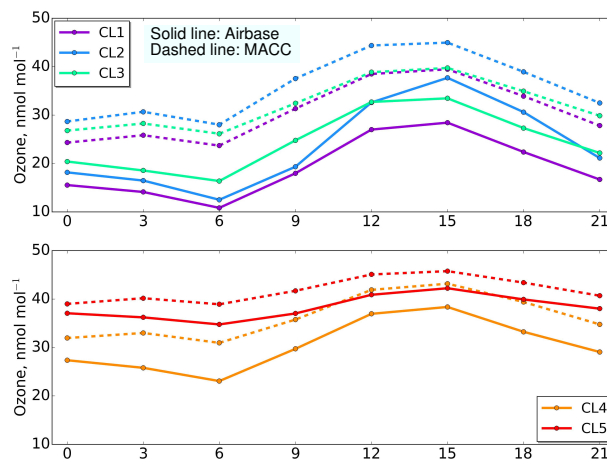


Figure 14. Diurnal cycles of cluster centroids; Airbase vs. MACC, first CA.

CL5 (relatively clean elevated) stations exhibit the lowest diurnal amplitude (mean 9 nmol mol^{-1}). This is consistent with earlier findings by Flemming et al. (2005) and Chevalier et al. (2007), who show the smallest diurnal amplitudes for clean sites. The average diurnal amplitudes of the MACC model are generally consistent with the measurement data, except that the distributions are somewhat broader, and there is no big difference between the diurnal amplitudes in CL2 compared to CL1 and CL3. We note that the MACC model does not prescribe a diurnal cycle for ozone precursor emissions.

The diurnal cycles of the Airbase cluster centroids show rather similar patterns with peak values between 12:00 and 15:00 LT for all clusters (Fig. 14). CL2 shows the most pronounced maximum, while CL5 exhibits the flattest curve. Ignoring the overall bias the model diurnal cycles are similar to the observations except that ozone mixing ratios show a lesser decline from 00:00 to 06:00 in all clusters except for CL5. This could indicate underestimation of ozone dry deposition, possibly in conjunction with errors in the calculation of mixing in the nocturnal boundary layer. Underestimation of the diurnal amplitude in CL2 (Fig. 13) is largely due to the model failure of capturing low ozone concentrations around 06:00 (Fig. 14).

Weekly amplitudes are shown in Fig. 15. These were calculated as the absolute difference between maximum and minimum ozone mixing ratios of averaged weekly cycles for each station and then grouped into clusters accordingly. Weekly amplitudes were not used as initial parameters in the CA, but interestingly the classification of Airbase data shows a clear tendency of the weekly amplitudes decreasing from CL1 to CL5, even though there is considerable overlap between the various box-and-whisker plots. The weekly cycles of all cluster centroids show growth from Friday to Sunday, but no significant change during the week (not shown). This

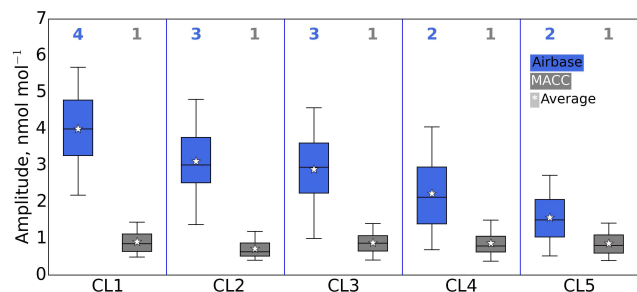


Figure 15. Percentiles (5–25–50–75–95) of ozone weekly amplitudes in clusters; Airbase vs. MACC, first CA. Upper values indicate the mean weekly amplitude of each cluster.

confirms our characterization of the clusters from more to less polluted, meaning that the less polluted sites are less influenced by local precursor emissions with distinct weekday cycles, notably traffic emissions (Beirle et al., 2003). As for the MACC model, the boundary conditions of its chemical equation system do not contain weekly variations of ozone precursor emissions; therefore simulated ozone has no significant weekly cycle.

Schipa et al. (2009) and Pollack et al. (2012) concluded that for polluted areas the higher ozone values during the weekend result from the fact that reduced NO emissions and relatively small changes in volatile organic compound (VOC) emissions facilitate ozone production due to an increased VOC/NO_x ratio. The median of weekly amplitudes in urban CL1 is 4 nmol mol^{−1}, which is consistent with Murphy et al. (2007). The MACC model results exhibit much smaller weekly amplitudes (generally less than 1 nmol mol^{−1}) with no apparent difference among clusters. It would be interesting to see how much of the weekly cycle can be produced by a global model if weekly variations of ozone precursor emissions were included, but this is beyond the scope of this study.

The large seasonal and diurnal amplitudes in the Airbase data of CL2 are consistent with the relatively large emissions and active photochemistry in the Po Valley region (Bigi et al., 2012). While ozone precursor concentrations at stations in CL1 may be as large as those in CL2 (based on emission inventories and the MACC simulation results for CO and NO_x; see Fig. 7), the mean ozone concentrations at these stations are lower. As can be seen from the frequency distributions in Fig. 9, there are a lot more incidents with very low ozone concentrations at the stations in CL1, and these occur both in winter and in summer. In the northern and central parts of Europe, where the majority of CL1 stations are located, the photochemistry is slow especially during winter, so that not much NO₂ is converted back to NO and ozone via photolysis. CL2 also exhibits ozone titration, but in summer to a lesser extent than for CL1 (Fig. 9). For CL2 ozone destruction by NO and dry deposition still occur during nighttime but the prevalence of the daily ozone production over the

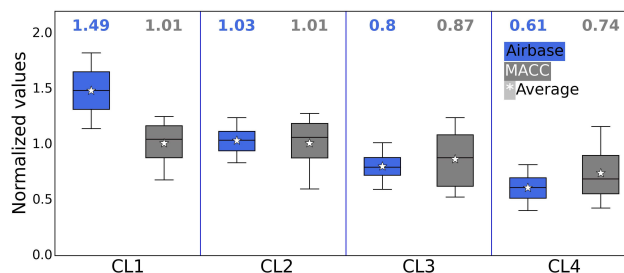


Figure 16. Percentiles (5–25–50–75–95) of ozone seasonal amplitudes in clusters; Airbase vs. MACC, second CA. Upper values indicate the mean seasonal amplitude of each cluster.

ozone titration is more obvious here than for CL1. Indeed, the seasonal and diurnal cycles of CL2 are more pronounced than for CL1 (Figs. 12 and 14) and are indicative of the intensive photochemistry in the Po Valley region. This may be explained by the basin type of the Po Valley region and by its partly subtropical climate with plenty of available UV light, which is favorable for summer diurnal photochemical ozone production.

4.3.2 Second CA

The mean seasonal amplitudes for clusters of the second CA are presented in normalized units in Fig. 16. MACC data were normalized in the same way as the Airbase data and then grouped according to the clustering results. We notice narrowness of seasonal amplitudes distributions and the decrease of their average in order CL1 → CL2 → CL3 → CL4. MACC seasonal amplitudes follow the same dependence, but in a more “smoothed” way, and they have broader distributions. The means of modeled amplitudes slightly overestimate average observed amplitudes for CL3 and CL4 are nearly equal for CL2 and underestimate CL1.

The seasonal cycles in normalized values of the cluster centroids from the second CA are depicted in Fig. 17. In contrast to the results from the first CA, the seasonal cycles of centroids show gradual change from the smoothest cycle of CL4 (“background rural”) with only April maximum to the most prominent cycle of CL1 (“background urban”) with strong July maximum. CL2 presents an intermediate cycle with a broad maximum, and CL3, although it has a more pronounced amplitude than CL4, still preserves the same features with a dominant spring peak. While the annual amplitudes are generally well described, the model cannot distinguish different seasonal patterns, like spring maximum or July peak, but always presents broad symmetrical bell-shaped summer maxima. The model underestimates normalized seasonal cycles in the beginning of the calendar year (except for CL1) and springtime as well as overestimates in autumn for CL1 and 2 and also in summer for CL3 and 4.

With respect to seasonality the best match between model and observations is found in CL3 and CL4. Some underes-

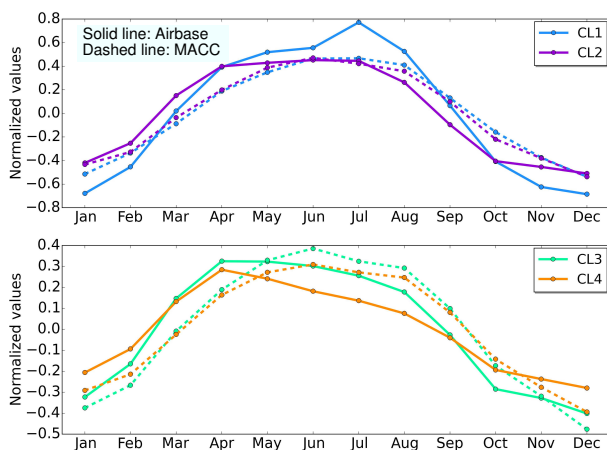


Figure 17. Seasonal cycles of cluster centroids; Airbase vs. MACC, second CA.

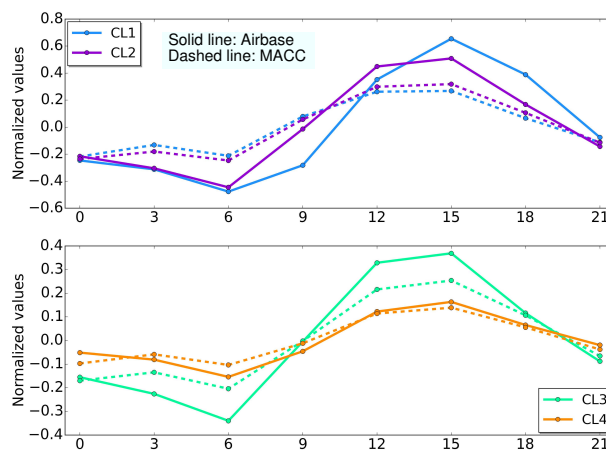


Figure 19. Diurnal cycles of cluster centroids; Airbase vs. MACC, second CA.

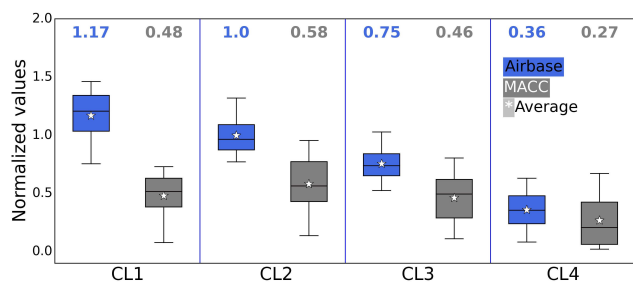


Figure 18. Percentiles (5–25–50–75–95) of ozone diurnal amplitudes in clusters; Airbase vs. MACC, second CA. Upper values indicate the mean seasonal amplitude of each cluster.

timation in spring and winter is evident for CL3; though in summertime there is a good fit of diurnal cycles in daytime, the observations show more ozone titration during the night, which is not captured by the model. The least well-predicted centroid of CL1 has large differences between model and observations. Box-and-whisker plots of average diurnal ozone amplitudes expressed in normalized values (Fig. 18) are continuously decreasing in their mean from CL1 to CL4, likewise for the distributions of seasonal amplitudes (Fig. 16). For all clusters, modeled ozone diurnal amplitudes distributions are broader and underestimate the observed amplitudes.

In general the model performs better for the description of diurnal cycles rather than seasonal. The diurnal cycles (Fig. 19) show similar dependence on cluster number as seasonal cycles: the smoothest for CL4 and most pronounced for CL1. As expected from the first CA, all clusters exhibit diurnal minima at 06:00 and maxima between midday and 15:00, except for CL1, which maximizes in the late afternoon to after 15:00, similarly to CL2 of the first CA. Modeled diurnal minima and maxima are in accordance with the observations, except for CL1, where MACC shows daily maxima in between 12:00 and 15:00 like for other modeled groups.

Clustering based on the normalized set of properties shows a clear division of stations relevant to amplitudes of seasonal and diurnal cycles (Figs. 16 and 18). Further analysis (not presented here) of the second CA clusters have shown that they are also distinguished by the short-term variability, expressed as the difference between 95th and 5th percentiles of ozone mixing ratios (Lyapina, 2015). Both these amplitudes, as well as variability, decrease uniformly and gradually from CL1 to CL4 in accordance with the level of pollution of these clusters. In contrast, there are no substantial differences of variability between clusters of the first CA (Lyapina, 2015). And as mentioned earlier, the dominant clustering criteria of the first CA are the average ozone concentrations (Fig. 6), and only to a lesser extent the seasonal–diurnal amplitudes.

5 Stability and robustness of the cluster analyses

As described in Sect. 3.1 (“Cluster analysis”), repeated k -means runs do not necessarily lead to the same allocation of stations to clusters due to the random assignment of the initial centroids. As explained there, different initialization may lead to somewhat better or worse separation of clusters as expressed by the SSD values. Here we analyze the reproducibility of results from many independent k -means runs. We call this the stability of the CA. Another important aspect investigated here is the robustness of the analysis, i.e., the reproducibility of the station classification when random subsets of stations are excluded from the analysis or when the input data are shortened in time.

5.1 Stability of the CA

As mentioned in Sect. 3 (“Method”), 100 independent k -means runs were carried out for each CA and from these runs the one with the smallest SSD was chosen for further analy-

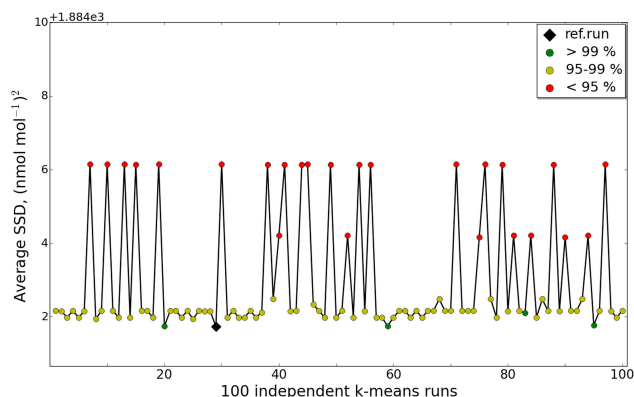


Figure 20. Averaged SSD for 100 independent k -means runs with cluster number $k = 5$ for all runs; first set of properties. Percentage ranges in legend indicate similarity of corresponding k -means runs with the first CA reference run presented in this work (black diamond dot). First category: five runs with $> 99\%$ similarity; second category: 70 runs with 95–99 % similarity; third category: 25 runs with $< 95\%$ similarity (always at least 89 % similarity).

sis. These runs (one for the first and one for the second CA) will be referred to as reference runs.

The plot of the SSD values for each of these 100 runs of the first set of properties (Fig. 20) reveals at least three “stable states” with 75 realizations out of 100 yielding smaller SSD values, a few cases with moderate SSD and about a quarter of realizations with much larger values. All of the 75 runs with smaller SSD generate a very similar classification of stations: four runs (green dots in Fig. 20) with more than 99 % identity to the reference run and 70 runs with more than 95 % of stations are grouped into the same categories as in the reference case which is marked with a black diamond in Fig. 20. The stability decreases when the SSD values become larger, but in all of the runs at least 89 % of the stations are always classified in the same way. Exemplary checks of how the stations are redistributed when the results differ indicate that we usually find CL3 stations from the reference run in CL1 and CL2, while some CL4 stations are moved to CL3. This indicates that the distinctions between these clusters may be less obvious if we base our analysis on mean concentrations as we did in this study.

Similar to Fig. 20, Fig. 21 shows the SSD values of the 100 k -means runs from the second CA. From the first look at Fig. 21 we notice that the SSD curve of 100 k -means runs based on the second set of properties is less structured and exhibits no “stable states”. However, the scale of SSD values is also very narrow here, and every run generates a classification which is at least 95 % similar to the reference run of the second CA.

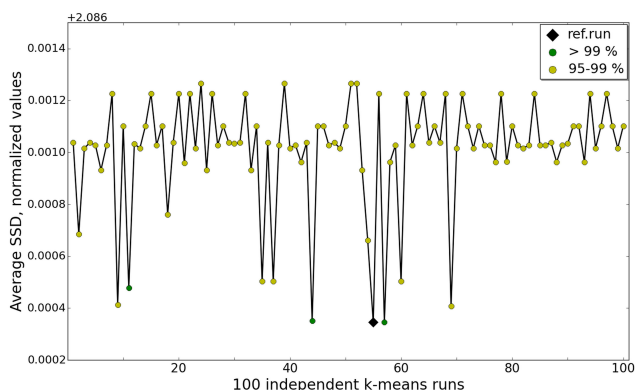


Figure 21. Averaged SSD for 100 independent k -means runs with cluster number $k = 4$ for all runs; second set of properties. Percentage ranges in legend indicate similarity of corresponding k -means runs with the second CA reference run presented in this work (black diamond dot). First category: four runs with $> 99\%$ similarity; second category: 96 runs with 95–99 % similarity (always at least 95 % similarity).

Table 6. Robustness analysis of the first CA. The table lists the number of k -means runs (out of 100) where stations are assigned to the same cluster as in the reference run after reducing the data set by randomly removing 10, 20, 30, 40, and 50 % of stations. Categories show the percent of similarity to the reference run, i.e., number of stations clustered to the same group as in reference run. Category 3 has the lowest similarity, but at least 89 % of the stations were reproducibly assigned to the same clusters.

Data		Results		
Fraction of data, %	Number of stations	$\geq 99\%$ (cat. 1)	95–99 % (cat. 2)	$< 95\%$ (cat. 3)
90	1343	86	14	0
80	1194	46	53	1
70	1044	39	58	3
60	895	25	74	1
50	746	12	80	8

5.2 Robustness with respect to number of stations considered

Besides the 100 k -means runs with all 1492 stations, we performed another 100 sets of 100 k -means runs each where we randomly reduced the number of stations to 90, 80, 70, 60, and 50 % of the initial data set. For each of these sets we selected the run with the minimum SSD and compared the classification results with our reference run. The robustness of the CA results was then obtained from contingency tables, where diagonal elements reveal the number of stations that are classified to the same cluster as in the reference run.

Table 7. As in Table 6 but for the second CA. Here, no runs occurred where less than 91 % of stations were assigned to the same cluster as in the reference run.

Data		Results		
Fraction of data, %	Number of stations	> = 99 % (cat. 1)	95–99 % (cat. 2)	< 95 % (cat. 3)
90	1343	62	38	0
80	1194	62	38	0
70	1044	13	86	1
60	895	13	86	1
50	746	9	83	8

Table 6 summarizes the results of all of these tests by grouping the contingency results into three categories: better than 99 % agreement, 95–99 % agreement, and less than 95 % agreement of cluster allocations (in this case there were no cases with less than 89 % agreement for *k*-means runs of the first set of properties). Each row in Table 6 represents the results for one particular data set size. As Table 6 shows, the CA classification is very robust (more than 95 % agreement in 99 runs out of 100) even if only 60 % of the stations remain in the data set. Out of the 100 randomly selected subsets for each row, at least 25 yield a classification which is 99 % consistent with the reference run. Only if we remove 50 % of the stations from the input data does this similarity start to decline. Note again that each count in Table 6 is already the minimum SSD run out of 100 for a given random sample. Had we performed only one realization of each subset, the CA would appear much less robust because of the stability issues discussed above.

Table 7 shows the robustness results of the second CA. Though the reproducibility of second CA runs with the full data set is higher (see Fig. 21) than runs based on the first set of properties (Fig. 20), the reduced data sets give the opposite results. Reduced to 70 %, the data set delivers most of second CA runs into the second category (95–99 % of similarity), which happened only for the half-size reduced data set of the first CA runs. Nevertheless, in the case of the second set of properties no single run produces less than 91 % agreement with the reference run, which is slightly better than for the first set of properties (89 % of similarity). However, as there are very few such runs (maximum 8 runs out of 100) in both CAs, we can conclude that most of runs with any reduction result in clustering with 95 % and higher similarity to the reference runs.

5.3 Robustness with respect to the length of the time series

Obviously it is desirable to obtain a station classification which is independent of the precise time period that is chosen for the analysis. We therefore performed additional robustness tests of the two CAs by repeating the analysis for sub-

Table 8. Similarities (percentages of stations assigned to identical clusters) between reference CA runs and runs based on data sets with excluded years (see text).

Set of properties	Missing year				Average
	–2007	–2008	–2009	–2010	
First	94.8	95.1	95.0	94.9	95.0
Second	93.0	96.3	96.2	94.0	94.9

sets of 3 years out of the total 4 years we had available. Each CA was re-calculated in four sets of 100 realizations excluding all data from 2007, 2008, 2009, and 2010, respectively. As before, from each set the run with minimum SSD was selected and compared to the reference runs. The similarities of the station classification were again taken from the diagonals of contingency tables and are given in Table 8. There are small differences depending on which year is removed from the analysis, and on average both CAs yield a classification which is 95 % similar to the analysis of the complete data set.

6 Conclusions

Starting from more than 4000 European Airbase surface stations monitoring ozone concentration for the period 2007 to 2010, 1492 were finally selected after filtering for incomplete time series and erroneous data. The classification of stations based on *k*-means cluster analysis is broadly consistent with the Airbase intrinsic description of area types, which divides station types into background, industrial, and traffic and station area types into urban, suburban, and rural. The consistency between this Airbase characterization and our classification mainly reflects the pollution levels in the individual clusters.

From the chosen parameters for the investigation of ozone representativeness, namely absolute as well as normalized seasonal–diurnal variations provided as monthly averaged diurnal cycles with 3 h time resolution, five and four clusters, respectively, yield the most stable clustering results. Most of these clusters spread across the entire European domain. This implies that differences in the local setting of stations (altitude, anthropogenic emissions) are more important than the geographic location for characterizing the seasonal–diurnal ozone cycles. Because of the strong spatial overlap between clusters, the representativeness of different ozone air quality regimes is not related to the territory covered by the stations set of any cluster. It indicates that comparison with a model based only on a geographical basis would not lead to an informative validation of model prediction of typical ozone regimes. Cluster analysis is a valid tool for obtaining clearer and more interpretable results for MACC validation.

In the first cluster analysis (first CA) based on absolute seasonal–diurnal variations, stable results are obtained with a classification into five clusters (CL1–CL5). Differences in

the seasonal cycles among the clusters reflect typical patterns of the ozone behavior in traffic, urban, suburban, rural, and elevated regions. The first three clusters represent more polluted regimes, while the other two exhibit characteristics of more rural and clean sites. This interpretation is supported by comparing simulated concentrations of the precursor CO and NO_x from the MACC reanalysis and the frequency distributions of hourly ozone values in clusters.

The seasonal cycles of the second CA show a gradual change from the smoothest cycle of CL4 with a maximum in April to the most pronounced cycle of CL1 with a strong July maximum. CL2 presents intermediate conditions with a broad maximum, and CL3, although it has a more pronounced amplitude than CL4, still preserves the same features with a dominant spring peak. Diurnal cycles exhibit similar tendencies with a more pronounced cycle in CL1 and a flat one in CL4. In the first CA, clusters are distinguished first of all by the mean ozone concentrations and, as a consequence, station altitudes play a major role. In contrast, using the same set of properties with normalized values (second CA) the seasonal and diurnal amplitudes dominate the clustering.

The ozone variability (expressed as difference between 95th and 5th percentiles) was not included as an input parameter for any of the CAs. As an outcome there are no substantial differences of variability between clusters of the first CA. In contrast, for the CAs based on the normalized properties the variability reduces from CL1 to CL4 (Lyapina, 2015). This implies that the short-term variability of ozone concentrations at European stations is generally correlated with the seasonal and diurnal amplitudes at these sites.

Comparison of the model with observations for individual clusters reveals MACC pros and cons. Firstly, there are different overestimation biases for the first CA (from ≈ 5 to ≈ 15 nmol mol⁻¹); secondly, the differences are mainly in seasonal behavior rather than diurnal for both first and second CAs. The biases are mostly driven by summertime ozone rather than wintertime, when ozone is generally well predicted (biases less than 5 nmol mol⁻¹ on average). The biases decrease when going from clusters indicative of higher pollution to cleaner ones. Also, the seasonal cycles are described better for clusters with relatively clean air signatures. The best fit between the MACC reanalysis and the observations is observed for CL5 of the first CA as well as for CL4 of the second CA and is explained by the fact that these stations are influenced more by regional than by local factors.

When applying the *k*-means clustering technique it is important to ensure that the results are stable and robust against spatial and temporal subsampling of the data array. We analyzed the reproducibility of the clustering results based on an extensive number of repetitions and found that, in general, more than 95 % of stations are almost always grouped into the same category, even when the total number of stations is reduced to 60 % of the total or when 1 year is excluded from the analysis. However, this robustness is only obtained if one

performs several *k*-means runs for each subset and selects the run with minimum SSD for further analysis. We therefore conclude that *k*-means clustering presents a suitable analysis of ozone mixing ratio data when applied in the described manner.

The robustness and clarity of the cluster analysis might be further improved by adding observations of other compounds (ozone precursor concentrations) and/or meteorological variables. Unfortunately, such data are only available for very few of the Airbase measurement sites. Inclusion of such data might also allow separation into more clusters where one might begin to see regional differences of the ozone behavior. As the robustness analysis indicates, our results should remain valid even if the analysis were to be repeated with longer time series or with an extended or reduced set of stations. It would be interesting to perform similar analyses in other world regions and to find out if the clusters obtained there are related to the broad pollution regime classification that we found for Europe.

Data availability

Observational ozone data used in our study are available at the Airbase database of the European Environment Agency (EEA) data service (<http://www.eea.europa.eu/data-and-maps/data/airbase-the-european-air-quality-database-8>). The MACC reanalysis data (Inness et al., 2013) are accessible from <http://apps.ecmwf.int/datasets/data/macc-reanalysis/>.

The Supplement related to this article is available online at doi:10.5194/acp-16-6863-2016-supplement.

Acknowledgements. We are grateful to the European Topic Centre on Air Pollution and Climate Change and Mitigation (ETC/ACM) on behalf of the European Environment Agency for managing Airbase, to the MACC-II project team for designing and operating the MACC forecasting system and running the reanalysis and to EU for funding MACC-II under grant no. 283576. We also thank S. Waychal for programming support and O. Stein and S. Schröder for processing of the MACC reanalysis data set. Finally, we are very thankful to the Jülich Supercomputing Center for letting us run model simulations.

The article processing charges for this open-access publication were covered by a Research Centre of the Helmholtz Association.

Edited by: J. West

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