

**1 Identification of spatial pattern of photosynthesis hotspots in biological soil crusts by**  
**2 combining chlorophyll fluorescence imaging and multispectral NDVI images**

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15  
16 **Abstract**

17 Although biological soil crusts can be found in open landscapes worldwide, their species  
18 composition strongly depends on microclimate and their respective developmental stage. In  
19 addition, local variations in water holding capacity and/or chemical properties of soils influence  
20 the formation of spatial patterns and different types of biocrusts on the landscape level. For the  
21 evaluation of biocrusts functions and their impact on soil carbon pools, the analysis of the

interrelationship between photosynthetic activity and the variations of spatial distribution pattern and types of biocrust is indispensable. For this purpose, an image processing approach was applied that combined chlorophyll fluorescence analyses and multispectral NDVI to comprehensively characterize the spatial patterns of photosynthetic hotspots in biological soil crusts. For image analysis, five biological soil crust types with different ratios of bare substrate, mosses and lichens were collected on an inland dune system in Lieberose, dominated by the moss *Polytrichum piliferum*, and the lichens *Cladonia fimbriata* and *C. coccifera*. RGB-images of the biocrusts were taken with a standard consumer camera Nikon 5200, NDVI images with a modified Canon S110 NIR camera and chlorophyll fluorescence images with a modular open FluorCAM FC 800-O/1010, respectively. NDVI and  $F_v/F_m$  were nearly in the same range for all biocrust samples, although mosses always showed higher NDVI than lichens.  $F_0$  and  $F_m$  increased with species coverage and with advancing biocrust development. Overlapping of NDVI with  $F_0$  and  $F_m$  images showed that not all crustal organisms contribute to NDVI and chlorophyll fluorescence. The overlapping areas of NDVI and  $F_0$  ranged between 13 % and 29 %, that of NDVI and  $F_m$  between 17 % and 47 %. Generally, matching of RGB, NDVI and chlorophyll fluorescence images showed that photosynthetic performance of mosses was higher than that of lichens and, hence, these species represented the hotspots of photosynthesis in biocrusts.

Keywords: biocrusts, image analysis, NDVI, chlorophyll fluorescence, spatial pattern

## 1. Introduction

In many ecosystems, the soil surface is settled by various species of cyanobacteria, bacteria, green algae, mosses, liverworts, lichens and fungi (Belnap and Lange, 2003). In addition, biological soil crusts (biocrusts) can be found in various open landscapes with sparse vegetation worldwide, e.g. in polar regions, coastal and inland sand dunes, grasslands and initial ecosystems (Cutler et al., 2008; Schaaf et al., 2011; Pushkareva and Elster, 2013). Comprehensive studies on structure and function of biocrusts, however, were nearly exclusively conducted in arid and semi-arid areas (Johansen, 1993; Breckle et al., 2008; Maestre et al., 2011; Weber et al., 2016). Characteristic for biocrusts is their three-dimensional structure, which initially has been formed by microorganisms cross-linking soil particles and developing a top layer on the soil surface. The thickness of the crusts can vary from a few millimeters to several centimeters. The species composition depends on microclimatic conditions and the development stage of biocrusts (Büdel and Veste, 2008; Gypser et al., 2015). For early stages, green-algae and cyanobacteria are particularly characteristic. In contrast, mosses dominate the cryptogamic communities in mid-succession, while soil lichens occur only in late successional stages (Eldrige and Greene, 1994; Gypser et al., 2015).

Even if biocrusts are covering only the topsoil, they are key drivers for functional processes and the development of ecosystems (Schaaf et al., 2011; Veste et al., 2001). Due to their specific features, biocrusts can serve as model systems in ecosystem theory and their analysis may help to understand the interactive processes occurring during soil formation and ecosystem development (Bowker et al., 2010; Schaaf et al., 2011; Maestre et al., 2016). Biocrusts stabilize the soil surface (Breckle et al., 2008), influence the soil hydrological processes (Kidron et al., 1999; Li et al., 2016), and accumulate organic carbon (Dümig et al., 2014) and macro- and micronutrients

(Russow et al., 2005; Brankatschk et al., 2013; Yu et al., 2016).

As rootless poikilohydric organisms, the photosynthetic activity of biocrusts strongly depends on moisture supply from dewfall, fog and/or rainfall. Differences in microclimatic conditions and water holding capacity of biocrusts, and, at least partly, in chemical properties of soils can explain the formation of spatial patterns of different biocrust types. Especially the duration of soil surface wetness is most important for the physiological activity of biocrusts, and for the formation of different types of biocrusts on the landscape level (Veste and Littmann, 2006; Veste et al., 2008).

Therefore, the evaluation of photosynthesis in relation to the variation in spatial distribution patterns of the biocrusts is very important for understanding their functions. The photosynthetic activity of biocrusts can easily be monitored by chlorophyll fluorescence analyses (CFA; Schroeter et al., 1991, 1992; Veste et al., 2001; Raggio et al., 2014). Common chlorophyll fluorescence devices use fiber optics (approximately 6 mm diameter) and, thus, provide only very local information of physiological activity of biocrusts but not on its spatial variations. In contrast, current chlorophyll fluorescence imaging systems may resolve this limitation (Bauriegel and Herppich, 2014). In fact, this technique has yet been successfully applied to various biocrust types (Pushkareva et al., 2013; Gypser et al., 2016).

On the other hand, Normalized Difference Vegetation Index (NDVI) imaging may help analyzing the spatial heterogeneity and distribution of chlorophyll (Bauriegel et al., 2011a). Consequently, this parameter was successfully applied to investigate biocrusts at different spatial scales (Burgheimer et al., 1993; Karnieli and Tsoar, 1995). Recently, modified low-cost consumer cameras proved an interesting and simple alternative for the complex multispectral monitoring of NDVI in the field and in the laboratory. These cameras also can easily be mounted on different

91 micro-drones to record NDVI on the field scale (Läderach et al., 2015). These high resolution  
 92 NDVI-camera systems are also suitable for the ground-based evaluation of distribution patterns  
 93 of biocrusts (Fischer et al., 2012; Gypser et al., 2016). In addition, they allow novel applications  
 94 for small-scale monitoring of biocrusts.

95 The combination of both CFA and NDVI may actually allow further comprehensive analyses of  
 96 the spatial variations of photosynthetic activity (Bauriegel et al., 2011b) but are still lacking for  
 97 biocrusts. These analyses are, however, essential to better understand the mechanisms of biocrust  
 98 formation and the influence of various environmental factors (e.g. microclimate, drying, shading  
 99 or surface properties of soils) on the development of various types of crusts. It was shown that  
 100 photosynthesis is not uniformly distributed on the biocrust surface or over the specific crustal  
 101 community (Gypser et al., 2016; Schroeter et al., 1992). The characterization and quantification  
 102 of these spatial patterns is, however, still an open issue. Hence, by combination of CFA and  
 103 NDVI imaging, the presented study aims to identify the hotspots of photosynthesis and their  
 104 spatial pattern in biocrusts. These hotspots are related to the specific metabolic competence  
 105 characterizing the particular species determining the differing spatial distribution patterns, which,  
 106 in turn, provide essential information on the respective developmental stage of biocrust. For this  
 107 purpose, CFA and NDVI images of field-sampled biocrusts, differing in their species  
 108 composition were taken by different camera systems. An image processing approach was  
 109 developed to combine CFA and NDVI images and facilitate the identification of hotspots of  
 110 photosynthesis.

## 114 2. Materials and methods

### 115 2.1. Biological soil crusts

116 Samples of biological soil crusts were collected in an inland dune system (51°55'35" N,  
117 14°20'05" E) near Lieberose (Lower Lusatia, Brandenburg, Germany). The Lower Lusatia region  
118 is characterized by the transitional Atlantic to continental climate with a mean annual temperature  
119 of 9.3°C and a mean annual precipitation of 581 mm a<sup>-1</sup> (1981 to 2010) recorded at the nearest  
120 climate station in Cottbus (DWD, 2014). In 2016, a mean annual temperature of 9.5°C and a total  
121 annual precipitation of 632 mm a<sup>-1</sup> were recorded (DWD, 2017).

122 The crusts were taken from an inland dune with dry acidic grassland dominated by *Corynephorus*  
123 *canescens* and heath dominated by *Calluna vulgaris* (Ellenberg and Leuschner, 2010). For image  
124 analyses, five biocrust types (BC 1 to BC 5) with different ratios of moss, soil lichens and bare  
125 substrate cover were collected to enable image analyses with varying distribution patterns.  
126 Samples BC 1 and BC 2 were dominated by the moss *Polytrichum piliferum*. While BC 1 showed  
127 high moss coverage of nearly 90 %, BC 2 contained mosses just on a half of the overall area  
128 resulting in an estimated surface coverage of 60 %. The biocrust samples BC 3 and BC 4  
129 contained a mixture of the moss *Polytrichum piliferum* and soil lichens of the genus *Cladonia*.  
130 Both biocrust samples had a surface coverage of almost 95 %, while the mosses and lichens  
131 contribute in equal parts. Whereas lichens occurred just on one half of the surface area of BC 3,  
132 they covered the whole area in BC 4. The surface area of BC 5 was totally covered, dominated by  
133 the soil lichens *Cladonia fimbriata* and *C. coccifera*, but the crust also contained mosses of the  
134 species *Polytrichum piliferum*. The samples were collected by gently coring Petri dishes (10 cm ×  
135 10 cm) in the upper soil layer. Ruptures were carefully avoided to obtaining well-defined surface  
136 areas easily comparable between samples. The fact that Petri dishes were quadratic and the added

137 marking labels facilitated matching of the respective RGB, NDVI (red labels) and chlorophyll  
138 fluorescence (blue labels) images.

## 139 2.2. RGB-images

140 RGB images of the five biocrust samples were recorded with a standard consumer camera Nikon  
141 5200 (Nikon, Tokyo, Japan) equipped with a standard 35 mm objective and a resolution of 6000  
142 pixels × 4000 pixels.

## 143 2.3. NDVI images

144 NIR-Green-Blue (NIR-G-B) images were taken with a modified Canon S110 NIR camera  
145 (Drones Imaging, Maisons Laffitte, France) with a resolution of 4000 pixels × 3000 pixels (for  
146 details see Läderach et al., 2015). The NIR-G-B camera excludes visible light in the red spectral  
147 range (630 nm to 700 nm), while the blue (450 nm to 490 nm), the green (490 nm to 560 nm),  
148 and the near infrared band (700 nm to 1300 nm) were recorded. Chlorophylls absorb in the blue  
149 and the red but not in the green or in the near infrared spectral range (Bresinsky et al., 2008).  
150 Thus, NDVI images for each biocrust samples was calculated by the offsetting of the blue (B)  
151 and the near infrared (NIR) channel as

$$152 \quad NDVI = \frac{NIR - B}{NIR + B} \in [-1, 1]$$

153 The NDVI images were converted to a gray valued image in two steps. First, each tonal value  
154 was shifted to the interval [0,2] and, secondly, these values were adapted to the NDVI scale  
155 ranging from 0 to 1. The respective NDVI values were calculated by using the median of the  
156 tonal values in the range from 0 to 255 for each biocrust NDVI image, scaled in the interval [0,1].  
157 Therefore, the NDVI was calculated as (Fischer et al., 2012; Gypser et al., 2016):

$$NDVI = \frac{\text{Median tonal value}}{255}$$

#### 2.4. Chlorophyll fluorescence imaging

Chlorophyll fluorescence imaging (CFI) was performed with an open modular system (FluorCAM FC 800-O/1010, PSI, Brno, Czech Republic) measuring sequences of fluorescence images with a user-defined timing of set points, measurement intervals, and irradiance. The recommended size of the experimental objects was 10 cm × 10 cm, which included the entire biocrust sample. Fluorescence was induced by two sets of super-bright orange LEDs ( $\lambda_{\text{max}} = 620$  nm) that provide light pulses of variable duration (10–33  $\mu\text{s}$ ) and photon fluence rates. Short-term (1 s) closure of photosystem II was induced by saturation light pulses ( $> 2500 \mu\text{mol photons m}^{-2} \text{s}^{-1}$ ) generated by two panels of super-bright white LEDs. Before measurements, samples were carefully moistened, pre-darkened for 15 min and then illuminated with weak red light (approximately  $3 \mu\text{mol m}^{-2} \text{s}^{-1}$ ) for 3 s to induce the initial fluorescence ( $F_0$ ). Then the maximum fluorescence signal ( $F_m$ ) was elicited by the saturation pulse. The ratio  $F_v / F_m$  ( $F_v = F_m - F_0 =$  variable fluorescence) is an indicator of the potential maximum photochemical efficiency and can be used to determine both capacity and stability of photosynthesis, and its response to external constraints (von Willert et al., 1995).

A CCD camera, fitted with an F1.2/2.8-6 mm objective and a short pass filter, recorded gray valued fluorescence images (512 pixels × 512 pixels resolution). The chlorophyll fluorescence signals of each image were decoded as gray values (range 0 and 1000; 0=black and 1000=white) to facilitate the extraction of relevant information such as the location of hotspots i.e. the regions of high chlorophyll fluorescence emission. For visual comparison of chlorophyll fluorescence images, they were scaled according to the minima and maxima of all biocrusts in the range from 0 to 1600 (relative units) for  $F_0$  and  $F_m$ , and from 0 to 0.9 (relative units) for  $F_v / F_m$ . The weighted



mean of  $F_0$ ,  $F_m$  and  $F_v/F_m$  were calculated for all biocrust samples.

## 2.5 Matching of NDVI and chlorophyll fluorescence images

The gray valued chlorophyll fluorescence images consisted of pixels with tonal values in the range from 0 to 255, where 0 was assessed as black and 255 as white, and hence, belonged to low or high chlorophyll fluorescence, respectively. Prior to the matching of NDVI and chlorophyll fluorescence images, the separate chlorophyll fluorescence images of  $F_m$  and  $F_0$  were divided into three classes. The first class included pixels with tonal values ranging from 0 to 25, which were excluded from the image matching, because this tonal range reflected particularly the substrate without chlorophyll fluorescence and could lead to a false response of these tonal values. The second class consisted of pixels with tonal values in the range from 25 to 35 for  $F_0$  and 25 to 60 for  $F_m$  and represented areas with low chlorophyll fluorescence. The different threshold determination of CFI for  $F_0$  and  $F_m$  was carried out by applying an empirical cumulative distribution function (CDF):

$$F_n(t) = \frac{1}{n} \sum_{i=1}^n 1_{x_i \leq t}$$

where  $F_n(t)$  describes the empirical distribution function,  $n$  is the sample size,  $1_{x_i \leq t}$  is a Bernoulli random variable for a fixed  $t$  (tonal value) with parameter  $p = F(t)$ , and  $1_A$  denotes the indicator function of an event  $A$ . An 80 % quantile of the five biocrust images were set to differentiate between areas with low or high chlorophyll fluorescence (Fig. 1). These empirical CDF values amounted 0.76, 0.86, 0.81, 0.81 and 0.82 for  $F_0$ , and 0.88, 0.86, 0.74, 0.75 and 0.85 for  $F_m$  of the samples BC 1 to BC 5, respectively, which resulted in gray tonal values of 35 for  $F_0$  and 60 for  $F_m$ . The above mentioned thresholds of 25, 35 and 60 were related to normalized chlorophyll fluorescence values of 156.9, 219.6 and 376.5, respectively.

For the detection of hotspots of photosynthesis within different biocrust samples, clippings of the NDVI and the chlorophyll fluorescence images were matched to the RGB images. Therefore, the visible markers on RGB, NDVI and CFA images were used. Both  $F_0$  and  $F_m$  images were used for matching, since the  $F_m$  signals visualize the spatial distribution of the metabolic active chlorophylls, while  $F_0$  reacted more sensitive to desiccation of biocrusts (Veste et al., 2001). On the basis of these matches, both the biocrust species with high chlorophyll content and those with high photosynthetic activity could be identified. Following, the area ratio of NDVI or chlorophyll fluorescence-related area and the ratio of the overlapping areas of NDVI,  $F_0$  and  $F_m$  were determined. The visible markers on the biocrust images used for matching were removed manually from the pictures before data processing to avoid a mistakenly inclusion during the calculation of the spatial chlorophyll fluorescence.

### 3. Results

#### 3.1 Structure of biocrust samples

In the RGB images, the moss *Polytrichum piliferum* dominated BC 1 but with several spots of the bare soil substrate (Fig. 2). The sample BC 2 showed similar patterns with an intensive contribution of mosses only on one half of the biocrust but a sparse one on the other. In BC 3, fruticose soil lichens of the genus *Cladonia* appeared in addition to the mosses. While two-thirds of the whole biocrust was covered with this moss-lichen community, one-third was purely covered with mosses. Furthermore, BC 4 showed a distinctive moss-lichen community on the entire biocrust, while BC 5 was characterized by a spatial mixture of mosses in between larger *Cladonia* thalli. For the comparison of the effect of spatial crust pattern on NDVI and CFI, the moss-dominated biocrust BC 1, the lichen-dominated biocrust BC 5, and BC 4 as a transient

stage between moss- and lichen- biocrust were selected.

### 3.2 Normalized Difference Vegetation Index

The RGB images as well as the corresponding NIR-G-B and gray valued NDVI images of all biocrust samples are summarized in Fig. 2. The comparison of the three image types highlights the advantage of the NDVI over the RGB images for the evaluation of the distribution of various biocrust components. NDVI also facilitates the differentiation of components according to their relative physiological activity. Nevertheless, the NDVI of all biocrust images ranged between 0.59 and 0.68 (Tab. 1). The minor difference between the NDVI of BC 1 (0.65) and that of BC 2 (0.59) can be explained by the lower coverage with mosses in BC 2.

### 3.3 Chlorophyll fluorescence

Means of  $F_0$  and  $F_m$  obtained for images of the samples BC 1 and BC 2 were approximately 20 % lower than those calculated for images of BC 3 to BC 5 (Tab. 1). For the latter biocrust samples nearly the same means of  $F_0$  and  $F_m$  were recorded. For all biocrust samples, the ratio of  $F_v/F_m$  is lowest in BC 1 and highest in BC 2; while BC 3 to BC 5 showed a similar  $F_v/F_m$  ratio. Overall, NDVI and  $F_v/F_m$  were in the similar range for all biocrust samples. Consequently, correlation analysis of NDVI and chlorophyll fluorescence parameters clearly yielded the same clustering of the biocrust samples (not presented here). The respective images of all three chlorophyll fluorescence parameters analyzed showed nearly the same variation in the spatial distribution of the biocrusts as those of NDVI (Fig. 3).

### 3.4 Matching of NDVI and CFI

Automatic matching of entire biocrust images obtained with the two cameras, which differ in both position and resolution, did not work satisfyingly. Consequently, matching of a smaller portion of the images was done manually (e.g. Fig. 4). For comparison of matching results of

NDVI,  $F_0$  and  $F_m$  images, the biocrust samples BC 1 and BC 5 were chosen due to their pronouncedly differing composition regarding mosses and lichens. BC 4 was included as a biocrust type, which contained both mosses and lichens and represented a transition from BC 1 to BC 5. Pre-determined thresholds of 35 and 60, respectively, were applied to create the red-colored areas of  $F_0$  and  $F_m$ , while the NDVI was blue-colored in the clipped images (Fig. 4 to 6, Appendix Fig. A1 and A2 of BC 2 and BC 3).

The calculated area ratio of NDVI pixels relative to the total area of the clipped RGB-images ranged between 47.7 % and 84.0 % for all biocrust samples (Tab. 2). The area ratio of  $F_0$  for the matching of low chlorophyll fluorescence values (8.1 % to 16.4 %) was slightly higher with a mean of  $13.7 \pm 3.4$  % compared to the area ratio for the matching of high chlorophyll fluorescence values (9.9 % to 13.6 %) with a mean of  $11.6 \pm 1.5$  %, respectively. The area ratio of  $F_m$  for the matching of low chlorophyll fluorescence values (21.5 % to 37.6 %), however, was clearly higher with a mean of  $27.4 \pm 6.6$  % compared to the area ratio for the matching of high chlorophyll fluorescence values (10.2 % to 24.0 %) with a mean of  $16.5 \pm 5.5$  %, respectively. The spatial ratios of  $F_0$  or  $F_m$  did not necessarily coincide with those of NDVI, irrespective of the biocrust (Fig. 4 to 6 (D)).

The overlapping areas of NDVI and  $F_0$  (Fig. 4 to 6 (E)) ranged between 16.3 % and 25.4 % for the low chlorophyll fluorescence values, and between 13.2 % and 28.5 % for the high chlorophyll fluorescence values, respectively (Tab. 2). For the matching of NDVI and  $F_m$ , the overlapping areas ranged between 32.4 % and 47.1 % for the low chlorophyll fluorescence values, and between 17.0 % and 37.3 % for the high chlorophyll fluorescence values, respectively. Hence, the overlapping areas were higher for the NDVI/ $F_0$ - and NDVI/ $F_m$ -matching in the low chlorophyll fluorescence value range compared to the high chlorophyll fluorescence value range. Also, the

spatial area matching of NDVI/ $F_m$  was higher compared to the corresponding matching of NDVI/ $F_0$ .

#### 4. Discussion

##### *4.1 Influence of biocrust composition on NDVI and CFA images*

In spite of similar NDVI values of BC 1 and BC 5, the imaging of NDVI (Fig. 2) and the overlapping areas of NDVI and chlorophyll fluorescence (Fig. 4 and 5 (D)) clearly showed a higher NDVI of mosses compared to lichens. This effect may result from the layered structure of lichens. The photosynthetic active symbiont (photobiont) is located inside of the vegetation body, which is basically formed by fungal mycelia. This covering layer, in turn, may reduce light absorption by chlorophylls. In addition, the total chlorophyll content can be lower in single lichens. Clear differences in the spectral reflectance between moss biocrusts and soil lichens were also reported by Weber et al. (2008) and Rodriguez-Caballero et al. (2015). The NDVI of moss dominated biocrusts with high coverage was higher than that found for moss-lichen dominated biocrusts. Even a higher amount of fully-developed lichens could not significantly affect the mean NDVI.

The analysis of NDVI,  $F_0$  and  $F_m$  indicate a specific response of parts of the mosses and lichens, i.e. not all crustal organisms contribute to both NDVI and CFA. Especially the overlapping of NDVI images with those of  $F_0$  and  $F_m$  showed that not the entire biocrust community, which contain chlorophyll and showed a response in the NDVI imaging, were also photosynthetic active in both the low or high chlorophyll fluorescence range (e.g. Fig. 5 and 6). Although some distinct lichens showed photosynthetic activity in the high  $F_m$  range (Fig. 5), photosynthetic performance of mosses was continuously higher. Hotspots of photosynthesis were characterized by both high

295 NDVI and chlorophyll fluorescence, reflecting a high photosynthetic activity and, hence, mosses  
 296 formed the hotspots of photosynthesis of the whole biocrust.  
 297 Reportedly, mosses may absorb higher amounts of water than lichens (Gypser et al., 2015b;  
 298 Veste et al., 2011; Yair et al., 2011). The spatial imaging of  $F_v/F_m$  indicated that the maximum  
 299 photochemical efficiency of the biocrusts was always located beneath the biocrust surface  
 300 (Garcia-Pichel and Belnap, 1996; Raanan et al., 2015). The marginal areas of biocrust were only  
 301 poorly visible in the respective parts of both  $F_m$  and, more pronounced, of  $F_v/F_m$  images. It may  
 302 be assumed that biocrust at the edge desiccated faster. On the other hand, more liquid water may  
 303 have been concentrated in the middle of the samples although care was taken to equally moisten  
 304 all biocrusts prior to chlorophyll fluorescence measurements. Despite the fact that means of  $F_v/F_m$   
 305 were in the same range for all biocrusts, a clear variation in spatial distribution patterns of  
 306 photosynthetic capacity among the different biocrust samples is obvious in the multispectral and  
 307 fluorescence images.  
 308 Both  $F_0$  and  $F_m$  allow the analysis of specific photosynthetic responses to various environmental  
 309 constraints (von Willert et al., 1995); consequently both parameters were included in the  
 310 matching process. It was observed that during desiccation,  $F_0$  reacted more sensitively than  $F_m$   
 311 (Veste et al., 2001). Therefore, it affected the effective quantum yield  $F_v/F_m$  (Veste et al., 2001)  
 312 and may be advantageous in investigations of the moisture-dependent physiological active phase.  
 313 On the other hand,  $F_m$  was shown to be preferable for the evaluation of the spatial distribution of  
 314 photosynthetic activity of biocrusts (Gypser et al., 2016).  
 315 The correlation of NDVI with  $F_0$ ,  $F_m$  and  $F_v/F_m$  may point to a clustering of the sampled biocrusts  
 316 as previously reported by Gypser et al. (2016). These authors showed that some relation of these  
 317 parameters may be due to the changes of species compositions during biocrust development. For

fully developed biocrusts, composed of both mosses and lichens, highest values of  $F_0$  and  $F_m$  corresponded with highest NDVI (Tab. 1). The evaluated biocrust samples, however, were not selected to analyze the physiological changes during biocrust development but should optimally reflect the successional stage of fully developed biocrusts (Gypser et al., 2016). In general, the ecophysiological performance of biocrusts can be related to their specific community composition. There could be a sigmoidal light saturation behavior of photosynthetic performance in fully developed biocrusts. Also, the spatial ratios with high or low chlorophyll fluorescence and hence, photosynthetic activity depends on the community composition and surface coverage of biocrusts. Indeed,  $F_0$ ,  $F_m$  and  $F_v$  vary with the specific biocrust composition. Areas with high photosynthetic activity, i.e. the photosynthetic hotspots, however, represent only a smaller portion compared to those with low photosynthetic activity. Related to the chlorophyll containing biocrust parts, visible in NDVI images, the hotspots including high  $F_0$  and  $F_m$  amounted  $42.2 \pm 10.9$  %, while the areas with lower photosynthetic activity amounted  $60.0 \pm 5.1$  % of the total sample surface area. Spatial photosynthetic activity should be considered in the evaluation of the influence of different biocrust types in the analysis of carbon cycles and carbon accumulation in initial soils, and finally, improvement of soil quality and functions (Dümig et al., 2014).

#### 4.2 Methodical consideration

Matching of images obtained with two cameras, which generally differ in both position and resolution, was challenging. Assuming that the planes of both biocrust samples and cameras were parallel, the images were transformed to a joint coordinate system by using displacements, scaling, and plane rotations. If the two planes were not perfectly parallel, the images were distorted three-dimensionally. This could not be easily corrected due to the lack of relevant information. In particular with inexpensive consumer cameras, the optical angle becomes larger



341 with large objects. This causes additional optical errors uncorrectable without specific knowledge  
342 of camera systems and optics. Finally, some alterations of samples structure due to handling  
343 (transportation, watering, etc.) might have occurred between the acquisitions of the different  
344 images. For the above reasons and the fact that the images were quite different in content,  
345 automatic image registration algorithms might have been not able to closely match the pictures  
346 adequately. Hence, manually matching of small parts of the images was preferred.

347 The use of thresholds for determining regions of low or high chlorophyll fluorescence enabled  
348 the differentiation between biocrust species and their contribution on the total photosynthetic  
349 capacity. The thresholds determined for the gray valued images seemed to be a reliable  
350 parameter, which can be used for comparable image analysis. If the selected threshold were too  
351 high, the areas representing high chlorophyll fluorescence signals were smaller and vice versa.  
352 This can lead to some uncertainties, complicating the direct comparison between different  
353 biocrust types. A next step for a more detailed spatial pattern analysis should be the inclusion of  
354 whole biocrust images and CFI with their original chlorophyll fluorescence scale.

355 Rasmussen et al. (2016) used consumer-grade cameras on unmanned aerial vehicles to obtain  
356 vegetation indices from remote images of cereal crops. These authors observed that the angular  
357 variations of reflectance showed varying brightness according to the incident sun radiation. This  
358 bidirectional reflectance led to deceptive conclusions under sunny conditions. But they also  
359 proved the usability of consumer-grade cameras as simple and inexpensive alternatives to  
360 expensive multi-spectral sensor systems for the spatial analysis of NDVI.

361 Compared to former studies using the camera type Olympus Camedia 5000z with a separate  
362 Hoya R72 infrared filter (Fischer et al., 2012; Gypser et al., 2016), the novel application of the  
363 NIR-G-B camera allowed a faster and easier imaging, because no separate NIR-filter needs to be



364 mounted on the camera. As a consequence, post-proceeding steps such as positioning the separate  
365 RGB, VIS and NIR images to each other for further image processing can be omitted.  
366 Furthermore, the higher resolution of 4000 pixel  $\times$  3000 pixel (Canon) compared to 1024 pixel  $\times$   
367 768 pixel (Olympus) reduced the concomitant inaccuracies and allowed more detailed analyses of  
368 NDVI images.

369

#### 370 4. Conclusions

371 NDVI and  $F_v/F_m$  were nearly in a similar range for all biocrust types, independent of their spatial  
372 pattern distribution. However, NDVI imaging revealed a higher chlorophyll content of mosses  
373 compared to lichens.

374 In addition, matching of NDVI images with CFI indicated a higher photosynthetic performance  
375 of mosses.  $F_0$  and  $F_m$  increased with species coverage and advancing biocrust development.  
376 Hence, mosses represented hotspots of photosynthesis of the whole biocrust and the  
377 ecophysiological performance of biocrusts can be related to their species composition. However,  
378 these hotspots of photosynthesis represent only a smaller part of the biocrust compared to areas  
379 with low photosynthetic activity.

380 Three-dimensional distortion, optical errors and alteration of the biocrust images should be taken  
381 into account for an image processing approach. In general, consumer-grade NIR-G-B cameras  
382 can be used as simple tool for imaging of biological systems. The use of the combination of both  
383 imaging techniques allows novel insights into spatial variances of photosynthetic activities in  
384 relation to biocrust community and in response to environmental processes. Future combined  
385 field observations of NDVI imaging and CFI, and the integration of information about climatic  
386 parameters such as rainfall, radiation or temperature can be linked to physiological processes of

387 biocrusts during the daily and seasonal courses. Additionally, spatial pattern of desiccation and  
388 regeneration as well biocrust development can be investigated. Hence, the pattern analysis of  
389 biocrusts can provide more precise information of carbon fixation and topsoil carbon cycling,  
390 which are important parameters of soil quality and function.

391

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## Captions

**Fig. 1.** Empirical cumulative distribution functions of (A)  $F_0$  and (B)  $F_m$  for all biocrust samples, where (I) marks the tonal value range from 0 to 25, (II) marks the tonal value range from 25 to 30 for  $F_0$  and 25 to 60 for  $F_m$  (grey highlighted), and (III) marks the tonal value range from 30 to 255 for  $F_0$  and 60 to 255 for  $F_m$ .

**Fig. 2.** RGB, NIR-G-B, and gray-valued NDVI images of the different biocrust types sampled at Lieberose.

**Fig. 3.** RGB,  $F_0$ ,  $F_m$ , and  $F_v/F_m$  images of the biocrust types sampled at Lieberose.

**Fig. 4.** Matching of NDVI and CFI of BC 1 including (A) Clipped RGB image of biocrust sample BC 1 with marked areas of (B) NDVI (blue), (C)  $F_0$  and  $F_m$  (red), (D) the overlapping areas of NDVI and chlorophyll fluorescence and (E) mutual areas of NDVI and chlorophyll fluorescence (green). “Low” describes images with marked areas representing low chlorophyll fluorescence and pixels with tonal values in the range 25 to 35 for  $F_0$  and 25 to 60 for  $F_m$ . “High” describes images with marked areas representing high chlorophyll fluorescence and pixels with tonal values in the range 35 to 255 for  $F_0$  and 60 to 255 for  $F_m$ .

**Fig. 5.** Matching of NDVI and CFI of BC 5 including (A) Clipped RGB image of biocrust sample BC 5 with marked areas of (B) NDVI (blue), (C)  $F_0$  and  $F_m$  (red), (D) the overlapping areas of NDVI and chlorophyll fluorescence and (E) mutual areas of NDVI and chlorophyll fluorescence (green). “Low” describes images with marked areas representing low chlorophyll fluorescence and pixels with tonal values in the range 25 to 35 for  $F_0$  and 25 to 60 for  $F_m$ . “High” describes images with marked areas representing high chlorophyll fluorescence and pixels with tonal values in the range 35 to 255 for  $F_0$  and 60 to 255 for  $F_m$ .

**Fig. 6.** Matching of NDVI and CFI of BC 4 including (A) Clipped RGB image of biocrust sample

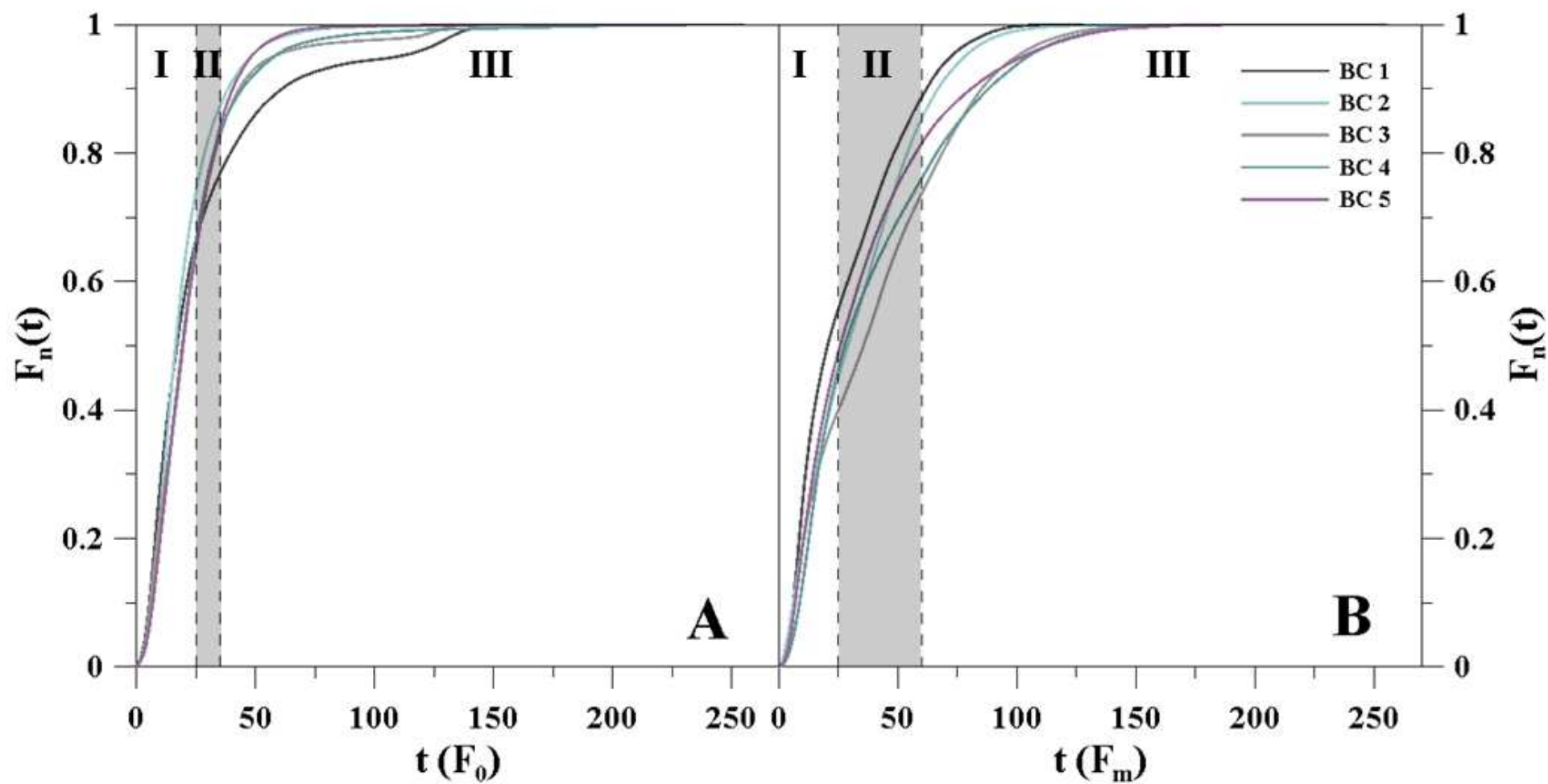
BC 4 with marked areas of (B) NDVI (blue), (C)  $F_0$  and  $F_m$  (red), (D) the overlapping areas of NDVI and chlorophyll fluorescence and (E) mutual areas of NDVI and chlorophyll fluorescence (green). “Low” describes images with marked areas representing low chlorophyll fluorescence and pixels with tonal values in the range 25 to 35 for  $F_0$  and 25 to 60 for  $F_m$ . “High” describes images with marked areas representing high chlorophyll fluorescence and pixels with tonal values in the range 35 to 255 for  $F_0$  and 60 to 255 for  $F_m$ .

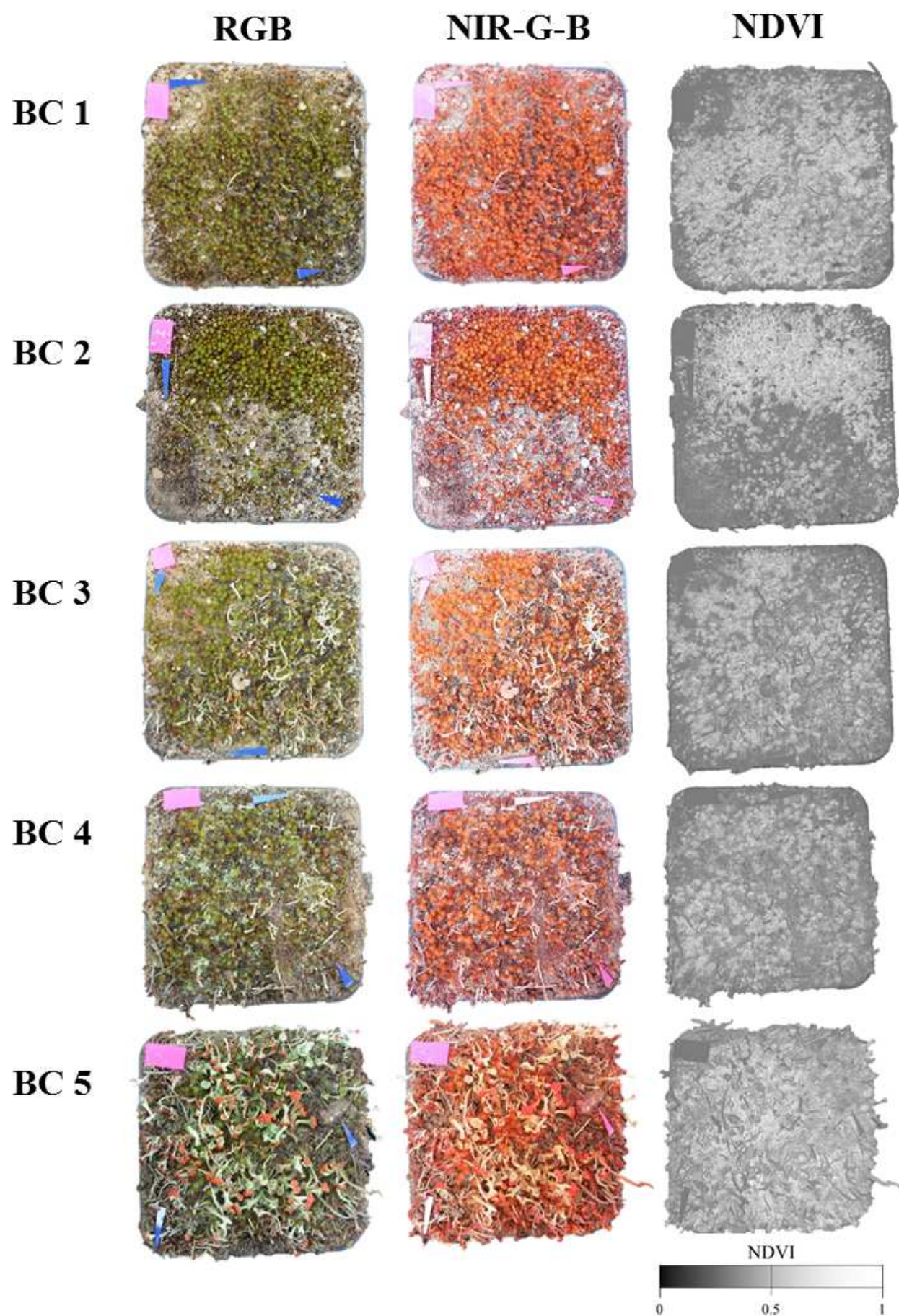
## Appendix

**Fig. A1.** Matching of NDVI and CFI of BC 2 including (A) Clipped RGB image of biocrust sample BC 2 with marked areas of (B) NDVI (blue), (C)  $F_0$  and  $F_m$  (red), (D) the overlapping areas of NDVI and chlorophyll fluorescence and (E) mutual areas of NDVI and chlorophyll fluorescence (green). “Low” describes images with marked areas representing low chlorophyll fluorescence and pixels with tonal values in the range 25 to 35 for  $F_0$  and 25 to 60 for  $F_m$ . “High” describes images with marked areas representing high chlorophyll fluorescence and pixels with tonal values in the range 35 to 255 for  $F_0$  and 60 to 255 for  $F_m$ .

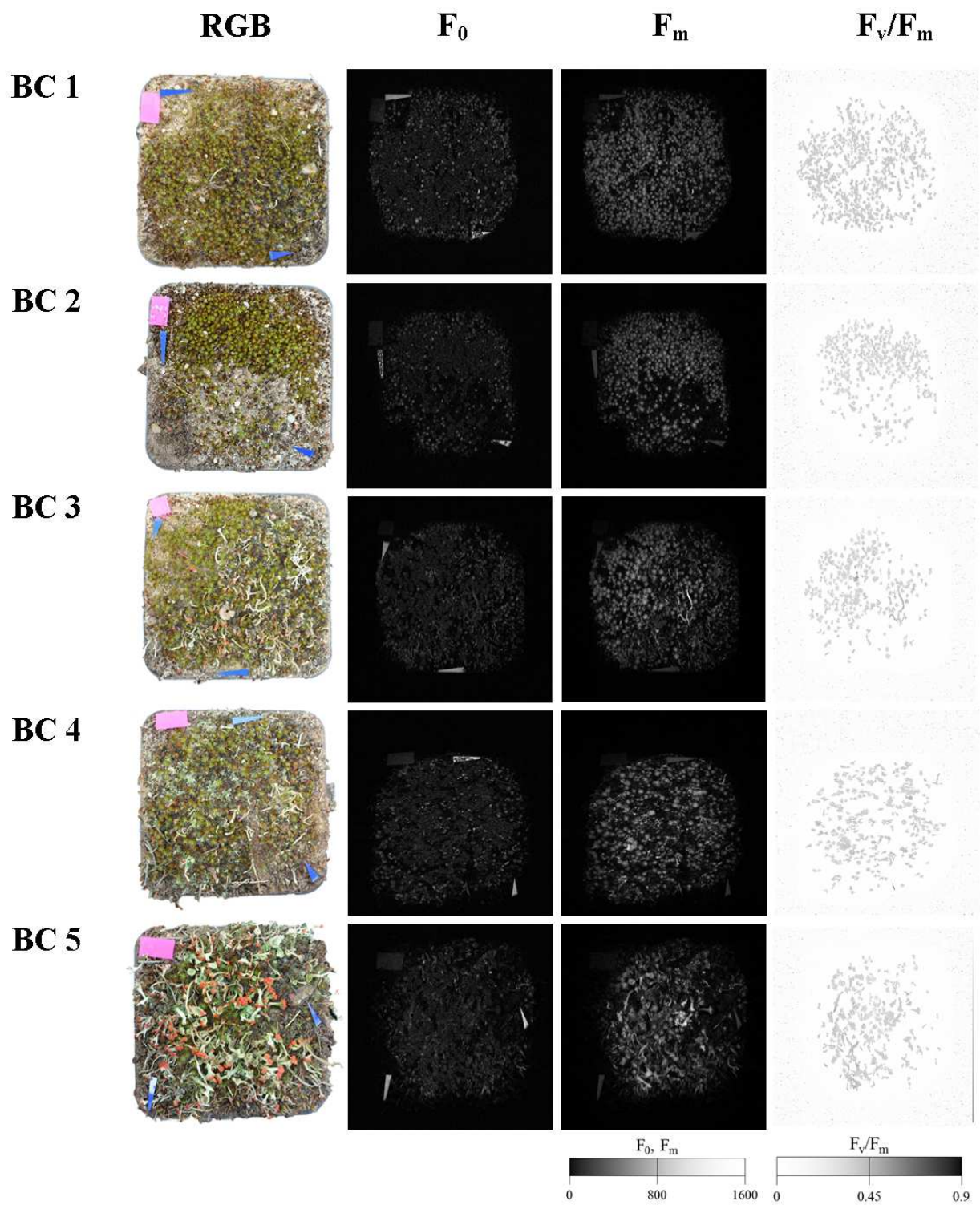
**Fig. A2.** Matching of NDVI and CFI of BC 3 including (A) Clipped RGB image of biocrust sample BC 3 with marked areas of (B) NDVI (blue), (C)  $F_0$  and  $F_m$  (red), (D) the overlapping areas of NDVI and chlorophyll fluorescence and (E) mutual areas of NDVI and chlorophyll fluorescence (green). “Low” describes images with marked areas representing low chlorophyll fluorescence and pixels with tonal values in the range 25 to 35 for  $F_0$  and 25 to 60 for  $F_m$ . “High” describes images with marked areas representing high chlorophyll fluorescence and pixels with tonal values in the range 35 to 255 for  $F_0$  and 60 to 255 for  $F_m$ .

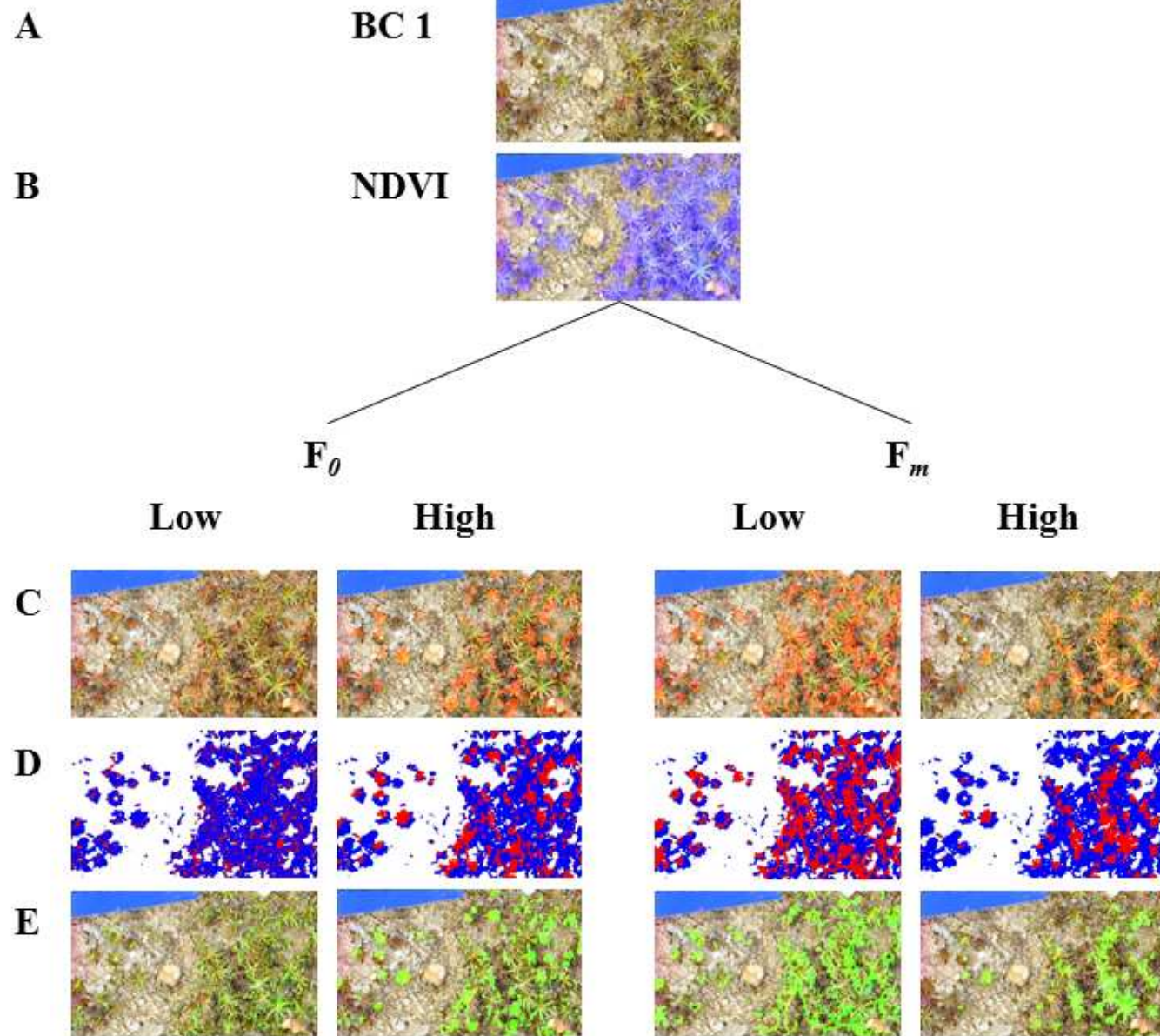
## Empirical CDFs



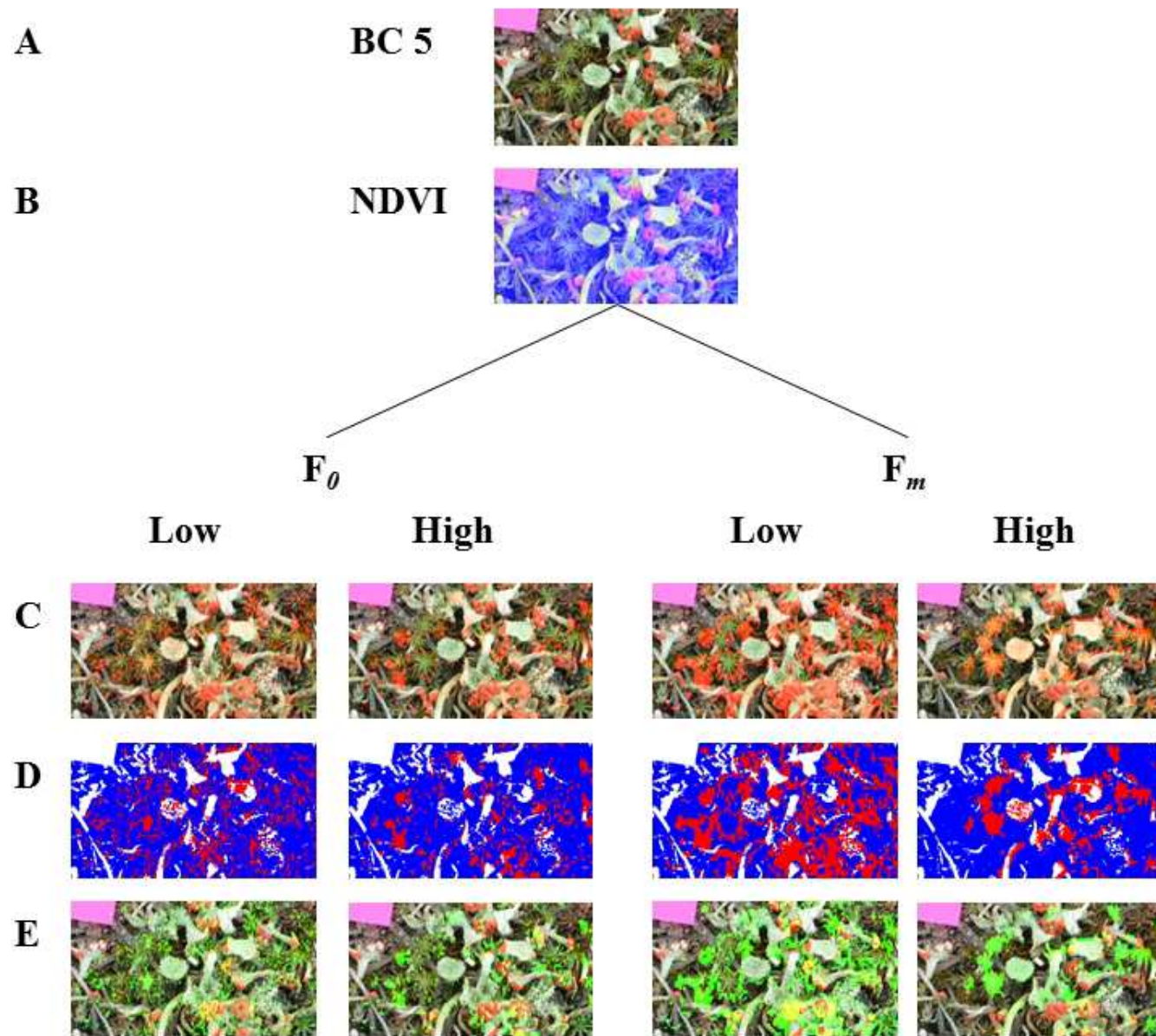




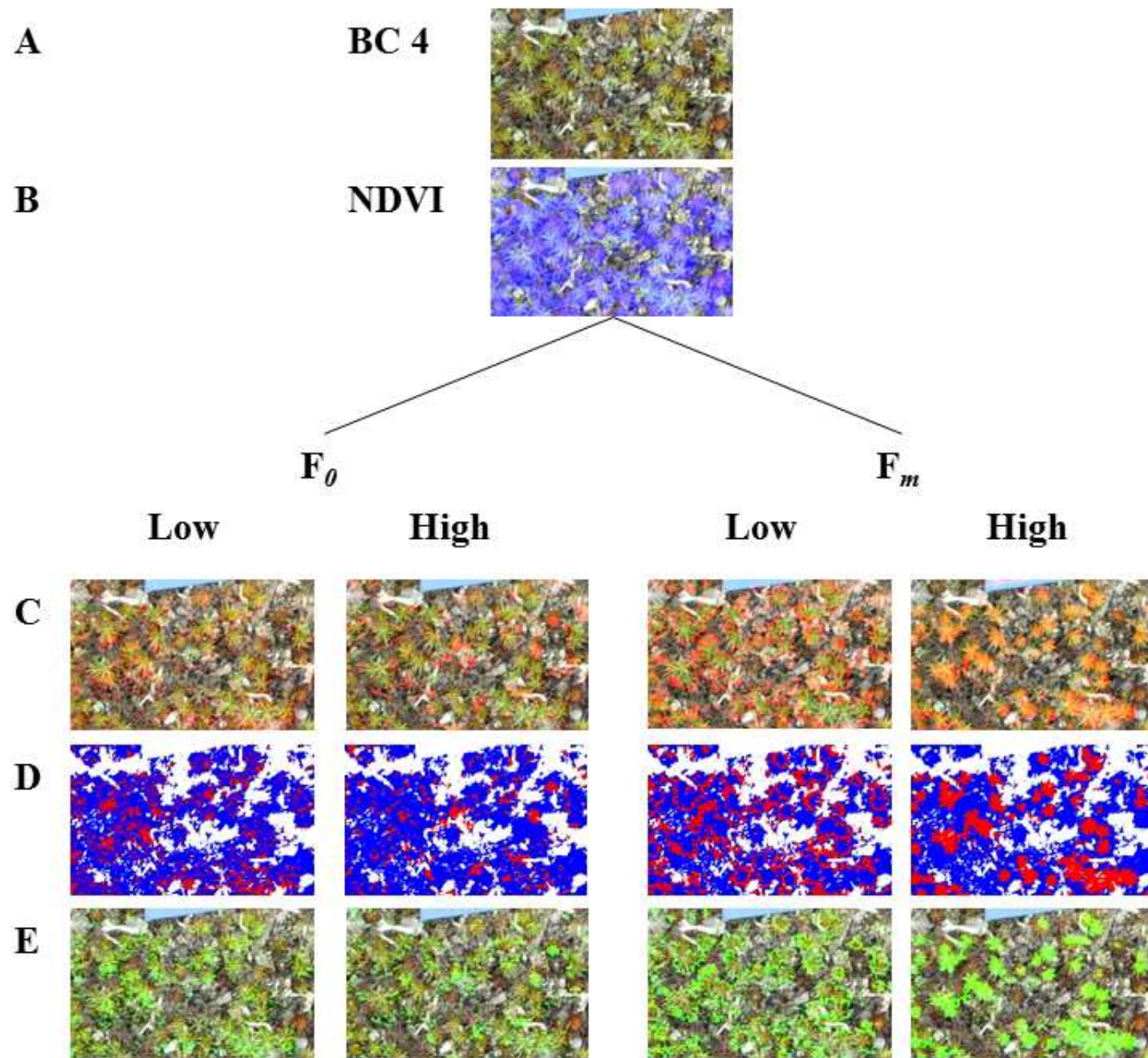


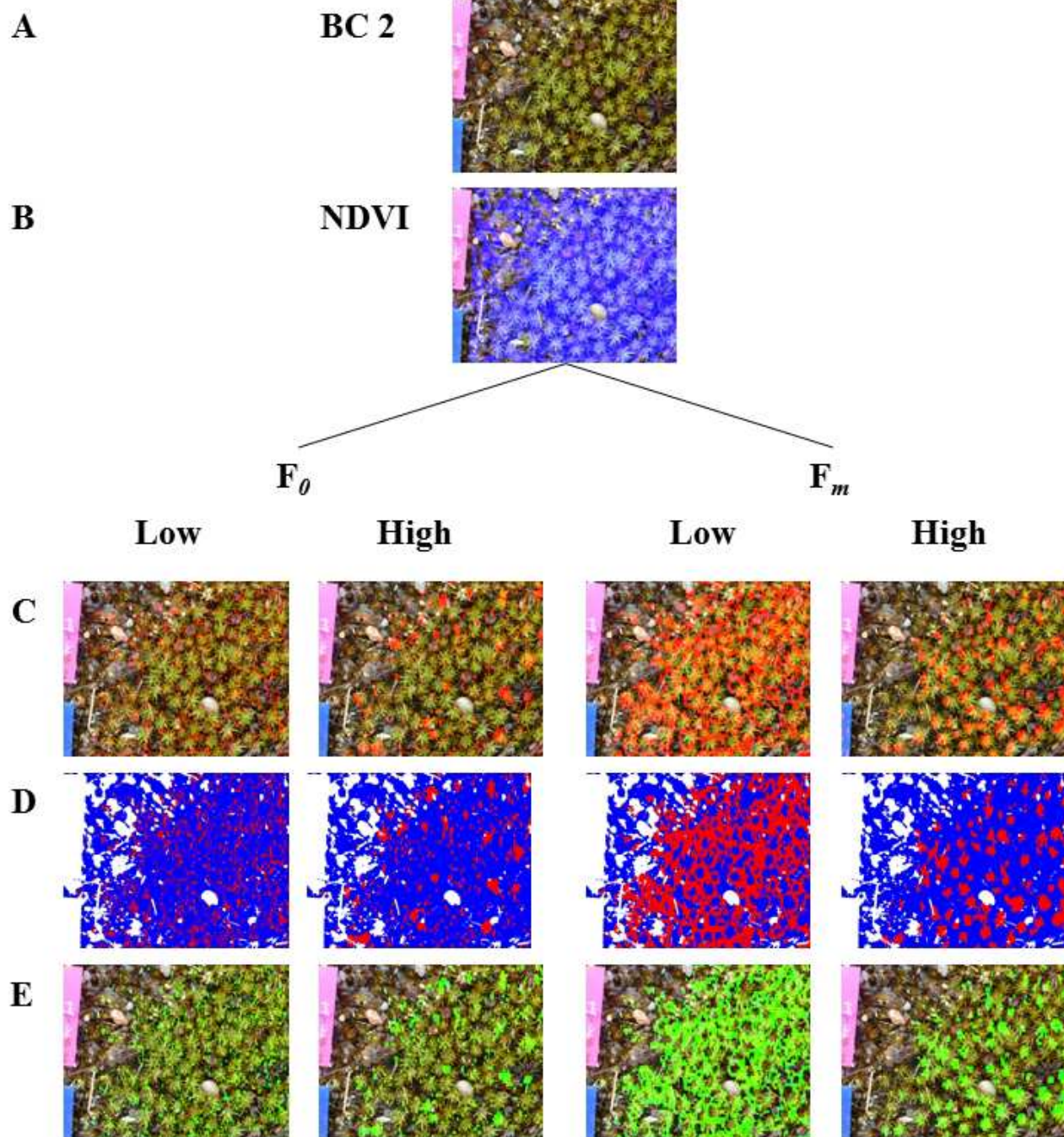




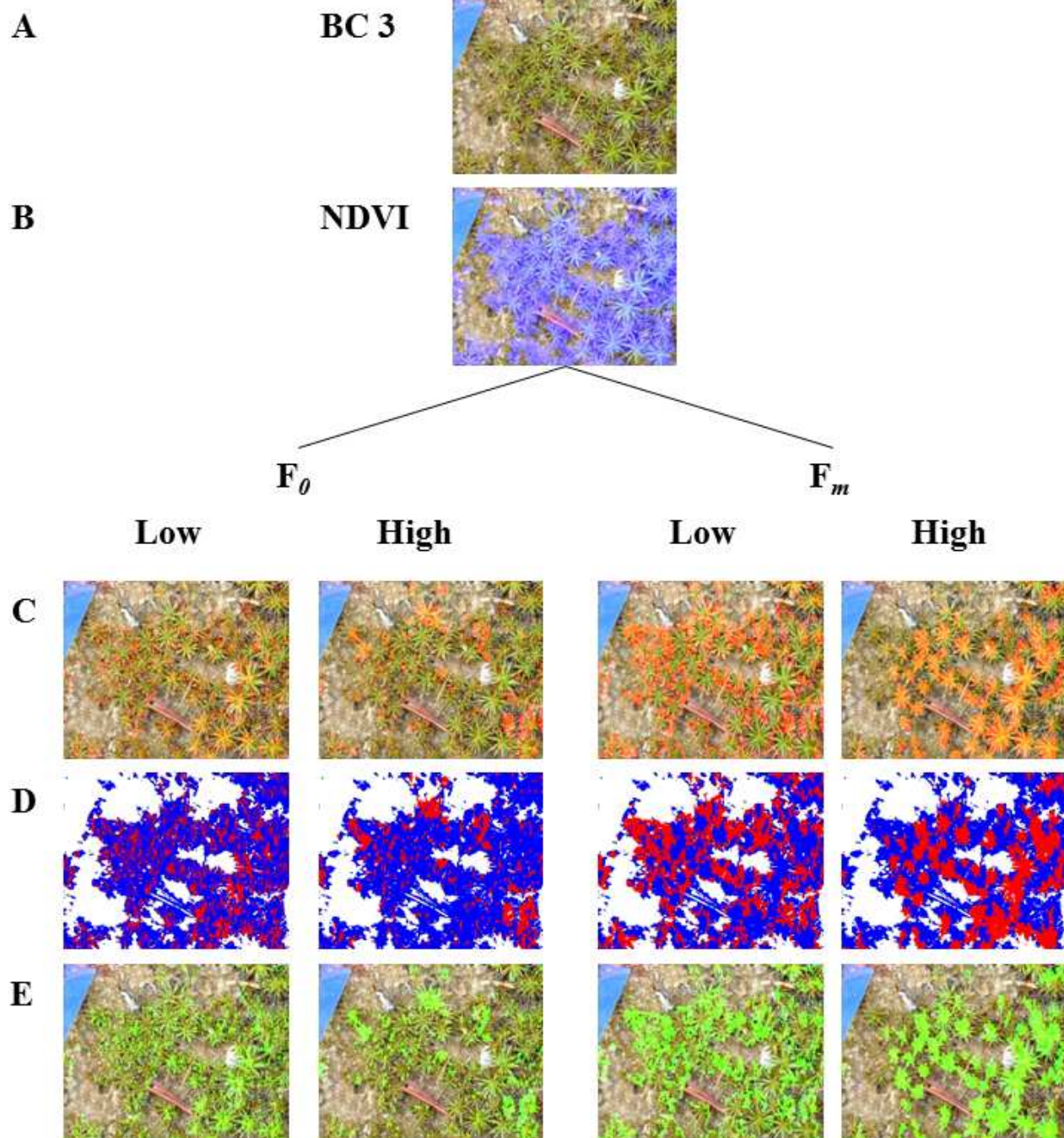












## Tables

**Tab. 1.** Calculated NDVI,  $F_0$ ,  $F_m$  and  $F_v/F_m$  for all biocrust samples.

Biocrust sample	NDVI	$F_0$	$F_m$	$F_v/F_m$
BC 1	0.65	120	438	0.724
BC 2	0.59	106	427	0.751
BC 3	0.60	140	539	0.742
BC 4	0.60	146	552	0.735
BC 5	0.68	147	557	0.736

**Tab. 2.** Area ratios of NDVI,  $F_0$  and  $F_m$  related to the clipped RGB-image and the percentage of matching of NDVI with  $F_0$  and NDVI with  $F_m$ .

<b>Biocrust type</b>	<b>BC 1</b>	<b>BC 2</b>	<b>BC 3</b>	<b>BC 4</b>	<b>BC 5</b>
<i>Matching Low Chlorophyll fluorescence [%]</i>					
<b>NDVI</b>	47,7	79,7	64,4	68,7	84,0
<b><math>F_0</math></b>	8,1	13,0	16,3	14,8	16,4
<b><math>F_m</math></b>	21,5	37,6	25,7	22,2	30,2
<b>Match NDVI/<math>F_0</math></b>	17,01	16,31	25,37	21,47	19,48
<b>Match NDVI/<math>F_m</math></b>	45,05	47,13	39,86	32,35	35,97
<i>Matching High Chlorophyll fluorescence [%]</i>					
<b>NDVI</b>	47,7	79,7	64,4	68,7	84,0
<b><math>F_0</math></b>	13,6	10,5	11,7	9,9	12,5
<b><math>F_m</math></b>	10,2	13,8	24,0	20,0	14,3
<b>Match NDVI/<math>F_0</math></b>	28,5	13,2	18,1	14,4	14,9
<b>Match NDVI/<math>F_m</math></b>	21,4	17,3	37,3	29,1	17,0