

# 1 **Sharing the right data right: a symbiosis with machine** 2 **learning**

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10 2/EN/Staff/Enabling%20Technologies/Scharr\\_Hanno/Scharr.html](http://www.fz-juelich.de/ibg/ibg-2/EN/Staff/Enabling%20Technologies/Scharr_Hanno/Scharr.html)

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12 **Keywords:** open data, machine learning, plant phenotyping

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## 14 **Abstract**

15 In 2014 plant phenotyping research was not benefiting from the machine learning revolution as  
16 appropriate data were lacking. We report the success of the first open dataset in image-based  
17 plant phenotyping suitable for machine learning, fuelling a true interdisciplinary symbiosis,  
18 increased awareness and steep performance improvements in key phenotyping tasks.

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## 21 **Advancing plant phenotyping by sharing ‘problems’**

22 Appropriate training and testing data are at the heart of computer vision (CV) and machine  
23 learning (ML) research as means for developing and evaluating novel approaches. However, in  
24 2014, appropriate data for image-based phenotyping problems were lacking. Thus, plant  
25 phenotyping was not benefiting from this data-driven revolution [1] and CV/ML researchers  
26 were largely unaware of phenotyping applications. To address these limitations, we opened a  
27 collection of plant data for several phenotyping tasks including two ‘hot’ CV/ML problems: leaf  
28 segmentation a ‘multi-instance segmentation’ problem and leaf counting an ‘object counting’

1 problem [2,3]. Our objectives were to measure how well broad ML algorithms could solve major  
2 phenotyping tasks but also enlarge the community of scientists considering phenotyping  
3 applications. Within four years our datasets became very popular, even reaching ‘standard  
4 dataset’ status for multi-instance segmentation and object counting. Most importantly we saw  
5 tremendous improvement in performance in solving these two tasks. Here, we report on our  
6 efforts to promote the dataset, which we consider were vital for its success and aim to offer  
7 advice on making problems interesting and corresponding data useful for the CV/ML  
8 community.

### 10 **Setting the problem: the competitions**

11 The current race for publications in ML and CV is mostly won by the ‘best performing’ solution  
12 and we wanted to leverage this to maximise attention to plant phenotyping problems. We, thus,  
13 organised a ‘competition’ (typically in the ML community refer to as ‘challenge’) as a rapid and  
14 visible publication route to attract CV/ML researchers. Prerequisite for a successful challenge is a  
15 challenging but doable problem, not too hard and not yet solved. Leaf segmentation and counting  
16 are ideal problems as they were amenable to several approaches and can be understood by  
17 everyone. As clarity is mission critical, clear and easy to interpret performance measures are a  
18 must. This is trivial for counting, where we decided to use average absolute count difference and  
19 average count difference. Evaluating multi-instance segmentation results is less simple. Suitable  
20 measures for single instance segmentation are well established e.g. the ‘intersection of the union’  
21 score or the very similar and classical Dice score. Both range between zero and one and are thus  
22 easily interpretable as success rates being perfect at 100%. Since no well-established criteria  
23 existed when we established the competition, we introduced a multi-instance version of the Dice  
24 score. We ensured that neither reordering of instances nor exchanging roles of ground truth and  
25 test solutions have an influence on the result. The resulting ‘Symmetric best Dice’ measure is  
26 thus as easily interpretable as the single instance version. We made participants’ lives as easy as  
27 we could: accompanying our datasets were scripts to load and pre-process data and code  
28 evaluating the proposed metrics for measuring performance. In combination, our strategy not  
29 only lowered the barrier to entry but also standardized the results and their presentation  
30 ultimately allowing direct comparison of methods (see Box 1).

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**Setting the stage: the workshops**

To incentivise participation, we organized the first challenge in 2014 as part of a workshop accepting also full-length papers describing challenge submissions. To make this publication avenue as attractive as possible we organized the workshop together with an internationally renowned and high-ranking computer vision conference to maximise visibility. In addition, full-length papers were published together with main conference proceedings [4] –a great value for CV researchers– and extended versions were bundled in a special issue [5] of a computer vision journal.

As a community building measure and to promote application-related problems, the workshop also accepted ‘problem statement’ papers. These papers do not describe solutions, as is common for CV/ML conferences, but properly describe unsolved, but relevant, problems in an application area. Thus, application scientists, experts in these problems, were engaged without being experts in CV or ML.

This workshop format was so well received across the disciplines that we proceeded to organize it almost yearly in conjunction with top CV conferences (e.g. ICCV, ECCV, and BMVC (twice)).

**Setting the baseline: the collation study**

We compiled a collation study summarizing the results, where all challenge contributors served as co-authors [6]. This showed the quality bandwidth of the applied solutions, but also set the baseline for comparing future approaches.

**Releasing the data: the paper and the website**

Given the success of the first workshop and challenge we decided to publish a paper describing, for the first time, a large collection of image analysis problems that arise in plant phenotyping; and to offer accompanying data, performance metrics and several baseline methods demonstrating the challenging aspect of the data. This coincided with the continuous requests from colleagues for the challenge data and to perform evaluations on the test set. Together with the release of the paper [3], we therefore created a website<sup>ii</sup> allowing the download of data after

1 registration. This registration information allows us to track the success and impact of the dataset  
2 (see Figure 1B-D).

### 4 **The impact**

- 5 ● As of September 2018, 1600 requests were recorded, with an overall exponential growth  
6 (Figure 1B), doubling approximately every seven months.
- 7 ● Approximately 70% of requests originate from users not actually working in plant  
8 phenotyping (Figure 1C), so we do attract new people and raise awareness of  
9 phenotyping.
- 10 ● Undergraduate students are the largest group requesting our data (27%). This is exciting:  
11 we are introducing the problem very early in the academic development of the  
12 community. Equally encouraging is that 10% of requests are associated with industry,  
13 showing the potential exploitation impact. The remaining requests (63%) are almost  
14 equally split among researchers in higher education (MSc, PhD, postdocs/faculty).
- 15 ● As of September 2018, the paper [3] has received 47 citations, according to Google  
16 Scholar. Thanks to pioneering work from Romera-Paredes & Torr [7] and Ren &  
17 Zemel [8] our dataset has become almost a benchmark in multi-instance segmentation  
18 setting a trend for using our dataset to test and evaluate pipelines for the benefit of ML  
19 research.
- 20 ● This community effort led to steep performance increases (Figure 1D). From early results  
21 at 74.4% in leaf segmentation accuracy, measuring overlap of the algorithm's result with  
22 ground truth delineation, we now reached 90% (by leveraging also synthetic data [12]).  
23 This is a remarkable 20% relative improvement within 4 years. On counting the  
24 performance gain has been even more astounding with a 3.7-fold reduction in error.

### 27 **What's next**

28 These remarkable gains are mostly seen for Arabidopsis wild-type where we had released  
29 adequate number of training data. We should now collectively focus to translate such  
30 performance on other plants and cultivars as well.

1           However, when do we stop and what do we have to do to get there? Typically, in CV/ML  
2 a limit is met when automated algorithms achieve or surpass human level performance. For leaf  
3 counting, a recent study showed that 0.29 is the current human expert performance [9], clearly,  
4 we are not there yet but coming closer. For leaf segmentation such study is currently lacking as  
5 is tedious to perform. Deep learning approaches need more annotated data to help improve  
6 performance yet obtaining annotated data of significant variability and size is difficult. Options  
7 to synthesise data [10-12] or use citizen scientist platforms to collect annotations can help  
8 increase scalability and is an area of active research and activity [9].

9           To enable continuous evaluation, we have now set up an online system<sup>iv</sup> that evaluates  
10 performance of approaches based on a held-out testing set. The ideal would be to use a sandbox  
11 virtual system that executes code on a dedicated server, but this requires dedicated funding.  
12 Finally, to improve performance on other cultivars, plants and tasks, appropriate training data are  
13 necessary which the community together should provide (see Box 1 for advice).

## 15 **Conclusion**

16 We want to emphasize that opening data is good practice as it allows reproducibility of science.  
17 In the plant sciences this is starting to take place. Yet we still hesitate to publish data when we  
18 don't have a good solution. This article demonstrates that potential for impact exists even if we  
19 do not yet have the perfect solution –in fact it is better to show the limitations of current  
20 approaches and demonstrate difficulty. If we open our data the ‘right’ way, the CV/ML  
21 community can and will solve our problems ‘for free’—a true symbiosis.

## 23 **Resources**

- 24 i. <http://www.plant-phenotyping.org/CVPPP2014>  
25 ii. <https://www.plant-phenotyping.org/datasets>  
26 iii. <https://www.plant-phenotyping.org/datasets-impact>  
27 iv. <https://competitions.codalab.org/competitions/18405>  
28 v. <https://zenodo.org/>

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31 *Problems in Plant Phenotyping (CVPPP2018)* pp. 1–13.
- 32

1 **Figure 1. (A)** An example of the content and annotations available in the dataset (figure adapted  
2 and modified from [3]); **(B)** Cumulative download requests since release (December 2015, axis  
3 starts earlier for visualisation purpose); **(C)** Background (expertise) of users downloading the  
4 data over 1600 requests; **(D)** Performance in leaf segmentation (Symmetric Best Dice) and leaf  
5 counting (absolute count difference) as evolution of time, showing exemplars of performance  
6 taken from papers that use the datasets (see also our website on impact<sup>iii</sup>). Early points before the  
7 dataset release refer to challenge contributions.

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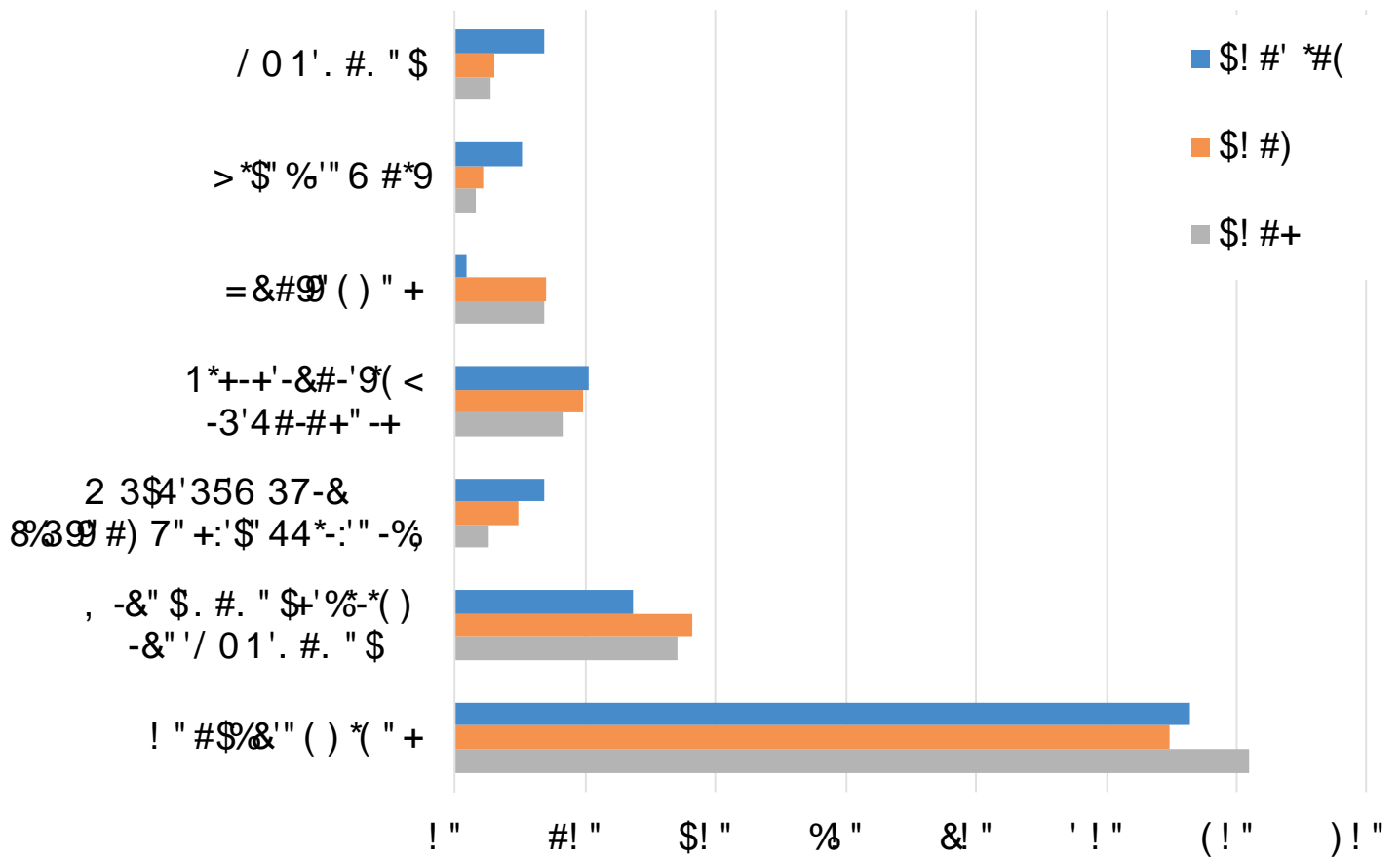
9 **Box 1. How to share data successfully by making it useful for others**

- 10 • *Open the RIGHT data for the RIGHT problem (neither undoable nor solved):* Publish data  
11 not just as means of verification but as “problem statements” [2,3] and give a baseline [6].  
12 Observe the balance: if the baseline performs already too well, it is not a challenging  
13 problem.
- 14 • *Appropriate data for the problem:* Data without accompanying annotations are not very  
15 useful for the machine learning era of today.
- 16 • *Use terminology attractive for the intended audience:* For example, leaf segmentation is a  
17 multi-instance object segmentation.
- 18 • *Adhere to Findable, Accessible, Interoperable, and Re-usable (FAIR) principles:* We  
19 opened the data, described their origin, metadata, how to read/write, and how to share  
20 findings.
- 21 • *Decide on suitable metrics:* Ideally a single, well established, and easy to interpret measure  
22 should be provided to evaluate performance.
- 23 • *Offer implementations of error metrics and keep it standardised:* Open training data  
24 sets together with ground truth, and test sets without. Offer code for metrics, especially for  
25 those non-trivial to compute such as the multi-instance version of the Dice score. Perform  
26 test set evaluations for participants. It promotes standardization and credibility of results.
- 27 • *Pick the right sharing platform:* We build our own website and used a survey form to  
28 collect requested information (which essentially powered this paper’s analysis, see website  
29 on impact<sup>iii</sup>).
- 30 • *Work on disseminating the dataset and the problem:* see Figure I.

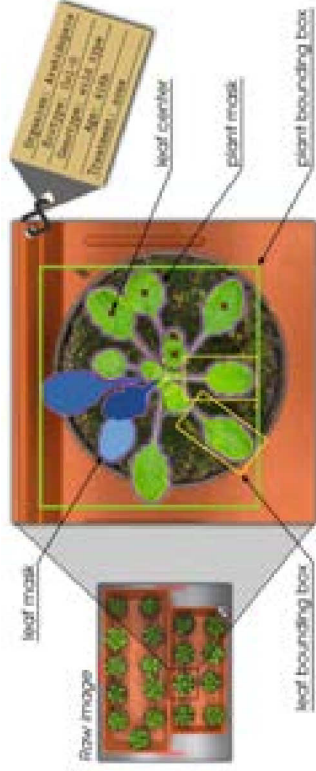
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1 **Figure I.** How users heard about the data, shown as percentage over three periods. The first four  
2 options correspond to actions that we had direct influence over, whereas the rest were out of our  
3 hands. **PRL paper:** We observe that publishing a tech report [2] satisfies the FAIR data but is  
4 just an entry point. A peer-reviewed paper gave us better visibility [3]. **Challenges:** We  
5 organised workshops and challenges, were inclusive and rallied the community with the collation  
6 study paper [6]. **Invitation:** We directly emailed people after building our own contact list and  
7 ensured that the data are listed in relevant databases (**Lists**). This is what fed the initial growth,  
8 which of course changed completely as more people discovered the data as soon as the first  
9 “high-impact” computer vision paper cited the dataset. Then growth over the years was largely  
10 fuelled by **papers citing the PRL paper** [3] and of course by **search engines**, so having a  
11 website helps immensely. (The search engine numbers could be inflated as search may have been  
12 the means and not the origin.)  
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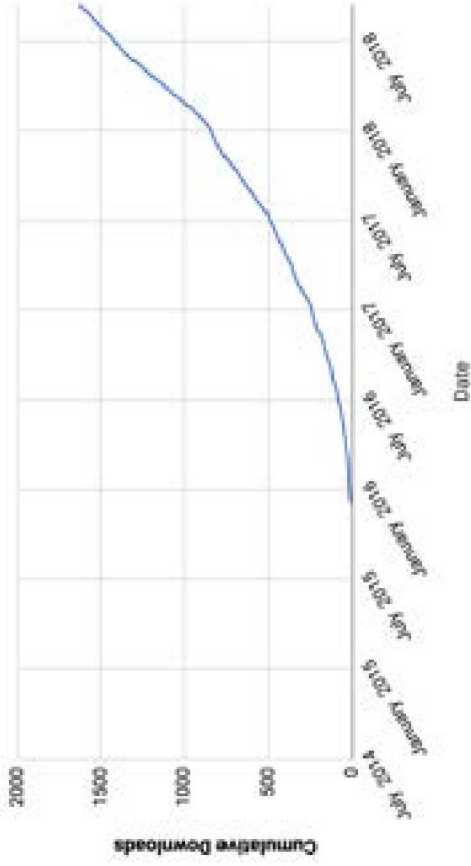


(A)



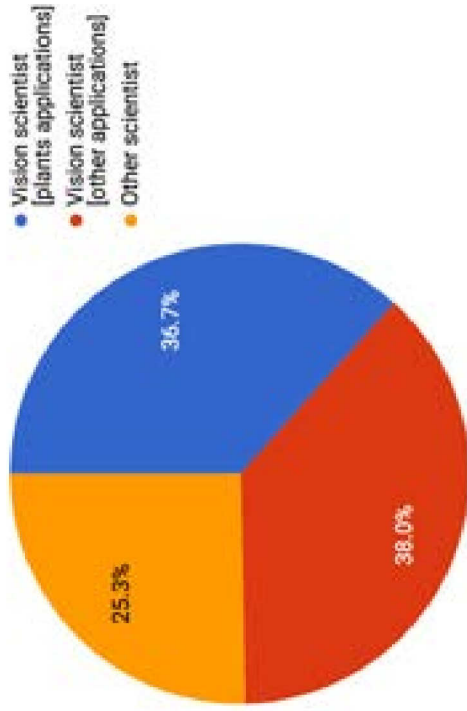
(B)

Downloads over time



(C)

Background of user



(D)

Performance over time

