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#### Abstract

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The use of diurnal or seasonal water table fluctuation (WTF) to estimate groundwater evapotranspiration (ET<sub>G</sub>) at different land uses and climate conditions is increasing applied in ecohydrological studies. In this study, we applied the WTF method for a shallow aguifer in an urbanized area in Senegal over the dry season 2000-2013. To analyze the applicability and validity of the WTF method for this site, and to understand the impact of the parameters used in this method, the unsaturated /saturated system was first simulated using the HYDRUS 1D model. The drawdown of the water table ranges from 18.1 to 113.2 cm and 10.4 to 101.9 cm for a bare soil and a perennial grass scenario and is highly related to the annual rainfall of the previous rainy season. The results indicate that the mean daily FAO-PM reference evapotranspiration rates for this area ranged from 2 to 4 mmd<sup>-1</sup> and that the estimated actual evapotranspiration (ET<sub>a</sub>) from the HYDRUS 1D model ranged between 0.22 to 1.11 and 0.23 to 1.27  $\text{mmd}^{-1}$  in bare soil and vegetative condition, respectively.  $\text{ET}_a$  and  $\text{ET}_G$  were well correlated for the vegetated scenario. However, the WTF method slightly overestimates ET<sub>a</sub> fluxes in the bare soil scenario. The study shows that the decline of ET<sub>a</sub> with water table depth can be simulated by an exponential function. The overall results indicate that higher ET<sub>a</sub> values were observed when the water table is shallow, suggesting that ET<sub>a</sub> is mainly driven by the water table depth at this site.

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**Keywords:** evapotranspiration, water table fluctuation, semi-arid regions, unsaturated zone

#### 1. Introduction

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Sustainable management of groundwater resources requires detailed information of all 52 components of the water budget such as precipitation, storage changes, recharge, as well as 53 actual evapotranspiration (ET<sub>a</sub>). Different approaches derived from climatic data are available 54 to estimate potential reference evapotranspiration (ET<sub>0</sub>) such as the physically based Penman-55 Monteith (PM) equation (Allen et al., 1998), simplified PM equation, and (semi-) empirical 56 57 approach introduced by Thornthwaite (1948), Makkink (1957), Priestly-Taylor (1972), and Hargreaves and Samani (1985). Unfortunately, ET<sub>a</sub> can only be calculated based on ET<sub>0</sub> using 58 physically based models (Kollet et al., 2009). On the other hand, ETa can be measured 59 directly using the eddy correlation (EC) method, whereby the EC data have to be often 60 corrected (e.g., Twine et al., 2000, Wilson et al., 2002) and gap filled (e.g., Moffat et al., 61 62 2007) resulting in errors in the ET<sub>a</sub> estimation. Additionally, the EC method requires complex measurement systems, which are often not available at sites under investigation. Weighable 63 lysimeters as another direct technique for estimating ET<sub>a</sub> are also feasible (Meissner et al., 64 65 2007; Schrader et al., 2013; von Unold and Frank, 2008) but they need complex installation 66 and maintenance. It is known, that the shallow water table is directly influenced by ET<sub>a</sub> through upward flow 67 (e.g., Maxwell and Kollet, 2008; Vanderborght et al., 2010) and its temporal fluctuations in 68 many arid/semi-arid regions express the response to evaporation and plant transpiration in the 69 unsaturated zone (Loheide et al., 2005; Ridolfi et al., 2007). In these arid and semi-arid 70 regions, groundwater evapotranspiration (ET<sub>G</sub>) can be a predominant mechanism of seasonal 71 groundwater fluctuations (Nichols, 1994; Healy and Cook, 2002). Accurate estimates of ET<sub>G</sub> 72 is difficult to obtain because of variability in local atmospheric conditions (Mazur et al., 73 2014), changing groundwater levels (Ridolfi et al., 2006), and spatially heterogeneous land 74 use effects (Sanderson et al., 2008). Especially, the latter might have an important impact on 75 ET<sub>G</sub> estimates if the vegetation cover over the aquifer varies causing different transpiration 76

amounts. However, this estimation is needed to understand the implications of climate change 77 78 for water resource management and to develop adaptation strategies (Mazur et al., 2014). The water table fluctuation (WTF) method, based on the premise that changes in the water 79 table of unconfined aquifers are caused by evapotranspiration only (Healy and Cook, 2002; 80 Lautz, 2008), has been widely used to estimate ET<sub>G</sub> rates in arid and semi-arid areas (e.g., 81 Carlson Mazur et al., 2014; Gribovszki et al., 2008; Soylu et al., 2012; Wang et al., 2014). 82 The advantage using this method is that water loss due to evapotranspiration is directly 83 measured through groundwater level changes and no additional instrumentation at the soil 84 surface is therefore needed (White, 1932; Meyboom, 1965; Gerla, 1992; Loheide et al., 2005). 85 86 The originally proposed WTF method as introduced by White (1932) was modified by several 87 authors due to uncertainties and deficiencies from different sources (e.g., Meyboom, 1965; Engel et al., 2005; Gribovszki et al., 2008; Loheide, 2008), whereby the introduction of a term 88 89 accounting for the specific yield improved the performance of the White method substantially. The specific yield, which is defined as the average amount of water per unit volume of soil 90 drained from a soil column extending from the water table to the ground surface per unit 91 lowering of the water table (Sophocleous, 1985), is highly variable in shallow water table 92 aquifers and it depends on soil texture, water table depth, and rate of change (Duke, 1972; 93 94 Sophocleous, 1985; Healy and Cook, 2002; Loheide, 2008; Gribovszki et al., 2010). In general, a water level located below the rooting zone is a limitation for the application of the WTF 95 method (Mould et al., 2010). Other factor influencing the accuracy of ET<sub>G</sub> estimation by the 96 97 WTF methods involves the inherent assumption that groundwater recovery rates are constant over time despite that changes might occur over the course of the day, which are related to 98 changing evapotranspirative demand (Troxell, 1936). Because large part of the ET<sub>a</sub> occurs 99 through transpiration (root water uptake), ET<sub>G</sub> depends on the actual vegetation cover and its 100 status (Bethenod et al., 2000; Hsiao and Xu, 2005; Wilson et al., 2000). Finally, application of 101 the WTF method during rainy season is problematic because of the rapid rise in water table 102

elevation and subsequent percolation losses. To avoid storage changes within the unsaturated zone due to precipitation many authors have chosen the dry periods to estimate ET<sub>G</sub> (Wilson et al., 2000; Mould et al., 2010). As mentioned above, ET<sub>G</sub> under none influenced system is mainly related to soil evaporation and the direct water withdrawal by plants. However, in urbanized and agricultural zones daily and seasonal groundwater fluctuations may be impacted by human activities such as groundwater extraction for drinking or irrigation water. This water extraction from the Quaternary sandy aquifer located in the region of Dakar had played a major role in supplying drinking and irrigation water in the past, whereby the use of the groundwater started in the 1950s with periods of higher and lower exploitation ranging from 15.000 to 1.300 m<sup>3</sup> per day. Within the last years, pumping was abandoned due to nitrate pollution resulting from improper sanitation system in the urbanized area. On the other hand, the municipal authorities currently discuss to allow pumping again to satisfy the water supply of gardening in the periurban area. Therefore, the objective of this study is to estimate the daily evapotranspiration rates to get knowledge about potential groundwater recharge and potential sustainable future extraction capacities. To do so, the ET<sub>G</sub> rates form the WTF method were calculated and compared with actual evapotranspiration data (ET<sub>a</sub>) calculated by the physically based HYDRUS 1D model for different surface covers, namely bare soil and savanna type grass vegetative in Western Senegal.

## 2. Materials and Methods

#### 2.1. Study area

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The Dakar region extends over 550 km<sup>2</sup> between longitudes 16°55' and 17°30' west and latitudes 14°55' and 14°35' north (Fig. 1). The region is characterized by a semi-arid climate with a rainy season occurring from June to October. Annual rainfall varies strongly between the years being for example 150 mm in 1983 and 723 mm in 2009, while the long-term mean

is 410 mm (1961-1990). Maximum air temperature is on average 29.5°C (1980-2010) and occurs from May to June and October to November corresponding to the beginning and the end of the rainy season. Minimum air temperature is observed during the period from December to February (18.5 °C). Daily mean FAO-PM reference evapotranspiration estimated between 2000 and 2013 ranged between 2 and 4 mm d<sup>-1</sup>.

Geologically, the Dakar region belongs to the Senegalese-Mauritanian basin, the largest coastal basin of northwest Africa (Castalain, 1965), which is covered by Quaternary sediments of sandy and sandy clay nature from alluvial and eolien deposits (Bellion, 1987). This Quaternary sandy formation constitutes the groundwater reservoir in the Dakar region and it overlay the impermeable marly sediments of the Eocene. The top formations are made up by unleached tropical ferruginous soils locally called 'soil Diors' that represent 80% of the total area (Maignien, 1959). Additionally, hydromorphic and holomorphic soils are often located around the coastal lagoons. These soils are characterized by a significant presence of organic matter in the surface layer.

# 2.2. Soil sampling, field data, and laboratory measurements

Soil sampling was performed in the Dakar area in May 2015, whereby undisturbed soil cores using Kopecky rings of 250 cm<sup>3</sup> (height = 5 cm, diameter = 8.4 cm) were taken in the uppermost three soil horizons from the sampling depth of 0 - 25 (with n = 3), 25 - 100 (n = 3), and 100-200 cm (n = 4) and transferred to the Forschungszentrum Jülich GmbH, Germany for analysis. For the estimation of the hydraulic properties (Mualem van Genuchten parameters (van Genuchten, 1980)) the HYPROP® (METER Group, München, Germany) method as described by Schindler et al. (2010) was used in combination with the WP4®Dewpoint Potentiometer (Decagon Devices, WA, USA). The saturated hydraulic conductivity was estimated independently at the same samples using the falling head method by the Ksat device (METER Group, München, Germany).

Previously, unsaturated zone characterization was investigated in this study area using a hand auger device up to a depth of 300 cm. Soil samples were collected at 0.25 cm interval down to 1 m depth and beyond in 50 cm intervals down to the water table, which was at 250 cm depth. Samples were bulked and homogenized for each depth and gravimetric soil water contents were determined by drying 20 g of the sample at 110°C for 4 h. Additionally, particle size distributions were performed via sieve analysis (>50 µm fraction).

Groundwater level data in piezometer P3.1 located close to the investigated site (Fig. 1) were recorded using Thalimede Orpheus mini recorders (OTT Hydromet GmbH, Germany) during time period 2010 to 2012 in order to determine groundwater fluctuations over two dry seasons. The vegetation surrounding the groundwater well was grass vegetation but also bare patches were detectable, whereby the vegetation also depends on dry or wet season.

#### 2.3.WTF method

The WTF method is usually applied in arid and semi-arid environments to estimate actual evapotranspiration fluxes by analyzing groundwater level changes in unconfined aquifers (White, 1932; Healy and Cook, 2002). The  $ET_G$  derived from this method can be expressed as (Wang et al., 2014):

$$ET_G = S_y \frac{\Delta H - \Delta h}{\Delta t}$$
 [1]

where  $S_y$  is the specific yield [-],  $\Delta H$  is the change of the groundwater level over the corresponding period [cm],  $\Delta h$  is the lateral flow [cm d<sup>-1</sup>], and  $\Delta t$  is the time interval [day]. The overall change of the water table head [ $\Delta H$ ] is assumed to equal the overall water table change at the well between the beginning and the end of the dry season. In general, lateral flow [ $\Delta h$ ] can be estimated by using the slope of the groundwater table curve before and after the growing season. In our region, lateral flow  $\Delta h$  can be neglected because the aquifer is located in a plain and because lateral flow is less important at the seasonal scale as pointed out by Pozdniakov et al. (2013). Therefore, equation [1] can be simplified to:

$$ET_G = S_y \frac{\Delta Z_y}{\Delta t}$$
 [2]

- where  $\Delta Z_y$  is the seasonal decline of the groundwater table.
- 181 Crosbie et al. (2005) introduced the apparent specific yield  $(S_{ya})$  term to evaluate the
- dynamics of the specific yield. The apparent specific yield can be calculated by the
- knowledge of the van Genuchten parameters (van Genuchten, 1980) by:

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$$S_{ya} = S_{yu} - \frac{S_{yu}}{[1 + (\alpha(\frac{z_i + z_f}{2})^n]^{1 - \frac{1}{n}}}$$
  $S_{yu} = \theta_s - \theta_r$  [3]

- where  $\theta_s$  and  $\theta_r$  are the saturated and residual water contents [cm<sup>3</sup>cm<sup>-3</sup>],  $z_i$  and  $z_f$  are the
- initial and final depth to the water table [cm], and  $\alpha$  [cm<sup>-1</sup>] and n [-] are the van Genuchten
- parameters.
- 189 Equation [4] is used to calculate the average apparent specific yield  $S_{ya}$  when the
- 190 groundwater table fluctuates in m soil layers.

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$$\overline{S}_{ya} = \frac{\sum_{i=1}^{m} \Delta h_i S_{yi}}{\sum_{i=1}^{m} \Delta h_i}$$
  $i = 1, 2, \dots, m$  [4]

- where  $S_{yi}$  is the specific yield of the corresponding soil layer [-], and  $\Delta h_i$  is the amplitude of
- the groundwater level fluctuation in the  $i^{th}$  layer [cm].

# 194 **2.4. Numerical Modeling**

- 195 For the simulation of vertical water flow, the one-dimensional Richards equation (Eq.5) was
- solved using the finite element code HYDRUS 1D (Šimůnek et al., 2008; Šimůnek and van
- 197 Genuchten, 2008):

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$$\frac{\partial \theta_{(h)}}{\partial t} = \frac{\partial}{\partial z} \left[ K_{(h)} \left( \frac{\partial h}{\partial z} + 1 \right) \right] - S$$
 [5]

- where  $\theta$  is the volumetric water content [cm<sup>3</sup> cm<sup>-3</sup>], h is the pressure head [cm], z represents
- 200 the vertical coordinate [cm] positive in the downward direction, and  $K_{(h)}$  is the unsaturated
- 201 hydraulic conductivity as a function of pressure head [cm  $d^{-1}$ ]. The sink term S in Eq. [5]

describes the volume of water removed from a unit volume of soil due to plant water uptake and is defined by Feddes et al. (1978) as:

$$S_{(h)} = \alpha_{(h)} S_P$$
 [6]

where  $\alpha_{(h)}$  is the root water uptake function and  $S_p$  is the potential water uptake rate (Šimůnek et al., 2008). The Mualem–van Genuchten functions (van Genuchten, 1980) were used to describe the relationship between  $\theta$ , K and h with:

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$$\theta_{(h)} = \theta_r + \frac{\theta_s - \theta_r}{\left(1 + \left|\alpha h\right|^n\right)^m}$$
 [7]

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$$K_{(h)} = K_s S_e^{0.5} \left[ 1 - \left( 1 - S_e^{1/m} \right)^n \right]^2$$
 [8]

$$S_e = \frac{\theta - \theta_r}{\theta_s - \theta_r}$$
 [9]

where  $S_e$  is the effective saturation [cm<sup>3</sup> cm<sup>-3</sup>],  $\theta_r$  and  $\theta_s$  [cm<sup>3</sup> cm<sup>-3</sup>] are the residual and 211 saturated volumetric water contents, respectively,  $\alpha$  [cm<sup>-1</sup>], n [-], and m [-] (m = 1-1/n) are 212 shape parameters, and  $K_s$  [cm d<sup>-1</sup>] is the saturated hydraulic conductivity. 213 For the inverse modeling of the HYPROP® data, the model was setup in the same dimensions 214 215 as the laboratory column and the flux over the upper surface (= normalized weight loss) was imposed at top boundary condition. Initialization was in pressure head with hydrostatic 216 equilibrium from the lowest node ( $h_{\text{bottom}} = 0$  cm). For the inversion the implemented 217 218 Levenberg-Marquardt algorithm was used for the estimation of all hydraulic parameters of Eq. [7] and [8]. 219 ET<sub>a</sub> was simulated using HYDRUS 1D with the estimated HYPROP® hydraulic parameters 220 221 for the Dakar region, whereby the simulation domain was assumed to be 2300 cm deep, assuming that the largest fraction of the domain was fully water filled (lowest ~ 2000 cm) and 222

acts as a groundwater reservoir, which will fluctuate over depth as a system response to infiltration and evapotranspiration. At the upper boundary, atmospheric inputs for daily precipitation and potential evapotranspiration were used from the years 2000-2013. The soil hydraulic parameters for each layer were taken from the inversion of the HYPROP® data. The groundwater hydraulic parameters were assumed to be a continuation of layer 3 because we considered that  $ET_a$  is more influenced by the upper than the deepest layers. The ratio of potential evaporation to transpiration was calculated based on the approach introduced by Simunek et al. (2008), where the potential transpiration ( $T_{pot}$ ) and the potential evaporation ( $E_{pot}$ ) can be separated by the knowledge of the leaf area index (LAI):

$$T_{pot} = ET_0(1 - e^{-k \times LAI})$$
 [10]

$$E_{pot} = ET_0 \ (e^{-k \times LAI})$$
 [11]

where  $ET_0$  is the reference evapotranspiration [cm], k is a parameter [-] that governs the radiation extinction of the canopy, which depends on the sun angle, the distribution of plants, and the arrangement of leaves. Here, we used k = 0.49 as a representative value for grassland (Simunek et al., 2008) and LAI was taken from Bobée et al. (2012) over the growing season. An overview of the atmospheric forcing's (precipitation, potential evapotranspiration) and LAI is shown in Fig. 3 and 4. LAI varies between 0.27 to 0.8 m<sup>2</sup>m<sup>-2</sup> in natural herbaceous savanna type vegetation (closed to open >40%). Rainfall records were characterized by high frequency and intensity events producing high flooded area in the years 2005, 2008, 2009, 2010, and 2013. For example, in August 1st to 2nd, 13st to 19st, 22nd to 25th, and 28th to 30st of 2005, rainfall recorded equal 63.9, 108.9, 97.3, and 49.21 mm, respectively. This situation causes flooding of many districts in the peri-urban area, where groundwater level is highly related to rainfall events (Diouf et al., 2013). Maximum daily ET<sub>0</sub> calculated by FAO-PM was observed during the dry season, when the study area is influenced by the NE-SW hot and dry 

by high air humidity > 70%. Root depth was set to be 100 cm according to February and Higgins (2010) and due to the perennial grassland vegetation no root growth was assumed. The entire domain was initialized in pressure head with an initial groundwater table at 250 cm and hydrostatic equilibrium with the groundwater table in the unsaturated zone. In total, the simulation time was 22 years and only the years 2000 to 2013 were taken for analysis to be independent from initialization. This kind of spin-up is generally used in many modelling exercises to be independent of the initial soil water conditions in the soil profile as shown by e.g., Boesten et al. (2007) or Weihermüller et al. (2011). First, the ET<sub>a</sub> was calculated using HYDRUS 1D. Values were then compared to ET<sub>G</sub> based on the WTF method calculated from the groundwater fluctuations simulated by HYDRUS 1D. For the modelling of the groundwater level fluctuations the van Genuchten parameters obtained from inverse simulation of the HYPROP® data were used (Tab. 1) and the root water uptake by the plants was calibrated in way that observed and modeled groundwater fluctuations match each other as close as possible. In this respect, the root zone depth for the perennial grassland and the parameter of critical water stress index for root water uptake were adapted as proposed by Šimunek and Hopmans (2009). In a second step, modeled HYDRUS 1D derived ET<sub>G</sub> were compared to calculations based on the WTF method from measurements of the piezometer P3-1.

wind locally called 'harmattan'. Minimum values occur during the rainy season characterized

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## 2.5. Calculation of the groundwater decoupling depth

The decoupling depth d' defines the depth of the water table, where the actual evaportranspiration  $ET_a$  becomes lower as the potential evapotranspiration  $ET_0$  because the water demand of the atmosphere cannot be delivered totally from the groundwater reservoir anymore. To estimate the decoupling depth d' and the decay coefficient b of the exponential

function the decline of the ratio ET<sub>a</sub>/ET<sub>0</sub> with the water table depth was fitted by equation [12] to the ET<sub>a</sub> and water table depth pairs according to Shah et al.(2007)

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$$\frac{ET_a}{ET_0 \ FAO \ PM} = \begin{cases} 1 & for \ WTD \le d' \\ y_0 + e^{-b(WTD - d')} & for \ WTD > d' \end{cases}$$
 [12]

where  $ET_a$  and  $ET_0$  FAO-PM are the actual and reference evapotranspiration [cm], respectively,  $y_0$  is the so called correction factor [-], and d' and b are constants [-], and WTD is the water table depth [cm].

#### 2.6. Statistical analysis

Statistical analysis was performed to compare measured and modeled groundwater levels and the corresponding calculated  $ET_G$  rates. To indicate the goodness of the correlation the Pearsons correlation coefficient r and the root mean squared error (RMSE) were used. The coefficient of determination  $R^2$  as the square of the Pearsons correlation coefficient was used to describe the goodness of fit for the regression analysis. In general, the RMSE can range from 0 to infinity, and lower values indicate better agreement between the two data sources (Willmott, 1981). Regression analysis were used to explain the relationship between  $ET_a$  and water table depth (WTD) for dry seasons of the years 2001 to 2013.

#### 3. Results and Discussion

# 3.1. Soil texture and profile water content

The measured soil texture up to a depth of 300 cm is plotted in Fig. 2. As can be seen, the texture is dominated by 57% of fine sand (100-200  $\mu$ m) and 14% of medium sand (200-250  $\mu$ m) (Diouf, 2012). The measured gravimetric water contents are plotted in Fig 2a and were nearly constant between 0 and 200 cm depth with only ~ 4 to 6% and increase beyond to up to 18% at 300 cm depth. The low gravimetric water contents between 0-200 cm could be expected, because sampling took place in the dry season of the year 2008. Additionally, water

content and percentage of fine particles exhibit a similar profile pattern (Fig. 2) except in the top zone likely due to evapotranspiration losses of soil water.

# 3.2. Soil hydraulic properties and specific yield

Accurate knowledge of soil hydraulic properties is required in studying water flow in the unsaturated zone. To obtain the hydraulic properties the HYPROP® data were inverted as described in the Materials and Methods section. The best parameters of the inversion for the different soil layers are listed in Tab. 1. As displayed, the saturated hydraulic conductivity of the upper layer (0-25cm) is 570 cm d<sup>-1</sup> and slightly decreases to 504 cm d<sup>-1</sup> in the middle layer (25-100cm), and 461 cm d<sup>-1</sup> in the third layer (100-200cm), respectively. Saturated and residual water content values ranged between 0.42 to 0.45 cm<sup>3</sup> cm<sup>-3</sup> and 0.0011 to 0.0062 cm<sup>3</sup> cm<sup>-3</sup>. The shape parameters  $\alpha$  and n were also in the same order of magnitude in the three layers. It has to be noted, that the soil layers were almost homogeneous, without obvious small scale layering at least from visual inspection of the soil augers.

The estimating of water budget components, such as groundwater recharge and evapotranspiration requires also accurate determination of the specific yield, which is a crucial term in the WTF method (Chen et al., 2010; Fahle and Dietrich, 2014). The specific yield values calculated using Eq. [3] and [4] varied between 0.29 and 0.33 depending on the years analyzed. This is in good agreement with data presented by Loheide et al. (2005), who

#### 3.3. Temporal variability in groundwater level and ET

reported specific yields of 0.32 when the depth to the water table exceeds 1 m.

#### Seasonal Groundwater level changes

Figure 5 shows the HYDRUS 1D simulated groundwater levels for two different scenarios, where the soil was either assumed to be bare or covered by short perennial grass. Both states (bare and vegetated) are observed in the vicinity of the monitoring piezometer. Additionally, water table fluctuations measured in the piezometer are plotted for the years 2010 to 2012. As can be seen from the HYDRUS 1D modelled groundwater table fluctuations, each dry season

leads to a pronounced drop of the groundwater table and a relaxation in the corresponding wet season, whereby the drop nearly showed same levels for each year, independently of the maximum level of the groundwater table during the previous rainy season. Looking at the match between the modelled and measured groundwater tables for the years 2010 to 2012 indicates that the model results based on the assumption that the soil was bare is less good compared to the vegetated scenario. For better comparison, the modelled versus measured groundwater levels for the dry season are plotted in Fig. 6. For the bare soil assumption, the r is 0.96 with and RMSE of 0.26 m. On the other hand, for the grassland vegetation a slightly higher r (0.98) and a smaller RMSE of 0.12 m indicates that the grassland vegetation scenario is more adequate to describe the groundwater fluctuations in this area.

# Simulated HYDRUS 1D $ET_a$ and $ET_G$

The actual ET values obtained with the two methods, namely the direct ET<sub>a</sub> output from HYDRUS 1D and the ET<sub>G</sub> calculated by the WTF method based on simulated HYDRUS 1D water table fluctuations were compared in a first step to analyze the applicability and validity of the WTF method for this site. Table 2 presents result for each individually simulated dry season with regard to the cumulative rainfall from the previous rainy season, the total length of the dry season, and the maximum difference in groundwater level between wet and dry season. Data reveal that precipitation amounts vary greatly with a minimum of 272 mm in 2007 and a maximum of 723 mm in 2009 with a mean of 475 mm over all years. Length of the dry season also varies between 145 (2002) and 271 (2013) days (mean = 229 days). Drawdown varies as well and ranges from 18.1 (2002) to 113.2 (2013) cm (mean = 60 cm) for the bare soil scenario and from10.4 (2002) and 101.9 (2013) cm (mean = 54.4 cm) for the perennial grass scenario, whereby no significant correlation (p= 0.05) between length of the dry period and water table decline was observed. The generally lower values of the drawdown for the perennial grass scenario are probably caused by the generally lower water table during the wet season due to root water uptake during the vegetation period and a deeper

groundwater table during the dry season. HYDRUS 1D based ETa varies for the bare soil scenario between 0.22 (2003) and 1.11 (2013) mm d<sup>-1</sup> with a mean of 0.60 mm d<sup>-1</sup> and for the perennial grass vegetation scenario between 0.23 (2002) and 1.28 (2011) mm d<sup>-1</sup> with a mean of 0.78 mm d<sup>-1</sup>. Higher ET<sub>a</sub> in this latter scenario can be explained by greater water extraction due to root water uptake, especially from deeper soil layers. Because of variability in dry season length, total cumulative ET<sub>a</sub> (mm) varies accordingly. The total evaporation loss during the dry season with respect to the rainfall recorded in the previous rainy season varies from 10 % in 2002 to more than 47 % in 2013 (mean = 28 %), suggesting potential groundwater recharge variability. Looking at the results of ET<sub>G</sub> calculated by the WTF method reflects the same pattern as for the ET<sub>a</sub>, whereby slightly higher ET<sub>G</sub> (mean +0.23 mm d<sup>-1</sup>) compared to ET<sub>a</sub> was calculated for the bare soil scenario. On the other hand, calculated ET<sub>a</sub> and ET<sub>G</sub> matched each other well for the vegetated scenario with only a very small underestimation of  $ET_G$  (mean = -0.04 mm d<sup>-1</sup>). Mean ET<sub>G</sub> over the entire dry periods was estimated to be 0.82 and 0.73 mm d<sup>-1</sup> for the bare and vegetated case, respectively, which is +37 and -6.5 % deviation from the direct HYDRUS 1D calculated ET<sub>a</sub>. The reason for the slightly larger differences between ET<sub>G</sub> and ET<sub>a</sub> for the bare soil scenario are unclear, especially that measurement errors can be excluded in the synthetic case shown. Therefore, one can question if the definition of the specific yield as shown in Eq. 3 and 4 can be used globally. On the other hand, one can question why the use of the same specific yield seems not to impact the vegetated scenario. Here, one can speculate, that the additional water extraction form the root zone by the plants somehow compensate the incorrectly defined specific yield. For a better insight into the direct comparison between ET<sub>a</sub> and ET<sub>G</sub> both values were plotted versus each other in Fig. 7 for both scenarios. Overall, a good agreement between both methods can be detected with high R<sup>2</sup> values exceeding 0.98, whereby a general overestimation of the ET<sub>G</sub> for the bare soil conditions is again visible resulting in an RMSE of 0.23 mm d<sup>-1</sup>. In comparison, and as

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scenario (RMSE = 0.12 mm d<sup>-1</sup>), which gives confidence that the WTF method can be used to estimate actual evaporation during the dry season from piezometer data only in the study area, when vegetation is present. The calculated daily ET<sub>a</sub> (0.23–1.28 mm d<sup>-1</sup>) and ET<sub>G</sub> (0.17–1.20 mm d<sup>-1</sup>) values in our study area were lower than other ET<sub>G</sub> estimates for vegetated areas where the WTF method was also applied. For example, Mould et al. (2010) estimated maximum ET<sub>G</sub> rates of 5.91 mm d<sup>-1</sup> in Northern Germany, where the water table depth ranged only between 0.1 to 0.6 m, indicating, that not only the atmospheric demand (Gribovszki et al., 2008) but also the total water table depth, the soil properties of the vadose zone, as well as vegetation type determine the absolute evapotranspiration (Cleverly et al., 2006; Cooper et al., 2006; Devitt et al., 2011). However, our evapotranspiration values are comparable with estimated ET<sub>G</sub> rates in semi-arid and arid environments, where the groundwater table is in general much deeper. Pozdniakov et al. (2013) and Lautz (2008) obtained ET<sub>G</sub> values from 0.1 to 2 mm d<sup>-1</sup> and 0.0 to 3.1 mm d<sup>-1</sup> (for short grass prairie) for water table depth ranged between 1.5 to 4 m and 1 to 3 m, respectively. In a hyper-arid environment of northwestern China, Wang et al. (2014) and Cheng et al (2017) obtained similar ET<sub>G</sub> values (0.63 to 2.33 mm d<sup>-1</sup>) from 0.5 to 3.5 m water table depth. The results presented in this study are consistent with those presented by Gardner (1958) who show that evaporation demand is mainly controlled by external condition and water table depth.

already stated, the ET<sub>G</sub> and ET<sub>a</sub> match well over the entire ET<sub>a</sub> range for the vegetated

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# ET<sub>G</sub> from piezometer data and HYDRUS 1D simulation

Daily  $ET_G$  values estimated from measured groundwater levels in the piezometer and the WTF method varies between 0.84 (2012) and 1.34 mm d<sup>-1</sup> (2011) with a mean of 1.10 mm d<sup>-1</sup>.  $ET_G$  of the year 2011 is higher than the  $ET_a$  modeled in bare soil condition (29 % mismatch) but is in the same range as the  $ET_a$  modeled in the vegetated scenario assuming a perennial grass cover (5 % mismatch). However, in 2012 the difference between  $ET_a$  and  $ET_G$  is much

larger with nearly three-fold  $ET_G$  compared to the bare soil  $ET_a$  and an overestimation of 42 % for the vegetated  $ET_a$ . The mismatch for the year 2012 can be attributed to the fact that the measured groundwater fluctuations were not recorded for the entire dry season and ended already at April,  $7^{th}$  2012. Even if only one dry season was completely covered by the measurements, confidence in the WTF method for this study area is given by the synthetic model study using the HYDRUS 1D simulations.

# Relationship between $ET_a$ , $ET_0$ , and WTD

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The daily potential evapotranspiration  $ET_0$  is only poorly related to daily  $ET_a$  ( $R^2 \le 0.08$ ) for the two scenarios (bare and vegetated), but in general, higher daily ET<sub>a</sub> rates correspond to higher daily ET<sub>0</sub> and modeled ET<sub>a</sub> equals the ET<sub>0</sub> FAO-PM when the groundwater table is shallow corresponding to the end of the rainy season (October - November). The same has been already shown by Kurc and Small (2004), who found that in semi-arid ecosystems, the maximum ET<sub>a</sub> rate during the dry season occurs immediately after the rainy season and then rapidly decreases within days of no additional rainfall. In a next step, simulated ET<sub>a</sub> data were plotted against the water table depth (WTD) for each single year for further analysis. Figure 8 clearly shows that ETa values are highly related to water table depth and could be described by an exponential function with an  $R^2 = 0.80$  over all years. Largest regression between  $ET_a$  and WTD occurred in the years 2001 ( $R^2 = 0.84$ ),  $2006 (R^2 = 0.83), 2009 (R^2 = 0.85), 2010 (R^2 = 0.73), 2011 (R^2 = 0.88), and 2013 (R^2 = 0.84),$ where the WTD was most shallow due to the large precipitation amounts in the previous rainy season but also spans a more or less wide range. On the other hand, the relationships was poor for the year 2002 ( $R^2 = 0.23$ ) and 2003 ( $R^2 = 0.35$ ), where the WTD was low and nearly no fluctuations were detectable. In conclusion, ET<sub>a</sub> seems to be mainly driven by the water table depth at this site. The influence of WTD in evapotranspiration demand is also noted by O'Connor et al. (2019) and Gao et al. (2017) in a study conducted over different land covers.

Figure 9 shows the plot of ET<sub>a</sub> rates vs. WTD for the simulation with perennial grass cover and for all years. The simulated ET<sub>a</sub> was normalized by the ET<sub>0</sub> FAO-PM. Therefore, the ratio ET<sub>a</sub>/ET<sub>0</sub>FAO-PM varied only between 0 and 1. As can be seen, the ET<sub>a</sub> is equal to potential evapotranspiration until the water table reaches a depth d', defined as the decoupling depth by Shah et al. (2007). Up to this critical depth, the aquifer will provide most part of water for ET<sub>a</sub>. In our case, the decoupling depth equal 1.46 m. Beyond this point, ET<sub>a</sub> shifts from atmospheric control (ET<sub>a</sub> is equal to ET<sub>0</sub>) to soil-moisture control of the vadose zone. Again, below the transition depth, ET<sub>a</sub> decreased with decreasing WTD in an exponential way. The same observations was shown in the previous works of Shah et al. (2007), who proposed an exponential relationship for evaporation from a shallow water table from different land covers and soils. First, equation [14] was used, without tacking into account the correction factor  $y_0$ , to simulate the decline of ETa with decline in WTD. In the fitting process, the decoupling depth was assuming to equal 1.46 m as shown in Figure 9. The exponential function fitted well the data for shallow water table, but the fit was poor with an R<sup>2</sup>of 0.59 and a RMSE of 0.121 m for WTD >d'. Introducing a correction factor enhanced the fitted model ( $R^2 = 0.78$ ; RMSE = 0.035 m). The correction factor  $(y_0)$  and the decay coefficient (b) values obtained in this study are different than those obtained by Shah et al. (2007) in grass cover and for sandy soils probably due to differences in decoupling depth and soil characteristics.

# 4. Conclusion

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Daily groundwater level fluctuations were observed during the dry season in Dakar area. The modelled data showed groundwater table drawdown from 18.1 to 113.2 cm and 10.4 to 101.9 cm for bare soil and perennial grass scenario, respectively. The results also indicate that each dry season leads to a pronounced drop of the groundwater table and this drop nearly showed same levels for each year, independently of the maximum level of the groundwater table

during the previous rainy season. Additionally, dry season ET<sub>a</sub> as an important component of 449 450 the annual water balance consumed 10 to 43 % of annual rainfall for this site. Modeled daily groundwater levels were first used to quantify ET<sub>G</sub> and to analyze the 451 applicability and validity of the WTF method for this site. Modelled actual evapotranspiration 452 (ET<sub>a</sub>) ranged between 0.22 to 1.28 mm d<sup>-1</sup>. The comparison of ET<sub>a</sub> and ET<sub>G</sub> values obtained 453 for the vegetated scenario show that the WTF method can be used to estimate actual 454 455 evaporation during the dry season from piezometer data only in the study area. Furthermore, daily ET<sub>a</sub> is directly coupled to the water table depth (WTD), whereby 456 shallower WTD increased ET<sub>a</sub> irrespectively of the atmospheric demand. Over all years, the 457 dependence between  $ET_a$  and WTD could be well described ( $R^2 = 0.80$ ) by an exponential 458 function. 459 The simulated ET<sub>a</sub> model shows that higher ET<sub>a</sub> values occurred during the period from 460 461 October to December and lowers values were observed at June corresponding respectively to the ends of the rainy and the dry season. Groundwater evapotranspiration estimation based on 462 the modeling and WTF methods may be considered the simplest, easiest, and least expensive 463 technique available but the method involves a number of sources of uncertainty (Soylu et al., 464 2012). In addition, a reliable quantification of actual evapotranspiration requires a 465 466 comprehensive investigation that combines the modeling approach with traditional methods, such as weighable lysimeters and eddy correlation method. 467 5. Acknowledgement 468

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# **List of Tables**

Table 1. Van Genuchten parameters obtained from HYPROP<sup>®</sup> data for the different soil layers. Note that the tortuosity l [-] was not fitted and set to 0.5.

Table 2: Rainfall [mm], length of dry season [days], maximum difference in groundwater fluctuation  $\Delta H$  [cm], and evapotranspiration rates (ET<sub>a</sub> and ET<sub>G</sub>) [mm d<sup>-1</sup>] and cumulative evaporation (ET<sub>a</sub> and ET<sub>G</sub>) [mm] for different years.

Table 3: Fitted parameters of the exponential model (Eq. 14).

# **Tables**

Table 1. Van Genuchten parameters obtained from HYPROP<sup>®</sup> data for the different soil layers. Ks was estimated independently using the falling head method. Note that the tortuosity l [-] was not fitted and set to 0.5.

Layers	$\theta_r$	$ heta_s$	α	n	Ks	l
	[cm <sup>3</sup> cm <sup>-3</sup> ]	$[\mathrm{cm}^3\mathrm{cm}^{-3}]$	[cm <sup>-1</sup> ]	[-]	[cm d <sup>-1</sup> ]	[-]
1 (0-25 cm)	0.0062	0.44	0.023	2.6	570	0.5
2 (25-100 cm)	0.0011	0.42	0.026	2.8	504	0.5
3 (100-200 cm)	0.0011	0.45	0.027	1.8	461	0.5

Table 2: Rainfall [mm], length of dry season [days], maximum difference in groundwater fluctuation  $\Delta H$  [cm], and evapotranspiration rates (ET<sub>a</sub> and ET<sub>G</sub>) [mm d<sup>-1</sup>] and cumulative evaporation (ET<sub>a</sub> and ET<sub>G</sub>) [mm] for different years.

				Without plant (bare soil)				With perennial Grass					Measurements		
years	Period	Rainfall	days	$\Delta H$	ET <sub>a</sub> HY	DRUS	$ET_{G}W$	TF	$\Delta H$	_   "		WTF	$\Delta H$	$ET_G$	
		[mm]		[cm]					[cm]			[cm]	WTF		
					mm d <sup>-1</sup>	mm	mm d <sup>-1</sup>	mm		mm d <sup>-1</sup>	mm	mm d <sup>-1</sup>	mm		
2000		482													
2001	20 Oct-23 June	411	246	66.3	0.61	149.7	0.86	212.2	59.1	0.78	194.1	0.76	189.2		
2002	11 Jan-5 June	332	145	18.1	0.28	40.9	0.39	57.8	10.4	0.23	33.1	0.23	33.3		
2003	15 Dec-27 June	450	194	29.6	0.22	42.2	0.49	94.8	16.8	0.34	65.6	0.27	53.7		
2004	23 Oct-3 June	291	224	54.5	0.54	121.0	0.78	174.4	39.5	0.64	144.8	0.56	126.4		
2005	8 Oct-27 June	589	262	44.3	0.27	71.7	0.54	141.7	43.8	0.54	142.13	0.53	140.3		
2006	25 Oct-2 June	374	220	88.2	1.08	239.7	1.28	282	78.6	1.16	257.4	1.14	251.6		
2007	16 Oct-13 June	272	240	43.6	0.36	86.9	0.58	139.3	44.8	0.68	164.1	0.60	143.2		
2008	29 Sept-15 June	608	260	38.1	0.26	69.6	0.47	121.9	38.2	0.51	133.3	0.47	122		
2009	28 Oct-13 June	723	233	86.2	0.90	212.9	1.18	275.8	72.6	1.00	235.3	1.00	232.4		
2010	4 Dec-24 June	595	202	69.4	0.87	177.2	1.10	222.1	66.8	1.11	224.8	1.06	213.8		
2011	22 Oct-24 June	305	245	92.1	1.04	256.5	1.20	294.6	91.6	1.28	307.4	1.20	293.1	102.7	1.34
2012	19 Oct-15June	640	240	36.8	0.27	65.4	0.50	117.7	43.3	0.59	143.5	0.58	138.5	45.10	0.84
2013	2 Oct-30June	581	271	113.2	1.11	303.4	1.34	362.3	101.9	1.27	345.4	1.20	325.9	_	
Mean		475	229	60.0	0.60	141.3	0.82	192	54.4	0.78	183.9	0.73	174.1	73.9	1.10

Table 3: Fitted parameters of the exponential model (Eq. 14).

	d'(m)	$b  (\mathrm{m}^{-1})$	<i>y</i> <sub>0</sub> (-)	$\mathbb{R}^2$	RMSE
without correction factor $y_0$	1.46	5.53		0.59	0.121
Fitting all parameters (d' and b)	0.88	1.78		0.74	0.035
Including correction factor $y_0$	1.46	0.37	-0.67	0.78	0.035

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# **Figures**

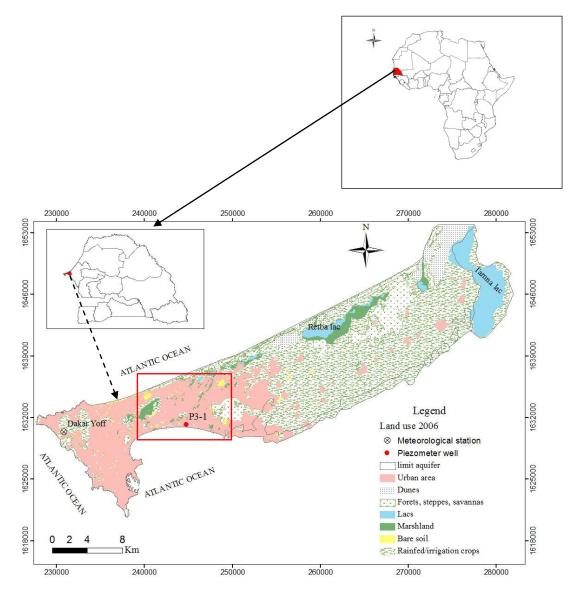


Figure 1.Land use and monitoring well location in the study area (red box).

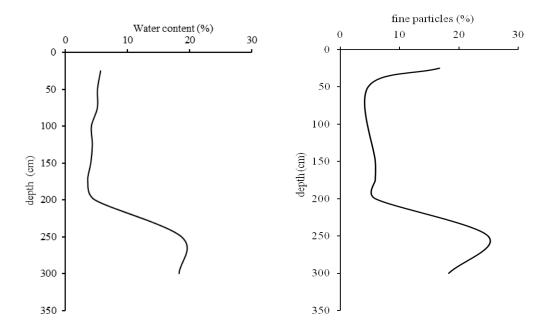


Figure 2. Gravimetric water content (%) (left) and fine particles (>50 $\mu$ m) distribution (%) (right) over depth of the soil profile.

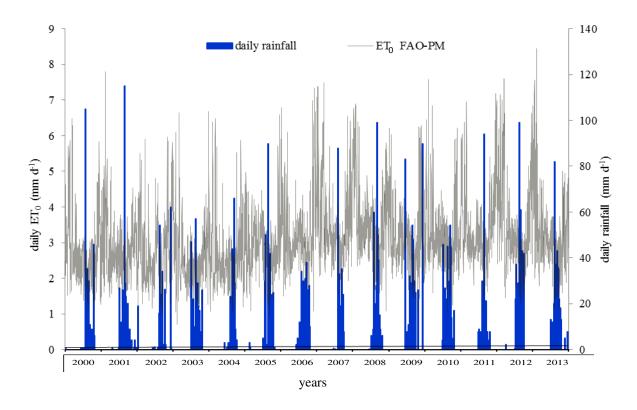


Figure 3.Daily ET<sub>0</sub> estimates of FAO-PM and daily rainfall for the time period 2000 to 2013.

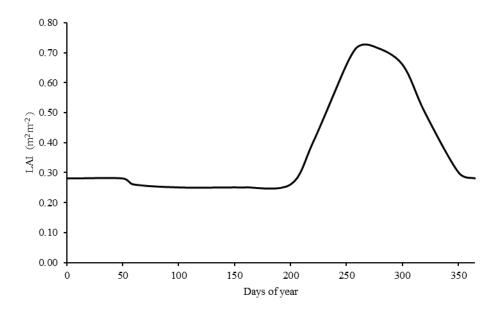


Figure 4. LAI averages for the period 2001 to 2008 in natural herbaceous savanna type vegetation (closed to open >40%) according to Bobée et al. (2012).

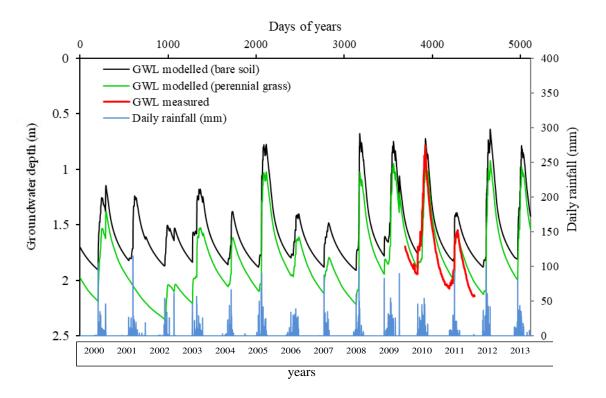


Figure 5. Daily rainfall and daily groundwater levels monitored (2010-2012) and modeled (2000-2013) for an urbanized (bare soil) and vegetated scenario for piezometer P3-1.

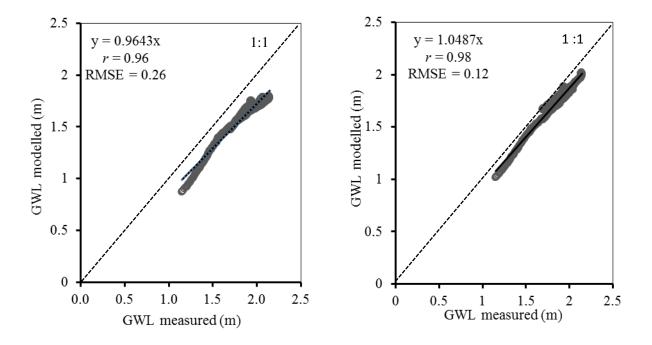


Figure 6. Correlation between daily groundwater levels (GWL) modelled vs measured (2010-2012) for the bare soil (left) and vegetative (right) conditions for piezometer P3-1 for the dry season.

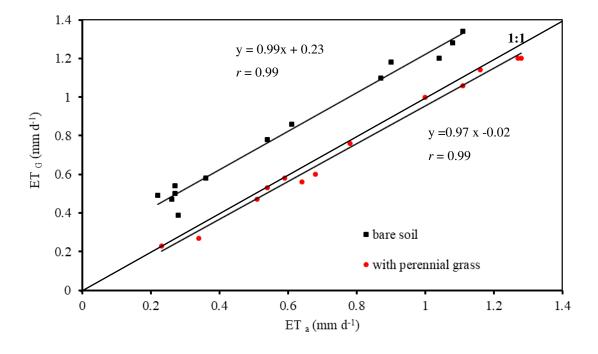


Figure 7: HYDRUS 1D derived ET<sub>a</sub> versus WTF method computed ET<sub>G</sub> [mm d<sup>-1</sup>] for the bare soil and vegetated (perennial grass) scenario for the years 2001 to 2013.

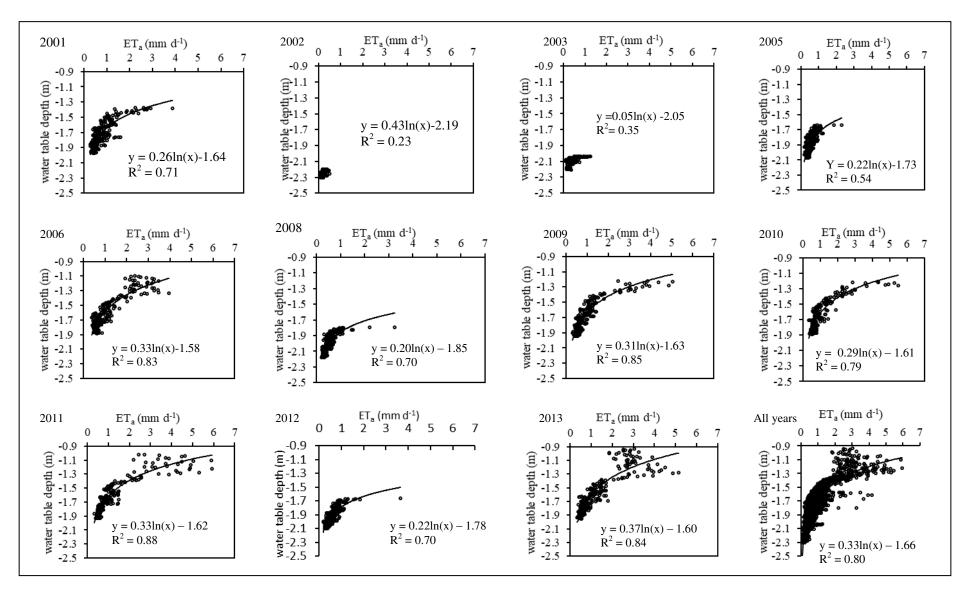


Figure 8: Relationship between ET<sub>a</sub> and water table depth (WTD) for dry seasons of the years 2001 to 2013 and all years combined. Lines shown are exponential function regression

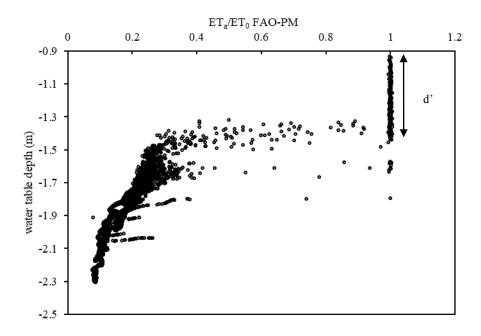


Figure 9. Variation of ratio of  $ET_a/ET_0$  FAO-PM with water table depth for the vegetated scenario. Line shows the decoupling depth d' of 1.46 m.