# Numerical methods for radial solute transport to simulate uptake by plant roots

#### Abstract

The 1D radial solute transport model with non-linear inner boundary condition is widely used for simulating nutrient uptake by plant roots. When included into an architectural root model, this local model has to be solved for a high number of root segments, e. g.  $10^5$  –  $10^6$  segments for large root systems. Each root segment comes with its own local parameter set in heterogeneous root architectural models. Depending on the soil and solute, the effective diffusion coefficient spans over more than six orders (e. g. for N, K, and P). Thus a numerical implementation of this rhizosphere transport model is required to be fast, accurate and stable for a large parameter space.

We apply 13 methods to this rhizosphere model with root hairs and compare their accuracy, computational speed, and applicability. In particular, the Crank-Nicolson method is compared to higher-order explicit adaptive methods and some stiff solvers.

The Crank-Nicolson method sometimes oscillated and was up to a hundred times slower than an explicit adaptive scheme with similar accuracy. For a given spatial resolution, Crank-Nicolson had about one order lower accuracy as other tested methods. The maximum spatial time step can be estimated from root radius, solute diffusion, advection, and soil buffer power.

Although Crank-Nicolson is a viable method and often used as de-facto standard method for rhizosphere models, it was not the best performer in our comparison. While the best method remains problem specific, for general use in root architectural models we recommend adaptive Runge-Kutta with cubic or quadratic upwind for advection.

Keywords: Rhizosphere model, Barber-Cushman, Nye-Tinker, nutrient depletion, Runge-Kutta, Crank-Nicolson

## 1 1. Introduction

- The radial rhizosphere model [1] has been widely used for simulating trans-
- port of various nutrients and their uptake by plant roots of many species. It was
- 4 for example applied to phosphorus uptake by maize [2], zinc uptake by rice crop
- <sub>5</sub> [3], magnesium, phosphorus, and potassium uptake by loblolly pine seedlings [4]

and N, P, K, Ca, Mg uptake by black cherry, northern red oak, and red maple [5]. Solving an individual instance of such a model typically takes a fraction of a second on modern CPUs. Advanced investigations in root biology require to take the soil heterogeneity, root system architecture and the variation in root parameters along that architecture into account. This can typically be done by replacing the simple root growth model with a 3D root architectural model, 11 which discretizes the root system into millions of root segments, each with its own parameter set and instance of the radial rhizosphere model. This approach is for example implemented in Mai et al. [6] and in the functional structural plant model OpenSimRoot [7], which was used in several studies on the utility of root 15 architectural and anatomical traits for uptake of phosphorus [8, 9, 10]. Thus, for simulations with 3D functional structural plant models such as OPENSIMROOT, 17 computational speed of the numerical implementation can become critical. The rhizosphere model for a root segment consists of a 1D radial advection-19 diffusion equation with a Michaelis-Menten uptake kinetic at the inner boundary (rhizoplane) and zero flux outer boundary condition [1]. Itoh and Barber [11] added a reaction term describing uptake by root hairs. Though analytical solutions have been identified for various special cases of transport in the rhizosphere 23 [12, 13, 14, 15, 16, 17, 18, 19], there is no analytical closed-form solution in 24 the general case. Thus, in addition to computational speed, decisions on which method to use for an efficient numerical implementation should also be made on stability and accuracy. A certain minimum accuracy is desired over a range of model parameter values which typically is rather wide. For example, Bouldin 28 [20] considered diffusion rates from  $1 \times 10^{-5}$  to  $1 \times 10^{-9}$  cm<sup>2</sup> s<sup>-1</sup> for phosphorus, however, without soil sorption, and root radii from  $5 \times 10^{-5}$  to  $7.5 \times 10^{-4}$  cm in a pure diffusion model without root competition. Relevant model parameters that determine nutrient depletion are soil parameters like solute diffusion, advection,

soil buffer power, along with root morphology, and uptake. Uptake by roots is governed by root radius,  $r_0$ , and Michaelis-Menten parameters describing the 34 relation between concentration and nutrient uptake. Together, these parameters 35 determine the concentration profiles and thus the suitability of specific numerical methods. How the parameter values relate to required minimum step sizes is 37 hinted at by characteristic dimensionless numbers such as the Péclet number [e. g. 21], Courant number (CFL: Courant, Friedrichs, and Lewy [22]) and Fourier number [e. g. 23]. Plants not only absorb but also exude solutes. The model can be adapted for this by changing the inner boundary condition and introducing a reaction 42 term to represent degradation rates of the (organic) exudates. Such adaptations benefit from flexibility in the implementation. Thus besides speed, accuracy, and stability, another requirement on the numerical implementation of the model is that it can be easily extended. Passioura and Frere [24], Nye and Marriott [25], Newman and Watson [26], Nye [27], Barber and Cushman [1], Itoh and Barber [11] used the (implicit) Crank-Nicolson method [28] to solve macroscopic rhizosphere nutrient transport and this method is still popular to solve such parabolic equations in rhizosphere models [e.g. 29]. Other commonly used methods are explicit forward simulations like a forward Euler method (FTCS: 51 forward time central space) [e.g. 30, 31], which needs, in general, a much smaller time step size than the implicit Crank-Nicolson method (CN) to be stable and 53 achieve comparable accuracy (for non-smooth solutions). Ou [19], Roose and Kirk [18] even used first-order (upwind) discretization for the first derivative 55 in space and time, second-order only for the second derivative, and used the numerical results as a reference for the analytical approximations. Explicit methods might need smaller time steps but are straight forward to implement and easy to understand, which are helpful attributes when extending the model.

Numerical methods are a much overlooked topic in rhizosphere modeling in general. To our knowledge, so far no comprehensive testing of numerical methods 61 for a classical rhizosphere model has been presented. Here, we investigated several explicit and implicit methods varying in their numerical order in space and time, applied to the classical rhizosphere model with root hairs by Itoh and Barber [11], concerning the mentioned criteria speed, accuracy, and applicability. We compared Crank-Nicolson to various explicit Runge-Kutta methods and implicit methods, BDF (Backward Differentiation Formulas) and IRK (implicit Runge-Kutta), with adaptive time steps. The aim was to identify methods that are most suitable for linking to a root architectural model, by fulfilling the criteria for a wide range of parameters, especially a high variation in the proportion of advection and effective diffusion. Numerical experiments with various parameters 71 were performed to estimate the numerical error and computational time and thus to evaluate the different methods.

## 74 2. Methods

We describe the model by Itoh and Barber [11], different solution methods and their numerical discretizations. The various numerical methods compared in this study are listed in Table 1. This section also contains an explanation of the evaluation criteria used to compare the various methods.

#### 79 2.1. Solute uptake model

Roots take up water and thereby create hydraulic gradients in the soil around
them. The resulting flow of water transports solutes (nutrients) towards the
roots. Unlike water, these solutes can not pass the cell membranes passively and
apoplastic transport is blocked by the Casparian band. The solute concentration
would simply increase at the root surface, however, plants have mechanisms to
actively pump solutes into the root, typically at rates greater than the advective

Table 1: Discretization methods, abbreviations and their theoretical orders

Methods: Non-stiff solver		Order (total)	Adv.
Implicit (non-adaptive)	_		
Crank-Nicolson method Implicit Euler method, backward time central space	CN BTCS	$ \mathcal{O}(\Delta r^2, \Delta t^2) \\ \mathcal{O}(\Delta r^2, \Delta t) $	$ \begin{array}{c} \mathcal{O}(\Delta r^2) \\ \mathcal{O}(\Delta r^2) \end{array} $
Embedded explicit Runge-Kutta	_		
Cash-Karp, cubic upwind $^c$ adv., central diffusion Cash-Karp, central space Cash-Karp, quadratic upwind $^b$ adv., central diffusion Bogacki-Shampine, cubic upwind $^c$ adv., central diffusion Bogacki-Shampine, central space Bogacki-Shampine, Koren flux limiter, $2^{\rm nd}$ -order upwind $^d$ adv.	RKCK-CUI RKCK-CS RKCK-QUICK RK3(2)-CUI RK3(2)-CS RK3(2)-koren	$\begin{array}{l} \mathcal{O}(\Delta r^2, \Delta t^5) \\ \mathcal{O}(\Delta r^2, \Delta t^3) \\ \mathcal{O}(\Delta r^2, \Delta t^3) \\ \mathcal{O}(\Delta r^2, \Delta t^3) \end{array}$	$ \begin{array}{c} \mathcal{O}(\Delta r^3) \\ \mathcal{O}(\Delta r^2) \\ \mathcal{O}(\Delta r^2) \\ \mathcal{O}(\Delta r^3) \\ \mathcal{O}(\Delta r^2) \\ \mathcal{O}(\Delta r^2) \end{array} $
Methods: Stiff solver			
Embedded implicit Runge-Kutta	_		
Lobatto IIIA 2 (TR $^a$ ), cubic upwind adv., central diffusion Lobatto IIIA 2 (TR $^a$ ), central space Lobatto IIIC 4, central space	IRK2-CUI IRK2-CS LobattoIIIC-CS	$egin{aligned} \mathcal{O}(\Delta r^2, \Delta t^2) \ \mathcal{O}(\Delta r^2, \Delta t^2) \ \mathcal{O}(\Delta r^2, \Delta t^4) \end{aligned}$	$egin{aligned} \mathcal{O}(\Delta r^3) \ \mathcal{O}(\Delta r^2) \ \mathcal{O}(\Delta r^2) \end{aligned}$
Implicit multi-step methods (adaptive)	_		
BDF 2-step, $TR^a$ start, cubic upwind <sup>c</sup> adv., central diffusion BDF 2-step, $TR^a$ start, central space	BDF2-CUI BDF2-CS	$\mathcal{O}(\Delta r^2, \Delta t^2)$ $\mathcal{O}(\Delta r^2, \Delta t^2)$	$\mathcal{O}(\Delta r^3)$ $\mathcal{O}(\Delta r^2)$

 $<sup>^{</sup>a)}$ trapezoidal rule,  $^{b)}$ QUICK ( $\kappa=1/2$ ),  $^{c)}$ CUI ( $\kappa=1/3$ ),  $^{d)}$ 2<sup>nd</sup>-order ( $\kappa=-1$ )

- 86 water flux. This effectively lowers the concentration at the root surface and
- 87 causes diffusion of solutes towards the roots in addition to the advective flow.
- Thus, modeling nutrient uptake into a root system can be based on a partial
- differential equation (PDE) which includes advection (driven by water flux) and
- 90 diffusion.
- The transport model with root hairs [11] describes the change in solute concen-
- tration of the liquid phase, C [µmol cm<sup>-3</sup>], in the rhizosphere around a segment
- 93 of a root in radial coordinates:

$$b\frac{\partial C}{\partial t} = \frac{1}{r}\frac{\partial}{\partial r}\left(rDb\frac{\partial C}{\partial r} + r_0v_0C\right) - I_h. \tag{1}$$

- Here,  $r_0$  is the root radius and  $v_0$  denotes the water flux at the root surface. Eq. (1)
- has to be solved between the root surface  $r_0$  and an outer rhizosphere radius
- $r_N$ , see the definition of boundary conditions below. Assuming radial symmetry
- around the root segment, solute concentration depends on one spatial coordinate
- r and time t, i.e.  $C \coloneqq C(r,t)$ . We will omit space and time dependence in some

notations for simplicity. The term  $I_h \coloneqq I_h(r,t)$  [µmol cm<sup>-3</sup> s<sup>-1</sup>] accounts for root hair uptake by diffusion, modeled as instantaneous reaction for the root 100 hairs at time t, see below. Note that Itoh and Barber [11] contains a typo causing 101 the root hair term to be inside the differential operator. Soil buffer power, b, 102 and effective diffusion coefficient, D [cm $^2$  s $^{-1}$ ], are adjusted to soil sorption by 103  $Db = D_{\ell}\theta\tau$ , where  $D_{\ell}$  is the diffusion rate in pure liquid,  $\theta$  the volumetric water 104 content and  $\tau$  a tortuosity factor. These parameters are commonly assumed 105 constant in rhizosphere modeling, at least locally. Spatial variations of b, D, and 106  $\theta$  can be accounted for in root architectural models by assigning different values 107 to different root segments. Temporal variations can be dealt with in a similar 108 way, if the changes are slow compared to the time steps used for numerical integration. Here we stick to the common model assumption of locally constant 110 D and b. In this case eq. (1) can be written as

$$\frac{\partial C}{\partial t} = a(r)\frac{\partial C}{\partial r} + D\frac{\partial^2 C}{\partial r^2} - \frac{I_h}{b}$$
 (2)

with a coefficient

$$a(r) := \frac{1}{r} \left( D + \frac{v_0 r_0}{\mathbf{b}} \right). \tag{3}$$

Following Itoh and Barber [11], the solute uptake by root hairs is defined as

$$I_h(r,t) = \frac{I_{\max_h}(C_{rh}(r,t) - C_{\min})}{K_{m_h} + C_{rh}(r,t) - C_{\min}} A_h(r), \tag{4}$$

with a maximum uptake flux for root hairs  $I_{\max_h}$ , a Michaelis-Menten constant for root hairs  $K_{\min_h}$ , a lower limit for solute concentration  $C_{\min_h}$ , and the concentration at the root hair surface  $C_{rh}$ . The surface area of root hairs,  $A_h$  [cm<sup>2</sup> cm<sup>-3</sup>], over an interval  $\delta$  along root hair length, per unit volume, is given by

$$A_h := \begin{cases} \frac{2\pi r_h N_h \mathcal{L} \int_{\delta} dr}{2\pi \mathcal{L} \int_{\delta} r dr} = \frac{2r_h N_h \delta}{(r+\delta)^2 - r^2} & \text{for } l_h + r_0 > r \\ 0 & \text{for } l_h + r_0 \le r \end{cases}$$
 (5)

The surface area per unit volume of root hairs used in eq. (4),  $A_h(r)$ , is obtained infinitesimally from eq. (5) by

$$A_h(r) := \begin{cases} \lim_{\delta \to 0} \frac{2r_h N_h \delta}{(r+\delta)^2 - r^2} = \frac{N_h r_h}{r} & \text{for } l_h + r_0 > r \\ 0 & \text{for } l_h + r_0 \le r \end{cases}$$
 (6)

Here,  $r_h$  and  $l_h$  [cm] are radius and length of the root hairs, respectively, and  $N_h$  [cm<sup>-1</sup> root] denotes the number of root hairs in the calculation domain, i. e. on the root surface area over a unit root length L. The discrete formulations are described in section 2.3.3.

An approximation of the relation between the solute concentration at root hairs,  $C_{rh}(r,t)$ , and the average solute concentration in the rhizosphere, C(r,t), was established by Baldwin et al. [32]:

$$C(r,t) \approx C_{rh}(r,t) \left( 1 + \frac{\alpha_h r_h}{Db} \ln \left( \frac{r_{h1}}{\exp(0.5)r_h} \right) \right),$$
 (7)

where  $\alpha_h$  is the root-hair absorbing power. This relation is valid if the root hair diameter is small compared to the mean distance between root hairs. The pairwise root hair half-distance is here calculated by  $r_{h1} = \sqrt{r\pi L/(2N_hL)}$ , assuming an equidistant root hair distribution. Substituting  $\alpha_h C_{rh}$  by a Michaelis-Menten formula related to root-hairs [11], eq. (7) becomes

$$C(r,t) \approx C_{rh}(r,t) + \frac{I_{\max_h}(C_{rh}(r,t) - C_{\min})}{K_{\min_h} + C_{rh}(r,t) - C_{\min}} \frac{r_h}{Db} \ln \left(\frac{r_{h1}}{\exp(0.5)r_h}\right).$$
 (8)

Solving this equation for  $C_{rh}(r,t)$  leads to an expression which can be inserted into eq. (4):

$$C_{rh}(r,t) \approx X + \sqrt{X^2 + C(r,t) \cdot (K_{m_h} - C_{\min}) + Y \cdot C_{\min}},$$
 (9)

using the abbreviations  $Y := I_{\max_h} \left( \frac{r_h}{Db} \ln \left( \frac{r_{h_1}}{\exp(0.5)r_h} \right) \right)$  and  $X := (C(r,t) - K_{m_h} + C_{\min} - Y)/2$ .

135 2.2. Boundary Conditions

Active uptake of solutes by the roots can be described by Michaelis-Menten kinetics in the boundary condition at the root surface  $r_0$ :

$$Db\frac{\partial C(r_0, t)}{\partial r} + v_0 C(r_0, t) = \frac{I_{\text{max}}(C(r_0, t) - C_{\text{min}})}{K_m + C(r_0, t) - C_{\text{min}}},$$
(10)

with a maximum uptake flux  $I_{\rm max}$ , the lower limit for solute concentration  $C_{\rm min}$ already used in eq. (4), and a Michaelis-Menten constant  $K_{\rm m}$ . This Robin 139 boundary condition defines solute flow out of the domain at the  $r_0$ -boundary. 140 The Michaelis-Menten kinetics introduces non-linearity into the otherwise linear 141 PDE. Itoh and Barber [11] added a root hair term  $I_h(r_0, t)$  to the right-hand-side of eq. (10) which we did not follow because it would give root hairs a too high 143 weight on the total sink at  $r_0$ . 144 Roots compete with neighboring roots for nutrient resources. An average root 145 competition over the whole root system can be taken into account by defining the 146 outer boundary  $r_N$  as half of the averaged distance between roots and setting a 147 zero-flux outer boundary condition. In this case, the length of  $r_N$  determines the 148 amount of competition between roots. This zero-flux outer boundary condition 149 reads 150

$$Db\frac{\partial C(r_N, t)}{\partial r} + \frac{r_0 v_0}{r_N} C(r_N, t) = 0.$$
(11)

The initial condition at t=0 was set to a start value  $C(r,0)=C_{\rm init,r}$  for all values of r.

#### 2.3. Spatial Discretization

The spatial domain was discretized into N compartments, each with width  $\Delta r$ , such that  $r_i = r_0 + i \, \Delta r$  for  $i = 0, \dots, N$ . The diffusive term in eq. (2) was always discretized with a central finite difference, leading to second-order. For the inner spatial mesh points  $(i = 1, \dots, N-1)$  this leads to

$$\frac{\partial C_i}{\partial t} = a(r) \frac{C_{\Delta r}^{(i)}}{\Delta r} + D \frac{C_{i-1} - 2C_i + C_{i+1}}{\Delta r^2} - \frac{I_{h,i}}{b} + e$$
 (12)

where  $C_i := C(r_i, t)$ ,  $I_{h,i} := I_h(r_i, t)$ , and  $C_{\Delta r}^{(i)}$  abbreviates a stencil of the first discrete derivative on the  $\Delta r$ -grid at node i, see below. The rest, e, contains 159 errors of the assumptions of the analytical root hair solution and discretization 160 in  $I_h$  and the truncation error, with the Landau-symbol  $\mathcal{O}(\Delta r^2)$ , from central 161 differences. 162 For the boundaries at  $r_0$  and  $r_N$ , special care has to be taken so that the 163 accuracy is equal with the inner points. Otherwise, the global discretization 164 order would be lower than stated in Table 1. Oosterlee et al. [33] pointed out that advection discretization should be  $\mathcal{O}(\Delta r^2)$  accurate (at least for smooth 166 parts) and monotone which can be realized by flux limiters. Next, we describe different methods used to discretize the first derivative in eq. (12). 168

2.3.1. Van Leer's scheme for the first spatial derivative

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Van Leer's  $\kappa$ -scheme [34] for the first spatial derivative is [33]

$$C_{\Delta r}^{(i)} := L_1^- + L_\alpha^- + L_\beta^- + L_\gamma^- \tag{13}$$

172 with

$$L_1^- \coloneqq -C_i + C_{i+1}$$
 
$$L_\alpha^- \coloneqq -\frac{\kappa}{2} \left( -C_i + C_{i+1} \right)$$
 
$$L_\beta^- \coloneqq \frac{\kappa+1}{4} \left( -C_{i-1} + C_i \right)$$
 
$$L_\gamma^- \coloneqq \frac{\kappa-1}{4} \left( -C_{i+1} + C_{i+2} \right)$$

for -a(r) < 0, flow to the root ("downstream"), which is the usual case for rhizosphere models.

The order of above's scheme is at least  $\mathcal{O}(\Delta r^2)$  for  $-1 \le \kappa \le 1$  [35].  $\kappa = 1$  corresponds to central differences,  $\kappa = 1/3$  is called CUI (cubic upwind interpolation),  $\kappa = 1/2$  QUICK (quadratic upwind interpolation for advective kinematics),  $\kappa = 0$  Fromm's scheme, and  $\kappa = -1$  is the second-order upwind scheme [35]. We used mainly  $\kappa = 1/3$ , i.e.

$$C_{\Delta r}^{(i)} = -\frac{1}{3}C_{i-1} - \frac{1}{2}C_i + C_{i+1} - \frac{1}{6}C_{i+2},$$

for cases where we indicate the use of upwind discretization. And for the downstream discretization in RKCK-QUICK, the advection part is

$$C_{\Delta r}^{(i)} = -\frac{3}{8}C_{i-1} - \frac{3}{8}C_i + \frac{7}{8}C_{i+1} - \frac{1}{8}C_{i+2}.$$

2.3.2. Second-order upwind methods with flux limiter

We also implemented the "Koren" flux limiter method which is of order two and constructed to avoid oscillations at steep gradients [36]. A hybrid approach is considered by controlling an added artificial dissipation with a limiter  $\psi$  for

each time point. In this case, eq. (13) becomes [33]

$$C_{\Delta r}^{(i)} = L_1^- + \psi(R_i) L_{\alpha}^- + \psi(R_{i-1}) L_{\beta}^- + \psi(R_{i+1}) L_{\gamma}^-.$$

91 Smoothness is tested by

$$R_i = \frac{C_i - C_{i-1} + \epsilon}{C_{i+1} - C_i + \epsilon}.$$

with a constant  $\epsilon$  to avoid division by zero, e.g.,  $\epsilon = 10^{-10}$  [36]. Koren [36] used a limiter  $\psi(R_i) = \max{(0, \min{(2R_i, (1+2R_i)/3, 2)})}$ . We used this flux limiter together with the second-order upwind discretization,  $\kappa = -1$ , because of the smaller stencil and therefore ease of implementation at the boundary.

2.3.3. Discretization of uptake by root hairs

Uptake by root hairs,  $I_{h,i}$ , depends on  $C(r_i,t)$  via the concentration at root hairs  $C_{rh}$  and on  $r_i$  via the surface area of root hairs per unit volume  $A_h$  given by eq. (6). Several possible ways to spatially discretize  $A_h$  can be developed. It can be either associated to the left neighboring node, to the right neighboring node or, as we did, mid-value:

$$A_{hi} = \frac{2N_h r_h \cdot \min(\Delta r, \max(0, l_h + r_0 - r_i + \frac{\Delta r}{2}))}{(r_i + \frac{\Delta r}{2})^2 - (r_i - \frac{\Delta r}{2})^2}$$
(14)

for i = 1, ..., N - 1, and at the boundaries

$$A_{h0} = \frac{2N_h r_h \cdot \min(\frac{\Delta r}{2}, l_h)}{(r_0 + \frac{\Delta r}{2})^2 - r_0^2},\tag{15}$$

$$A_{hN} = \frac{2N_h r_h \cdot \min(\frac{\Delta r}{2}, \max(0, l_h + r_0 - r_N + \frac{\Delta r}{2}))}{r_N^2 - (r_N - \frac{\Delta r}{2})^2}.$$
 (16)

The three mentioned discretization variants are quite similar in total root uptake over time and depletion of concentration, for example, in the first compartment. However, the discretization associated to the right neighboring node will overestimate total cumulative uptake and thereby is least favorable. We chose the mid-value association because its  $\mathcal{L}^1$ -error of the root uptake is lowest and it needs lower spatial resolution (N) to converge to a total uptake value resulting from higher-resolution simulations.

The total uptake rate  $\dot{U}(t)$  [µmol s<sup>-1</sup>] over the discretized rhizosphere is the spatial sum of root hair uptake and root surface uptake,

$$\dot{U}(t) = \sum_{i=0}^{N} I_{hi}(t) V_i + 2\pi r_0 L \frac{I_{\text{max}}(C_0(t) - C_{\text{min}})}{K_{\text{m}} + C_0(t) - C_{\text{min}}},$$
(17)

where  $V_i$  [cm<sup>3</sup>] is the volume of the *i*-th compartment according to the discretization of eqs. (14)–(16), while  $I_h$  is a quantity per volume.

2.4. Time Discretization

Time was discretized into intervals  $\Delta t_j$ , such that  $t_{j+1} = t_j + \Delta t_j$  for  $j = 0, \dots N_t$ , up to the simulation end time point  $t_{\rm end}$ . For transient differential equations, e.g. Lecheler [37] recommends a higher-order (i. e. order  $\geq 2$ ) scheme.

2.4.1. Explicit and implicit Runge-Kutta

As explicit methods, embedded Runge-Kutta schemes were used for time discretization. The approach is a line-method where the differential equation is first discretized in one direction, giving a set of ordinary differential equations (ODEs) with initial value in time. The resulting autonomous ODE,  $\partial C/\partial t = f(C)$ , can be solved with a Runge-Kutta one-step solver. For eq. (12), the iterative formula of an s-stage Runge-Kutta method over time is:

$$C_{i,j+1} = C_{i,j} + \Delta t_j \sum_{m=1}^{s} b_m k_m \tag{18}$$

$$k_m = f(C_{i,j} + \Delta t_j \sum_{l=1}^{s} a_{ml} k_l)$$
 (19)

where  $C_{i,j} := C(r_i, t_j)$ , and  $a_{ml}, b_m$  are Runge-Kutta coefficients which can be found in Butcher-Tableaus. Here, a Runge-Kutta stage,  $k_m$ , is calculated over 232 the spatial discretization and is not dependent on t explicitly. 233 Runge-Kutta methods can be made adaptive in a scheme with (embedded) 234 evaluations of two successive orders [38]. The lower order solution is taken to 235 approximate a local error for the step size control algorithm. We used third-order 236 Bogacki-Shampine method, fifth-order Cash-Karp [39], and also two embedded 237 implicit Runge-Kutta methods (IRK): Lobatto-IIIC of order 4 and Lobatto IIIA 238 of order 2, the trapezoidal rule (IRK2). For the implicit Runge-Kutta methods 239 we need to solve an  $sN \times sN$ -matrix system in each step which results from 240 writing eq. (18) in implicit form:  $\mathbf{F}(\mathbf{C_{i+1}}) = 0$ . We solve this equation system for  $C_{i,j+1}$  with Newton-Raphson iterations and for that allocate a Jacobian. 242 For IRK2, the Jacobian has dimensions  $N \times N$  and for Lobatto-IIIC (s = 3),  $3N \times 3N$ , which is a  $3 \times 3$  block matrix of (sparse) banded  $N \times N$  matrices. This 244 block matrix **Q** has entries  $q_{ml} = \mathbb{1}\delta_{ml} - \Delta t \, a_{ml} J_l$  where  $\delta_{ml}$  is the Kronecker-Delta, 1 denotes the  $N \times N$  identity matrix, and  $J_l = [\partial f/\partial k_l]_{N \times N}$  are the derivatives. The resulting equation is  $\mathbf{Q}_{j+1}^{k+1}\mathbf{d}_{j+1}^{k+1} = -\mathbf{F}_{j+1}^{k+1}$ . We iteratively obtain 247 solutions  $\mathbf{d}_{j+1}^{k+1} = \mathbf{C}_{j+1}^{k+1} - \mathbf{C}_{j+1}^k$ , where  $\mathbf{C}_j = [C_{0,j}, \dots, C_{n,j}]$ , and k denotes a Newton iteration step. The stopping criterion  $\|\mathbf{C}_{j+1}^{k+1} - \mathbf{C}_{j+1}^k\| <$  ATOL is usually 249 reached in a few iterations. 250

#### 251 2.4.2. BDF

From the group of multi-step methods and as further stiff method alternative to IRK for the time stepping, we implemented the adaptive BDF-2 methods after [38, 40]. We did not use the time step algorithm used by Celaya et al. [40], but used the algorithm described in Appendix A for sake of comparability with the other adaptive methods. For the Jacobians of the implicit schemes, we used sparse matrices, implemented as three arrays containing the diagonal, upper-, 258 and lower-diagonal.

2.5. Crank-Nicolson and implicit Euler

The CN ( $\Theta=0.5$ ) and BTCS ( $\Theta=1$ ) methods are approaches of simultaneous discretization of time and space:

$$C_{i,j+1} - \Theta \gamma_i \left( C_{i+1,j+1} - C_{i-1,j+1} \right)$$

$$- \Theta \beta \left( C_{i-1,j+1} - 2C_{i,j+1} + C_{i+1,j+1} \right) - \Theta \frac{\Delta t}{b} I_{h(i,j+1)}$$

$$= C_{i,j} + (1 - \Theta) \gamma_i \left( C_{i+1,j} - C_{i-1,j} \right)$$

$$+ (1 - \Theta) \beta \left( C_{i-1,j} - 2C_{i,j} + C_{i+1,j} \right) + (1 - \Theta) \frac{\Delta t}{b} I_{h(i,j)}$$
(20)

where  $\beta \coloneqq D\Delta t/\Delta r^2$  and  $\gamma_i \coloneqq a(r_i)\Delta t/(2\Delta r)$ . The CN method is an implicit trapezoidal rule in time and a central finite difference scheme in space and hence can oscillate [41]. Both, CN and BTCS, are solved by Newton-Raphson scheme; the system matrix and Jacobian are described in Appendix B. CN is of order  $\mathcal{O}(\Delta r^2) + \mathcal{O}(\Delta t^2)$ , while BTCS is first-order in time.

2.6. Spatial and time step determination

The relation between advection and diffusion with respect to  $\Delta r$  is called (grid) Péclet number. For the *i*-th compartment it is  $\text{Pe}_i = a(r_i) \, \Delta r/D$ . The grid Péclet number is relevant for the appropriate grid size (number of grid points) of a numerical method. Because of  $r_0 < r_1 \cdots < r_N$ , Pe<sub>i</sub> has its maximum in the first compartment:

$$\operatorname{Pe_{max}} = \operatorname{Pe_0} = \frac{\Delta r}{r_0} \left| 1 + \frac{v_0 r_0}{D b} \right| = \frac{\Delta r}{r_0} \left( 1 + \mathbf{k} \right),$$

for  $v_0 > 0$ , flow in root direction, and using a dimensionless ratio  $k := r_0 v_0 / (Db)$  of flux velocity, soil buffer power and effective diffusion. Thus, to maintain

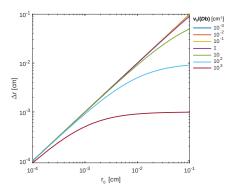


Figure 1: Maximal  $\Delta r$  to satisfy Pe  $\leq 1$ , dependent on root radius  $r_0$  for a range of orders of  $k/r_0 = v_0/(Db)$ .

 $Pe_{max} \leq c$ , the limit estimate of  $\Delta r$  is

282

$$\Delta r_{\text{max}} = \frac{cr_0}{1+k},\tag{21}$$

which is a function of soil and root parameters. Thus, the root trait,  $r_0$ , sets a maximal limit to spatial grid resolution. Figure 1 shows how  $\Delta r_{\rm max}$  depends 283 on  $r_0$  for different values of effective diffusion. Higher effective diffusion would 284 accept coarser grids up to a limit, since  $\Delta r_{\rm max} \to c r_0$  for  $k \to 0$ . For stability, it 285 is often suggested that the grid Péclet number should be less than or equal 2, 286 but for accuracy reasons, we took a limit of 1 instead of 2. 287 The CFL number,  $|a(r)|\lambda$ , is dependent on a mesh ratio,  $\lambda := \Delta t/\Delta r$ , and describes the relation of time step size of the advective transport part and spatial 289 grid size. In explicit time-stepping this should be CFL  $\leq 1$  to prevent the physical solution to skip grid-cells in case of advective transport. 291 A solution propagation criterion for explicit methods with advection and disper-292 sion is CFL +  $2 \text{ Fo}_m \leq 1$  [e. g. 42, p. 301], where Fo<sub>m</sub> denotes the mass Fourier 293 number. Together with the propagation by the inner boundary condition we 294 used 295

$$\Delta t \le \min\left(\frac{\Delta r \cdot \mathbf{b} \cdot K_{\mathbf{m}}}{I_{\text{max}}}, \frac{\Delta r}{(1+\mathbf{k})D/r_0 + 2D/\Delta r}\right),$$
 (22)

Table 2: Parameter set, modified from Barber [43].

,		value	SI unit
Water flux	$v_0$	$1 \times 10^{-7}$	$\mathrm{cm}\mathrm{s}^{-1}$
Minimum solute concentration for uptake	$C_{\min}$	$1 \times 10^{-4}$	$\mu \mathrm{mol}\mathrm{cm}^{-3}$
Initial solute concentration in solution	$C_{\mathrm{init,r}}$	$13.6 \times 10^{-3}$	$\mu \mathrm{mol}\mathrm{cm}^{-3}$
Maximum uptake rate	$I_{ m max}$	$3.21 \times 10^{-7}$	$\mu mol  cm^{-2}  s^{-1}$
Michaelis-Menten half-saturation constant	$K_{ m m}$	$5.45 \times 10^{-3}$	$\mu \mathrm{mol}\mathrm{cm}^{-3}$
Root radius	$r_0$	0.05	cm
Depletion zone boundary	$r_N$	1.05	cm
Simulated time period	$t_{ m end}$	10	d
Root hair radius	$r_h$	$5 \times 10^{-4}$	cm
Number of root hairs on the surface of a unit segment	$N_h$	1000	$cm^{-1}$
Average root hair length	$l_h$	0.2	cm
Soil buffer power	b	39	_
Unit length	$_{\rm L}$	1	cm

for all non-adaptive methods and as starting time step for adaptive methods. In the case of CN, the time step can, theoretically, be larger but oscillations 297 occurred in some cases. The time step criterion in eq. (22) was used to ensure that CN was oscillation free. For all adaptive methods, we used the same step size 299 control algorithm (Appendix A). This algorithm uses a relative error estimation 300 in  $\mathcal{L}^{\max}$ -norm of the local truncation error. The tolerance limit of this step size 301 error estimation was set to  $Tol = 1 \times 10^{-4} = 0.01 \%$ . The absolute tolerance 302 limit in  $\mathcal{L}^2$ -norm of a Newton-Raphson iteration step in implicit methods was set to ATOL =  $1 \times 10^{-8}$ . CPU-time was measured and related to a full (r,t)304 simulation run over an exemplary simulated time period of  $t_{\rm end} = 10$  days (Table 2). 306

## 2.7. Error estimation

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Each forward simulation was compared to its reference solution. The reference solutions were obtained with tolerances down to the relative machine accuracy and high spatial resolutions with negligible differences to even higher resolutions. To compare numerical results we used the relative  $\mathcal{L}^1$  error norm (for non-

equidistant grids) as a measure, calculated as

$$\frac{\|\hat{u} - u\|}{\|u\|} = \frac{\sum_{j=0}^{N_t} \left( (|\hat{u}_j - u(t_j)| + |\hat{u}_{j+1} - u(t_{j+1})|) \frac{\Delta t_j}{2} \right)}{\sum_{j=0}^{N_t} \left( (|u(t_j)| + |u(t_{j+1})|) \frac{\Delta t_j}{2} \right)},$$

which is geometrically equivalent to a comparison of normalized numerical 313 integrals of order one. Here,  $N_t$  is the number of time steps,  $\hat{u}$  denotes the 314 numerical solution at hand, and  $u(t_i)$  evaluates the reference solution with spline-315 interpolation to compare the numerical solution with a pseudo exact solution. 316 Note that, due to the setup of initial values,  $\hat{u}_0 = u(t_0)$  for all methods. In 317 the following, we used this relative  $\mathcal{L}^1$  error norm for the total nutrient uptake 318 rate by a root segment,  $\dot{U}(t)$ , which was calculated as uptake over root hair 319 volume plus Michaelis-Menten uptake over the root surface, eq. 17. Total solute 320 uptake rate, U(t), was taken for the comparison of numerical methods because 321 it amplifies errors in the first compartment,  $C(r_0, t)$ , by about one order, leading 322 to a higher sensitivity to numerical errors than concentration. 323 Mass conservation balances involve integration of mass over space and boundary fluxes over time, thus comparing two different numerical methods, each with 325 their own numerical errors, and the balance calculation can be the least accurate 326

2.8. Numerical experiments

comparison of methods.

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Numerical experiments were performed over a range of depletion profiles (Figure 2). The different shapes resulted from varying the effective diffusion coefficient from  $D = 1 \times 10^{-6} \,\mathrm{cm^2 \, s^{-1}}$  to  $D = 1 \times 10^{-11} \,\mathrm{cm^2 \, s^{-1}}$ . By varying the effective diffusion, a possible change in the soil buffer power was implied in

one. For this reason we did not include a mass conservation balance into our

the diffusion coefficient. Barber [43] considered an effective diffusion coefficient of  $D = 1 \times 10^{-12} \,\mathrm{cm}^2 \,\mathrm{s}^{-1}$  as minimum for bioavailability. Thus the variation in D covered an exemplary nutrient range of nitrogen, potassium, phosphorus to 336 almost non-bioavailable solutes. The Michaelis-Menten parameters,  $I_{\mathrm{max}}$  and 337  $K_{\rm m}$ , for root and root hairs are set equal. 338 The root metabolic trait  $I_{\text{max}}$  (maximum uptake rate) influences the rhizosphere 339 depletion profile similar to the effective diffusion D, see Figure 3, where  $I_{\text{max}}$ was varied between  $1 \times 10^{-5}$  and  $1 \times 10^{-7}$  µmol cm<sup>-2</sup> s<sup>-1</sup>, in combination with 341 two exemplary effective diffusion coefficients D. The range of the resulting shapes (Figure 3) is qualitatively similar (or in some cases even the same) to that 343 obtained by varying the effective diffusion coefficient D in Figure 2, with low  $I_{\text{max}}$  corresponding to high D. Therefore we refrained from further investigating 345 different values of  $I_{\text{max}}$  and focused on varying the effective diffusion coefficient D, with fixed b. This choice is also supported by the fact that the model is over-347 parameterized with respect to the solute concentration: if the soil buffer power,  $b_1$ , changes with a factor w such that  $b_2 = wb_1$ , we can have the same depletion profile of the solute concentration, with accordingly defined  $I_{\text{max}2} = wI_{\text{max}1}$ 350 and  $v_{02} = wv_{01}$  (subscripts 1 and 2 denote unscaled and scaled parameters). 351 Effective diffusion has to stay the same,  $D_2 = D_1$ , whereas the diffusion in liquid, 352  $D_{\ell}$ , changes accordingly,  $D_{\ell 2} = wD_{\ell 1}$ . Contrary to the solute concentration profile, the uptake rate  $\dot{U}(t)$  scales with factor w. 354 We include advection,  $v_0 > 0$ . However, this model, eq. (1), is suitable for relatively small advection by water flux in the range of 0 to  $2 \times 10^{-6}$  cm s<sup>-1</sup> [25]. 356 In this range the concentration profiles are not very sensitive to  $v_0$  given the parameters of Table 2. The parameter  $v_0$  mainly affects the concentration at the 358 outer boundary of the simulated rhizosphere domain, modeled as zero flux of 359 solution concentration, Figure 4. Since our evaluation criteria (error of nutrient 360

uptake rate and CPU-time) are not sensitive to those concentrations we did not vary  $v_0$  in our sensitivity analysis. 362 This work considers standard spatial grids for comparison of the methods as 363 described above. The grid could be made non-equidistant. However, presetting one non-equidistant spatial grid would be unsuitable, because the location of the 365 steepest gradient depends on parameters and time as illustrated by Figures 2–4. Instead of presetting, a variable  $\Delta r$  could be calculated to fulfil certain tolerance 367 criteria, see e.g. Eigenberger and Butt [44] for CN in Cartesian coordinates. 368 This adds spatial grid optimization to the solution routine, which we do not 370 For certain parameter sets our differential equations are stiff after the definition of Lambert [45]. These stiff problems are faster solved with stiff solvers, as 372 other solvers need small time steps despite the smooth solution. Hence we also compared representative stiff solvers. 374

## 375 3. Results

We performed simulations with the parameter set of Table 2 and three 376 exemplary values of the effective diffusion coefficient,  $D = 1 \times 10^{-6}$ ,  $5 \times 10^{-9}$ , 377 and  $1 \times 10^{-11} \,\mathrm{cm}^2 \,\mathrm{s}^{-1}$ . For the highest value of effective diffusion considered, the solution is flat and smooth (Figure 2a). With diminishing D, the differential 379 equation becomes advection dominated, and the gradients become steep near the root especially in the first compartments in time and space  $(t_0, r_0)$ , see 381 Figure 2b–f. The shape of the results is influenced by  $I_h$ , the root hair uptake: 382 the concentration profile is more s-shaped than the concave profile in simulations 383 without root hairs. 384 The system is stiff [45] if effective diffusion is high compared to the uptake rate  $(D > I_{\text{max}})$  and advection is relatively small, resulting in flat depletion profiles

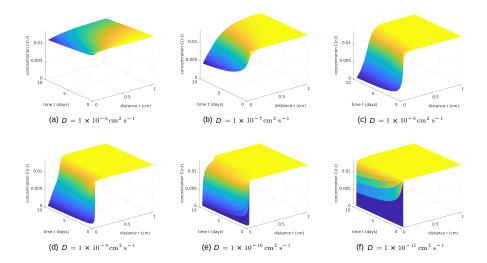


Figure 2: Three-dimensional mesh plots of solute concentrations in the rhizosphere over time and space for exemplary effective diffusion coefficients D varying over six orders. Other parameter values were taken as in Table 2. Plots may represent nitrate (a) potassium (b, c) phosphorus (d, e), and strongly bound phosphorus (f). The color varies by the quantity of the concentrations (z-axis). The curves start at the root surface  $r=r_0$ .

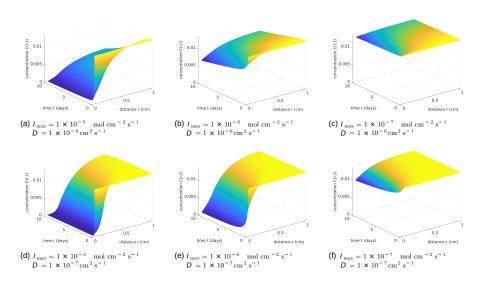


Figure 3: Three-dimensional mesh plots of solute concentrations in the rhizosphere over time and space for exemplary root uptake rates, represented by different values of  $I_{\rm max}$ , and example effective diffusion coefficients D. Other parameters were taken as in Table 2.

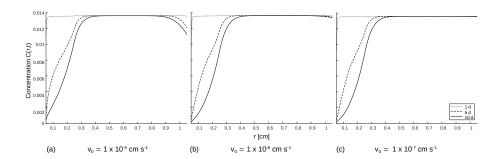


Figure 4: Solute concentrations in the rhizosphere over space at time points 1, 5, and 10 d for varying water flux  $v_0$ : (a)  $1\times 10^{-5}\,\mathrm{cm\,s^{-1}}$ , (b)  $1\times 10^{-6}\,\mathrm{cm\,s^{-1}}$ , (c)  $1\times 10^{-7}\,\mathrm{cm\,s^{-1}}$ , for the example effective diffusion of  $D=5\times 10^{-9}\,\mathrm{cm^2\,s^{-1}}$  and values of Table 2.

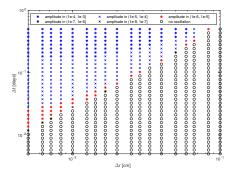


Figure 5: Oscillation amplitude ranges of CN for  $\Delta t$ - $\Delta r$ -combinations for the example of  $D=1\times 10^{-6}\,\mathrm{cm}^2\,\mathrm{s}^{-1}$ .

(Figures 2a, 3c and 3f). Also, stiffness of the system can lead to oscillations if the time step size is not changed appropriately with spatial resolution. Figure 5 shows the amplitude of such oscillations for CN in the case of high effective 389 diffusion. Thus, the chosen parameter set influences not only the shape of the solution but also the stability of the method. 391 To compare the numerical methods of Table 1, the relative  $\mathcal{L}^1$  error norm of 392 total root uptake (Figure 6) and CPU-time (Figure 7) were monitored for the 393 respective methods. Spatial resolution (given by N or  $\Delta r$ ) and associated 394 temporal resolution  $\Delta t$  were varied in a range appropriate to the respective scenario. 396 In Figure 6, the Péclet number is included, which sets a limit to the spatial resolution, see Figure 1. The low effective diffusion scenario (Figure 6c) with 398 its steep depletion zone (Figure 2f) needed a finer grid than the high effective diffusion scenario (Figure 6a) to achieve similar accuracy. 400 There was no single method standing out from the others by high accuracy for all 401 three scenarios (Table 3). There was a general tendency towards highest accuracy 402 of explicit Runge-Kutta methods, yet IRK2-CUI showed best performance for 403 the intermediate effective diffusion scenario ( $D = 5 \times 10^{-9} \,\mathrm{cm}^2 \,\mathrm{s}^{-1}$ ) and fine 404 spatial resolution (Figure 6b). In the low effective diffusion scenario (Figure 6c) 405 the flux limiter method, RK3(2)-koren, gave more accurate results especially at coarser resolutions. 407 We desire methods that are both accurate and fast. For some methods improvements in accuracy come with relatively high increases in CPU-time, where 409 others scale more favorably (Figure 7). The ranking of the methods according to CPU-time not only differed by accuracy but also by parameter space, here the 411

effective diffusion coefficient. For the high effective diffusion scenario (Figure 7a),

which could resemble nitrogen and is a stiff problem, the implicit adaptive

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Table 3: Best performing methods by scenario and criteria.

Scenario	$_{\mathrm{cm}^{2}\mathrm{s}^{-1}}^{D}$	$\begin{array}{c} \textbf{speed vs.} \\ \textbf{accuracy}^a \end{array}$	accuracy vs. $spatial\ resolution^b$	$\begin{array}{c} \text{nutrient} \\ \text{example}^c \end{array}$
flat concentration profile	$1 \times 10^{-6}$	IRK2-CUI, RKCK-CUI, RK3(2)-CUI	IRK2-koren, RKCK-CUI, RK3(2)-CUI	N
s-shaped concentration profile	$5 \times 10^{-9}$	IRK2-CUI, RKCK-QUICK	IRK2-CUI, RKCK-QUICK	K
steep depletion zone	$1 \times 10^{-11}$	RKCK-CUI	IRK2-koren, RKCK-CUI	Р

a) Fig. 7, b) Fig. 6, c) soil sorption dependent. Abbreviations of methods according to Table 1.

trapezoidal integration (IRK2) and BDF were expected to be suitable, but the 414 RK3(2)-CUI method showed a better performance. For the intermediate effective 415 diffusion range (Figure 7b), which could resemble potassium, Runge-Kutta with 416 upwind was in general fast while still accurate, having an error of under 0.1 % 417 (third-order). Here, RKCK-QUICK was the fastest up to an accuracy where too 418 many time steps were rejected. The non-linear CPU-time-increase of the explicit adaptive methods can be made linear by lowering the step size tolerance. 420 In Figure 7c, the low effective diffusion scenario, again explicit adaptive solvers 421 worked best, whereas upwind methods were more accurate than the central 422 difference schemes. RKCK-CUI and RKCK-QUICK performed comparably well 423 in all three effective diffusion scenarios. BTCS and CN were slow in all scenarios 424 for a given accuracy, suggesting that the 'default' choice for solving the 1D radial 425 rhizosphere model might not be the most efficient one. 426 The error decreases with increasing grid size, therefore the similarity of Fig-427 ures 7(d-f) to Figures 7(a-c). However, grid size can be important if memory 428 consumption has to be optimized. Figures 7(d-f) show a steady pattern of the 429 methods. The lines of the fixed-step methods, CN and BTCS, in Figures 7(d-f) did not change, because CPU to memory workload is the same for each scenario; 431 the adaptive methods differ due to the number of time step rejections in a simulation. 433

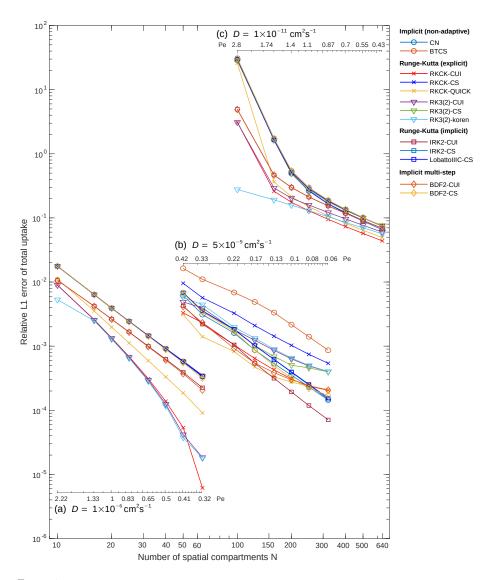


Figure 6: Minimal relative error of total root uptake for the 13 discretization methods of Table 1, related to spatial resolution and maximal grid Péclet number for three effective diffusion values (a)  $D=1\times 10^{-6}$ , (b)  $D=5\times 10^{-9}$ , (c)  $D=1\times 10^{-11}\,\mathrm{cm^2\,s^{-1}}$ . All parameters except D were taken from Table 2. Abbreviations of methods according to Table 1.

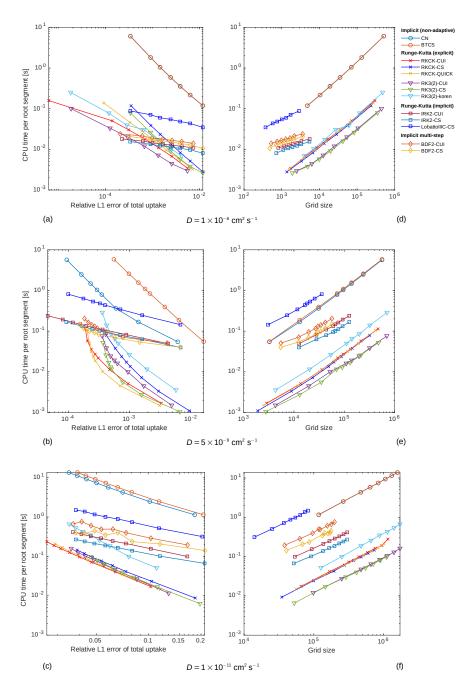


Figure 7: CPU-time compared to relative error and grid size (number of grid points) of different discretization methods. (a–c) Minimal relative error of total root uptake over time and runtime for spatial steps. (d–f) Spatial and temporal resolution compared to CPU-time. Grid size is defined by  $N \times N_t$ . All parameters except D were taken from Table 2. CN and BTCS are on top of each-other in (a), (d) and (f). A relative tolerance of  $1 \times 10^{-4}$  was used. Abbreviations of methods according to Table 1.

## 4. Discussion

We compared 13 numerical methods (Table 1) on the Itoh and Barber 435 [11] model over a wide range of parameters. The methods cover a range of discretization types and orders, including explicit, implicit and stiff methods 437 with and without upwind. The adaptive explicit methods included a flux limiter method and Runge-Kutta methods of different orders. We considered equidistant 439 spatial discretization and higher-order  $\kappa$ -schemes, where steep gradients are still smoothed, but accuracy can be higher compared to first-order upwind. 441 In the simulations we considered flow into the root only. Upwind schemes have a 442 direction in the discretization, so that water flux out of the root can be handled 443 using a compact form which takes care of changing flow directions. This is 444 of interest in a scenario where the sign of the  $v_0$ -term changes. In functional 445 structural models, water uptake is no longer assumed to be constant and could 446 change direction when, for example, hydraulic lift occurs at night. Explicit methods are arguably easy to implement as they do not require to solve 448 an equation system whose solving is the reason why the CN and BTCS method are slow. Thus explicit methods have the potential to be "fast", but will also 450 use fine grids for sufficient accuracy. Consistent with Trottenberg et al. [35], 451 the implicit central schemes are not as stable as the upwind schemes but can 452 be faster in diffusion dominant cases. Upwind for advection is reasonable if the 453 advection is dominant (Péclet number high) since discretization follows the flow 454 direction. Central difference for diffusion leads to a conditionally stable scheme 455 while for advection it is unconditionally unstable, hence we see in our simulations 456 that added diffusion alleviates this effect. We used them for advection dominated 457 scenarios (Table 1) for the sake of comparison. Adaptive methods can handle a large range of parameters and stabilize themselves in time by adapting the 459 time step size. However, they are constrained by the local tolerance of the step

size control. CN, with its central differences, was implemented as in Barber 461 and Cushman [1], Itoh and Barber [11]. It can be used on a wide range of 462 parameters too, but needs in some limiting cases (very) fine resolution to reach 463 similar accuracy as RK. Because of its implicit discretization, this adds to the 464 computational time. CN can oscillate depending on the Fourier number [46]. 465 Figure 5 illustrates the oscillations for CN and  $D = 1 \times 10^{-6} \,\mathrm{cm}^2 \,\mathrm{s}^{-1}$ . The 466 Crank-Nicolson method has the advantage that it is unconditionally stable, 467 but that holds theoretically only in the Euclidean norm and linear PDEs with 468 homogeneous boundary conditions. In the maximum norm, it has a stability 469 criterion [e.g. 47, 48]. The implicit Euler method (BTCS) is stable in both 470 norms but has only first-order accuracy in time which is not sufficient in general. A first-order method (Euler method) is in general applicable for smooth solutions 472 (here in time direction) and if step size restrictions are fulfilled. We do not consider it as universally applicable. 474 There is numerical diffusion in the upwind methods especially with first-order 475 upwind, however, we used higher-order methods in space. For the rhizosphere 476 transport problem considered here, a high soil buffer power (fast liquid-solid 477 equilibration) helps stabilizing the numerical solution, hence there is no oscillation 478 or significant smoothing for the upwind methods at steep solution fronts. 479 Providing a strategy to solve the 1D radial rhizosphere transport equation with 480 root hairs in less computational time and for a wider range of parameters depends 481 at least on the Péclet number and on the CFL condition, which control numerical 482 dispersion. The CFL criterion was fulfilled in our simulations for the adaptive 483 methods but in some grid-cells the Fourier number was larger than one. We found that a finer grid is needed because of steep gradients in medium 485 to low effective diffusion cases relative to advection (i.e.  $v_0r_0 > Db$ ). Fine roots are computationally difficult as they need small  $\Delta r$ , similar to the low 487

effective diffusion examples. In advection dominant cases, the adaptive Runge-Kutta methods with second- or third-order upwind converge with reasonable computational effort whereas, in the case of low advection and high effective 490 diffusion, the first derivative plays a minor role. If D is high relative to  $I_{\text{max}}$ , 491 the problem becomes stiff or moderately stiff and non-stiff methods need high 492 time resolution because the adaptive step control algorithm rejects more time 493 steps than supposed to be necessary for the smoothness of the solution. These 494 high effective diffusion (and low uptake rate) scenarios greatly benefit from 495 methods that are suitable for stiff differential equations such as BDF and implicit Runge-Kutta. 497 BDF is a multi-step method and we tested how the order of the starting step affects the error propagation since we simply did not want to start with a lower 499 order. To our knowledge, an order one BDF as a starter, which is an implicit Euler, is often used for the starting step. We used the implicit trapezoidal rule 501 here, while explicit RK starter and other methods are also possible. We found that accuracy is only slightly affected by a starter of order greater than two for 503 BDF2. 504 An adaptive method can handle a wide parameter range and still maintains 505 accuracy within its tolerance limits, but a small enough starting time step is 506 needed, especially if the gradient at  $t_0$  or the spatial resolution is high (hence the restrictions on the time step size). Such a high gradient is often present in 508 rhizosphere models because a relatively high  $I_{\text{max}}$  can deplete the liquid phase quickly. The starting time step can be algorithmically estimated. However, this 510 starting time step is not entitled to be optimal [38]. Therefore we used the starting time step similar to the fixed step, eq. (22). 512 A mathematically general statement about efficiency would need an  $\mathcal{O}$ -Notation 513 analysis by counting  $\mathcal{O}(1)$ -operations. However, this is not practical for adaptive 514

methods. Therefore we used CPU-times as a measure of computational speed, being aware that absolute CPU-time measurements are hardware as well as 516 software dependent. To ensure comparability of the CPU-times, we implemented 517 function calls, such as Runge-Kutta steps, matrix constructions for the implicit 518 schemes, and the step size control algorithm, in the same way for the numerical 519 schemes. The computational time per time step in the explicit scheme is lower as 520 the implicit scheme needs an equation system which is here solved by the Newton-521 Raphson method for each step, where the Jacobian of that equation system 522 was built. However, for an implicit method, the time step can be larger. For solving the equation systems we used MATLAB's backslash operator, which was 524 as efficient as our (tested)  $\mathcal{O}(N)$  Thomas algorithm solver for tridiagonal systems. Memory-wise we stored the results for plotting and comparing. However, if only 526 current time steps are needed, storage is simplified to vectors instead of matrices like in the architectural model OpenSimRoot. This work led to a development 528 of RKCK-CUI and RK3(2)-CUI in OPENSIMROOT which made the simulations 529 more reliable for a wider range of scenarios and often faster.

#### 5. Conclusion

We tested 13 different numerical methods to solve the 1D radial rhizosphere 532 model for nutrient depletion and uptake. Our guiding question was how to solve 533 the model most accurately and efficiently. We looked at the error of each method 534 in the total root nutrient uptake rate over time, i.e. nutrient uptake by the 535 root surface and root hairs, and compared the methods based on accuracy and 536 CPU-time as a function of grid size. The effective diffusion coefficient was varied 537 over a wide range in order to cover uptake of different nutrients such as nitrogen, 538 potassium, phosphorus or even almost non-bioavailable solutes. The variation of effective diffusion led to different numerical challenges associated with the

different solute concentration profiles: from rather flat profiles but stiff problems for high effective diffusion to non-stiff problems with steep concentrations profiles at the root surface for low effective diffusion. Although CN became the standard 543 in rhizosphere literature for solving a single component 1D rhizosphere model, it was slower by up to two orders and less accurate by up to one order compared 545 to several other methods. We conclude that RKCK would be a better generalpurpose method for simulating a wide range of parameters with high accuracy 547 and low CPU-time. 548 Finding the best method is problem dependent, which means depending on mesh size, soil type, specific nutrient, and root radius. In a whole root simulation 550 with changing conditions, a switch between schemes and mesh sizes based on the values of D, Péclet and CFL number is a possible strategy. 552

# 553 Appendix A. Step size control

```
A step size controlling algorithm for embedded Runge-Kutta methods [49, 38] is shown here, where
554
      p and q are the orders of the embedded Runge-Kutta methods, with p>q and error=\mathcal{O}(\Delta t^{(\min(p,q)+1)}).
               Algorithm: Step size control for embedded RK (pseudo code)
557
558
                1: facmin \leftarrow 0.5
                                                                                   \triangleright not more than two times reduction
                2: safety \leftarrow 0.9
                                                                                                            ▷ or other values
559
                3: if rejection \neq 1 then
560
                4:
                        facmax \leftarrow 2
                                                                                              \triangleright usually between 1.5 and 5
                5: else
562
                6:
                        facmax \leftarrow 1
                                                                         \triangleright set facmax = 1 right after a step rejection
                7: end if
                8: if error \leq Tol then
                                                                                               ▷ continue with larger step
                        dt(j+1) \leftarrow \min(safety \cdot dt(j) \cdot (\frac{Tol}{error})^{\frac{1}{p}}, facmax \cdot dt(j))
               10:
                        if dt(j+1) > h_{\max} then
               11:
                            dt(j+1) \leftarrow h_{\text{max}}
568
               12:
                        end if
570
               13:
                        t \leftarrow t + dt(j)
               14:
                        if t + dt(j+1) > T then
                                                                             \, \triangleright \, T is simulation time interval, e.g. days
571
               15:
                            dt(j+1) \leftarrow T-t
572
573
               16:
                         end if
```

```
17:
                            j \leftarrow j + 1
574
                 18:
                            rejection \leftarrow 0
575
                 19: else
                                                                                                              ▷ repeat with smaller step
576
                            dt(j) \leftarrow \max(safety \cdot dt(j) \cdot (\frac{TOL}{error})^{\frac{1}{q}}, facmin \cdot dt(j))
                 20:
577
                            if dt(j) < h_{\min} then
                 21:
578
                 22:
                                 dt(j) \leftarrow h_{\min}
579
                 23:
                            end if
580
                 24:
                            rejection \leftarrow 1
581
                 25: end if
582
```

# Appendix B. Jacobian for CN and BTCS

CN ( $\Theta=0.5$ ) was implemented according to Itoh and Barber [11], Barber and Cushman [1] with added  $\Theta$  to enable BTCS ( $\Theta=1$ ). Here we repeat the details of this implementation to include a comprehensive description of the derivatives of the root hair term. Eq. (20) can be rewritten as

587 
$$-S1_i \ C_{i-1,j+1} + D1 \ C_{i,j+1} - S2_i \ C_{i+1,j+1} + Q1 \ I_{h(i,j+1)}$$
588 
$$= P1_i \ C_{i-1,j} + D2 \ C_{i,j} + P2_i \ C_{i+1,j} - Q2 \ I_{h(i,j)}, \qquad i = 1, \dots, n-1,$$

with the abbreviations

$$S := (\Delta r/2) \cdot (1 + v_0 r_0/(D_e \mathbf{b})),$$

$$S1_i := \Theta(1 - S/r_i), \qquad D1 := \Delta r^2/(D_e \Delta t) + 2\Theta,$$

$$S2_i := \Theta(1 + S/r_i), \qquad D2 := \Delta r^2/(D_e \Delta t) - 2 \cdot (1 - \Theta),$$

$$P1_i := (1 - \Theta)(1 - S/r_i), \qquad Q1 := \Theta \cdot \Delta r^2/(D_e \mathbf{b}),$$

$$P2_i := (1 - \Theta)(1 + S/r_i), \qquad Q2 := (1 - \Theta) \cdot \Delta r^2/(D_e \mathbf{b}).$$

Here D1 and D2 are similar to Itoh and Barber [11] but typo corrected, i.e. division instead of multiplication by  $\Delta t$ . For the inner (i=0) and outer (i=n) boundaries eqs. (10) and (11), respectively, the ghost points  $C_{-1,.}$  and  $C_{n+1,.}$  outside of the computation domain are defined by

$$C_{-1,j} = C_{1,j} - S3 \left( \frac{I_{\text{max}}(C_{0,j} - C_{\text{min}})}{K_{\text{m}} + C_{0,j} - C_{\text{min}}} - v_0 C_{0,j} \right),$$

$$C_{n+1,j} = C_{n-1,j} - A1 C_{n,j},$$

with  $S3 := 2\Delta r/(D_e b)$  and  $A1 := S3 \ v_0 r_0/r_n$ .

The discretized equation system  $\mathbf{F} = 0$  is then given by

$$f_0 = -2\Theta \ C_{1,j+1} + S1_0 \ S3 \left( \frac{I_{\max}(C_{0,j+1} - C_{\min})}{K_m + C_{0,j+1} - C_{\min}} - v_0 C_{0,j+1} \right)$$

$$+ D1 \ C_{0,j+1} + Q1 \ I_{h(0,j+1)} - P1_0 \ C_{-1,j} - D2 \ C_{0,j} - P2_0 \ C_{1,j} + Q2 \ I_{h(i,j)},$$

$$f_{i \in [1,...,n-1]} = -S1_i \ C_{i-1,j+1} + D1 \ C_{i,j+1} - S2_i \ C_{i+1,j+1} + Q1 \ I_{h(i,j+1)}$$

$$- P1_i \ C_{i-1,j} - D2 \ C_{i,j} - P2_i \ C_{i+1,j} + Q2 \ I_{h(i,j)},$$

$$f_n = -2\Theta \ C_{n-1,j+1} + (D1 + S2_n \ A1) \ C_{n,j+1} + Q1 \ I_{h(n,j+1)}$$

$$- P1_n \ C_{n-1,j} - D2 \ C_{n,j} - P2_n \ C_{n+1,j} + Q2 \ I_{h(n,j)}.$$

The Jacobian  $J(\mathbf{C}_{j+1})$  is tridiagonal of dimension  $(n+1\times n+1)$  with entries  $[J_{i,k}]=\frac{\partial f_i(\mathbf{C})}{\partial C_{k,j+1}}$ :

$$[J_{0,0}] = f_0', \qquad [J_{0,1}] = -2\Theta,$$
 
$$[J_{n,n}] = D1 + S2_n \ A1 + Q1 \ I'_{h(n,j+1)}, \qquad [J_{n,n-1}] = -2\Theta,$$
 
$$[J_{i,i}] = D1 + Q1 \ I'_{h(i,j+1)}, \qquad [J_{i,i-1}] = -S1_i, \qquad [J_{i,i+1}] = -S2_i,$$

613 for (i = 1, ..., n - 1), where

612

$$\begin{aligned} & 614 & f_0{'} = S1_0S3 \left( \frac{I_{\max}K_{\max}}{(K_{\min} + C_{0,j+1} - C_{\min})^2} - v_0 \right) + D1 + Q1 \ I_{h\,(0,j+1)}{'}, \\ & 615 & I_{h\,(.,j+1)}{'} = \frac{\partial I_{h\,(C_\ell)}}{\partial C_\ell} = I_{\max_h}A_h \left( \frac{K_{\min_h} \frac{\partial C_{rh}}{\partial C_\ell}}{\left( C_{rh}(C_\ell) - C_{\min_h} + K_{\min_h} \right)^2} \right), \\ & 616 & \frac{\partial C_{rh}}{\partial C_\ell} = \frac{K_{\min_h}}{2} \left( 1 + \frac{C_\ell - C_{\min_h} - Y + K_{\min_h}}{\sqrt{C_{\min_h}^2 - 2C_{\min_h}(K_{\min_h} - Y + C_\ell) + K_{\min_h}^2 + 2K_{\min_h}(Y + C_\ell) + (Y - C_\ell)^2}} \right) \end{aligned}$$

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