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# Spatiotemporal characterisation of ischaemic lesions in transient stroke animal models using diffusion free water elimination and mapping MRI with echo time dependence



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#### ABSTRACT

Background and purpose: The excess fluid as a result of vasogenic oedema and the subsequent tissue cavitation obscure the microstructural characterisation of ischaemic tissue by conventional diffusion and relaxometry MRI. They lead to a pseudo-normalisation of the water diffusivity and transverse relaxation time maps in the subacute and chronic phases of stroke. Within the context of diffusion MRI, the free water elimination and mapping method (FWE) with echo time dependence has been proposed as a promising approach to measure the amount of free fluid in brain tissue robustly and to eliminate its biasing effect on other biomarkers. In this longitudinal study of transient middle cerebral artery occlusion (MCAo) in the rat brain, we investigated the use of FWE MRI with echo time dependence for the characterisation of the tissue microstructure and explored the potential of the free water fraction as a novel biomarker of ischaemic tissue condition.

*Methods*: Adult rats received a transient MCAo. Diffusion- and transverse relaxation-weighted MRI experiments were performed longitudinally, pre-occlusion and on days 1, 3, 4, 5, 6, 7 and 10 after MCAo on four rats. Histology was performed for non-stroke and 1, 3 and 10 days after MCAo on three different rats at each time point.

Results: The free water fraction was homogeneously increased in the ischaemic cortex one day after stroke. Between three and ten days after stroke, the core of the ischaemic tissue showed a progressive normalisation in the amount of free water, whereas the inner and outer border zones of the ischaemic cortex depicted a large, monotonous increase with time. The specific lesions in brain sections were verified by H&E and immunostaining. The tissue-specific diffusion and relaxometry MRI metrics in the ischaemic cortex were significantly different compared to their conventional counterpart.

Conclusions: Our results demonstrate that the free water fraction in FWE MRI with echo time dependence is a valuable biomarker, sensitive to the progressive degeneration in ischaemic tissue. We showed that part of the heterogeneity previously observed in conventional parameter maps can be accounted for by a heterogeneous distribution of free water in the tissue. Our results suggest that the temporal evolution of the free fluid fraction map at the core and inner border zone can be associated with the pathological changes linked to the evolution

Abbreviations: FWE, free water elimination; MCAo, middle cerebral artery occlusion; DW, diffusion-weighted; ADC, apparent diffusion coefficient; PVE, partial volume effect; FWET<sub>2</sub>, free water elimination with explicit T<sub>2</sub> attenuation; DTI, diffusion tensor imaging; T<sub>2</sub>W, T<sub>2</sub>-weighted; DTIT<sub>2</sub>, diffusion tensor imaging with explicit T<sub>2</sub> attenuation; FA, fractional anisotropy; MD, mean diffusivity; AIC, Akaike information criterion; SEM, standard error of the mean; std, standard deviation; CRLB, Cramr-Rao lower bound.

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of vasogenic oedema. Namely, the homogeneous increase in free water one day after stroke and its tendency to normalise in the core of the ischaemic cortex starting three days after stroke, followed by a progressive increase in free water at the inner border zone from three to ten days after stroke. Finally, the monotonous increase in free fluid in the outer border zone of the cortex reflects the formation of fluid-filled cysts.

#### 1. Introduction

MRI is a powerful tool for the characterisation of ischaemic tissue in all phases of stroke, both for humans and animal models (Hoehn et al., 2001; Sotak, 2002; Weber et al., 2006). As one of the major MRI contrast mechanisms, diffusion-weighted (DW) MRI is unique in that it enables localisation of the ischaemic region within the first half an hour after the stroke onset (Sotak, 2002). Indeed during the hyperacute (< 2 h post stroke) and acute (2-3 h) phases of stroke, the apparent diffusion coefficient (ADC) is strongly reduced (Moseley et al., 1990; Sotak, 2002), whereas some of the so-called non-Gaussian diffusion biomarkers, e.g. the mean kurtosis, show increased values (Grinberg et al., 2014, 2012; Hui et al., 2012; Jensen et al., 2011). These alterations have generally been associated with inter-compartmental water shift and cell swelling as a result of cytotoxic oedema (Knight et al., 1991), changes in membrane permeability (Lätt et al., 2009) and the amount of bound water (Bihan, 2007), the degradation of intracellular organelles (Van Pul et al., 2005), and neurite beading (Budde and Frank, 2010). Conversely, the transverse relaxation time  $(T_2)$  is unaffected during the hyperacute phase and only begins to change during the acute phase (Carano et al., 2000; Helpern et al., 1993; Knight et al., 1994; Ordidge et al., 1991). Proposed mechanisms for the early rise in conventional  $T_2$  values include the increase in the total water content (Kamman et al., 1988; Knight et al., 1991; T. N. Lin et al., 2002; Matsumoto et al., 1995), the breakdown of cellular structures and the increase in non-bound water (Ordidge et al., 1991). In the subacute phase of stroke ( $\geq 1$  day), both DW and T2-relaxometry MRI parameters are further affected by the development of vasogenic oedema and the subsequent disruption of the cytoarchitecture (Carano et al., 2000). In the days following stroke, DW and  $T_2$ -relaxometry metrics start renormalising, with an increase in the ADC (Carano et al., 2000; Knight et al., 1991; Pierpaoli et al., 1993) and a decrease in the non-Gaussian metrics (Hui et al., 2012) and  $T_2$ values (Carano et al., 2000; Knight et al., 1991; S. P. Lin et al., 2002; Wagner et al., 2012). This renormalisation process is highly heterogeneous with region-dependent normalisation rates, which has been attributed to the resolution of vasogenic oedema (S. P. Lin et al., 2002; Wagner et al., 2012). Finally, in the late subacute and chronic phases (≥ 7 days), further degradation of cell membranes, tissue cavitation and formation of fluid-filled cysts result in a secondary increase in the ADC and  $T_2$  and a further reduction in non-Gaussian metrics (Helpern et al., 1993; Hui et al., 2012; Jiang et al., 1997, 1993; Knight et al., 1994; Wegener et al., 2006).

DW and  $T_2$ -relaxometry MRI metrics can only be considered tissue-specific if the voxel contains a single type of tissue. If the voxel is affected by the partial volume effect (PVE), the metric integrates the effect of all tissue types in the voxel. In particular, the PVE with cerebrospinal fluid (CSF) can greatly affect DW and relaxometry parameters given that CSF is characterised by diffusion coefficient and  $T_2$  values several times larger than those values for tissue (Alexander et al., 2001; Piechnik et al., 2009).

Within the framework of DW MRI, Pierpaoli and Jones (2004) reported that both vasogenic oedema and CSF have similar diffusion properties and therefore affect the DW MRI signal in a similar manner. They proposed the use of a two-compartment model, with one of the compartments referring to free fluid (free, isotropic diffusion) and the other to tissue (restricted, anisotropic diffusion). However, the parameter estimation problem for this model is generally challenging (Bergmann et al., 2020; Hoy et al., 2014) and, for single-shell diffusion

encoding schemes, even ill-posed (Pasternak et al., 2009). Mitigations to these problems include the acquisition of several diffusion weightings (b-values) (Pierpaoli and Jones, 2004), the addition of a spatial regularisation of the tissue diffusion tensor (Pasternak et al., 2009), and constraints in the fitting parameters (Arkesteijn et al., 2017). Recently, it has been demonstrated that, due to the difference in  $T_2$  of free water and tissue, the explicit modelling of the  $T_2$ -attenuation for each compartment, together with the acquisition of different  $T_E$  values, leads to a more accurate, precise and robust estimation of the model parameters (Collier et al., 2017; Farrher et al., 2020; Molina-Romero et al., 2018). The underlying idea of this approach is inspired by the well-known multidimensional NMR methods (Bernin and Topgaard, 2013; van Dusschoten, 1996, 1995). However, there is a fundamental difference between the aforementioned multidimensional NMR methods, which are based on the inversion of the Laplace transform, and the FWE model with explicit account of  $T_2$  attenuation (FWET $_2$ ), in which the number of physical compartments is defined a priori. Furthermore, the difference in  $T_2$  of the tissue and water compartments leads to a  $T_E$  dependence of the relative free water fraction if that difference is not explicitly modelled (Collier et al., 2017; Pasternak et al., 2009). FWE DTI affords several benefits, the most notable being the reduction in the bias in DTI metrics and fibre tractography (Arkesteijn et al., 2017; Hoy et al., 2015; Metzler-Baddeley et al., 2012), and the improvement of the test-retest reproducibility (Albi et al., 2017). Moreover, the free water fraction map has been shown to be a sensitive biomarker for vasogenic oedema in tumours (Pasternak et al., 2009) and neurodegenerative diseases such as dementia (Maier-Hein et al., 2015), Alzheimer's disease (Hoy et al., 2017) and Parkinson's disease (Ofori et al., 2015; Planetta et al., 2016).

The aim of this work is to demonstrate the use of FWET<sub>2</sub> for the characterisation of the spatiotemporal evolution of ischaemia after transient middle cerebral artery occlusion (MCAo) stroke in rats. In the first part, we performed a quantitative validation of the FWET2 approach, compared to conventional DTI and  $T_2$ -relaxometry, for modelling the DW and T2W MRI signal in the rat brain tissue. In the second part, we characterised the spatiotemporal evolution of ischaemia after stroke by means of FWET<sub>2</sub>. In particular, we assessed the potential of the free water fraction (provided by FWET2) as a novel biomarker of neuropathological changes in ischaemia. We also compared the tissue-specific diffusion and transverse relaxation time provided by FWET2 with those attained using conventional DTI and monoexponential  $T_2$ -relaxometry methods. That is to say, we evaluated the extent to which the features shown by conventional DTI and T2-relaxometry methods can be accounted for by the presence of a free water compartment within the voxel of interest. Finally, we related the FWET2 maps with histopathology scores and discussed the findings in light of the biophysical mechanisms examined in the literature.

# 2. Materials and methods

# 2.1. FWET2 model

The FWET $_2$  model assumes that the DW and  $T_2$ W MRI signal originates from two compartments with different transverse relaxation times and diffusion properties, in the slow-exchange regime (Collier et al., 2017; Pasternak et al., 2009). The signal is written as follows

$$S(T_{\rm E}, b, \mathbf{n}) = S_0 \left[ f_{\rm w} e^{-\frac{T_{\rm E}}{T_{\rm 2,w}}} e^{-bD_{\rm w}} + (1 - f_{\rm w}) e^{-\frac{T_{\rm E}}{T_{\rm 2,t}}} e^{-b\mathbf{n}^{\rm T} \mathbf{D}_{\rm t} \mathbf{n}} \right], \tag{1}$$

where  $S_0$  is the proton density,  $D_{\rm w}$  and  ${\bf D}_{\rm t}$  are the diffusion coefficient and tensor for the free water and tissue compartments, respectively,  $T_{2,\rm w}$  and  $T_{2,\rm t}$  denote the transverse relaxation times for the free water and tissue compartments, respectively, and  $f_{\rm w}$  is the  $T_{\rm E}$ -independent free water fraction. The experimentally controlled parameters are the strength and direction of the diffusion weighting, b and  ${\bf n}=(n_x,n_y,n_z)^{\rm T}$ , respectively, and the echo time  $T_{\rm E}$ . Note that an additional multiplicative term of the form  $(1-e^{-T_{\rm R}/T_{\rm L,c}})$  for each compartment in Eq. (1) should be included a priori (c=w,t). However, this term can be neglected if the repetition time fulfils the condition  $T_{\rm R}\gg T_{\rm L,c}$ .

Assuming a voxel containing a single tissue type, the simplest representation of the DW and  $T_2$ W MRI signal is written as follows:

$$S(T_{\mathrm{F}}, b, \mathbf{n}) = S_0 e^{-\frac{T_{\mathrm{E}}}{T_2}} e^{-b\mathbf{n}^{\mathrm{T}} \mathbf{D} \mathbf{n}},\tag{2}$$

where  $T_2$  and **D** are the transverse relaxation time and diffusion tensor, respectively (Pierpaoli and Basser, 1996). Eq. (2) merely represents the DTI signal with an explicit  $T_2$  exponential attenuation (DTIT<sub>2</sub>).

#### 2.2. Animal model

All procedures and experiments were approved by the Institutional Animal Care and Use Committee at the National Health Research Institutes (Taiwan). Adult male Sprague-Dawley rats (BioLASCO, Taipei, Taiwan), weighing 300-400 g, were anesthetised with chloral hydrate (0.4 g/kg, intraperitoneal injection; Sigma-Aldrich). The right middle cerebral artery (MCA) was ligated with a 10-0 suture (N-2540, Monosof<sup>TM</sup> Covidien, Minneapolis, MN, USA) and common carotid arteries were clamped bilaterally using non-traumatic arterial clips, together efficiently reducing > 80% blood flow in the MCA, causing consistently focal ischaemia in the cerebral cortex, as described previously (Chen et al., 1986; Liu et al., 2011; Yu et al., 2020). The ligature and clamps were removed after 90 min to generate reperfusion injury. Core body temperature was monitored and maintained at 37 °C by a heating pad during surgery. After recovery from the anaesthesia, the body temperature was maintained at 37 °C using a temperature-controlled incubator.

The animals were assigned to two groups. The first group contained four rats subjected to a longitudinal MRI assessment, including assessment prior to stroke (pre-occlusion) and on days 1, 3, 4, 5, 6, 7 and 10 after MCAo. The second group contained twelve rats sacrificed immediately after individual MRI scan. Four time points were defined, namely non-stroke, and 1, 3, and 10 days after MCAo (three rats for each time point). A custom-made rat head holder and a circulating heated water bath were used. All rats were anesthetised with 1–2% isoflurane in oxygen during the MRI scans. Respiration was kept at 40–50 breaths per minute, and body temperature was maintained at 37 °C. This was monitored using a small animal physiological monitoring and control unit (SA Instruments, Stony Brook, NY).

# 2.3. MRI experiments

Experiments were performed on a home-integrated, translational 3T MRI scanner equipped with an ultra-high-strength gradient coil (maximum strength of 675 mT/m) (Cho et al., 2019). A custom-built, single-loop transmit/receive surface coil was utilised. A Stejskal-Tanner segmented echo-planar imaging (EPI) pulse sequence (EVO, MR Solutions Ltd., UK) was used. The acquisition parameters were: repetition-time,  $T_{\rm R}=9$  s; echo-time,  $T_{\rm E}=50$ , 100 ms; b-values (directions) = 0 (8), 0.5 (12) and 1.0 (26) and 2.0 (40) ms/ $\mu$ m² (b=2.0 ms/ $\mu$ m² was not used in this work); diffusion gradient separation and duration,  $\Delta=24$  ms and  $\delta=3$  ms; one repetition. Additionally, eight b=0 images (eight repetitions) with  $T_{\rm E}=70$ , 90, 110, 130 ms were acquired. Other parameters were: field-of-view, FOV = 25 × 25 mm²; matrix-size = 96 × 96; voxel-size = 0.26 × 0.26 × 1 mm³; 20 image slices; phase-encoding direction AP. One extra volume with opposite phase encoding was acquired for the correction of distortions induced by tissue susceptibility

differences. A turbo spin-echo sequence was used to acquire  $T_2$ -weighted structural images. The protocol parameters were:  $T_{\rm R}=4$  s;  $T_{\rm E}=68$  ms; six repetitions; FOV =  $25\times25$  mm²; matrix-size =  $192\times192$ ; voxel-size =  $0.13\times0.13\times1$  mm³; 20 image slices. The scan time for the DW and  $T_2$ W data acquisition was approximately 1 h and 20 min per rat.

# 2.4. Model parameter estimation

The data processing pipeline is similar to Farrher et al. (2020). Prior to the estimation of model parameters, the data were denoised using the adaptive optimised nonlocal means method (Manjón et al., 2010). Susceptibility-induced and eddy-current distortions were corrected using topup and eddy, provided by FSL (Andersson et al., 2003; Smith et al., 2004). Gibbs-ringing artefact correction was performed using the total variation approach implemented in the toolkit ExploreDTI (Leemans et al., 2009; Perrone et al., 2015). Similarly to Veraart et al. (2017), correction of the positive signal bias due to its Rician nature was performed following the method described by Gudbjartsson and Patz (1995), with the estimation of the background noise standard deviation,  $\sigma$ , using the approach proposed by Aja-Fernández et al. (2009). The remaining processing was completed using in-house Matlab scripts (Matlab 2015a, The MathWorks, MA, USA). FWET<sub>2</sub> model (Eq. (1)) parameter estimation was performed in two steps:

(i) DTIT<sub>2</sub> model parameters were estimated by fitting Eq. (2) to the experimental dataset (DW and  $T_2$ W, Fig. 1a), in order to generate an initial guess for  $T_{2,\mathrm{t}}$  and  $\mathbf{D}_{\mathrm{t}}$ . Estimation was performed via weighted-linear least-squares, which for the DTIT<sub>2</sub> model parameters,  $\theta_{\mathrm{DTIT}_2} = [\ln S_0, D_{xx}, D_{xy}, D_{xz}, D_{yy}, D_{yz}, D_{zz}, 1/T_2]^{\mathrm{T}}$ , is written as follows:

$$\hat{\boldsymbol{\theta}}_{\text{DTIT}_2} = \left(\mathbf{X}^{\text{T}} \mathbf{w} \mathbf{X}\right)^{-1} \mathbf{X}^{\text{T}} \mathbf{w} \mathbf{y},\tag{3}$$

where  $\mathbf{y}$   $(N \times 1)$  is a column vector whose elements are given by the natural logarithm of the N measured signals, i.e.  $y_i = \ln M_i$   $(i=1\dots N)$  and the weighting matrix,  $\mathbf{w}$   $(N\times N)$ , is a diagonal matrix whose elements are given by  $w_{i,i}=M_i^2$ . The  $i^{\text{th}}$  row of the design matrix,  $\mathbf{X}$   $(N\times 8)$ , is written as  $X_{i,:}=[1,-b_in_{ix}^2,-2b_in_{ix}n_{iy},-2b_in_{ix}n_{iz},-b_in_{iy}^2,-2b_in_{iy}n_{iz},-b_in_{iz}^2,-T_{\mathrm{E},i}]$ .

(ii) FWET<sub>2</sub> model parameters,  $\theta_{\text{FWET}_2} = [S_0, f_{\text{w}}, D_{xy}, D_{xy}, D_{yz}, D_{yz}, D_{zz}, T_{2,\text{t}}]^\text{T}$ , were estimated via constrained non-linear minimisation of the least-squares estimator, which is written as

$$\hat{\boldsymbol{\theta}}_{\text{FWET}_2} = \arg\min_{\boldsymbol{\theta}_{\text{FWET}_2}} \|\mathbf{M} - \mathbf{S} \left(T_{\text{E},i}, b_i, \mathbf{n}_i; \boldsymbol{\theta}_{\text{FWET}_2}\right)\|^2, \tag{4}$$

where the elements of the vector  $\mathbf{S}(T_{\mathrm{E},i},b_i,\mathbf{n}_i;\theta_{\mathrm{FWET}_2})$  are given by the signal model (Eq. (1)) evaluated at  $(T_{\mathrm{E},i},b_i,\mathbf{n}_i)$ . Minimisation of Eq. (4) was performed with the help of the function fmincon available in Matlab using the interior-point algorithm.  $T_2$  and  $\mathbf{D}$  estimated in step (i) were used as initial guess for  $T_{2,\mathrm{t}}$  and  $\mathbf{D}_{\mathrm{t}}$ , with an exhaustive solution search in the parameters space along the  $f_{\mathrm{w}}$  dimension. The following linear and non-linear constraints were imposed:

- (a) Linear constraints:  $0 \le f_w \le 1$
- (b) Non-linear constraints:  $0 \le \lambda_k \le 1.1 D_w$  (k = 1, 2, 3), where  $\lambda_k$  denotes the eigenvalues of the tissue diffusion tensor  $\mathbf{D}_t$  ( $\lambda_1 \ge \lambda_2 \ge \lambda_3$ ) (Grinberg et al., 2011a).

Free water parameters were fixed to  $T_{2,\rm w}=502$  ms (Piechnik et al., 2009) and  $D_{\rm w}=3~\mu{\rm m}^2/{\rm ms}$  (Alexander et al., 2001).

Due to the long longitudinal relaxation time of CSF  $(T_{1,w}=4.3~{\rm s})$  (Abbas et al., 2014) compared to  $T_{\rm R}$ , we included a multiplicative term equal to  $(1-e^{-T_R/T_{1,w}})\approx 0.88$  in the free water compartment in order to account for incomplete signal recovery. For the tissue compartment, the condition  $T_{\rm R}\gg T_{1,\rm t}$  holds. DTI parameters FA and MD were evaluated as described elsewhere (Basser and Pierpaoli, 1996). Here they are denoted as FA and MD for the case of DTIT<sub>2</sub> and FA<sub>t</sub> and MD<sub>t</sub> (tissue-specific) for FWET<sub>2</sub>. The signal-to-noise ratio (SNR) values were further calculated as SNR =  $S_0/\sigma$ .

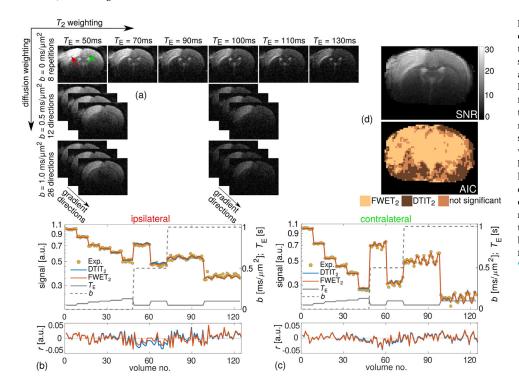


Fig. 1. (a) Schematic representation of the experimental setup. (b,c-top) Signal attenuation due to transverse relaxation and diffusion, shown as a function of the volume number along with the related model fit (DTIT2, blue line; FWET2, red line), for one voxel selected from the ipsilateral side and one voxel from the contralateral one (red and green arrows, respectively, in the top-left image). The corresponding b-value (grey, dashed lines) and  $T_{\rm E}$ value (grey, solid lines) are also shown. (b,cbottom) Plots of the residuals for FWET2 (red lines) and for DTIT2 (blue lines). (d-top) A map of the SNR. (d-bottom) A map of the threshold difference between the AIC values for both models: light-brown, FWET2 statistically better than DTIT2; dark-brown, DTIT2 statistically better than FWET2. All images and maps refer to slice 2 (Bregma -0.48 mm) (Paxinos and Watson, 2004).

#### 2.5. Model validation

First, a comparison of the goodness-of-fit of the signal models DTIT<sub>2</sub> and FWET<sub>2</sub> was performed by plotting the residuals of the fit for all experimental data points, defined as  $r_i = M_i - S(T_{\mathrm{E},i},b_i,\mathbf{n}_i;\theta), (i=1\dots N)$ . Secondly, we used the corrected Akaike information criterion (AIC) (Burnham and Anderson, 1998; Veraart et al., 2017) to decide whether a better model fit is the result of an excess of degrees of freedom or due to a higher probability that one of the models describes the experimental data better than the other. The corrected AIC is defined as  $AIC = 2k + N\ln(\|\mathbf{r}\|^2) + 2k(k+1)/(N-k-1)$ , where  $\mathbf{r}$  is the vector of residuals and k is the number of degrees of freedom, i.e. k=8 for DTIT<sub>2</sub> and k=9 for FWET<sub>2</sub>. A difference in AIC values between models greater or equal to 2 is considered to be statistically significant (Burnham and Anderson, 1998).

# 2.6. Statistical analysis of FWET2 and DTIT2 parameters

The regions-of-interest (ROIs) on the ipsilateral and contralateral sides were manually drawn on slices 1 and 2 (Bregma 0.48 mm and -0.48 mm, respectively (Paxinos and Watson, 2004)) so as to cover the cortex, using the colour-coded FA maps as a guide (inset in Fig. 4a). Note that, due to the limited spatial resolution of our data, analysis of the external capsule was not conducted.

The mean and standard deviation of all parameters were calculated for each animal and each time point separately for all voxels in the entire ROIs in slices 1 and 2. We denote the mean and standard deviation of a parameter A over a given ROI as  $\bar{A}$  and  $\sigma_A$ , respectively. Furthermore, the mean,  $\langle \bar{A} \rangle$ , and the standard error of the mean (SEM) over animals were computed and plotted against the time after occlusion. In order to investigate the temporal evolution of the biologically-induced ROI heterogeneity for each parameter (Wagner et al., 2012), the coefficient of variation of each parameter over the ROIs, defined as  $\mathrm{CoV}_A = \sigma_A/\bar{A}$ , was evaluated for each animal. Thereafter, the mean,  $\langle \mathrm{CoV}_A \rangle$ , and the corresponding SEM were computed over the animals and plotted as a function of time after occlusion.

The goal of the statistical analysis was two-fold. Firstly, we aimed to test for significant differences between the ipsilateral and contralateral

ROIs for each time point and each  $FWET_2$  parameter using a two-tailed paired t-test. Secondly, we aimed to compare  $DTIT_2$  versus  $FWET_2$  parameters in the ipsilateral ROI, also using a two-tailed paired t-test.

#### 2.7. Group-based template analysis

In order to evaluate the spatial heterogeneity of  $f_{\rm w}$  across animals in a voxel-wise manner, a group-based template was created for each time point. This was achieved using the FA from DTIT2 via 2-dimensional, linear and non-linear registration with the help of the scrip antsMultivariateTemplateConstruction2.sh, available in ANTs (Avants et al., 2011). Subsequently, the affine transformation (firstly) and the warp field (secondly) were applied to  $f_{\rm w}$  maps of each animal. Finally, a voxel-wise mean,  $\langle f_{\rm w} \rangle$ , and standard deviation, std, of the  $f_{\rm w}$  maps over the four animals were calculated for each time point.

# 2.8. Histology

A total of twelve animals were used for hematoxylin and eosin (H&E) stain and immunohistochemistry (IHC). The animals were sacrificed immediately after individual MRI. The animals were deeply anesthetised and transcardially perfused with 0.9% saline, followed by 4% paraformaldehyde (PFA, Sigma-Aldrich) in a 0.1 M phosphate buffer (PB, Sigma-Aldrich), pH 7.3. Brains were dissected from the skull and post-fixed for 24 h at 4  $^{\circ}\text{C}$  in PFA solution. Coronal paraffin-embedded brain sections were sliced at 4  $\mu m$  thick and collected close to the Bregma -0.48 mm.

For H&E staining, an automated slide staining system (Tissue-Tek DRS 2000, Sakura Finetek Europe, Netherlands) was used, and the standard protocol of the manufacturer was followed. For IHC, tissue sections adjacent to those processed by H&E were incubated with the primary antibody: polyclonal rabbit anti-ionised calcium-binding adaptor molecule 1 (Iba-1, 1:100, GTX10042, GeneTex) or anti-glial fibrillary acidic protein antibody (GFAP, 1:1000, ab7260, Abcam). The detection was processed in the Discovery XT automated IHC/ISH slide staining system (Ventana Medical Systems, Inc., Tucson, US), using the ultraView Universal DAB Detection Kit (Ventana Medical Systems, Inc.,

Tucson, US), according to the manufacturer's instructions. The reaction product on the tissue section was visualised by the chromogen 3,3′-diaminobenzidine (DAB, brown). Counterstaining with hematoxylin (purple) was then additionally performed. A negative control without the primary antibody was prepared to evaluate the specificity of IHC test.

All stained brain sections were imaged with Pannoramic MIDI (3DHISTECH, Ltd., Hungary), a digital pathology slide scanning system (Objective: Plan-Apochromat 20×; Camera: CIS VCC-FC60FR19CL, 1.6×; micrometer/pixel: 0.243094) and CaseViewer software (3DHISTECH, Ltd., Hungary). In this study, the H&E images were evaluated by scoring the injury severity of the histopathological features, while the images of Iba-1 and GFAP staining were assessed qualitatively and subjectively for immunoreactivity changes without computer-assisted image analysis.

#### 2.9. Histopathological analysis

All H&E stains were analysed for tissue pathology at the lesion by injury scoring. The lesioned cortex was divided into three sub-regions from the outside inwards: outer border zone, core area, and inner border zone. This was done by dividing line segments in the ratio of 1:3:1, perpendicular to the cortical surface and the external capsule (Fig. 8a). A 3-point scoring system was used to evaluate the injury severity (0 = no)pathological injury; 1 = mild injury; 2 = moderate injury; 3 = severeinjury). To evaluate the neuropathological tissue changes, we examined four to six images, evenly distributed in the individual sub-regions of the lesion, the aim being to comprehensively sample the whole lesioned cortex. The images were captured using a 20× objective lens. For each histopathological feature, the severity score was calculated as the mean of the scores obtained from the four or six representative images in each sub-region of the brain. Histopathological features of interest were assessed and scored, including (1) cerebral subleptomeningeal oedema, defined as enhanced space containing CSF; (2) liquefactive necrosis, resulting in disruption of the tissue cytoarchitecture and the formation of fluid-filled cysts; (3) perivascular oedema, characterised by vasogenic oedema; (4) vacuolation of neuronal cell, defined as acute cell injury; (5) vacuolation of the neuropil, defined as spongiosis and oedema and (6) cavitation, including cavitative lesions and cyst-like cavities. Analysis was performed in a blind manner by two examiners. The severity score was then determined as the average of the scores obtained by both examiners. We aimed to examine the injury severity of histopathological features on different sub-regions in relation to stroke time course. In addition, the corresponding  $\langle \overline{f_{\rm w}} \rangle$  values were evaluated in the same animal in order to investigate the correspondence of  $f_{\rm w}$  with the histopathological features.

# 2.10. Data and code availability statement

Experimental data published in this work and scripts will be available via formal request to the corresponding authors and a signed data-sharing agreement.

# 3. Results

# 3.1. Model validation

A scheme of the experimental design is shown in Fig. 1a, demonstrating the signal attenuation due to both transverse relaxation and diffusion. The plots in Fig. 1b,c (top) show the signal attenuation versus acquisition volume number along with the related model fit for one voxel selected from the ipsilateral side and one from the contralateral side (red and green arrows, respectively, in Fig. 1a). The corresponding b-value (grey, dashed lines) and  $T_{\rm E}$  value (grey, solid lines) are also shown in order to demonstrate each experimental parameter. The plots of the residuals shown in Fig. 1b (bottom) demonstrate that for

 $b\text{-value} = 0.5 \text{ ms/}\mu\text{m}^2$ , the residuals for FWET<sub>2</sub> (red lines) tend to be closer to zero than those for DTIT<sub>2</sub> (blue lines), especially in the ipsilateral voxel. The map of the threshold difference between the AIC values (Veraart et al., 2017) for both models (Fig. 1d, bottom) demonstrates that FWET<sub>2</sub> provides a statistically better fitting of the experimental data than DTIT<sub>2</sub> in the majority of the voxels. Some exceptions in the bottom of the brain are observed where the SNR values fall below ~5 (see the SNR map in Fig. 1d, top).

# 3.2. Spatiotemporal evolution of DTIT<sub>2</sub> vs FWET<sub>2</sub> parameters

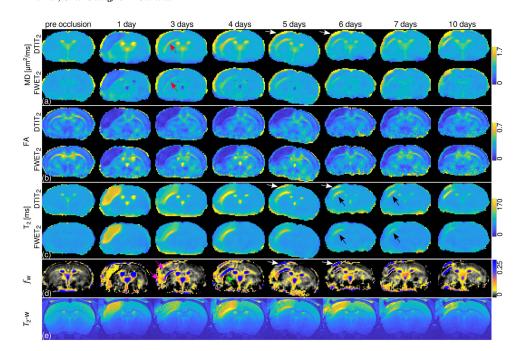
An overview of the spatiotemporal evolution of the FWET $_2$  maps for slice 2 (Bregma -0.48 mm) for a representative animal is summarised in Fig. 2, alongside the DTIT $_2$  maps and the high-resolution,  $T_2$ -weighted images. MD and MD $_1$  were both homogeneously reduced in the cortex on day 1. Between days 3 and 7, MD and MD $_1$  tended to renormalise at the core of the affected area, whereas there was an increase of MD and MD $_1$  above normal values at the inner border zone between the external capsule and the cortex (red arrows). In this border zone, the values reached a peak on day 4, dropped towards day 7 and rose again on day 10. Moreover, MD appeared brighter than MD $_1$ . A later, steady increase both in MD and MD $_1$ , starting five days after stroke (white arrows), was observed in the outer border zone.

Both FA and FA<sub>t</sub> were homogeneously reduced in the whole cortex between days 1 and 10. Besides the well-known reduction of the conventional FA in the ipsilateral cortex (Carano et al., 2000), other changes were not observed in either FA or FA<sub>t</sub> during the whole time period.

An increase in both  $T_2$  and  $T_{2,\rm t}$  in the cortex was observed on day 1. Between days 3 and 7,  $T_2$  and  $T_{2,\rm t}$  tended to normalise at the core area, with  $T_2$  being much more heterogeneous than  $T_{2,\rm t}$  (black arrows). Moreover,  $T_2$  at the inner border zone remained high and peaked at 4 days after stroke, whereas the normalisation of  $T_{2,\rm t}$  was much slower compared to that of  $T_2$ . By day 10, both  $T_2$  and  $T_{2,\rm t}$  had similar values at the core, yet larger values compared to the contralateral side. At the outer border zone,  $T_2$  appeared to steadily increase, whereas  $T_{2,\rm t}$  remained constant and comparable to the lesion core (white arrows).

Before occlusion,  $f_{\rm w}$  at the external capsule and the corpus callosum showed slightly higher values compared to the cortex. Voxels around the ventricles that were affected by PVE with CSF showed the typical pattern of higher values compared to tissue-only voxels (Pasternak et al., 2009). Note that the estimation of  $f_{\rm w}$  seems much more unstable in the lower part of the brain due to the low SNR values in that region (Fig. 1d, top) (Hoy et al., 2014). One day after stroke,  $f_{\rm w}$  homogeneously increased in the whole cortex and external capsule. Between days 3 and 7,  $f_{\rm w}$  in the ischaemic core tended to slowly normalise in a heterogeneous manner. An example of this can be seen in the areas depicted by the magenta arrows in Fig. 2d, which normalise at different rates. In addition, increasing  $f_{\rm w}$  values can be seen in the inner border zone, with the peak occurring on day 4 after stroke (green arrow). Between days 5 and 7,  $f_{\rm w}$  decreased slightly and then rose again on day 10. In contrast, at the outer border zone,  $f_{\rm w}$  started increasing in a steady manner after 4 days (white arrows).

Fig. 3a–d illustrates the histograms of FWET $_2$  parameters  $f_{\rm w}$ , MD $_{\rm t}$ , FA $_{\rm t}$  and  $T_{2,\rm t}$  taken over the ipsilateral and contralateral hemispheres for slices 1 and 2. Four representative time points are depicted as examples. The corresponding scatter plots of MD $_{\rm t}$ , FA $_{\rm t}$  and  $T_{2,\rm t}$  versus  $f_{\rm w}$  are also shown. All histograms for the contralateral hemisphere and all parameters have a unimodal distribution. Conversely, all histograms for the ipsilateral hemisphere show a double modal distribution 1 day after stroke. A shift towards higher values for  $f_{\rm w}$  and  $T_{2,\rm t}$  for the peak related to the affected area was observed, whereas for MD $_{\rm t}$  and FA $_{\rm t}$  the shift happened towards the lower values. Between days 4 and 10, the bimodal distribution holds for FA $_{\rm t}$  and  $T_{2,\rm t}$ , whereas MD $_{\rm t}$  tends to show a unimodal distribution with the peak for the affected area becoming a shoulder-like shape as a consequence of the renormalisation. During this



**Fig. 2.** Spatiotemporal evolution of the maps of MD and MD<sub>t</sub> (a), FA and FA<sub>t</sub> (b),  $T_2$  and  $T_{2,t}$  (c),  $f_w$  (d) and high-resolution,  $T_2$ -weighted images (e). All maps are shown for slice 2 (Bregma –0.48 mm) (Paxinos and Watson, 2004). Note that the colour scale of  $f_w$  is not linear, and the grey colours indicate normal healthy tissue values ( $f_w \sim$  0.05).

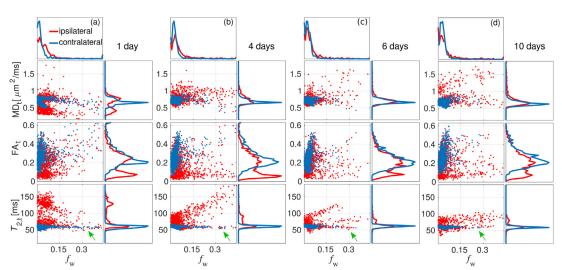


Fig. 3. Histograms of  $f_w$ , MD<sub>t</sub>, FA<sub>t</sub> and  $T_{2,t}$  taken over the contralateral (blue) and ipsilateral (red) hemispheres, for slices 1 and 2 (Bregma 0.48 mm and -0.48 mm, respectively) (Paxinos and Watson, 2004) and 4 representative time points: 1 day (a), 4 days (b), 6 days (c) and 10 days (d) after stroke. The corresponding scatter plots of MD<sub>t</sub>, FA<sub>t</sub> and  $T_{2,t}$  versus  $f_w$  are additionally shown. Green arrows refer to the tail of points coming from the ventricles and the voxels at the tissue-CSF interface.

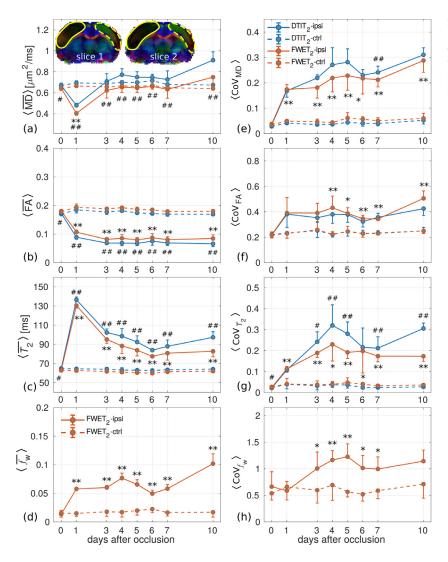
Table 1 Spearman's correlation coefficient for MD<sub>t</sub>, FA<sub>t</sub>, and  $T_{2,t}$  vs.  $f_w$ . The analysis was performed for the contralateral (ctrl) and the ipsilateral (ipsi) hemispheres as well as for the ipsilateral hemisphere with the constraint  $f_w \ge 0.07$ , i.e. abnormal  $f_w$  points (ipsi abn). Note that voxels at the ventricles and at the interface between tissue and CSF (green arrows in Fig. 3) were masked out for the correlation analysis. \*, p < 0.05; \*\*, p < 0.005.

	$\mathrm{MD}_{\mathrm{t}}$ vs. $f_{\mathrm{w}}$			$FA_t$ vs. $f_w$			$T_{2,t}$ vs. $f_{\rm w}$		
day	ctrl	ipsi	ipsi abn	ctrl	ipsi	ipsi abn	ctrl	ipsi	ipsi abn
1	-0.34**	-0.22**	-0.19**	0.43**	-0.19**	0.10	-0.38**	0.21**	-0.36**
4	-0.14**	0.28**	0.22**	0.45**	-0.20**	-0.08	-0.57**	0.28**	0.79**
6	-0.42**	0.07 *	0.66**	0.46**	0.15**	-0.28*	-0.56**	-0.14**	0.32**
10	-0.31**	0.05	0.03	0.51**	0.10**	0.18*	-0.64**	0.12*	0.48**

time,  $f_{\rm w}$  also shows a unimodal distribution, with an increasing number of abnormally high values.

The scatter plots for  $\mathrm{MD}_{\mathrm{t}}$ ,  $\mathrm{FA}_{\mathrm{t}}$  and  $T_{2,\mathrm{t}}$  versus  $f_{\mathrm{w}}$  in Fig. 3 demonstrate distinctive features between the contralateral and the ipsilateral hemispheres. The Spearman's correlation coefficients for each hemisphere

are listed in Table 1. Coefficients for all three pairs of parameters for the contralateral hemispheres show the same consistent trend for all time points. The behaviour for the ipsilateral hemisphere is more complex, with the sign of the correlation being dependent on the time point. A correlation analysis restricted to voxels showing relatively high free



**Fig. 4.** (a–d) Temporal evolution of the mean,  $\langle \ldots \rangle$ , of DTIT<sub>2</sub> (blue lines) and FWET<sub>2</sub> (red lines) parameters for the ipsilateral (solid lines) and contralateral (dashed lines) ROIs (shown overlaid to the colour-coded FA, inset in (a)), taken over 4 animals. (e–h) Temporal evolution of the mean of CoV for each parameter, taken over 4 animals. Error bars denote the SEM. \*,\* p < 0.05; \*\*,\*# p < 0.01.

water fractions ( $f_{\rm w} \geq 0.07$ ) in the ipsilateral hemisphere was additionally performed. Both MD<sub>t</sub> and  $T_{2,\rm t}$  show a negative correlation with  $f_{\rm w}$  on day 1 after stroke, whereas the correlation turns positive for later time points. The slope of this correlation tends to decrease with time. When these points are mapped back, it can be seen that these voxels are located in the aforementioned inner border zone between the external capsule and the cortex. Interestingly, the outer border zone does not show the previously discussed correlation. A number of points with increased  $f_{\rm w}$  values can be observed in the contralateral hemisphere, referring to voxels located at the interface between the tissue and the CSF in the ventricles (green arrows). As expected, the MD<sub>t</sub>, FA<sub>t</sub> and  $T_{2,\rm t}$  values for those voxels show normal values. This behaviour also holds for MD<sub>t</sub> and FA<sub>t</sub> in the ipsilateral hemisphere.

# 3.3. ROI analysis

The group-averaged, temporal change in DTIT $_2$  and FWET $_2$  parameters and CoV values for the cortex are shown in Fig. 4a–d and 4e-h, respectively. All parameters in the contralateral cortex were practically invariant compared to the ipsilateral cortex. One day after stroke,  $\langle \overline{\text{MD}} \rangle$  and  $\langle \overline{\text{MD}_1} \rangle$  in the ipsilateral cortex dropped by  $\sim$ 31% and  $\sim$ 39%, respectively. Beyond day 3, the values of  $\langle \overline{\text{MD}} \rangle$  reached a peak on day 4 ( $\sim$ 11%), slightly decrease until day 7 and finally increased again on day 10 ( $\sim$ 35%). In contrast,  $\langle \overline{\text{MD}_1} \rangle$  values in the ipsilateral cortex did not show significant differences compared to the contralateral cortex

between days 3 and 10. At the ipsilateral cortex,  $\langle \overline{\text{MD}} \rangle$  was significantly higher that  $\langle \overline{\text{MD}}_l \rangle$  before (p < 0.05) and after stroke (p < 0.01). The heterogeneity analysis within the ROI (Fig. 4e) shows that  $\langle \text{CoV}_{\text{MD}_l} \rangle$  in the ipsilateral cortex is significantly larger than in the contralateral side at all time points after stroke. Although  $\langle \text{CoV}_{\text{MD}} \rangle$  seems visually larger than  $\langle \text{CoV}_{\text{MD}_l} \rangle$  at all time points, the difference is only significant 7 days after stroke (p < 0.01).

Both  $\langle \overline{FA} \rangle$  and  $\langle \overline{FA_t} \rangle$  were significantly lower (p<0.01) in the ipsilateral cortex from day 1 (~52% and ~45%, respectively) and continued to slightly decrease from day 3 through 10 (~61% and ~53%).  $\langle \overline{FA} \rangle$  was significantly lower than  $\langle \overline{FA_t} \rangle$  both before (p<0.05) and after stroke (p<0.01). It is also shown that, although  $\langle \text{CoV}_{FA_t} \rangle$  at the ipsilateral cortex is visually larger than that in the contralateral cortex, the difference is only significant between days 4 and 10 (Fig. 4f).

Both  $\langle \overline{T_2} \rangle$  and  $\langle \overline{T_{2,t}} \rangle$  were significantly larger at the ipsilateral cortex than the contralateral cortex at all time points after stroke (p < 0.01). Both relaxation times peaked 1 day after stroke ( $\sim 112\%$  and 108%, respectively), started dropping until day 6 ( $\sim 34\%$  and  $\sim 30\%$ ) and rose again from day 7 until day 10 ( $\sim 52\%$  and  $\sim 33\%$ ).  $\langle \overline{T_2} \rangle$  was significantly higher than  $\langle \overline{T_{2,t}} \rangle$  before occlusion (p < 0.05) and after occlusion (p < 0.01). The analysis of ROI heterogeneity (Fig. 4g) shows that  $\langle \text{CoV}_{T_{2,t}} \rangle$  in the ipsilateral cortex is significantly larger than in the contralateral cortex at all time points after stroke. Moreover,  $\langle \text{CoV}_{T_2} \rangle$  is significantly larger than  $\langle \text{CoV}_{T_{2,t}} \rangle$  at all time points, with the exception of day 6.

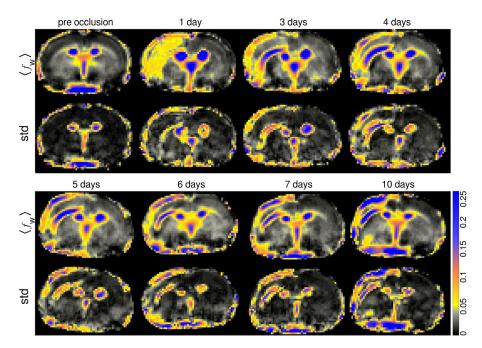


Fig. 5. Temporal evolution of the voxel-wise mean,  $\langle f_{\rm w} \rangle$ , and standard deviation, std, maps of  $f_{\rm w}$  taken over four animals.

The free water fraction (Fig. 4d) in the contralateral cortex averaged across all time points was  $\langle \overline{f_{\rm w}} \rangle = 0.018 \pm 0.007$ .  $\langle \overline{f_{\rm w}} \rangle$  in the ipsilateral cortex was ~287% higher than in the contralateral cortex 1 day after stroke. The peak value was reached on day 4 (~347%),  $\langle \overline{f_{\rm w}} \rangle$  then starts dropping until day 6, after which it rises again with a maximum increase on day 10 (~500%). All changes were significant compared to the contralateral cortex (p < 0.01). The analysis of heterogeneity revealed that 1 day after stroke  $\langle {\rm CoV}_{f_{\rm w}} \rangle$  in the ipsilateral cortex (Fig. 4h) showed no significant differences compared to the contralateral values. However, between days 3 and 7,  $\langle {\rm CoV}_{f_{\rm w}} \rangle$  in the ipsilateral cortex was significantly larger than in the contralateral cortex.

#### 3.4. Group-based template analysis

Fig. 5 shows the spatiotemporal evolution of the mean,  $\langle f_{\rm w} \rangle$ , and standard deviation, std, free water fraction maps taken over the four animals, following registration to the group-based template, for each time point. The spatial and temporal behaviour of  $\langle f_{\rm w} \rangle$  is highly comparable to that of  $f_{\rm w}$  for animal 1 (Fig. 2d). The std maps in the healthy tissue show rather low values for all time points. This is also the case for the ischaemic core, especially at 1 day after stroke, where the std map shows values similar to the ipsilateral cortex. Conversely, the outer and inner border zones of the ischaemic tissue tend to show more heterogeneity across animals, as demonstrated by the higher std values in Fig. 5.

# 3.5. Histology

Fig. 6 shows the  $f_{\rm w}$  map of the lesion (red ROI, overlaid onto the FA maps) and the corresponding overview of H&E, Iba-1, and GFAP stains for the same animal and at the adjacent slice/section position (close to Bregma -0.48 mm). Note that  $f_{\rm w}$  shows a very similar spatiotemporal heterogeneity (Fig. 6a), consistent with the corresponding  $\langle f_{\rm w} \rangle$  in Fig. 5. H&E staining (sensitive to detect non-specific cell and tissue morphology) revealed coexisting pathological changes (Fig. 6b). For immunoreactivity, Iba-1(+) for microglia/macrophages and GFAP(+) for astrocytes were used to indicate both the extent of cellular responses and the spatial relation in the ischaemic cortex at different time points (Fig. 6c and d).

In histology, three sub-regions of the ischaemic cortical lesion (Fig. 8a) were examined separately. Fig. 7 shows the high magnification

images from the respective sub-region at different time points shown in Fig. 6. As seen in the representative H&E images (Fig. 7a-d), massive neuronal cell vacuoles (left-hand side inset, green arrow heads), with sizes ranging from 10 to 25 µm, and vacuoles of neuropil, also defined as spongiosis and oedema, (right-hand side inset, green arrows), with sizes ranging from 5 to 25 µm, were found in three sub-regions of the ischaemic cortex on day 1 after MCAo (Fig. 7b), as compared with the nonstroke animal (Fig. 7a). Perivascular oedema was also observed (blue arrow, Fig. 7b). On day 3 after MCAo, extensive perivascular oedema, with perivascular space diameter ranging from 50 to 200 μm, was found (blue arrows, Fig. 7c), whereas vacuolation of neuronal cell and of the neuropil was less evident. Cavitation was initially observed in the inner border zone, while cerebral subleptomeningeal oedema, denoted by the enhanced space between arachnoid and pia matter containing CSF, with thickness varying from 50 to 100 µm (black arrow) was shown in the outer border zone on day 3 (Fig. 7c). On day 10 after MCAo, massive cyst-like cavitation (asterisks, Fig. 7d), with sizes varying from 50 to 250 µm, was observed at the inner border zone, while cerebral subleptomeningeal oedema (black arrow, Fig. 7d), with thickness varying from 50 to 150 µm, was also shown in the outer border zone. Liquefactive necrosis accompanied by destroyed tissue cytoarchitecture and fluid-filled cysts (red arrows, Fig. 7d) was also found from the outer border to the core area on day 10 after stroke.

In addition to the detection of morpho-histopathological changes in the ischaemic sections, IHC staining was tested for Iba-1 and GFAP immunoreactivity in the ischaemic injury. The negative control section was tested, showing no brown DAB reaction for IHC (data not shown). For microglia/macrophages, activated amoeboid Iba-1(+) cells with a round cell body, and a small number of short processes were found in the ischaemic cortex, along with the formation of vacuoles of the neuronal cell and of the neuropil on day 1 after MCAo, as compared to inactive ramified Iba-1(+) cells of non-stroke (insets, Fig. 7e vs. 7f). On day 3 after stroke, massive activated rounded Iba-1(+) cells were found in both border zones (magenta arrows, Fig. 7g) but not in the core of the lesion, indicating the infiltration of Iba-1(+) macrophages (Fig. 7g). On day 10 after stroke, a significant accumulation of activated rounded Iba-1(+) cells (magenta arrows, Fig. 7h) was observed in the lesioned area, whereas severe liquefied necrosis was observed in the outer border zone.

For the case of astrocytes, GFAP immunoreactivity was found to be enhanced after stroke (Fig. 7i–l). On day 1 after stroke, brain tissues showed a strong immunoreactivity to reactive astrocytes with moderate

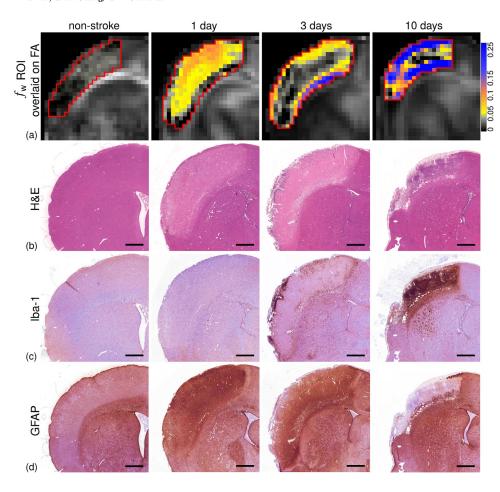


Fig. 6. (a) Spatiotemporal evolution of the  $f_{\rm w}$  maps at the cortical lesion (delineated by the red ROI overlaid onto FA maps) and (b-d) corresponding overview of the histological staining. The maps of  $f_{yy}$  show the lesion heterogeneity over time (a) and the link to the histopathological changes detected with H&E (b). For IHC, Iba-1(+) stains show the infiltration and then the accumulation of microglia/macrophages (c, also see Fig. 7g and h). GFAP(+) stains show reactive astrocyte swelling prior to Iba-1(+) cell infiltration and markedly infiltrated reactive astrocytes with prominent processes surrounding the large empty cyst-like cavitation at the inner border zone in the late subacute phase (d, also see Fig. 7j and l). Note that cell immunoreactivity was detected by chromogen DAB (brown), and hematoxylin (purple) was used as nuclear counterstaining. Scale bars represent 1 mm.

cell body and process enlargement (inset, Fig. 7j) in all sub-regions of the ischaemic cortex accompanied with vacuoles in the neuronal cell and neuropil, compared to the resting astrocytes (inset, Fig. 7i) from the non-stroke animal. On day 3 after stroke, relatively few reactive astrocytes with severe cell body enlargement and a significant reduction in processes (inset, Fig. 7k) were observed in the core area whereas strongly enhanced, reactive astrocytes with prominent processes were initially observed in the inner border zone (Fig. 7k). On day 10 after stroke, the ischaemic cortex showed almost no GFAP(+) astrocytes in the core and outer border zone (Fig. 7l), whereas the inner border zone contained abundant, reactive GFAP(+) astrocytes, which appeared to be enlarged and with prominent processes (inset, Fig. 7l). In addition, the empty cyst-like cavitation (asterisks, Fig. 7l), with no counterstained nuclei (purple) inside, in the inner border zone was found to be surrounded by end-feet of reactive GFAP(+) astrocytes (yellow arrow, Fig. 7l).

# 3.6. Histopathological examination and comparison with $f_{\rm w}$

A comparison of the spatiotemporal evolution of  $f_{\rm w}$  with the semi-quantitative histopathological scoring was performed. Given the observed  $f_{\rm w}$  heterogeneity at the ischaemic lesion, in order to explore the histopathological correspondence with  $f_{\rm w}$ , we divided the cortical lesion into three sub-regions based on its distance to the cortical surface (Fig. 8a). Fig. 8b shows that  $\langle \overline{f_{\rm w}} \rangle$  (i.e.  $f_{\rm w}$  averaged within the ROI and then over three animals) at the core of the lesion significantly increased on day 1 after MCAo (\*, p < 0.05), it tended to normalise to the baseline value on day 3 and then kept increasing on day 10. At the inner border zone,  $\langle \overline{f_{\rm w}} \rangle$  showed a significant increase on day 3 (\*, p < 0.01), while at the outer border zone, it significantly increased on both day 3 and

day 10 (\*, p < 0.01; #, p < 0.05). In particular, the ischaemic cortical lesion showed a significant  $f_{\rm w}$  heterogeneity between the two adjacent sub-regions (†, ‡; p < 0.05), i.e.  $f_{\rm w}$  reduced to baseline values at the core area whereas it increased at the border zones on day 3.

We assessed six histopathological features related to vasogenic oedema and fluid-filled structures/pathology on H&E stained images by using mean damage scores reflecting the severity of the injury. Cerebral subleptomeningeal oedema tended to enhance from day 3 at the outer border zone (Fig. 8c). In addition, liquefactive necrosis tended to be more severe at the core and outer border zone on day 10 (Fig. 8d). On the other hand, the damage level of the perivascular oedema tended to increase on day 1 and peaking on day 3 for all sub-regions (Fig. 8e). On the contrary, vacuolation of neuronal cell and of the neuropil peaked both on day 1 and progressively diminished from day 3 for all sub-regions (Fig. 8f and 8g, respectively). Finally, cavitation at the inner border zone tended to be worse from day 3 (Fig. 8h).

# 4. Discussion

We have demonstrated the use of  $FWET_2$  for the characterisation of the spatiotemporal evolution of ischaemic tissue from the acute to the late subacute phases of MCAo stroke animal models compared to conventional DTI and  $T_2$ -relaxometry (DTIT $_2$ ). Furthermore, we have related the spatiotemporal evolution of several histopathological features with the corresponding free water fraction. In the following, we shall first briefly address the validity of  $FWET_2$  for modelling the DW and  $T_2W$  MRI signal in rat brain tissue and the experimental setup used in this work. In the second part, we shall discuss the results obtained using  $FWET_2$  and the biophysical interpretations. Finally, we consider

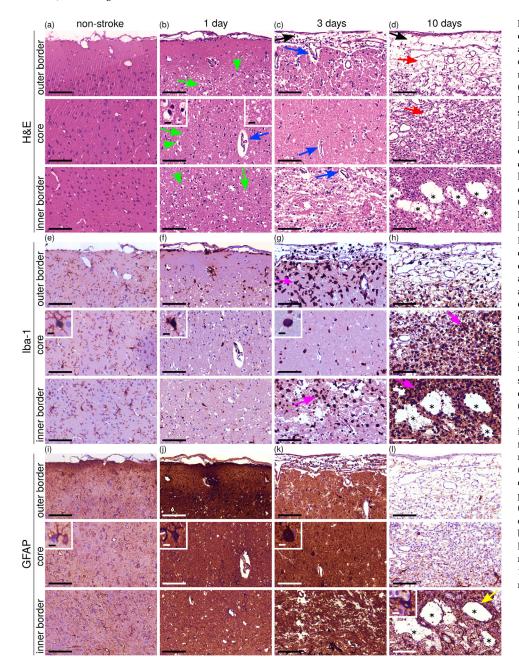


Fig. 7. Time course of the histopathological changes at the inner and outer border zones and at the core area of the cortical lesion. (ad) H&E stains: normal tissue appearance from non-stroke (a); vacuolation of neuronal cell (left-hand side inset and green arrow heads, b) and vacuolation of the neuropil (right-hand side inset and green arrows, b) abundant in the lesion on day 1; perivascular oedema (blue arrows, b and c) shown on day 1 and increased on day 3; cavitative lesion at the inner border zone (c) shown on day 3 and empty cysticlike cavitative structures (asterisks, d) shown on day 10; cerebral subleptomeningeal oedema (black arrows, c and d) detected on day 3: liquefactive necrosis (red arrows, d) particularly at the outer border zone on day 10. (e-h) Iba-1 staining: inactive, ramified Iba-1(+) microglia/macrophages with a complex network of processes for non-stroke (inset, e); activated. amoeboid Iba-1(+) cells with a round cell body, and relatively few and short processes shown on day 1 (inset, f); activated, rounded Iba-1(+) cells with no processes (inset, g) and infiltrated into both border zones on day 3 (magenta arrows, g) and accumulated in the lesion on day 10 (magenta arrows, h). (i-l) GFAP staining: resting GFAP(+) astrocytes (inset, i) from nonstroke; reactive GFAP(+) astrocytes with moderate enlargement in cell body and processes (inset, j) on day 1; reactive GFAP(+) astrocytes with severe cell body enlargement and a significant reduction in processes (inset, k) on day 3; few enhanced GFAP(+) astrocytes with prominent processes shown at the inner border zone (adjacent to white matter) on day 3 (k); abundant reactive GFAP(+) astrocytes, which appear to be enlarged with prominent processes (inset, 1) accumulated and empty cystic like cavitative structures (asterisks, l) surrounded by reactive GFAP(+) astrocytes (yellow arrow, l) on day 10. The highly magnified images are representative from Fig. 6. Scale bars represent 100 µm and 10 µm for subfigures and insets, respectively.

the prospective added value of FWET<sub>2</sub> for clinical practice and its limitations.

#### 4.1. Experimental setup and model validation

In order to set the experimental protocol, some considerations were made partly based on the work by Collier et al. (2017). Collier et al. showed that, for similar biological conditions, the elements of the Cramér-Rao lower-bound (CRLB) matrix of the FWET $_2$  estimation problem are significantly reduced in the range TE  $\sim 70{\text -}120$  ms, but tend to decrease asymptotically for TE greater than 130 ms. In contrast, increasing TE leads to a reduction of the SNR values, especially for DW images (Fig 1a). Thus, since an exhaustive optimisation of the experimental protocol for FWET $_2$  was beyond the scope of this work, a maximum TE of 130 ms was used as a compromise between a sufficient reduction of the CRLB matrix elements and high enough SNR values.

In terms of the validity of the  $FWET_2$  model, we have demonstrated that FWET2 has lower residual values, and this is particularly evident at lower b-values. This is in agreement with the fact that the signal in free water attenuates more quickly with increasing b-values than in tissue, and therefore lower b-values are more sensitive to faster diffusing molecules. Furthermore, with the exception of some voxels randomly distributed over the slice, the AIC analysis showed that FWET2 could describe the data statistically better than DTIT2 for most parts of the brain, where the SNR values are above ~5. That is to say, the brain parenchyma is indeed likely to contain a free water compartment. For low SNR voxels, the multi-compartment nature of FWET2 makes the parameter estimation problem prone to instabilities (Bergmann et al., 2020; Hoy et al., 2014; Jelescu et al., 2016). Conversely, the DTIT<sub>2</sub> estimation problem can be linearised, and, therefore, its parameters of interest can be robustly estimated, even at low SNR values, using a weighted linear minimisation (Eq. (3)) (Veraart et al., 2013). Consequently, AIC

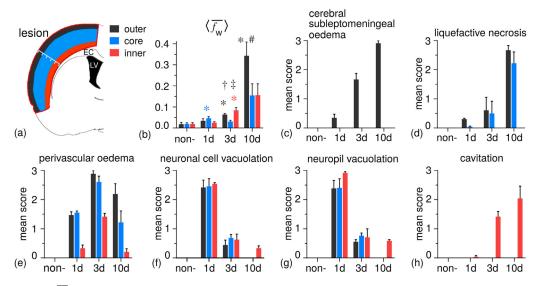


Fig. 8. Temporal evolution of  $\langle \overline{f_w} \rangle$  related to tissue histopathological damage scores. Three examined sub-regions of the ischaemic cortical lesion were delineated by their distance to the cortical surface (a). An increase of  $\langle \overline{f_w} \rangle$  at the outer border zone (b) mainly relates to the increase of cerebral subleptomeningeal oedema (c) and liquefactive necrosis (d) with time, whereas the increased  $\langle \overline{f_w} \rangle$  at the inner border zone (b) matches the temporal changes of the cavitation damage level (h). On the contrary, the temporal change of  $\langle \overline{f_w} \rangle$  at the core was affected by multiple histopathological features, including liquefactive necrosis (d), perivascular oedema (e), and neuronal cell and neuropil vacuolation (f and g, respectively). Error bars denote SEM. EC, external capsule; LV, lateral ventricle; d, day(s) after occlusion; non-non-stroke. Symbols definition: \*, significantly different from non-; #, significantly different from 1d; †, significant difference between outer and core; ‡, significant difference between inner and core.

values in very low SNR regions (far from the receive coil) must be considered cautiously.

# 4.2. Spatiotemporal evolution of DTIT<sub>2</sub> vs FWET<sub>2</sub> parameters

FWET2 assumes that the DW and T2W MRI signal is generated from two compartments, namely free fluid (of relative size  $f_{\rm w}$ ) and tissue. The presence of a fraction,  $f_w$ , of free fluid within the voxel of interest induces a positive bias in the conventional mean diffusivity, MD, and transverse relaxation time,  $T_2$ , compared to the tissue-specific counterparts,  $MD_t$  and  $T_{2,t}$ . The larger the  $f_w$ , the larger the bias. The maps in Fig. 2 demonstrate that  $MD_t$  and  $T_{2,t}$  have a temporal evolution qualitatively comparable to their conventional counterparts, yet the conditions  $MD \ge MD_t$  and  $T_2 \ge T_{2,t}$  were encountered at all times, which is in agreement with the presence of a free water compartment. However, the heterogeneity analysis of the corresponding maps (Fig. 4e-h) revealed that  $MD_t$  and  $T_{2,t}$  in the cortex were more homogeneous than the conventional counterpart. This suggests that the previously observed heterogeneity in the conventional MD and T2 (Asato et al., 1991; S. P. Lin et al., 2002; T. N. Lin et al., 2002; Wegener et al., 2006) can, in part, be accounted for by the presence of the heterogeneously distributed free water fraction.

#### 4.3. Biophysical interpretation

We demonstrated that FWET $_2$  parameters (in particular  $f_{\rm w}$ ) offer valuable information for the biophysical characterisation of ischaemic tissue using MRI. In the early acute stage ( $\sim$ 1 day),  $f_{\rm w}$  was shown to homogeneously increase in the whole ischaemic area (Figs. 2d, 4d,h and 5). In turn, this increment resulted in a slight reduction of MD $_{\rm t}$  and  $T_{2,\rm t}$  compared to their conventional counterparts (Figs. 2a,c and 4a,c), yet MD $_{\rm t}$  and  $T_{2,\rm t}$  differed from the contralateral values. The latter is in line with the current understanding of the biophysical processes occurring in this phase of stroke, namely cellular swelling (Knight et al., 1991) and neurite beading (Budde and Frank, 2010) as a consequence of the extended cytotoxic oedema (decrease in MD $_{\rm t}$ ) and the increase in the total

water content (increase in  $T_{2,t}$ ) as a consequence of vasogenic oedema, which initially coexists with cytotoxic oedema (Kamman et al., 1988; Knight et al., 1991; T. N. Lin et al., 2002; Matsumoto et al., 1995). Our H&E histology results further demonstrate that the increase in  $f_w$  at the core during the early acute stage is mainly linked to the development of three features (Fig. 8e-g), namely (i) neuronal cell vacuolation with vacuoles sizes ranging from 10 to 25  $\mu m$ , (ii) neuropil vacuolation with vacuoles sizes lying in the range 5 to 25 μm and (iii) perivascular oedema (to a lesser extend), with the perivascular sizes ranging from 50 to 200 μm (Fig. 7b). These fluid-filled compartments are generally larger than the root mean square displacement for free water in our experiments ( $\sim$ 8 µm), leading to the increase in  $f_w$ . However, the fact that  $T_{2,t}$  in the ipsilateral cortex remains larger than that in the contralateral side indicates that the increment in  $f_{\rm w}$  cannot be the only explanation for the increase in conventional  $T_2$ . The GFAP immunohistochemistry taken on day 1 after stroke showed the presence of swollen astrocytes in the lesion, which typically denote intracellular swelling (Fig. 7j), thus suggesting that the remaining increase in conventional  $T_2$  might be due to the increase in the size of the intracellular space, which is consistent with cytotoxic oedema. This also agrees with the fact that MD, in the ipsilateral cortex was found to be lower than that in the contralateral side on day 1 after stroke.

Starting on day 3 after MCAo, FWET $_2$  maps (in particular  $f_{\rm w}$ ) showed a highly heterogeneous temporal evolution, which we have divided into three regions. The core region, where FWET $_2$  maps progressively approach the contralateral side values and the outer and inner border zones, where  $f_{\rm w}$  increases with time (Figs. 2d and 5). This spatial organisation was found to be strikingly similar to the earlier observations for conventional MD (Hui et al., 2012; T. N. Lin et al., 2002) and  $T_2$  (S. P. Lin et al., 2002; T. N. Lin et al., 2012).

The steady normalisation of  $f_{\rm w}$  at the core region starting from 3 days after stroke is in line with the observed reduction of the neuronal cell and neuropil vacuolation in the core (Fig. 8f,g). However, a peak in the perivascular oedema was seen on day 3, with a further reduction on day 10 (Fig. 8e). This implies that this mechanism might also play a role in the increase in  $f_{\rm w}$  in the core area. The decrease in  $f_{\rm w}$  resulted in a

reduction in the difference between  $\mathrm{MD_t}$  and  $T_{2,\mathrm{t}}$  and their conventional counterparts. Our histopathology results show that the progressive evolution of  $\mathrm{MD_t}$  and  $T_{2,\mathrm{t}}$  towards contralateral values at the core may be partly attributable to the development of liquefactive necrosis (Fig. 8d), which appears to be decoupled from the behaviour of  $f_w$ . Liquefactive necrosis reduces the number of barriers impeding diffusion, thereby increasing  $\mathrm{MD_t}$  (Carano et al., 2000; Helpern et al., 1993; Jiang et al., 1997; Matsumoto et al., 1995), while also reducing  $T_{2,\mathrm{t}}$ , via an increment in the amount of binding of water protons to degraded macromolecules (Wagner et al., 2012). Another mechanism observed in this work potentially leading to the normalisation of  $T_{2,\mathrm{t}}$  is the resolution of perivascular oedema (Fig. 8e), as previously suggested in the literature (S. P. Lin et al., 2002; T. N. Lin et al., 2002; Wagner et al., 2012).

The inner and outer border zones showed a different behaviour compared to the core area, starting 3 days after stroke. The inner border zone was characterised by a steady increase in  $f_{\rm w}$  until day 4, a drop was observed towards day 7, and a further rise was seen on day 10 (Figs. 2 and 5). Moreover, the elevated  $f_{\rm w}$  was not the only cause for the elevated conventional MD and  $T_2$  in this area. Our histopathology results suggest that the early increase in  $f_{\rm w}$  at the inner border zone (on day 3) may be dominated by the development of perivascular oedema (Fig. 8e), a less prominent contribution from neuronal cell and neuropil vacuolation (Fig. 8f,g) and, to a lesser extent, the early signs of tissue cavitation (Fig. 8h). On the other hand, the dominant factor for the later increase in  $f_{\rm w}$  on day 10 appears to be the tissue cavitation and the formation of fluid-filled cysts, with typical sizes (50–250  $\mu$ m) well beyond the mean water displacement in our experiments (~8  $\mu$ m).

Conversely, the outer border zone was characterised by a steady increase in  $f_{\rm w}$  (Figs. 2 and 5). Note that, as opposed to the inner border zone, the tissue-specific parameters  ${\rm MD_t}$  and  $T_{\rm 2,t}$  at the outer border zone showed similar values to those at the core. The histopathology results demonstrate that the dominant factors driving the increase in  $f_{\rm w}$  were the cerebral subleptomeningeal oedema (Fig. 8c), liquefactive necrosis (Fig. 8d), perivascular oedema (Fig. 8e) and, at early stages and to a lesser extent, neuronal cell and neuropil vacuolation (Fig. 8f,g).

Having accounted for the presence of a free water compartment with characteristic  $D_{\rm w}$  and  $T_{2,\rm w}$ , we still observed elevated values for  ${\rm MD_t}$  in the inner border zone and  $T_{2,t}$  in both the inner border zone and the ischaemic core. A likely explanation for this is that the free water compartment might not be simply characterised by a single  $T_{2,w}$ , but rather a distribution of transverse relaxation times. This assumption is also supported by the observed positive correlation in the scatter plots of  $T_{2,t}$ versus  $f_{\rm w}$  seen in Fig. 3 and Table 1 (ipsi abn). A complete account for the effect of  $f_{\rm w}$  on tissue-specific relaxation time should leave  $T_{2,\rm t}$ uncorrelated with  $f_w$  (e.g. the tail of points highlighted by the green arrows in Fig. 3). Besides these factors, additional mechanisms within the tissue-specific compartment could also be involved in this observation. Thus, the use of other more complex models able to account for the intra- and extra-cellular spaces (Veraart et al., 2017), in combination with the free water compartment, could further help to elucidate the biophysical mechanisms involved in the latter observations.

One of the assumptions of the FWET $_2$  model is that water exchange between compartments is negligible. An increase in the amount of exchange causes an increase in MD and a decrease in FA from conventional DTI. In the case of FWE models, the exchange is expected to induce a bias in the estimation of  $f_{\rm w}$  and, therefore, in the tissue-specific diffusion tensor parameters (Pasternak et al., 2009). A similar situation would be observed in the case of the transverse relaxation time. Although water exchange has been extensively studied in the field of NMR in porous media (Eriksson et al., 2017; Kärger et al., 1988), open questions remain in relation to the exchange rate in the *in vivo* brain and its effect on the DW and  $T_2$ -relaxometry MRI metrics (Fieremans et al., 2010; Grinberg et al., 2011b; Lasič et al., 2011; Nilsson et al., 2013a, 2013b; Pasternak et al., 2009).

#### 4.4. Clinical implications

Notwithstanding the fact that the experimental protocol used in this work impedes a direct application of FWET<sub>2</sub> in clinical practice due to its extended acquisition time, we recognise that optimisation approaches such as those based on the minimisation of the CRLB values of the parameters of interest could be utilised to reduce the acquisition time (Collier et al., 2017; Gras et al., 2017; Poot et al., 2010).

One of the most direct clinical implications of our results relates to a better understanding of the biophysical processes leading to the so-called " $T_2$ -fogging" effect described by Asato et al. (1991) in the context of stroke assessment via conventional  $T_2$ W imaging. By means of the analysis of ROI heterogeneity (Fig. 4e–h), we showed that part of the fogging effect in  $T_2$ , i.e. the pseudo normalisation of conventional  $T_2$  values (and also in MD) could be accounted for by the  $f_{\rm w}$  maps.

Another field in which  $f_w$  is expected to add significant value is the multiparametric-based segmentation approaches of brain areas affected by stroke, such as the MRI signatures proposed by Jiang et al. (1997) or the unsupervised ISODATA (Jacobs et al., 2000). These works are based on the simultaneous use of conventional DTI and  $T_2$ -relaxometry maps (or the corresponding weighted images). It is expected that prior quantitative knowledge of the extent to which a given contrast observed in conventional metrics (or images) is due to the presence of free fluid will give valuable information for the tissue segmentation. In this regard, an intrinsic advantage of FWET2 compared to conventional DTI and  $T_2$ -relaxometry methods is related to the fact that both modalityrelated maps lay in the same image space. Hence, the need for registration approaches between modalities is unnecessary, avoiding possible image mismatches. Given the importance of the aforementioned multiparametric tissue segmentation approaches, the added value of FWET2 becomes evident.

#### 5. Limitations

Although the use of surface coils has the advantage of delivering higher SNR values compared to volume coils, it represents a serious drawback for the estimation of the total water content (Abbas et al., 2014). This is due to the highly spatially inhomogeneous  $B_1$  field, which has hindered the estimation of the water content in our work.

In order to reduce Gibbs-ringing artefacts, the total variation method (Perrone et al., 2015) has been used. However, although this approach has been shown to reduce the latter effect, it additionally induces unwanted smoothing of the parameter maps, and other more accurate approaches such as (Kellner et al., 2016) may be desirable for the study of ROI heterogeneity.

Another limitation of this work refers to the fact that  $D_{\rm w}$  and  $T_{2,{\rm w}}$  are fixed using literature values. Although the values of  $D_{\rm w}$  and  $T_{2,{\rm w}}$  are relatively well documented, there are numerous factors that can alter their values, e.g. body temperature, the concentration of proteins and other macromolecules, etc. Thus, an ideal experimental design should include the possibility of evaluating  $D_{\rm w}$  and  $T_{2,{\rm w}}$  from the experimental data, either by placing a ROI where only free fluid is expected or by using higher  $T_{\rm E}$  values, where only the signal from free fluid survives. In line with this, another limitation is the assignment of  $D_{\rm w}$  and  $T_{2,{\rm w}}$  to the same physical compartment. Given that transverse relaxation time is sensitive to the accumulation of proteins and other macromolecules, the assumption made in this work might be an oversimplification.

# 6. Conclusions

We assessed the benefits and limitations of using the  ${\rm FWET_2}$  model for the investigation of the spatiotemporal evolution of ischaemic stroke in the MCAo stroke rat model from the acute to the late subacute phases. Parallel to the tissue-specific mean diffusivity, fractional anisotropy and

transverse relaxation time, we considered the behaviour of the conventional counterparts provided by DTI and  $T_2$ -relaxometry. The different biophysical mechanisms discussed in the literature were discussed within the framework of the FWET $_2$  model, using the histopathology results as supporting information.

We demonstrated that the quantification of the free water fraction using FWET2 enables the extent of the observed changes in conventional metrics due to the presence of free water in the ischaemic tissue to be determined. We showed that the early increase in conventional  $T_2$  normally attributed to vasogenic oedema within the ischaemic area can be partially accounted for by an increment in the fraction of free water,  $f_{\rm w}$ . Furthermore, the normalisation of conventional MD and  $T_2$  at the ischaemic core, demonstrated by other works to be due to the resolution of brain oedema and the increased of non-bound water, supports the normalisation of  $f_{\rm w}$  seen in our work. Finally, the later increase in conventional MD and  $T_2$  in the inner and outer border zones between the ischaemic core, previously demonstrated to be due to the formation of fluid-filled cysts, converges with our observation that  $f_w$  was highly elevated in these regions. That is to say, we demonstrated that  $f_{\rm w}$  is initially sensitive to the pathological changes linked to the evolution of vasogenic oedema in the ischaemic core, whereas in the late subacute phase,  $f_{\rm w}$  can reflect the presence of fluid-filled cysts.

# **Declaration of Competing Interest**

None

# Credit authorship contribution statement

Ezequiel Farrher: Conceptualization, Methodology, Software, Validation, Formal analysis, Investigation, Writing – original draft, Writing - review & editing, Visualization. Chia-Wen Chiang: Conceptualization, Methodology, Software, Validation, Formal analysis, Investigation, Writing - review & editing, Visualization. Kuan-Hung Cho: Conceptualization, Methodology, Software, Validation, Formal analysis, Investigation, Writing - review & editing. Farida Grinberg: Conceptualization, Methodology, Writing - review & editing. Richard P. Buschbeck: Conceptualization, Methodology, Software, Validation, Formal analysis, Investigation, Writing - review & editing. Ming-Jye Chen: Methodology, Validation, Formal analysis, Investigation, Writing - review & editing. Kuo-Jen Wu: Methodology, Validation, Formal analysis, Investigation, Resources, Writing - review & editing. Yun Wang: Methodology, Validation, Formal analysis, Investigation, Resources, Writing - review & editing. Sheng-Min Huang: Methodology, Validation, Formal analysis, Investigation, Writing - review & editing, Visualization. Zaheer Abbas: Methodology, Software, Formal analysis, Writing - review & editing. Chang-Hoon Choi: Conceptualization, Methodology, Software, Validation, Formal analysis, Investigation, Resources, Writing - review & editing, Supervision, Project administration, Funding acquisition. N. Jon Shah: Methodology, Resources, Writing - review & editing, Supervision, Project administration, Funding acquisition. Li-Wei Kuo: Conceptualization, Methodology, Software, Validation, Formal analysis, Investigation, Resources, Writing - review & editing, Supervision, Project administration, Funding acquisition.

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