

1 The unprecedented ozone loss in the Arctic winter and spring of  
2 2010/2011 and 2019/2020

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18 HIGHLIGHTS

- 19     ▪ A close comparison between the exceptional Arctic winters 2020 and 2011
- 20     ▪ Long-lasting vortex up to the end of April in 2020, but up to early April 2011
- 21     ▪ Unusually early chlorine activation and ozone loss in early January 2020
- 22     ▪ The ozone loss in 2020 is stronger in lower stratosphere than that in 2011

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37 **Key words:** Climate Change; Arctic ozone; Polar Vortex; Ozone hole; Satellite measurements; Ozonesonde

38 **Short title:** Ozone loss in the Arctic winters 2011 and 2020

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42 **ABSTRACT**

43 Polar ozone depletion has been a major environmental threat for humanity since the late 1980s. The  
44 2011 Arctic winter caught much global attention because of the amount of ozone loss (2.3–3.4 ppmv at  
45 450–475 K potential temperature) and a similar loss was also observed in the 2020 winter (2.5–3.5 ppmv,  
46 at 400–500 K). Since the difference between the winter of 2010/11 and 2019/20 in terms of ozone loss is  
47 small, we investigate the change in terms of polar processing in these winters, as that would help future  
48 projections of ozone recovery in polar regions. The ozone loss estimated by different methods (passive  
49 tracer and vortex descent) shows the highest loss in April in both years 2011 and 2020, but the peak  
50 ozone loss altitude was different. The overall ozone loss was more extensive in the lower stratosphere in  
51 2020, but relatively large loss occurred at higher altitudes in 2011. A prolonged chlorine activation was  
52 evident in 2020, longer than that in 2011, which also enhanced loss in the lower stratosphere in 2020.  
53 The situation in 2020 resulted in very small values of column ozone, which were below 220 DU for more  
54 than three weeks, and a near-complete ozone loss (93%) at certain altitudes in the lower stratosphere.  
55 The ozone loss in 2020 was similar to that in the Antarctic, and was triggered by the presence of a strong  
56 and stable polar vortex with zonal winds of constant velocity (40–45 ms<sup>-1</sup>) and temperature conditions  
57 favoring large areas of PSCs (10 million km<sup>2</sup>) for most of the winter. The relatively lower values of  
58 momentum flux suggest that the tropospheric forcing was lower in 2020. Therefore, both winters had  
59 less disturbed and long-lasting polar vortices allowing lower temperatures, large areas of PSCs, and longer  
60 periods of severe chlorine activation, which in turn led to record-breaking ozone loss of the levels found  
61 in the Antarctic vortex, for some days.

## 62 1. INTRODUCTION

63 Polar stratospheric ozone loss has been a severe threat since the Antarctic ozone hole was discovered in  
64 1985<sup>1</sup>. The formation of the ozone hole resulted from emissions of ozone-depleting substances (ODSs)  
65 like CFCs, bromo-halides and several other compounds originating from human activities<sup>2-6</sup>. The lower  
66 temperatures and isolated air masses inside vortex in the Antarctic made the ozone hole an annually  
67 recurring event, unlike in the Arctic<sup>7,8</sup>. The interannual variability of the relative strength of Arctic vortex<sup>9</sup>  
68 and the presence of higher temperature due to greater transport and mixing from the lower latitudes<sup>10,11</sup>  
69 significantly affect the extent of Arctic ozone depletion. For strong polar chemical ozone depletion to  
70 occur, it is necessary that not only sufficiently low temperatures are reached at some point in  
71 winter/spring, but also that such low temperatures, as well as high active chlorine levels, are sustained  
72 into spring. The polar regions experience the strongest ozone loss in spring (late August, September, early  
73 October in the Antarctic; late February, March, early April in the Arctic)<sup>12</sup>. During these periods, high  
74 active chlorine levels need to be sustained at a time when both gas-phase chlorine deactivation and  
75 heterogeneous chlorine activation proceed at large rates<sup>13-16</sup>. Arctic ozone loss has been prevalent since  
76 the late eighties<sup>17,18</sup>. The ozone loss, however, is less pronounced than in the Antarctic because of the  
77 meteorology of the Arctic winters, which is different from that of Antarctic winters<sup>10,19</sup>.

78 The stratosphere in the Arctic during winter is subject to frequent tropospheric wave forcings in the  
79 Northern Hemisphere (NH), and thus, culminates in Sudden Stratospheric Warming (SSW) in many  
80 winters<sup>20</sup>. This warming reduces ozone depletion by weakening the polar vortex<sup>21-23</sup>. Strong Arctic ozone  
81 loss is common in cold winter/spring<sup>24-26</sup>. Studies showed that the mean PSC volume ( $V_{psc}$ ) and the area of  
82 PSC ( $A_{psc}$ ) in the Arctic in cold winters have been increasing since the late 1960s. This increase in PSC  
83 volume directly implies an accelerated loss of ozone as it facilitates the activation of chlorine in the  
84 presence of sunlight<sup>27</sup>. This increasing depletion of ozone in the Arctic is hypothesized to climate change  
85 in the stratosphere, and every one degree of cooling will result in an additional ozone loss of 15 DU<sup>26,28</sup>. A

86 similar conclusion was derived in several other studies from the Arctic ozone loss observations<sup>29</sup>. The  
87 combination of greenhouse gas (GHG) forcing and the subsequent cooling of the stratosphere has a  
88 direct impact on the stability of the Arctic polar vortex, and is found to remarkably increase the ozone  
89 loss<sup>30–33</sup>. The most recent study of von der Gathen et al.<sup>34</sup> concludes that if the future abundance of GHGs  
90 continues to rise, it would favor a large seasonal loss of Arctic ozone. Sinnhuber et al.<sup>35</sup> report that cooling  
91 of 0.8 K/decade in the Arctic winter stratosphere would counterbalance the effects of reduced  
92 stratospheric halogen loading due to the Montreal Protocol. A similar offset in the predicted ozone  
93 recovery due to projected stratospheric radiative cooling is also presented in the study of Bohlinger et  
94 al.<sup>36</sup> The shift of polar minimum temperatures from late to early winters would induce early formation of  
95 the polar vortex. Therefore, it would contribute to enhanced and prolonged ozone loss in the Arctic, as  
96 mentioned in the study of Langematz et al.<sup>37</sup>. The central Pacific Sea Surface Temperature (SST) warming  
97 also influences the depletion of ozone in the Arctic, which contributes to the strengthening of Arctic  
98 vortex in winter (DJF)<sup>38</sup>. Also, the negative SST phase leads to strengthening of the vortex as found in  
99 2011 due to a reduction in the planetary wave propagation<sup>39–41</sup>.

100 A strong chemical ozone loss caused by a long period of low temperature in the lower stratosphere is rare  
101 in the Arctic, and the earliest such ozone loss was reported for the 1995/96 winter, followed by 1996/97,  
102 1999/2000, 2010/11, 2015/16, and 2019/20<sup>28,42–44</sup>. Chemical ozone loss in the Arctic in 1997 was  
103 supported by PSC formation and a strong polar vortex that persisted until late April<sup>45–47</sup>. Similar winter  
104 conditions occurred more extremely in the 2011 winter. The ozone loss was very severe such that the  
105 situation that usually experiences in the Antarctic was observed<sup>8,11,29,35,44,48,49</sup>. The ozone loss estimated  
106 was in the order of 2.3–3.5 ppmv in late March-early April 2011, though the value differed slightly in  
107 these studies due to differences in defining the vortex edge criterion, measured altitude ranges, and the  
108 methods used to calculate the ozone loss. The 2015/16 winter was also distinct, but the vortex was not  
109 long lasting, as it dissipated in early March 2016 due to the early final warming<sup>50</sup>. The temperature

110 observed from December 2015 through early February 2016 was the lowest in the past 68 years, which  
111 caused severe denitrification and high dehydration<sup>50–52</sup>. The 2020 winter also exhibited characteristics  
112 similar to the previous extreme cold winters of the Arctic (i.e., 1996/97 and 2010/11). The winter showed  
113 the lowest temperature record for the past 41 years, a strong vortex that lasted until late April<sup>53</sup>, ozone  
114 loss rising to values similar to those observed in the 2011 winter<sup>54–58</sup>, and strong denitrification. Model  
115 studies of the 2020 winter also arrived at similar conclusions<sup>59–61</sup>. Here, we present an in-depth analysis of  
116 polar processing in both 2011 and 2020 winters and discuss the similarities and differences, as this would  
117 help modeling studies and future projection of ozone recovery in the Arctic.

## 118 2. DATA AND METHODS

119 We use the satellite measurements of ozone, N<sub>2</sub>O and ClO from Microwave Limb Sounder (MLS) v5<sup>62,63</sup>.  
120 The MLS profiles are presented in potential temperature vertical co-ordinates. It is computed using the  
121 pressure and temperature data available from the MLS profiles. The MLS temperature, ozone, N<sub>2</sub>O and  
122 ClO data have an uncertainty of 2–10%, depending on the constituent, latitude and altitude region. The  
123 potential vorticity (PV) values are taken from the European Centre for Medium-Range Weather Forecasts  
124 (ECMWF) Reanalyses ERA5 data<sup>64</sup>. The ozone loss calculation is performed inside the vortex, and the  
125 vortex edge at different altitudes is determined using to the Nash et al.<sup>65</sup> criterion.  
126 The vertical distribution of N<sub>2</sub>O is exponential due to the photolysis and lack of N<sub>2</sub>O sources in the lower  
127 altitudes. The MLS N<sub>2</sub>O data extracted from 190 GHz retrieval provides information at a pressure level of  
128 68 hPa (or 400 K isentropic level). Subsequently, the data are extrapolated to 350 K by an exponential  
129 fitting to the N<sub>2</sub>O data for the 400–600 K levels. Profile descent method<sup>66–69</sup> is applied for the estimation  
130 of ozone loss, in which the N<sub>2</sub>O data are used for descent calculations. The ozone loss is estimated by  
131 utilizing the ozone profiles in December of the preceding year. Apart from this, ozone loss is also  
132 calculated with the passive method for which a passive tracer from the SLIMCAT simulations is used<sup>67,70,71</sup>.  
133 The depletion is computed for each day for which the ozone measurements are subtracted from the

134 tracer each day. The meteorology of the winter is analyzed using the Modern-Era Retrospective analysis  
135 for Research and Applications version 2 (MERRA-2) data<sup>72</sup>, and these data are available for the period  
136 1980–2021.

### 137 3. RESULTS AND DISCUSSION

138 **3.1 Meteorology of the winters 2020 and 2011.** Figure 1 shows the monthly evolution of different  
139 meteorological parameters for the years 2011 (black) and 2020 (green) along with the average for the  
140 period 1980–2020 (red) in the Arctic. The top panel shows the evolution of zonal mean minimum  
141 temperature for 50–90°N at 50 hPa and it is interesting to observe temperatures less than 195 K  
142 (indicated by the black line) (see Grooß and Müller<sup>61</sup>; figure 10) for nearly four months in both years,  
143 which is rare in the Arctic. The regions of lower temperature are significant as they are important for the  
144 formation of PSCs. These clouds further help in the process of ozone loss by serving as the activation  
145 surface for chlorine<sup>73</sup>. The winters had a minimum temperature less than the PSC existence threshold  
146 from December to March and started to rise above this value by early April, consistent with the results of  
147 previous studies (e.g., Figure 1 of Manney et al.<sup>44,55</sup>). Kuttippurath et al.<sup>48</sup> and Varotsos et al.<sup>74</sup> used the  
148 zonal average of minimum temperatures from 40° to 90° N at 475 K and observed a similar evolution of  
149 minimum temperatures below the PSC threshold for 2011. Dameris et al.<sup>75</sup> analyzed the mean monthly  
150 minimum temperature at 50 hPa for the zonal average between 50° N and 90° N, and it showed that the  
151 temperature was less than 195 K during December–March in both years. The values of temperatures  
152 during the winter months are lower in 2020 (see also Alwarda et al.<sup>76</sup>). On the other hand, the long-term  
153 mean (1980–2020, red curve) shows PSC favorable temperatures only in December and January. The  
154 second panel shows the development of zonal mean temperature at 60°–90° N. In 2020, the temperature  
155 decreased from 210 K in early December to 204 K by mid-January. This drop, however, has been  
156 compensated by a rise of the same amount towards late January 2020. The temperature shows a  
157 consistent value for at least a month thereafter, but increases by mid-March. Temperature values are

158 lowest in late February 2020 and are observed to be the lowest since 1979<sup>53</sup>. The winter 2020 shows  
159 lower temperature values in the order of 205–208 K in the third week of February. In 2011, there were  
160 two small peaks in temperature in early January and early February (see Kuttippurath et al.<sup>48</sup>). As  
161 compared to these, only one minor warming was observed in 2020, from late January to early February.

162 The third panel of Figure 1 compares the PSC area for both winters and the mean PSC area from 1980 to  
163 2020 (red). The PSC area at 460 K spreads to 14 million km<sup>2</sup> in late January 2020 and stands slightly higher  
164 than that in 2011 throughout the period until mid-March. The PSC area stayed around 8–10 million km<sup>2</sup>  
165 for most of December, January, February, and March in both years, and was similar to that observed in  
166 the Antarctic<sup>58,77</sup>. The mean PSC area stayed less than 5 million km<sup>2</sup> throughout the years. An associated  
167 drop in the PSC area in both winters was observed concurrent with the periods of minor warming.

168 The bottom fourth panel shows the relative strength of polar vortex in terms of zonal wind velocity (U).  
169 Lawrence et al.<sup>53</sup> described the 2020 winter vortex to be the largest with an area of 20–25 million km<sup>2</sup>  
170 and that lasted until late April. The zonal winds in both winters follow a constant velocity of about 30–40  
171 ms<sup>-1</sup> up to late March, which is not usually observed in the Arctic winters (see Lawrence et al.<sup>53</sup>). The  
172 uniformity in wind speed ensures a strong polar vortex and the final warming with reversal of westerlies  
173 happened around mid-April in 2011 and late April in 2020<sup>78</sup>. The zonal wind speed at 100 and 10 hPa in  
174 2020 are the highest and third highest, respectively, when compared to the winters since 1959<sup>53</sup>. Thus,  
175 the vortex during both winters was long lasting and stronger in 2020. A comparison of the maximum PV  
176 at 475 K from 1994 to 2012 showed that the PV gradient was sharpest in 2011; indicating that the vortex  
177 strength was highest in that winter<sup>29</sup>.

178 The last two panels of figure 1 examine the momentum and heat fluxes during the years (2011 and 2020)  
179 along with the climatology values (red). The momentum flux estimates at 60° N (100 hPa) for both years  
180 show that flux is mostly negative during December, January and February (DJF), suggestive of a relatively

181 stable polar vortex. However, it is observed that the climatology values are only slightly negative ( $-15 \text{ m}^2\text{s}^{-2}$ ).  
182  $^2$ ). Beyond DJF, the values become positive; indicating increased wave forcing and a less stable polar  
183 vortex. The bottom panel of Figure 1 illustrates the zonally averaged 45-day mean heat flux computed  
184 over the latitude region  $45\text{--}75^\circ \text{ N}$  at 100 hPa. Heat fluxes in both winters are found to be below the mean  
185 or climatology values until mid-April. Although the flux is directed poleward during most of the winter,  
186 the range of values is small, and henceforth, the vortex was more stable in 2011 and 2020; consistent  
187 with the analyses of Inness et al.<sup>54</sup>. The model simulations performed by Rao and Garfinkel<sup>79</sup> also predict a  
188 very stable polar vortex in the 2020 winter similar to that observed in 2011 and 1997. Lee et al.<sup>80</sup> reveals  
189 that a possible reason for the relatively low wave forcing during the winter is due to the destructive  
190 interference of tropospheric waves with the climatological stationary waves in the early winter of 2020.

191 **3.2 Evolution of the Polar Vortex.** Figure 2 shows the temporal evolution of the polar vortex in both  
192 winters. The days are chosen such that the vortex begins to form in early December and becomes fully  
193 formed by late January. In the following months, the vortex splits or displaces in the presence of strong  
194 SSW, and remains relatively stable in the absence of SSWs. The top panel of the figure shows the initial  
195 formation of the vortex in 2011. A complete and distinct vortex forms towards the end of January despite  
196 the minor warming. The warming intensified in February when the vortex split into two parts. The vortex  
197 recovered soon after the temperature lowered and thus, reformed a pole-centered circular vortex  
198 thereafter in early March. It remained intact until early April, which was in agreement with the previous  
199 studies<sup>48</sup>. In 2020, a large vortex was formed in early January as illustrated in the PV maps. The areal  
200 spread of vortex was larger in 2020 than that in the 2011 winter. The prolonged appearance of low  
201 temperatures, which is quite unusual compared to the observations in the past 41 years (1979/80 -  
202 2019/20), led to a strong and stable vortex in 2020<sup>56</sup>. Despite the minor warming in late January, the  
203 vortex remained strong until late April. Eventually, at the end of April, the vortex splits into two lobes. The  
204 location of vortex in either of these winters was pole-centered although its spatial spread extended up to

205 the mid-latitudes<sup>75</sup>. A detailed comparison of relevant meteorological parameters for both winters is  
206 presented in Table 1.

207 Important parameters that possibly affect the strength of polar vortex are the external influences, such as  
208 Quasi-Biennial Oscillation (QBO), El Nino Southern Oscillations (ENSO), Eurasian snow cover, solar cycle,  
209 and several others<sup>81–83</sup>. The strength of the vortex is higher in the westerly phase of QBO (in the tropical  
210 lower stratosphere at ~50 hPa) by the Holton-Tan relationship<sup>84</sup>. The QBO was westerly during the 2011  
211 winter<sup>85</sup>. The QBO and ENSO were both nearly neutral in the 2020 winter<sup>79</sup>. The 2011 winter witnessed  
212 the La Nina phase<sup>86</sup>. Thus, these external influences also impacted positively on the vortex by reducing  
213 the severity of tropospheric forcing and thus, strengthening the vortex.

214 **3.3 Diabatic Descent and Chlorine Activation in 2020 and 2011.** Figure 3 shows the distribution of O<sub>3</sub>, ClO,  
215 N<sub>2</sub>O, and HNO<sub>3</sub>, derived for the winters using satellite observations. Data corresponding to the temporal  
216 evolution of trace gases are obtained from MLS on the Aura satellite. There is a substantial increase in  
217 the concentration of N<sub>2</sub>O in the lower stratosphere, indicating the dynamic descent in the stratosphere.  
218 The descent rate is greater in 2020 than in 2011 when the N<sub>2</sub>O isolines are followed (third panel of Figure  
219 3, also see Feng et al.<sup>60</sup>). The N<sub>2</sub>O values decrease rapidly to as low as 50 ppbv from 250–300 ppbv at 400  
220 K altitude from December to April in 2020, but the range of values stays around 150–250 ppbv at 400 K in  
221 2011. Similar diabatic descent rates in 2020 are also reported by Grooß and Müller<sup>61</sup> using CLaMS  
222 simulations and ACE-FTS measurements. However, that study was carried out for the days between 23  
223 and 29 March, and the simulations showed slower descent, though the range is similar to that in our  
224 analysis. Manney et al.<sup>55</sup> observed a lower than normal concentration of N<sub>2</sub>O in the lower stratosphere in  
225 2020, which was caused by the increased descent from the mid-stratosphere.

226 In general, chlorine activation in the Arctic begins in early January and continues until late February<sup>43</sup>. The  
227 period of activation implies a strong association between PSC existence and the temperatures of chlorine

228 activation. The highest chlorine activation is observed during the coldest periods of the winters; i.e.,  
229 January and February. In 2011, the ClO concentrations reached 1.6 ppbv in January and the chlorine  
230 activation was present up to late March when the ClO values dropped to less than 1 ppbv (500–600 K).  
231 Conversely, the ClO concentration was higher than 1.7 ppbv in late December 2020 at 500–600K<sup>55</sup>. Unlike  
232 the usual winters, the enhanced concentration of ClO in 2020 was not only restricted to the altitudes at  
233 500–550 K, but also was present between 500 and 600 K for almost a month. The chlorine levels declined  
234 soon after January 2020 and were negligible in early February 2020. A possible reason that decelerated  
235 the chlorine activation is the minor warming in early February 2020. However, the chlorine activation in  
236 2011 continued even after January and persisted until late March (500–550 K). Therefore, similar to the  
237 results of Manney et al.<sup>55</sup> chlorine activation in the higher altitudes was stronger in 2011 than in 2020  
238 (see also Inness et al.<sup>54</sup>). The ozone loss during the winters is comparable because of the compensating  
239 spatial distribution of ClO in both winters. The record-high chlorine activation observed in 2011 is also  
240 discussed in Adams et al.<sup>87</sup> and Kuttippurath et al.<sup>48</sup>.

241 The 2020 winter shows a proportional relationship between the period of lowest temperature and severe  
242 denitrification. The concentration of HNO<sub>3</sub> is important to analyze the denitrification in the period. The  
243 concentration of HNO<sub>3</sub> in the lower stratosphere was above 12 ppbv in early December 2011, compared  
244 to 9 ppbv in 2020. The seasonal evolution from winter to spring further reduces the concentration of  
245 HNO<sub>3</sub> due to photolysis<sup>88</sup>. The concentration of HNO<sub>3</sub> in early spring is lower by about 60–80% than in  
246 early winter 2020<sup>57</sup>, opposed to the 40–50% denitrification in 2011<sup>35,48</sup>. The minor warming in late  
247 January subsides the rate of denitrification for that particular period in 2020. The bottom panel of figure 3  
248 shows the temporal evolution of ozone concentration. The maximum concentration of ozone is limited to  
249 the middle (550–700 K) and upper stratosphere (850 K and above) whereas the lowest ozone  
250 concentration occupies the 350–500 K altitude region (lower stratosphere). The denitrification was severe  
251 in both winters of 2011 and 2020. A similar rate of high vortex-wide denitrification had also been

252 reported in the Arctic winter 2016<sup>29,44,89</sup>. The highest ozone concentration in Figure 4 is observed in the  
253 upper stratosphere in both years and is the highest in April for 2020 (5–6 ppmv) and March for 2011 (4–5  
254 ppmv) (note that the MLS data are not available in April 2011). The smallest concentration of ozone is  
255 observed in the lower stratosphere and is lower than 1 ppmv. The period of lowest concentration is found  
256 to be coherent with the period of peak chlorine activation and denitrification.

257 The increased rate of vortex descent, accelerated denitrification and enhanced chlorine activation in  
258 2020, make it more conducive for the large ozone loss. The ozone concentrations began to decline earlier  
259 in 2020 and the loss was also slightly higher than that in 2011 in the lower stratosphere, below 550 K. The  
260 loss was observed at 400–650 K in December and the loss became more apparent in the lower  
261 stratosphere thereafter in the minor warming period. The amount of ozone in the lower stratosphere is  
262 between 1 and 3 ppmv in both winters in December, but it reduced to less than 1.5 ppmv in March and  
263 April, as depicted in the figures. The difference between both winters is mostly about the onset of loss  
264 and the peak ozone loss period. The unusual ozone loss since December is very particular to 2020 and the  
265 loss is slightly higher in 2020 than that in 2011. The measurements show exceptionally smaller values of  
266 ozone, about 0.5 ppmv or below, from mid-March to the end of April at 350–450 K in 2020. Due to the  
267 unavailability of MLS data in April 2011, a gap is shown in the analyses for that period, which also makes  
268 the comparison between the winters for the period slightly difficult. The lowermost panel of Figure 4  
269 shows the difference in the concentration of ozone in both winters and is mostly smaller than 1 ppmv.

270 **3.4 Ozone loss in the winters 2020 and 2011.** A method suitable to calculate the chemical ozone loss  
271 neglecting the impact of the mixing process and other dynamic processes is the vortex descent  
272 method<sup>69,90</sup>. We have analysed the chemical ozone loss by applying the vortex descent method. In  
273 addition, the passive tracer method is also used to calculate ozone loss. The ozone loss is computed by  
274 finding the difference of passive ozone simulated from SLIMCAT from measured ozone<sup>91</sup>. Figure 4 shows

275 the chemical ozone loss estimated using the MLS measurements for the winters 2020 and 2011. The  
276 ozone loss as mentioned previously is estimated by the vortex descent and by the passive method using  
277 the simulations from the chemical transport model (CTM), SLIMCAT<sup>92</sup>. As the ozone loss is evaluated with  
278 respect to the MLS data, there is a corresponding gap in 2011 due to the lack of measurements in early  
279 April 2011.

280 The ozone loss reached a maximum of 2.4 ppmv at 350–475K in March 2011. The loss in the lower  
281 stratosphere shows more than 2.5 ppmv in March 2020. In the middle stratosphere above 450 K, the  
282 ozone loss is larger in 2011 than that in 2020. However, the ozone loss in the upper stratosphere shows a  
283 similar value in both winters. The difference in the ozone loss between the winters is that it stays around  
284 +(0.2–0.5) ppmv in 2020 compared to less than -(0.6–0.7) ppmv in 2011 in the middle stratosphere. The  
285 ozone loss estimated by the passive method for the winter 2011 by Manney et al.<sup>44</sup>, Kuttippurath et al.<sup>48</sup>,  
286 Sinnhuber et al.<sup>35</sup>, and Griffin et al.<sup>69</sup> shows about 2.1–2.5 ppmv at 450–550 K. The ozone loss estimated  
287 by Wohltmann et al.<sup>56</sup> shows 2.2 ppmv at 450K in 2020 and 2.5 ppm at 490 K in 2011. They find that the  
288 ozone loss amount in the 2011 winter was higher probably because of the greater transport of ozone  
289 from lower altitudes in that winter, though the percentage of ozone loss was higher in 2020 (73%) than  
290 that in 2011 (63%). The 2011 ozone loss determined by the Match method in the study of Livesey et al.<sup>93</sup>  
291 presented a loss of 2.0 ppmv at 450 K. Manney et al.<sup>55</sup> estimated the ozone loss for both winters using  
292 the Match method and found a loss of about 2.8 ppmv in the lower stratosphere. They also showed that  
293 the loss in lower altitudes in 2020 was larger than that in 2011; consistent with the results from the  
294 studies by Kuttippurath et al.<sup>57</sup>, Inness et al.<sup>54</sup>, Grooß and Müller<sup>61</sup>, and Dameris et al.<sup>75</sup>, although there is  
295 a slight difference in the loss calculated in percent. Figure 6 summarizes and further compares the ozone  
296 losses in various studies for both winters.

297 The vertical profiles show an early onset of the ozone loss in the upper stratosphere in December of the  
298 order of 0.5–0.6 ppbv in 2020, but close to zero in 2011 (Figure 5). In the lower stratosphere, the ozone  
299 loss situation in both years is similar in December with little loss. The ozone loss occurred in January and  
300 February is higher in 2020, and the loss reached up to 1.5 ppbv in January and 2.5 ppbv in February 2020.  
301 In 2011, the loss was less than 0.5 ppbv, and the pattern of loss is the same in the upper stratosphere in  
302 both years, even though the standard deviation is higher in 2020. The highest ozone loss was measured in  
303 the lower stratosphere in April followed by March in 2020<sup>54,57</sup>. The loss in April 2020 was about 2.5 ppbv  
304 though the standard deviation reaches up to 3.5 ppbv. However, the loss is very small and is close to zero  
305 in the upper stratosphere. The interesting aspect here is that there is higher ozone loss compared to the  
306 previous winters in the upper stratosphere, about 0.5 ppbv in March and April in both winters; which  
307 suggests the relatively higher ozone loss in these years.

308 To examine the extreme ozone loss in these winters, we considered the total column ozone (TCO, Figure  
309 6). The ozone maps clearly show that the values never dropped below 220 DU on any day in 2011, but  
310 there were more than three weeks in which TCO dropped below that threshold in 2020. The severity of  
311 this winter and extreme ozone loss is further investigated using ozonesonde measurements (bottom,  
312 Figure 6). The ozonesonde measurements at Alert and Eureka show near-complete loss of ozone or loss  
313 saturation in 2020 in some days (80–93% loss). Conversely, the ozonesonde measurements in 2011 never  
314 experienced the loss saturation or such low ozone values as observed in 2020 at any altitude. Therefore,  
315 both total column and ozonesonde measurements attest that the winter 2020 was unprecedented and  
316 was colder, denitrified, chlorine activated and ozone depleted than those in 2011.

#### 317 4. CONCLUSION

318 The very strong Arctic ozone loss in the 2020 winter has turned out to be a matter of severe interest for  
319 the human community globally<sup>94</sup>. Bernhard et al.<sup>95</sup> observed a 140% increase in the measurements of the

320 ultraviolet index (UVI) for several days in 2020 compared to previous years. The severe ozone loss in the  
321 Arctic would affect a major part of the population in the surrounding land regions<sup>34,96</sup>. There are studies  
322 that show that stratospheric ozone is recovering globally, but not in the Arctic, yet<sup>12,62</sup>. The reason is the  
323 reduction of atmospheric chlorine levels caused by the Montreal Protocol and its amendments and  
324 adjustments. Arctic ozone would have developed into an Antarctic-like ozone hole if not for the Montreal  
325 Protocol<sup>97</sup>. The 2020 winter showed severe ozone loss in the order of 2.5 ppmv in the altitude range  
326 between 400 and 500 K. The magnitude of the loss in 2011 was slightly lower and remained to same  
327 altitude range, particularly below 475 K. The conditions that made 2020 winter conducive to ozone loss  
328 were the long-lasting low temperatures in the lower stratosphere, where the minimum temperature  
329 remained below the PSC existence threshold for more than a month, giving rise to large areas of PSCs and  
330 a prolonged severe chlorine activation. The early start of the ozone loss in 2020 as compared to that in  
331 2011 is identified by the beginning of PSC formation. The vortex in both winters remained undisturbed  
332 until early April and late April in 2011 and 2020, respectively. Thus, our study indicates that winter 2020  
333 had a very low temperature, long-lasting and undisturbed vortex, slightly higher chlorine activation and  
334 larger ozone loss than that in 2011. In 2020, the Arctic winter also experienced a near-complete loss of  
335 ozone and TCO values lower than 220 DU for more than 23 days. The comparison of the Arctic winters of  
336 2011 and 2020, as presented here, therefore, could contribute to modeling studies of the processes of  
337 ozone loss chemistry and projection of ozone recovery.

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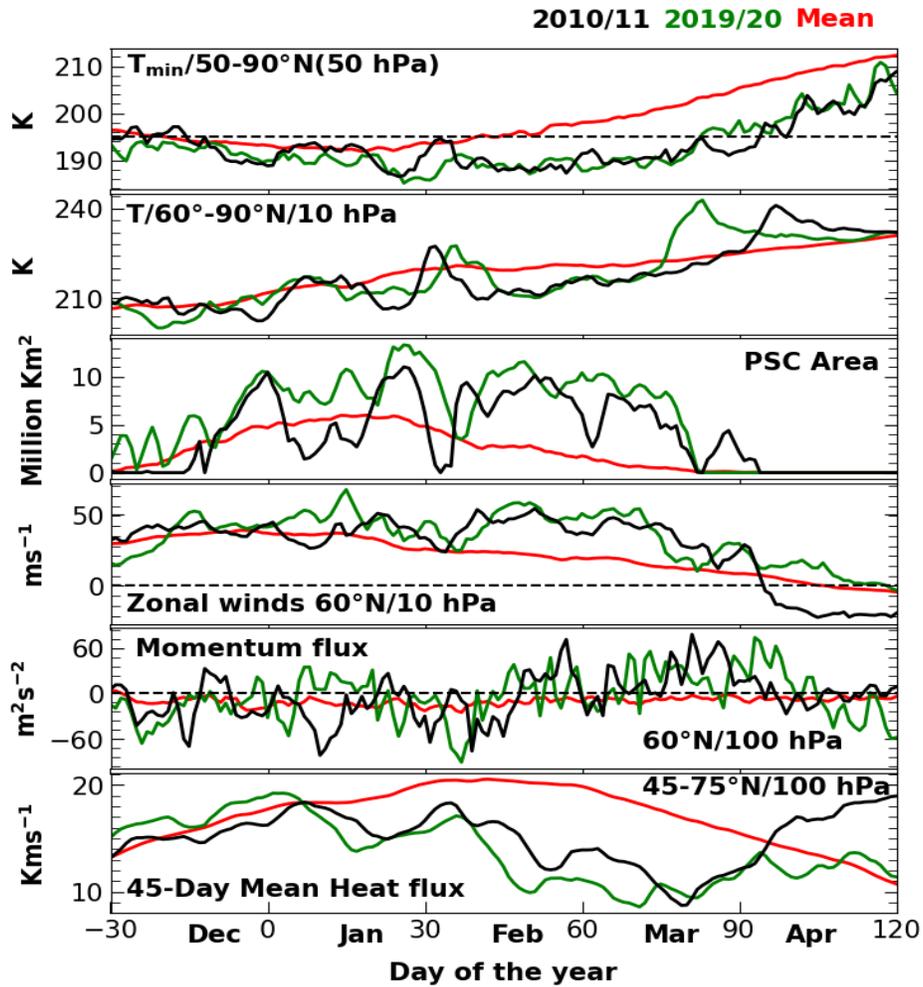
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662 **Table 1:** Comparison of different parameters related to Arctic meteorology and chemistry during the  
 663 winters 2011 and 2020.

Parameter	2011 Monthly Mean		2020 Monthly Mean	
	March	April	March	April
Minimum temperature (50 hPa)	191.29	198.74	190.54	202.5
60°–90°S Temperature (10 hPa)	213.22	217.14	215.31	215.48
PSC Area (460 K) (Million km <sup>2</sup> )	5.0168	4.0466	7.1881	5.7600
PSC Volume (460 K) (Million km <sup>3</sup> )	51.971	54.711	68.199	41.832
Total eddy heat flux (kms <sup>-1</sup> )	13.842	14.595	13.098	12.866
Vortex area (460 K) (Million km <sup>2</sup> )	17.326	16.116	20.064	19.400
Vortex Edge Potential Vorticity (MPV) 1 PVU = 10 <sup>-6</sup> Kkg <sup>-1</sup> m <sup>2</sup> s <sup>-1</sup>	21.926	22.004	22.390	22.392
60°S zonal wind (10 hPa) (ms <sup>-1</sup> )	38.397	16.160	40.402	19.594
Momentum Flux at 60° (m <sup>2</sup> s <sup>2</sup> )	-5.6727	-4.6488	-4.4016	-3.1005
Wave 1 Amplitude of Geopotential Height at 60° (gpm)	198.19	186.40	196.53	202.75
Wave 2 Amplitude of Geopotential Height at 60° (gpm)	198.19	185.56	196.53	206.56
Ozone Minimum (DU)	248.65	250.06	238.31	238.22

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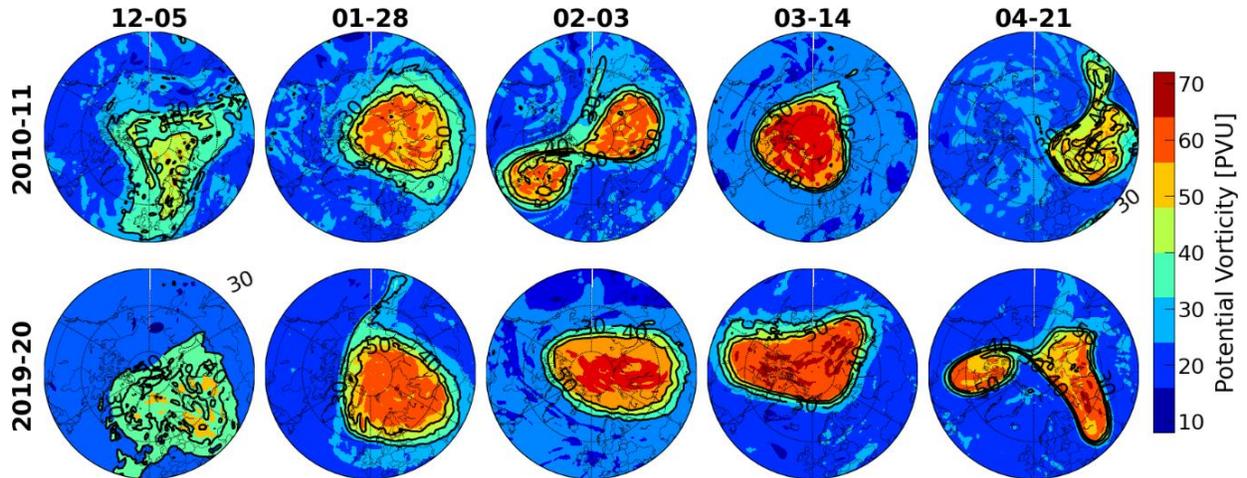
666 Figure 1: Meteorology of the winters. Seasonal evolution of the meteorological parameters during the  
667 winters 2011 (black) and 2020 (green). The averaged meteorological parameters for the winters from  
668 1979 to 2020 are also shown (red).

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Figure 2: Temporal evolution of polar vortex. The position and strength of polar vortex in the Arctic

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winters 2011 and 2020 as analyzed from the ERA5 data. The vortex situation in the lower stratosphere at

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460 K (~16 km), the altitude of peak ozone loss, is illustrated.

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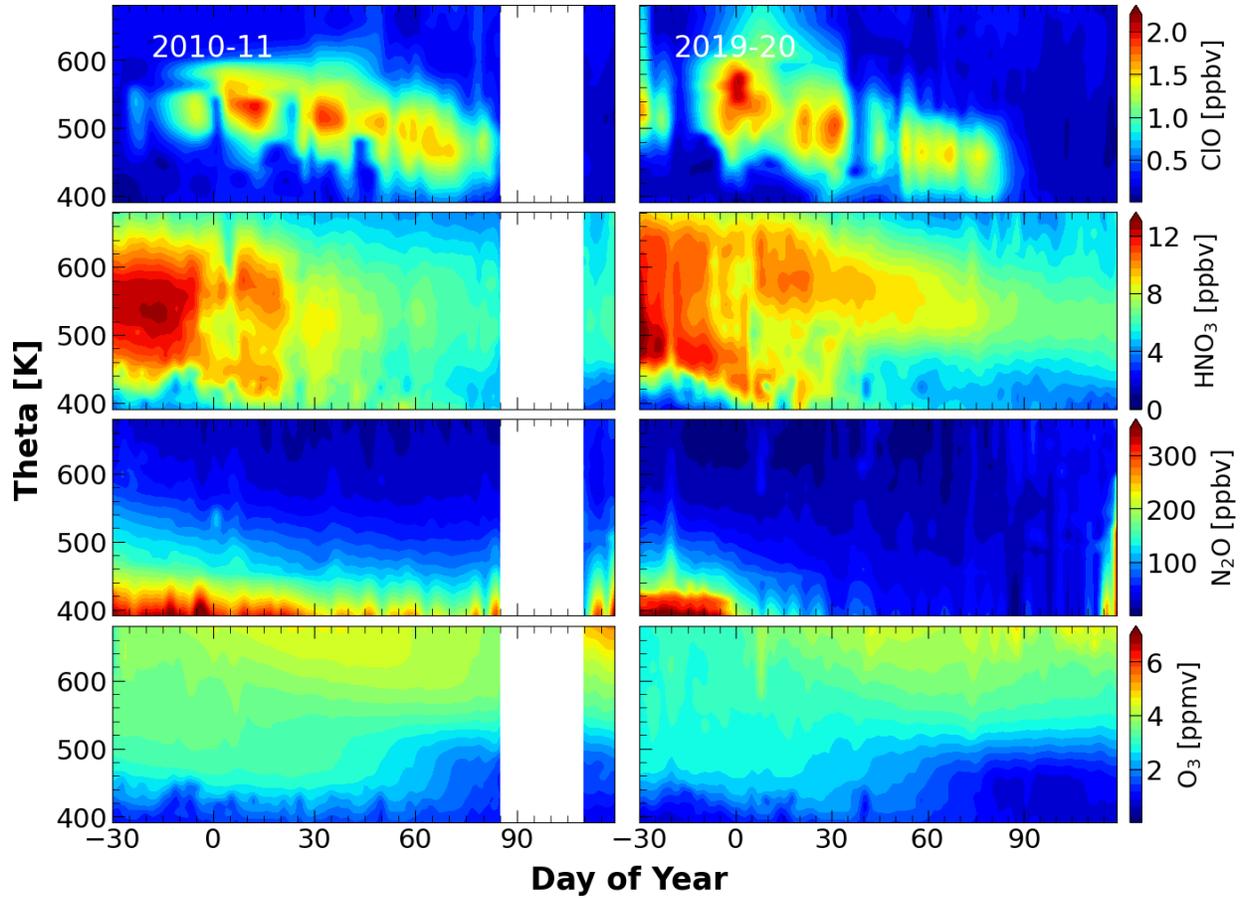
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685 **Figure 3: Chemistry of the winters.** The distribution of ClO, HNO<sub>3</sub>, N<sub>2</sub>O, and ozone inside the polar vortex  
686 for the Arctic during winter 2020 (right) and 2011 (left). The data shown are from the Microwave Limb  
687 Sounder.

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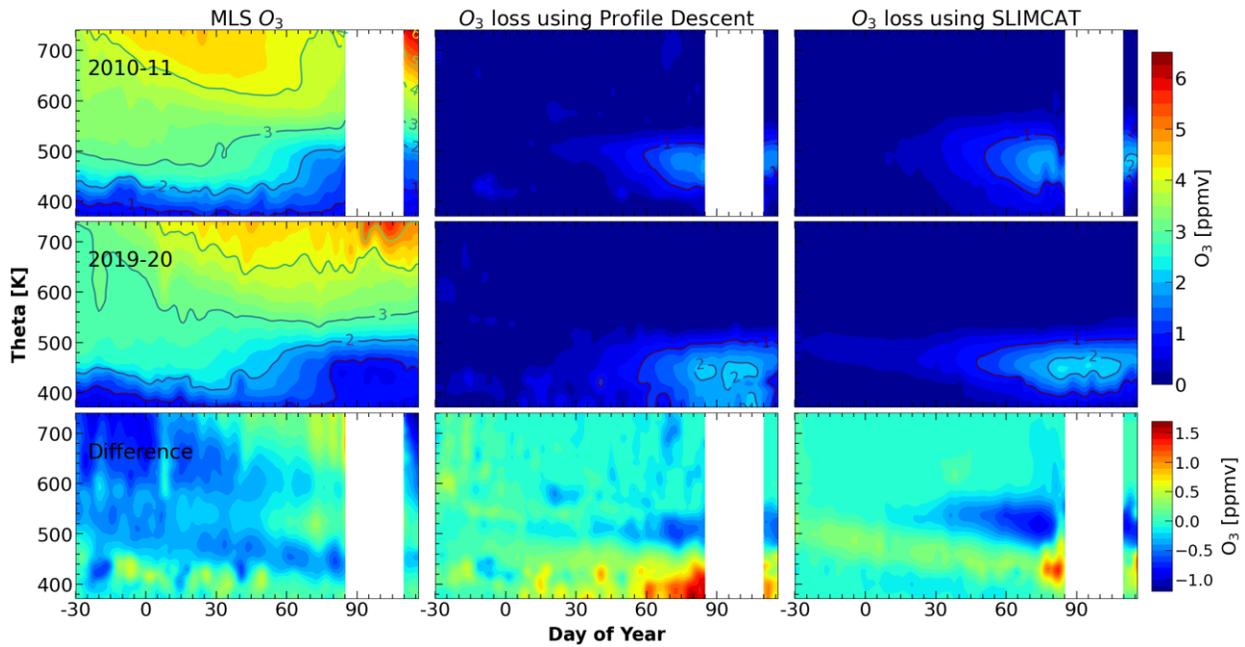
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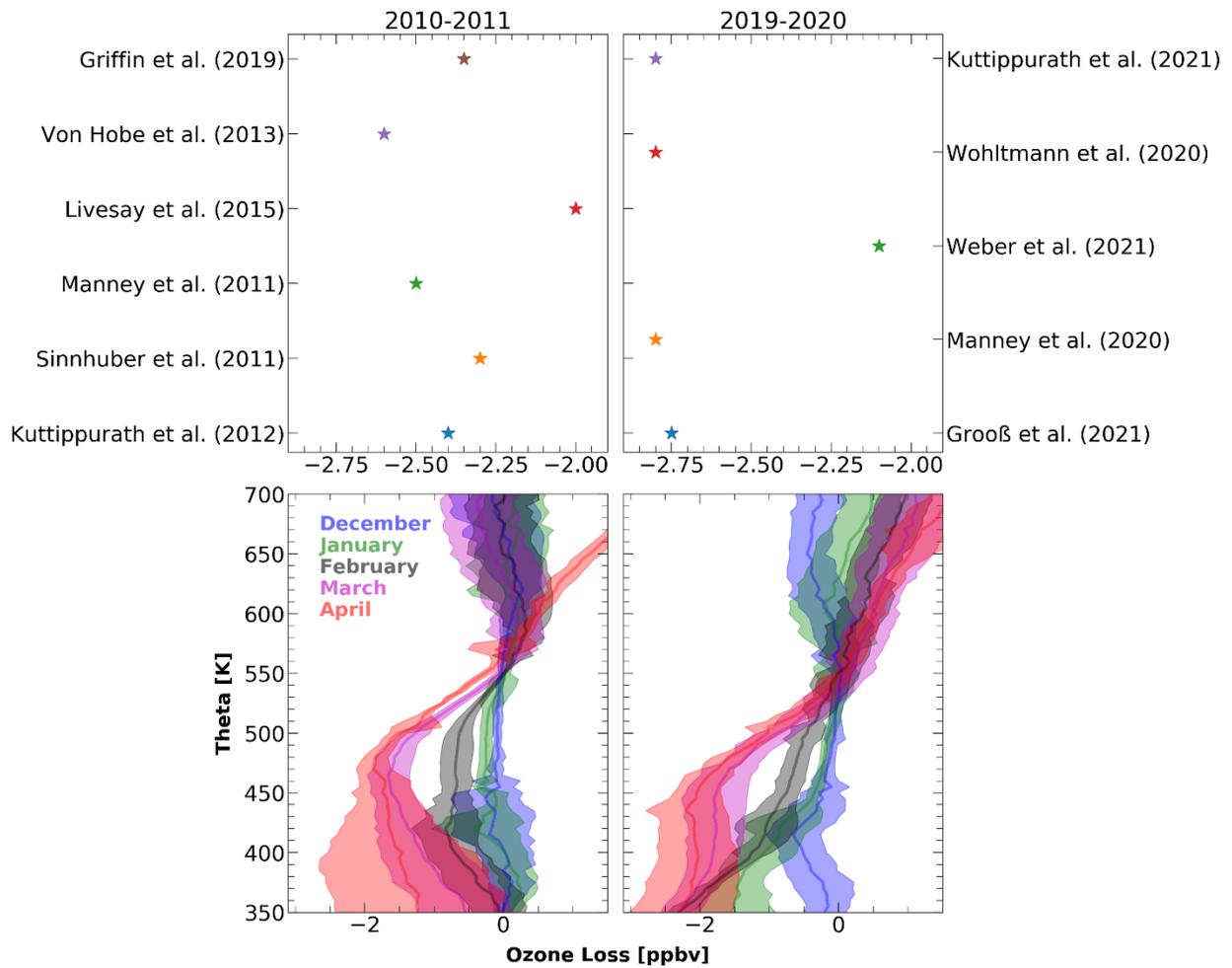


695 Figure 4: Ozone depletion in the Arctic winter 2011 and 2020. The ozone (left) ozone loss estimated using  
696 the Microwave Limb Sounder measurements by applying the vortex descent (middle) method and passive  
697 method (right) using the tracer simulations from SLIMCAT for the Arctic winters 2011 and 2020.

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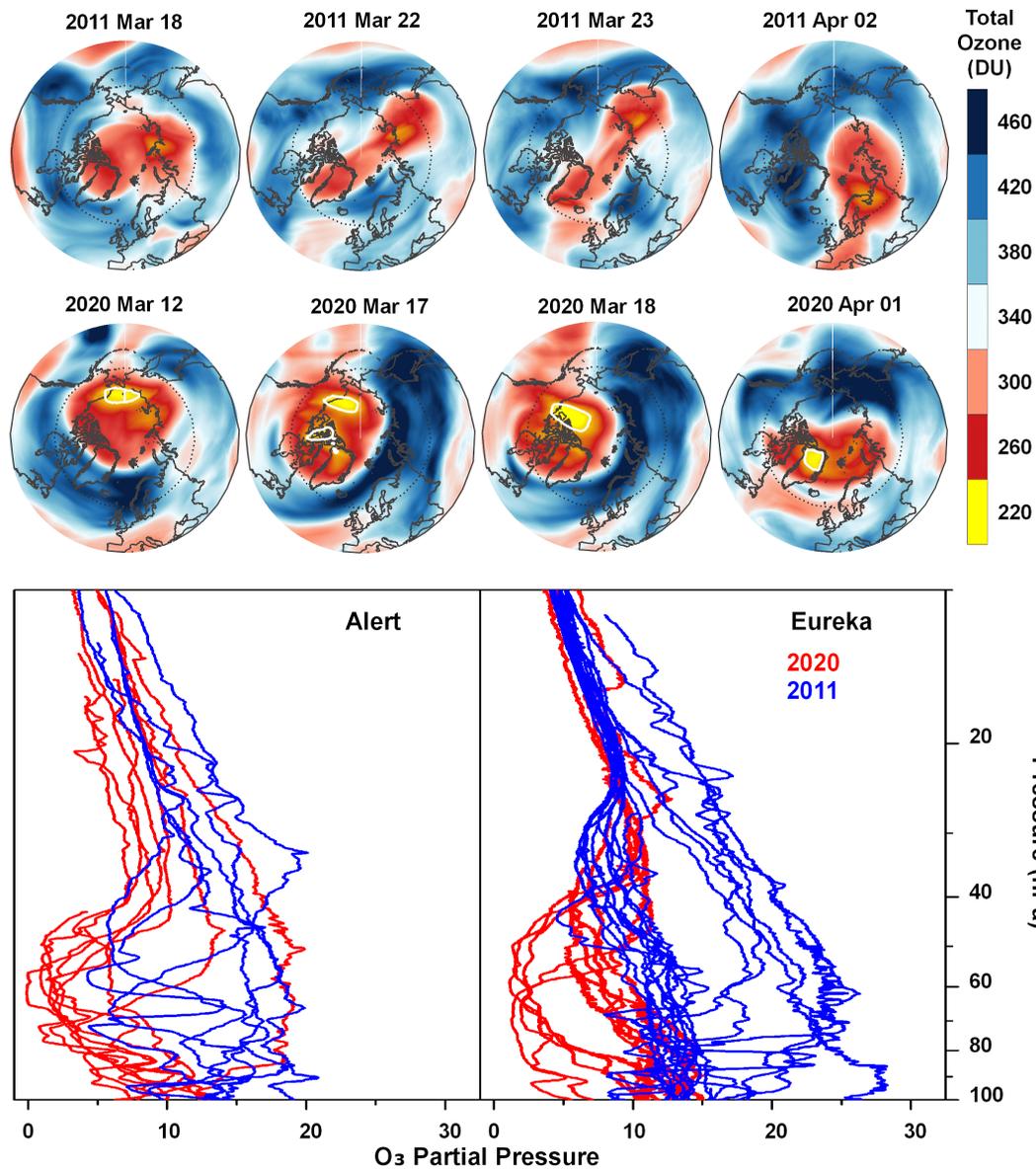
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701 **Figure 5: Ozone loss in winter months.** The ozone loss estimated using the vortex descent method for each  
702 winter and spring months in 2011 (left) and 2020 (left). The ozone loss estimated in different studies for  
703 the winters 2011 and 2020 (upper panels).

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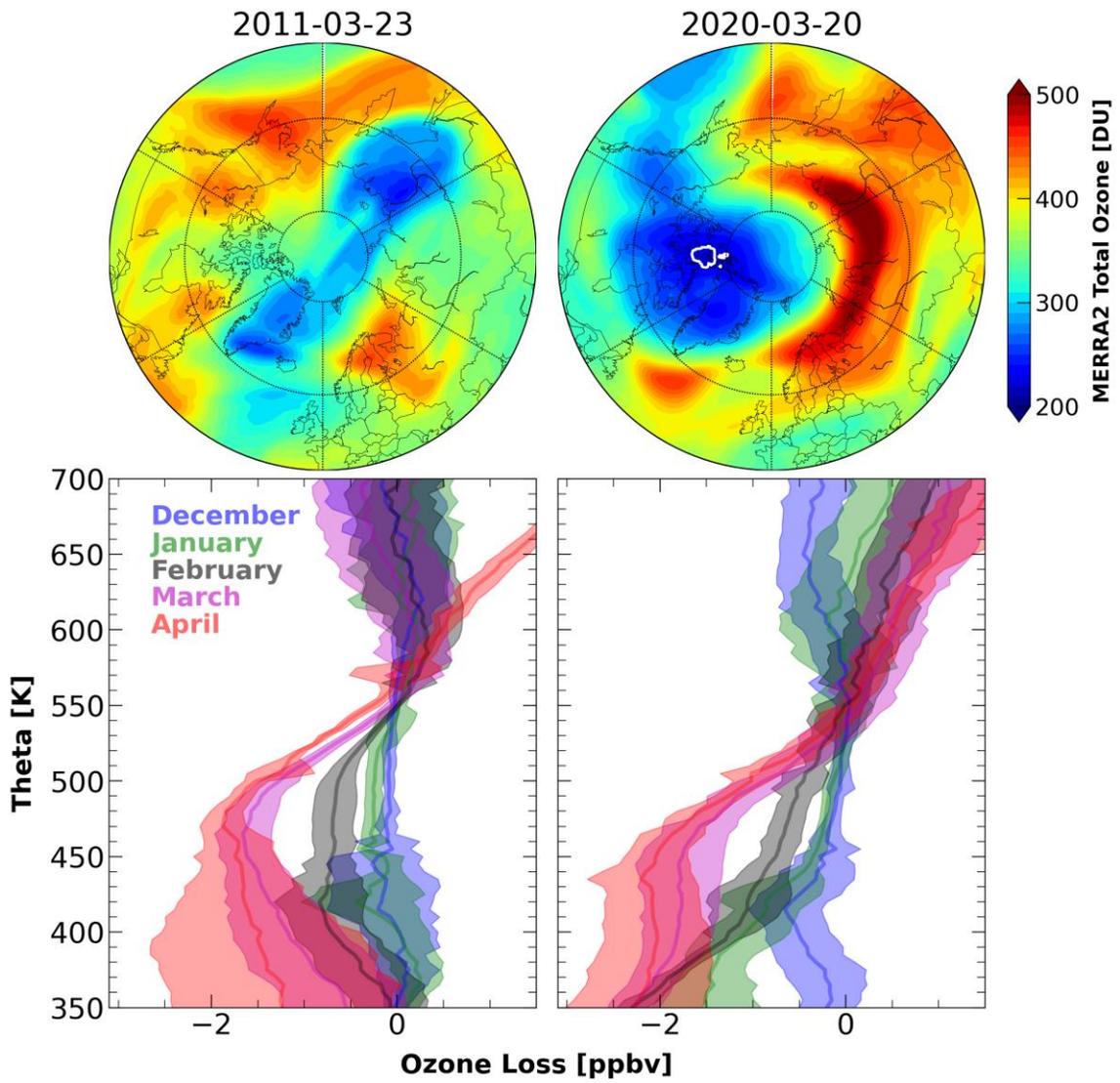
706 Figure 6: Total column ozone and ozone loss saturation. Total column ozone maps produced using MERRA-  
707 2 data for the Arctic winters 2011 and 2020. The total ozone values below the ozone hole criterion (220  
708 DU) are shown in yellow contours. The ozonesonde measurements were performed at two stations in the  
709 Arctic (Eureka and Alert) during both winters (lower panels).

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