

Li⁺ concentration waves in a liquid electrolyte of Li-ion batteries with porous graphite-based electrodes

Zhiqiang Chen^{a,b}, Dmitri L. Danilov^{a,b}, Rüdiger-A. Eichel^{b,c}, Peter H.L. Notten^{a,b,d,*}

^a Eindhoven University of Technology, Eindhoven, 5600 MB, the Netherlands

^b Fundamental Electrochemistry (IEK-9), Forschungszentrum Jülich, D-52425, Germany

^c RWTH Aachen University, Aachen, D-52074, Germany

^d University of Technology Sydney, Broadway, Sydney, NSW 2007, Australia

ARTICLE INFO

Keywords:

Li⁺ concentration waves
Reaction distribution
Modeling
Four-electrode measurements
Li-ion batteries

ABSTRACT

Electrolyte solutions function as ionic conductors in Li-ion batteries and inevitably induce concentration gradients during battery operation. It is shown that in addition to these concentration gradients, very specific Li⁺ concentration waves in the electrolyte are formed in graphite-based porous electrode/Li cells. This phenomenon has been investigated by both simulations and experiments. From the simulations, it has been concluded that the occurring Li⁺ concentration waves in the electrolyte vary with position and time. Such waves originate from the fluctuations of the reaction distribution inside the porous electrode and depend on both the thermodynamics (open-circuit voltage, OCV) and kinetics (charge transfer reaction heterogeneity). Li⁺ concentration waves occurring inside the separator region are directly related to the battery output voltage at low current applications. A four-electrode device is used to validate the electrolyte concentration waves experimentally. The electric potential differences between the reference electrodes and counter electrode show regular fluctuations, demonstrating the existence of concentration waves in the electrolyte. The simultaneous appearance of the fluctuations in the potential differences and the transitions from plateaus to slopes in the battery output voltage illustrates the dependency of Li⁺ concentration waves on the thermodynamics and kinetics of the electrochemical reactions.

1. Introduction

Since their successful commercialization in 1991, Li-ion batteries (LIBs) have been extensively applied as energy storage devices for portable electronics and (hybrid) electric vehicles due to the highly favorable combination of reduced cost and impressive battery performance [1–3]. Typical LIBs consist of two porous electrodes and a porous separator impregnated by an electrolyte. The most adopted electrolytes for LIBs are non-aqueous solutions, in which lithium hexafluorophosphate (LiPF₆) salt is dissolved in the mixture of solvents. These solvents include organic esters and ethers [4,5], *i.e.*, ethylene carbonate (EC), dimethyl carbonate (DMC), diethyl carbonate (DEC), dimethoxyethane (DME), *etc.* LiPF₆ in the mixture of solvents dissociates into solvated Li⁺ and PF₆[−] [6,7]. The electrochemical charge-transfer reactions occur at the interfaces between electrodes and electrolytes during operation. These reactions are accompanied by the movement of electrons and ions [8]. Electrons are transported through the external circuit to/from the electrode's active material. Ions move through the porous electrodes and the electrolyte and are driven by diffusion and migration. Due to ionic transport limitations, concentration gradients in the electrolyte are de-

veloped [9–11]. These gradients are important sources of polarization [11,12]. It is commonly accepted that the charge-transfer reaction also depends on the salt concentration in the electrolyte [13–15]. Therefore, understanding the electrolyte behavior will help unravel the essence of the electrochemical reactions and may shed further light on the optimal design and operation of LIBs.

Simulations and experiments have been extensively applied to investigate the electrolyte concentration during battery operation. Physics-based electrochemical models are frequently adopted to perform these simulations [16–24]. An important output of such models is the Li⁺ concentration distribution in the electrolyte across the battery thickness as a function of time and position [10,16–18,25]. According to simulations [12,26–28] and experimental investigations [9,29], the Li⁺ concentration in the electrolyte at the delithiated (porous) electrode is larger than that at the lithiated (porous) electrode. This phenomenon is explained by the movements of Li-ions across the electrolyte/electrode interfaces. During lithiation of the electrode material, Li-ions are extracted from the electrolyte, lowering the salt concentration in the solution. An inverse behavior is observed during delithiation. Ionic transport is quantified by transport parameters, such as the diffusion coefficient and transference number of cation in the electrolyte [30]. Smaller diffusion coefficients

* Corresponding author.

E-mail address: p.h.l.notten@tue.nl (P.H.L. Notten).

<https://doi.org/10.1016/j.ensm.2022.03.037>

Received 13 December 2021; Received in revised form 10 March 2022; Accepted 21 March 2022

Available online 23 March 2022

2405-8297/© 2022 The Authors. Published by Elsevier B.V. This is an open access article under the CC BY license (<http://creativecommons.org/licenses/by/4.0/>)

and/or lower cation transference numbers lead to larger electrolyte concentration gradients. Moreover, these two parameters are mathematically related to each other and are influenced by concentration and temperature [30,31]. In addition, other factors will also influence the electrolyte concentration distribution, such as the applied current density, porosities, thickness of the electrode and separator, electrolyte convection, etc.

Several experimental techniques have been developed to *in situ* determine the electrolyte concentration distribution during battery operation, such as X-ray based imaging [9,32], nuclear magnetic resonance (NMR), magnetic resonance imaging (MRI) [29,33,34], Raman microscopy [35–37], and neutron depth profiling (NDP) [38–40]. Although these methods provide valuable information and all show the distribution of Li-ions inside the electrolyte, these methods are all based on highly sophisticated equipment. High noise levels sometimes may cover interesting but subtle signals. Electrochemical methods are a good alternative due to their easy accessibility, high sensitivity, and real-time response. Electrical potential differences measured between the (reference) electrodes are related to the electrochemical potential differences of the electrodes in the solution and depend on the salt concentration in the electrolyte [30]. According to this relation, the variations of the Li-ion concentration in the electrolyte can be extracted [41–43].

In the present work, batteries composed of graphite-based porous electrodes and Li metallic counter electrodes (C/Li) have been selected as a research subject to reduce the complexity of complete cells consisting of two porous electrodes. The Li-ion concentration in the electrolyte during galvanostatic operation is systematically analyzed from both simulation and experimental perspectives. Traditionally, it is believed that the Li^+ concentration gradients in the electrolyte are stabilized after entering the steady state of the charge transfer reaction. Our results reveal that the Li^+ concentration does not completely stabilize but shows remarkable waves during the operation of C/Li batteries. These waves are created by fluctuations of the reaction distribution in the porous graphite-based electrode, which strongly depend on the reaction thermodynamics and kinetics.

2. Experimental

Coin-type cells: Commercial 18650-type cylindrical batteries manufactured by Tianjin Lishen Battery Co., Ltd were dismantled in an argon-filled glove box, and pieces of the anodes were taken out. The active material in the anode is graphite with a small amount of silicon [44]. It is therefore called ‘graphite-based electrode’ in this manuscript. Before the electrochemical measurements, the active material on one side of the double-coated graphite-based electrodes was carefully removed with the help of acetone and a sharp blade. Then, the remainder was cleaned with dust-free tissues immersed in acetone. This procedure ensures that the active material is completely removed and scratching the current collector is only minor. Then the intact parts of graphite-based electrodes were selected and cut into discs with a diameter of 14 mm. 2032-type coin cells were subsequently assembled using the as-prepared graphite-based electrodes as working electrodes and Li metal foil as counter electrodes. A 2400-type Celgard separator (25 μm thick) and 1 M LiPF_6 electrolyte in a solvent mixture of EC:DMC:DEC with a 1:1:1 volume ratio were used.

The electrochemical properties of the assembled cells were measured by an automated battery cycler (Neware) in the voltage range of 0.01–2 V at 25°C. Before the test, all cells were equilibrated for 12 h and then activated for four cycles at 0.2 C-rate (1C = 7 mA) in the constant-current charging mode (delithiation of the graphite-based electrode) and constant-current constant-voltage (CCCV) discharging mode (lithiation of graphite-based electrode). The voltage and cut-off current in the CV-mode were 0.01 V and 0.04C, respectively. Characterization cycles were then performed in the CCCV-mode, using a constant discharge current (0.2C), followed by a resting period of 30 mins and a set of constant charging currents (0.04, 0.1, 0.2, 0.5, 1.0, and 1.4C) applied in sequence

in the subsequent cycles. The 30-minute resting period was selected because the voltage showed the maximum changes within this period for discharge. The voltage showed only a minor increase after a longer resting time. In the simulations, the potential curve obtained at 0.01 C-rate and 25°C was used as the (pseudo) open-circuit voltage (OCV) curve. Other researchers frequently adopted the potential curve at low C-rates as OCV in the simulations and experiments [45–47].

Four-electrode cells: For the assembly of the reference electrode cells, the as-prepared graphite-based electrodes were cut into discs with a diameter of 18 mm and used as the working electrode (WE) in the four-electrode EL-Cell (PAT-Cell-TwinRef). Li metal with a diameter of 18 mm was used as the counter electrode (CE). Separators FP-5S were used, with 21.6 mm in diameter and 220 μm in thickness. Two ring-shaped Li-reference electrodes, denoted as RE1 and RE2, were assembled at the two sides of the separator. 100 μL of the electrolyte mentioned above was used.

The electrochemical properties of the four-electrode EL-Cell were measured with a VMP 300 potentiostat (Bio-Logic) in the voltage range of 0.005–1.6 V under temperature control at 25°C. Two channels were used to record the potential differences among the four electrodes. One channel provided the power and recorded the mutual potential differences among WE, CE, and RE2. Another channel recorded the potential difference between RE1 and RE2. Before the test, the as-prepared cells were put to rest for 20 h and then activated for three cycles at 0.05 C-rate (1C = 12 mA). Subsequently, 0.05, 0.1, 0.2, and 0.4C charging currents were applied in sequence, followed by a discharging current of 0.05C. The cells were also subjected to 0.05, 0.1, and 0.2 C-rate for discharging and 0.05 C-rate for charging. Between the charging and discharging, a resting period of 6 h was adopted.

For assembling the four-electrode EL-Cell with LiFePO_4 (LFP) as WE, LFP electrodes were obtained by dismantling a commercial 26650-type LFP/graphite cylindrical battery and cutting the LFP electrode into discs with a diameter of 18 mm. The other parts were the same as used in the graphite-based four-electrode EL-Cell. For the measurements of the LFP-based four-electrode EL-Cell, the regime was kept similar to the cell with graphite-based WE. The voltage range was set at 2.8–4.2 V, and the current took values 0.1, 0.2, 0.4, and 0.8 C (1C = 4 mA) to keep the current density similar to that of the graphite-based EL-Cell. Note that the 1 C-rate for coin-type cells with graphite-based WE equals 7 mA, which has been confirmed by multiple cell tests. The corresponding 1C-rate for the EL-Cell with graphite-based WE is 12 mA, and 4 mA for LFP WE's.

Simulations: Simulations were performed using the Matlab R2018b software package. The finite volume method [23] was used to discretize the partial differential equations (PDE) listed in Table S1 into systems of algebraic equations. A numerically efficient method [48] was adopted to solve the full-order pseudo-two-dimensional (P2D) model, in which the reaction distribution was first optimized and subsequently used as input for the calculations of the Li concentration in the electrolyte and solid. Parameter definitions and parameter values are listed in Table S2 and S3, respectively. The temperature in the simulations was set to 25°C. It is the same as the temperature in all experiments.

3. Results and discussion

3.1. Concentration waves in the electrolyte

Fig. 1a schematically shows a C/Li coin-type cell composed of a porous graphite electrode, a metallic-Li foil, and a separator soaked into a liquid electrolyte. δ and L represent the thickness of the separator and the whole cell. $L - \delta$ is the thickness of the porous graphite-based electrode. Denote the Li metal/separator interface ($x=0$), the separator/graphite-based electrode interface ($x=\delta$), and the graphite-based electrode/Cu current collector interface ($x=L$) as LS, SC, and CC interfaces, respectively. The numbers along the x -direction represent the normalized position of the interfaces using the experimental thicknesses

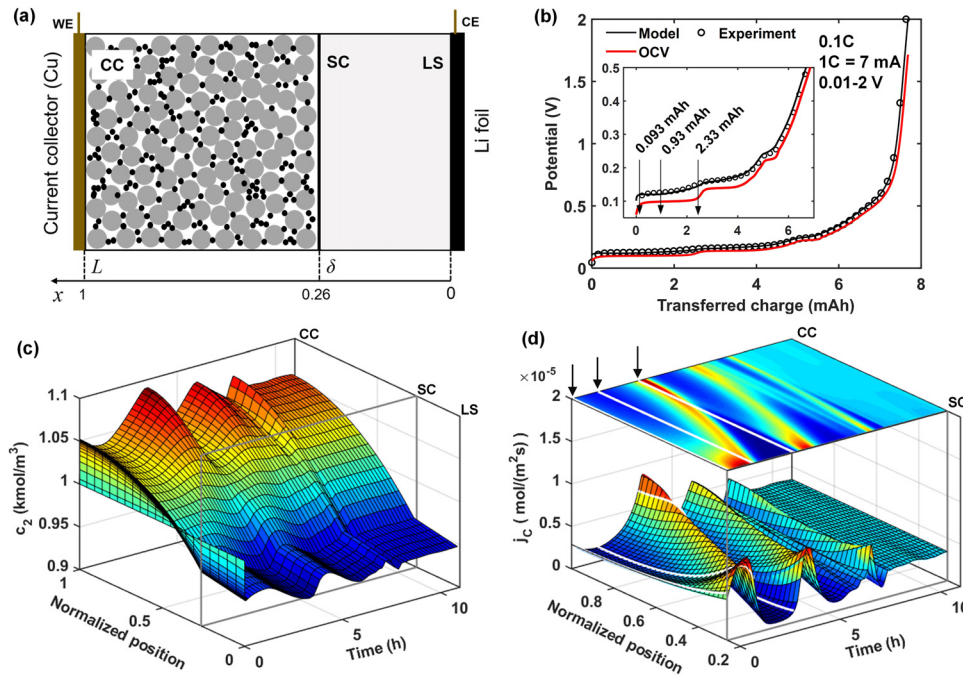


Fig. 1. (a) Layout of the P2D model for C/Li cells. (b) Comparison of experimental (symbols) and simulated (black lines) voltage curves at 0.1 C-rate ($1C = 7 \text{ mA}$) measured in a coin-type cell. The red line represents the OCV of the C/Li cell. (c) Li concentration in the electrolyte (c_2) profiles and (d) reaction distribution (j_C) as a function of normalized position and time. The black arrows in (b) and (d) and while lines in (d) indicate the selected moments used in Fig. 2.

listed in Table S3. A pseudo-two-dimensional (P2D) model developed by Newman *et al.* [16,17] describes the physical and electrochemical processes inside this battery. The governing equations and boundary conditions are listed in Table S1. The parameter definitions and parameter values are given in Table S2 and S3, respectively.

Fig. 1b shows the comparison between the simulated (black line) and experimental (symbols) voltage curves of a coin-type cell at 0.1C charging current ($1C = 7 \text{ mA}$). Note that the charging process denotes the delithiation of the graphite-based electrode. Good agreement can be found between the simulation and experiment. A comparison of the voltage curves at other C-rates can be found elsewhere [44]. The red curve in Fig. 1b gives the open-circuit voltage (OCV) curve. The plateaus and sloping regions in the OCV curve of the graphite electrode are related to the two-phase (stage) coexistence regions and solid-solution region [49–51], respectively. Fig. 1c shows the simulated profiles of Li^+ concentration in the electrolyte (c_2) as a function of normalized position and time. Typical c_2 distributions are observed during delithiation, implying high c_2 inside the porous electrode and low c_2 concentrations in the separator region [17,21]. Strikingly, c_2 shows clear fluctuated waves in both the porous electrode and separator regions. Such fluctuations of c_2 have been observed before [19,23,52]. However, rather little attention is paid to this striking phenomenon.

From the governing equations, only mass transport is responsible for the evolution of c_2 in the separator region (Equation S3 in Table S1) while both mass transport and the reaction distribution (j_C) influence c_2 in the porous electrode region (Equation S9 in Table S1). j_C is calculated from the Butler-Volmer equation and can quantify the distribution of charge-transfer reaction rate inside the porous electrode. In other publications, j_C can also be called the pore wall flux [16,17] or reaction flux distribution [53–55].

The parameters for mass transport, *i.e.*, the diffusion coefficient of Li^+ in the electrolyte (D_2) and the transference number of Li^+ in the electrolyte (t_+), are kept constant in the present simulations. Intuitively, j_C causes the waves in c_2 . Fig. 1d shows j_C inside the porous graphite-based electrode at 0.1C charging as a function of the normalized position and time together with the projected two-dimension (2D) contour image on the top. It can indeed be seen that j_C is not uniform during charging. At very short timescales, close to 0 h, j_C shows a larger distribution near the SC interface. Between 0 and 8 h, three main peaks in j_C initiate at

the SC interface. These peaks propagate progressively from the SC to the CC interface, forming waves. From 8 h to the end of charging, a relatively uniform j_C can be found. The three main waves in j_C agree well with the three main waves observed in c_2 (Fig. 1c). The relatively uniform j_C after 8 h also corresponds to the minor fluctuations in c_2 .

To confirm the dependence of c_2 on j_C , Fig. S1a shows c_2 with a uniform reaction distribution (j_C^u) across the porous electrode (Fig. S1b), given by

$$j_C^u = -\frac{I}{a(L-\delta)F} \quad (1)$$

Such an assumption implies that the reaction is uniformly distributed across the porous electrode, leading to a constant j_C^u . The derivation of this equation can be found in Section II of the Supporting Information. A similar equation can also be found in the literature [44,56,57]. The model based on this simplification is called average model. In this case c_2 shows a smooth profile without any waves (Fig. S1a). It is therefore concluded that the fluctuations of j_C inside the porous electrode are the origin of the wave formation in c_2 .

3.2. Reaction distribution waves

Reaction distribution j_C is influenced by the exchange current density (i_C^0), the charge-transfer overpotential (η_C^{ct}) and the charge-transfer coefficient (α) of the graphite-based electrode according to Equation S6 in Table S1. In the present work, α is taken as a constant equal to 0.5. Consequently, only i_C^0 and η_C^{ct} influence the reaction distribution j_C . i_C^0 is a function of the Li^+ concentration at the surface of solid particles (c_1^s) and the Li^+ concentration in the electrolyte (c_2) as expressed by Equation S8. η_C^{ct} is a function of the electrical potential in solid (Φ_1), the electrical potential in the electrolyte (Φ_2), and the surface potential of the solid particles (U_C^s) as described by Equation S7. Note that U_C^s can be interpreted as the electrode OCV (U_C) evaluated with Li concentration at the particle surface c_1^s , *i.e.* $U_C^s = U_C(c_1^s)$.

To clarify the relations, Fig. 2 gives the profiles of these variables with respect to the normalized position at three typical moments of time, namely 0.13, 1.33, and 3.33 h. These three moments are indicated by the vertical arrows and the (partly hidden) white lines in Fig. 1d. The capacities corresponding to these moments are written near the arrows

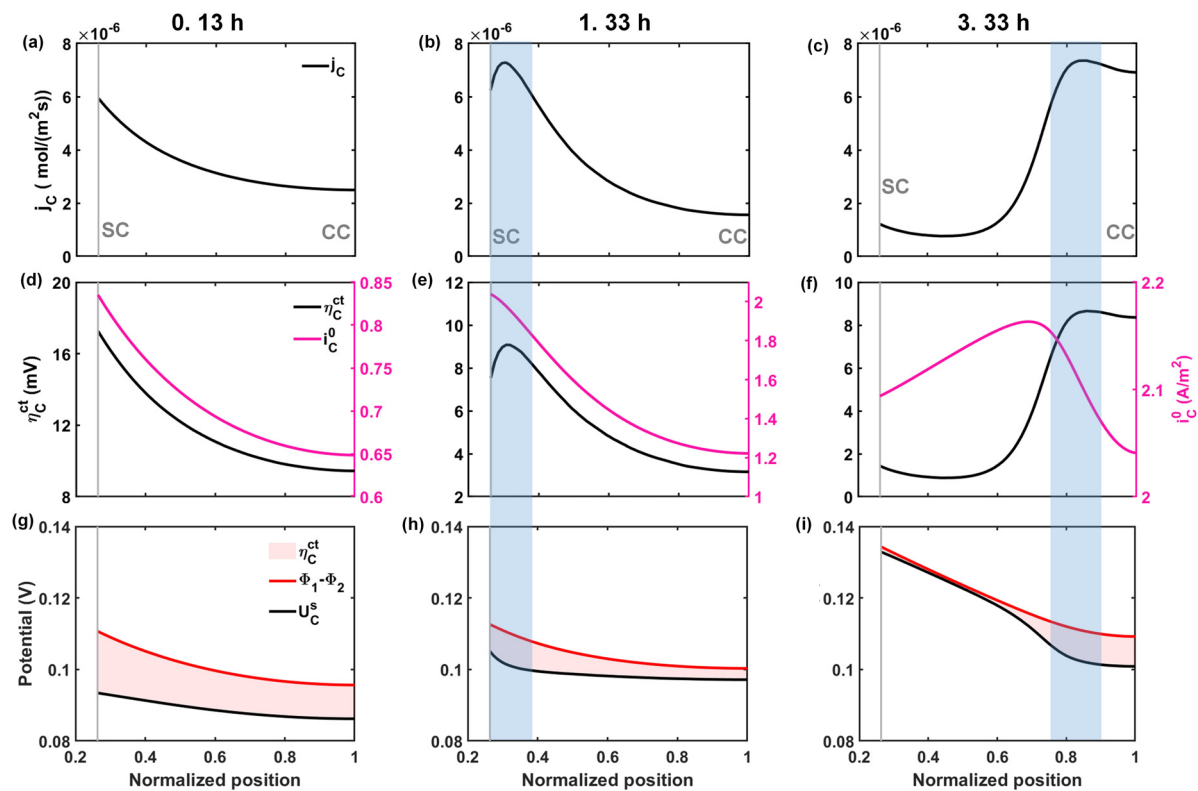


Fig. 2. (a–c) Reaction distribution (j_C), (d–f) local charge-transfer overpotential (η_C^{ct}) and exchange current density (i_C^0), (g–i) difference between the electrical potential in the solid and electrolyte ($\Phi_1 - \Phi_2$), and the surface potential of the solid particles (U_C^s) at three selected moments of time, namely 0.13 h (a, d, g), 1.33 h (b, e, h), and 3.33 h (c, f, i). These moments are indicated by the white lines in Fig. 1d. The vertical gray lines at $x=0.26$ and lines at $x=1$ in each figure show the SC and CC interface.

in Fig. 1b. These moments represent the time before the wave formation, the wave initiation, and the end of the wave. Fig. 2a–c shows the development of j_C at these three moments. In Fig. 2a, j_C has the highest value near the SC interface. In Fig. 2b, a mound-shaped j_C is formed with the maximum near the SC interface at 1.33 h. This maximum slowly propagates towards the CC interface at 3.33 h (Fig. 2c).

Fig. 2d–f shows the corresponding development of η_C^{ct} and i_C^0 inside the porous electrode at these moments. The changing trends of η_C^{ct} in Fig. 2d–f are similar to those of j_C in Fig. 2a–c, indicating that they are strongly correlated. The peaks of η_C^{ct} locate at the same position with those of j_C , as marked by the blue shade areas. i_C^0 shows an attenuated peak in Fig. 2f, but this peak does not coincide with that of j_C . In the present paper, i_C^0 is a function of c_1^s and c_2 as expressed by Equation S8. Fig. S2 shows i_C^0 with respect to c_1^s keeping c_2 equal to 1000 mol/m³. One can see that i_C^0 has a mound shape. The attenuated peak of i_C^0 in Fig. 2f is, therefore, due to the influence of changing c_1^s . To eliminate the influence of the changing c_1^s and c_2 , a constant i_C^0 was used for the simulations of Fig. S3 and S4. In these figures, waves still can be seen in η_C^{ct} and j_C . Therefore, the concentration-dependent i_C^0 does not result in the multiple peaks in j_C . The varied charge-transfer reaction overpotential η_C^{ct} causes the multiple peaks in j_C .

To explore the relationship between η_C^{ct} and j_C , Fig. S5 shows the various components contributing to η_C^{ct} (Equation S7), namely Φ_1 , Φ_2 , and U_C^s at the three indicated moments. To help in understanding, Fig. 2g–i shows $\Phi_1 - \Phi_2$ and U_C^s . Note that the difference between $\Phi_1 - \Phi_2$ and U_C^s equals to η_C^{ct} (red-shaded areas). The values of $\Phi_1 - \Phi_2$ in Fig. 2g–i decrease smoothly from the SC to the CC interface due to a large effective electronic conductivity (σ_C) of the solid and a small effective ionic conductivity (κ_C) of the solution in the porous electrode region, and the Li⁺ concentration gradient in the electrolyte. $\Phi_1 - \Phi_2$ increases continuously in time due to Li extraction from the material (for the separate de-

velopment of Φ_1 and Φ_2 , please refer to Fig. S5). U_C^s in Fig. 2g–i shows an interesting distribution along the normalized position. A relatively flat profile is observed in Fig. 2g. A bending area of U_C^s initiates near the SC interface in Fig. 2h, marked by the blue shaded area. In Fig. 2i, the bending area appears near the CC interface (blue shaded area). These bending areas of U_C^s with respect to the position (Fig. 2h–i) cause the peaks of η_C^{ct} (Fig. 2e–f) with the help of Φ_1 and Φ_2 , which finally result in the peaks of j_C (Fig. 2b–c), as shown by the blue shaded areas.

To investigate the bending area of U_C^s along with the position, Movie 1 in the Supporting Information illustrates the dynamic changes of the variables mentioned above at the full duration of the galvanostatic charging. The following quantities are plotted. The battery voltage and OCV are plotted vs. the transferred charge in subplot (a). U_C^s as a function of c_1^s and the corresponding derivative are in subplot (b). Subplot (c) contains U_C^s as a function of the position. Subplot (d) shows overpotential η_C^{ct} . Finally, subplot (e) gives j_C with respect to position, and (f) contains the selected j_C at the SC and CC interfaces accordingly. All these quantities change with charging time. Note that U_C^s in (b) is plotted with respect to the surface Li concentration of particles c_1^s , which is a function of time and normalized position. Consequently, U_C^s can also be plotted vs. time and normalized position. These two plots are given in Fig. S6 and (c) in Movie 1, respectively. The blue, black, and red dots in (b–f) represent the particle at the SC interface, in the middle of the porous electrode, and at the CC interface. At the very beginning (0 h), j_C is determined only by the battery parameters, i.e. σ_C , κ_C , i_C^0 , α , and I [55,58], etc., without the influence of U_C^s . For the given set of parameters j_C is dominant near the SC interface, causing c_1^s at this region decreases faster than other regions. The decreased c_1^s tends to influence the distribution of U_C^s but only throughout the OCV curve. At the plateau of U_C^s vs. c_1^s (between 0 and 1.1 h in (b)), η_C^{ct} shows a larger value near the SC interface (e) due to a relatively flat distribution of U_C^s vs. position in (c).

Because i_C^0 is larger near the SC interface (see Fig. 2d-e), j_C still shows a dominant distribution near the SC interface (e). That, in turn, causes c_1^s near the SC interface decreases even faster than that in other regions (b).

Subsequently, the particle at the SC interface (blue dot in (b)) first enters the sloping region of U_C^s (vs. c_1^s), resulting in a bending area of U_C^s vs. position near the SC interface (c). The bending area of U_C^s vs. position causes the disturbances of η_C^{ct} , forming peak (local maximum) at the SC interface (d), which further causes the peak formation of j_C at the SC interface (e-f). After that, various particles located between the SC and CC interface (between the blue and red dot in (b)) subsequently enter the sloping region from the plateau of U_C^s (vs. c_1^s), which leads to the movement of the bending area of U_C^s (vs. position) in (c). This movement of the bending area of U_C^s results in the peak propagation of η_C^{ct} and j_C from the SC to the CC interface in (d-f), finally forming the first wave as observed in Fig. 1d. The second and third waves of j_C in Fig. 1d are all related to the movement of the bending area of U_C^s vs. position.

From Fig. 2 and Movie 1, several conclusions can be drawn. j_C tends to become uniform across the normalized position of the porous electrode at long-time scales. The plateaus of U_C^s (vs. c_1^s) make j_C enter the uniform state slowly, causing a wide distribution of c_1^s and further leading to large inhomogeneous utilization of the porous electrode. The sloping regions of U_C^s (vs. c_1^s) make j_C enter the uniform state quickly, causing a relatively narrow distribution of c_1^s and further leading to slightly inhomogeneous utilization of the porous electrode. The transitions from the plateaus to the sloping regions of U_C^s (vs. c_1^s) generate the waves of j_C . These waves mitigate the large inhomogeneous utilization brought by the plateaus of U_C^s .

Specifically, two factors influence the j_C wave behavior. The first one is transitions from plateaus to slopes (steps) in U_C curve, which cause steps in U_C^s . U_C curve is thermodynamically determined by the material structure and the nature of reaction [59–61]. The second factor is the nonuniform distribution of c_1^s along with the normalized position, which can also be interpreted as the nonuniform electrode utilization. The electrochemical reaction heterogeneity plays a vital role in determining the nonuniform electrode utilization. These two factors are further denoted as the thermodynamic and kinetic factors and are discussed below in detail.

U_C is the battery OCV curve (or equilibrium potential), which is thermodynamically determined by the electrochemical potential difference between the cathode and anode [59–61]. The properties of U_C directly influence surface potential of solid particles U_C^s as a function of c_1^s . From Fig. 2 and Movie 1, transitions from plateaus to slopes (steps) in U_C^s cause the peak formation and propagation in j_C . Three major steps in U_C^s , heritated from U_C , result in three major waves of j_C , which can be observed in Fig. 1d. To explore the influence of steps in the OCV curve on j_C and c_2 , the experimental U_C , shown in Fig. 1b, is numerically modified into curves with two (case 1), one (case 2), and none (case 3) steps, respectively, as shown in Fig. 3a-c. The removed steps are replaced with a smoothly increasing curve. The experimental OCV curve is also shown in Fig. 3a-c for comparison (grey curves). The simulated j_C and c_2 for these three modified OCV-curve cases are shown in Fig. 3d-f and Fig. 3g-i, respectively. In case 1 (Fig. 3a), the step at the SoC range of 0.7-0.8 is smoothed, and the steps at the SoC of 0.2-0.4 and 0.4-0.6 remain. The corresponding j_C (Fig. 3d) and c_2 (Fig. 3g) show two waves. The third wave observed in Fig. 1c-d disappears. In case 2 (Fig. 3b), the steps at the SoC range of 0.7-0.8 and 0.4-0.6 are smoothed, while the step at SOC of 0.2-0.4 remains. Correspondingly, only one wave can be observed in j_C (Fig. 3e) and c_2 (Fig. 3f), and the other two waves observed in Fig. 1c-d disappear. In case 3 (Fig. 3c), the OCV curve is completely smoothed without any steps. j_C (Fig. 3f) and c_2 (Fig. 3i) consequently show smooth profiles without any waves.

Another essential factor causing the waves of j_C is the nonuniform electrode utilization, resulting from the heterogeneity of electrochemical reaction. Essentially, electrode utilization refers to the particle

delithiation state as a function of the normalized position. The particle delithiation state is affected by the amount of lithium extracted, which is determined by the integral of j_C with respect to time. In other words, the nonuniform j_C leads to nonuniform electrode utilization. Several parameters influence the distribution of j_C , i.e. σ_C , κ_C , i_C^0 , α , I , etc., at the short-time scales [55,58], and gradient in c_1 and c_2 and the OCV curve at the long-time scales. All these parameters and the related factors will affect the heterogeneity of electrochemical reaction, and further influence the waves of j_C .

Fig. 4a and d show the results of the simulations for j_C and c_2 with enlarged effective ionic conductivity κ_C and electrolyte diffusion coefficient D_2^C . An experimental OCV curve was used for these figures. The larger κ_C together with a large effective electronic conductivity σ_C (beyond the saturation value, which is determined by the electrode parameters) makes j_C uniform at short-time scales [58]. Enlarged D_2^C eliminates the influence of electrolyte concentration gradient on Φ_2 at longer time scales (Equation S10). Such a combination of parameters makes j_C uniform at both the short- and long-time scales, as shown in Fig. 4a. In this case, j_C shows a smooth and flat distribution during the entire charging process without any waves. The minor variations are due to minor electrolyte concentration gradients. Fig. 4b and e simulate the case of using a small σ_C combined with a large κ_C . For such combination of parameters [58], j_C becomes dominant near the CC interface before the wave generation (Fig. 4b). In this case, the waves in j_C can also be found, but these waves now propagate from the CC to the SC interface, in the opposite direction of Fig. 1d. Fig. 4c and f show the case using a small σ_C and a small κ_C . In this case j_C is dominant at both the SC and CC interfaces at short-time scales (Fig. 4c) [58]. For this specific combination of parameters, the waves of j_C originate from both the SC and CC interfaces and propagate to the middle of the porous electrode, where they converge.

In summary, both the OCV curve (thermodynamic factor) and the electrochemical reaction heterogeneity (kinetic factor) are essential for the generation and propagation of waves in j_C . The reaction heterogeneity causes the nonuniform electrode utilization across the battery. This nonuniform electrode utilization tends to be mitigated when the electrode reaction is going through transitions from the plateaus to the sloping regions in the OCV curve, forming waves of j_C .

3.3. Wave-dependence of electrolyte concentration on reaction distribution

Fig. 5a shows a 2D contour plot of j_C as a function of time and position, the same as that shown on the top of Fig. 1d. Fig. 5b shows c_2 calculated as a function of time at various indicated positions inside the battery. The interfaces have been labeled at the right-hand side of Fig. 5a-b. The peak of the Li^+ concentration in the electrolyte (c_2) inside the porous electrode region clearly propagates from the SC to the CC interface as indicated by red arrows in Fig. 5b. Such behavior is in line with the development of the three j_C waves in Fig. 5a. Low concentrations in c_2 emerge in the separator region when c_2 is high at the CC interface (blue-shaded areas in Fig. 5b). This behavior is attributed to the mass conservation of c_2 across the battery, i.e. $\int_0^L c_2 dV = c_2^0 V_{\text{tot}}$, where c_2^0 denotes the initial Li^+ concentration in the electrolyte and V_{tot} the total pore volume inside the battery, including the separator and the graphite-based electrode. As a consequence, when high concentrations in c_2 appear as peaks at one interface, low concentrations in c_2 inevitably appear as valleys near the other interface. The waves of j_C inside the porous electrode are responsible for the c_2 waves in both the porous electrode and separator regions. When no waves exist in j_C (Fig. 3f and S1b), c_2 shows smooth profiles in both the porous electrode and separator region (Fig. 3i and S1a).

Ionic transport in the electrolyte is also essential for c_2 behavior. Figs. S7 and S8 show j_C and c_2 with various electrolyte diffusion coefficient (D_2) and transference number of Li^+ in the electrolyte (t_+). In these figures, j_C all shows three major waves propagating from the SC to the CC interface. Minor changes, i.e., wave position, wave width, and height,

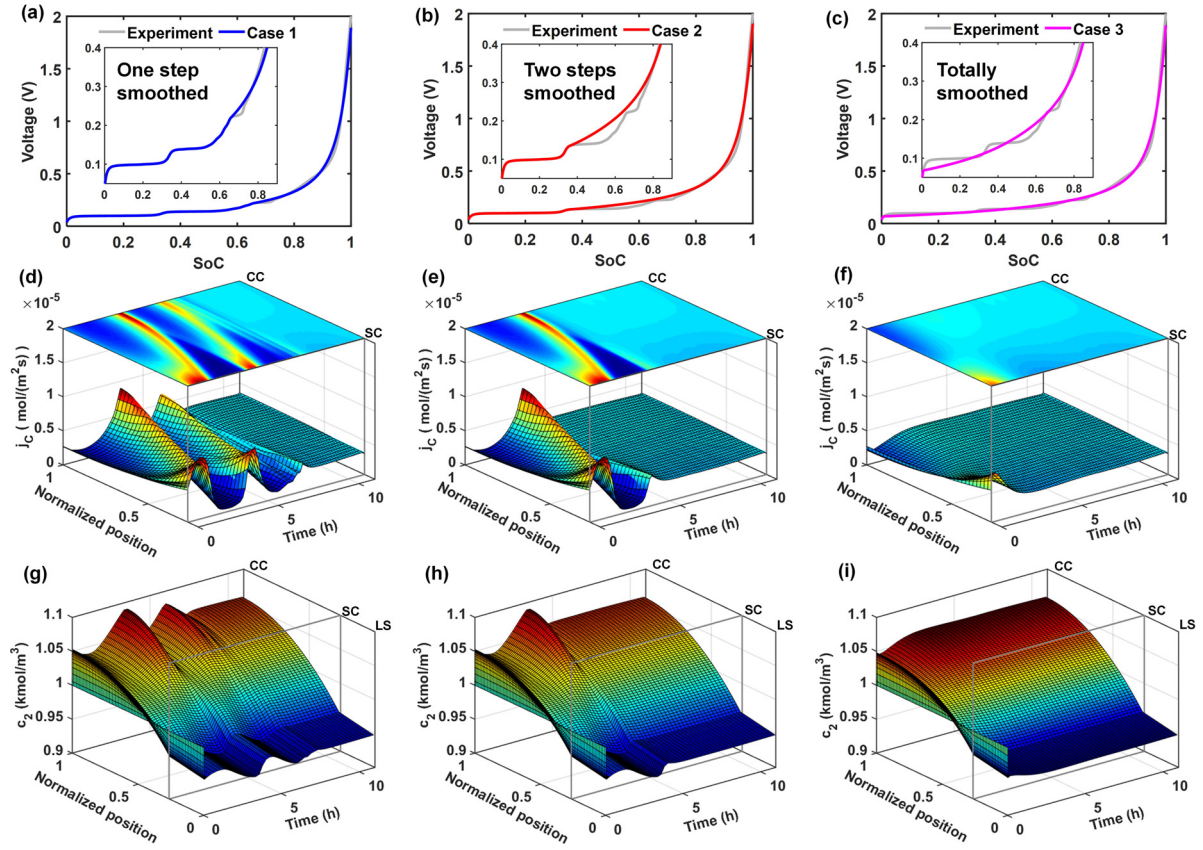


Fig. 3. Influence of OCV curves (thermodynamics) on reaction distribution (j_c) and Li concentration in the electrolyte (c_2). (a-c) Modified OCV-curves based on the experimental OCV (gray curves). Case 1 represents a step at the SoC range of 0.7-0.8 is smoothed. In case 2, steps at the SoC range of 0.7-0.8 and 0.4-0.6 are smoothed. In case 3, all the steps are smoothed. (d-f) Simulated j_c and (g-i) c_2 with the corresponding modified OCV-curves in (a-c). The other parameters are the same as those used in Figs. 1 and 2.

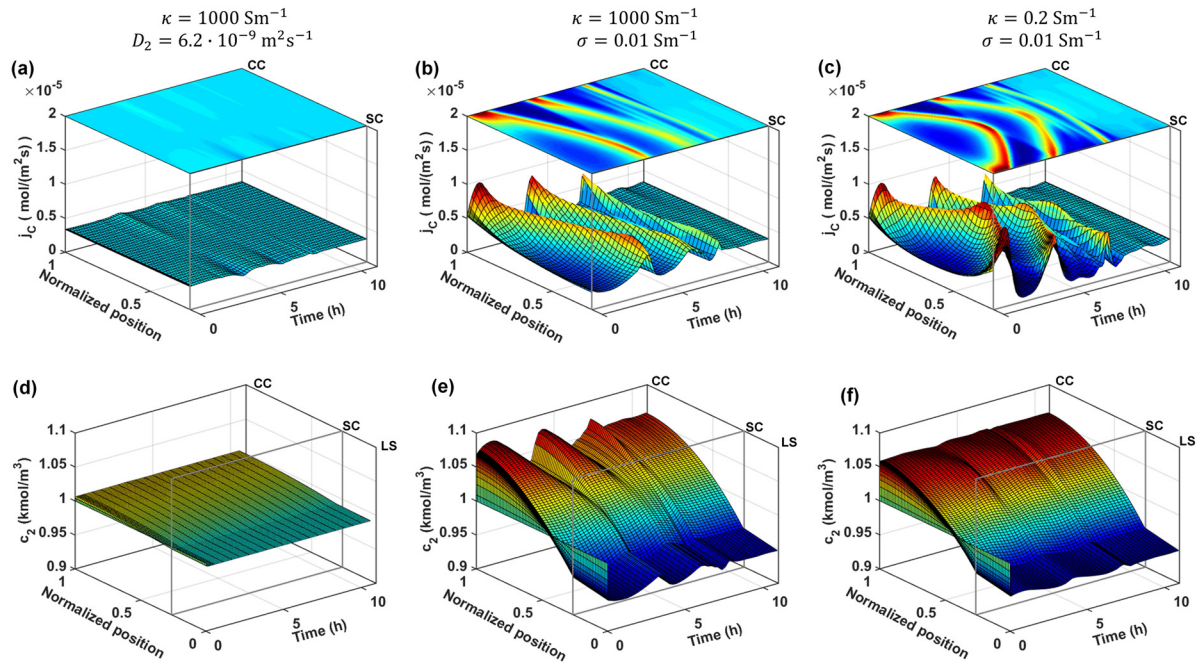


Fig. 4. Analysis of the electrochemical charge transfer reaction kinetics. (a) Simulated reaction distribution (j_c) and (d) Li concentration distribution in electrolyte (c_2) using a high ionic conductivity ($\kappa = 1000 \text{ Sm}^{-1}$) and Li⁺ diffusion coefficient ($D_2 = 6.2 \cdot 10^{-9} \text{ m}^2 \text{ s}^{-1}$). (b) Simulated j_c and (e) c_2 with a high ionic conductivity ($\kappa = 1000 \text{ Sm}^{-1}$) and small electronic conductivity ($\sigma = 0.01 \text{ Sm}^{-1}$). (c) Calculated j_c and (f) c_2 with low ionic conductivity ($\kappa = 0.2 \text{ Sm}^{-1}$) and low electronic conductivity ($\sigma = 0.01 \text{ Sm}^{-1}$). Parameters not listed are consistent with those in Table S3. The effective electrolyte diffusion coefficient (D_2^c), effective ionic (κ_c) and electronic conductivity (σ_c) in the porous graphite electrode region should be modified with respect to the porosity and Bruggeman coefficient, according to $D_2^c = D_2 \epsilon_c^{brugg}$, $\kappa_c = \kappa \epsilon_c^{brugg}$ and $\sigma_c = \sigma(1 - \epsilon_c - \epsilon_f)$. The OCV curve is used with the experimental one in Fig. 1b.

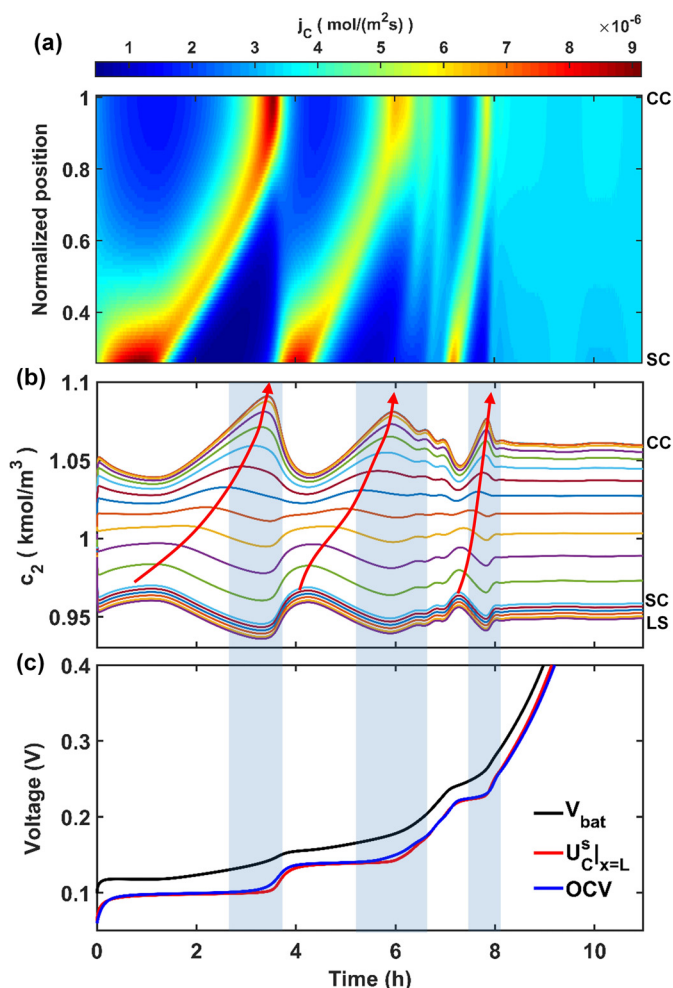


Fig. 5. (a) Contour plot of the reaction distribution (j_C) as a function of time and normalized position, the same as shown in Fig. 1d. (b) Li⁺ concentration in electrolyte (c_2) as a function of time, where the various colored lines represent c_2 at multiple positions inside the battery, including the porous electrode and separator regions. (c) Various potentials, including battery output voltage (V_{bat}), particle surface potential at the CC interface ($U_C^s|_{x=L}$), and battery OCV. Various interfaces are labeled at the right-hand side of (a) and (b). Red arrows in (b) represent the peak propagation in c_2 .

can be observed compared to Fig. 5a due to the influences of c_2 . However, c_2 shows considerable changes with different D_2 or t_+ . Note that c_2 -gradients refer to the Li⁺ concentration distribution along with the position, as indicated by the black vertical lines in Fig. S7–S8. c_2 -waves refer to the Li⁺ concentration fluctuations with respect to time and position, as indicated by the red arrows in Fig. 5b. Large values of D_2 or t_+ effectively suppress the c_2 -gradients (Fig. S7b and Fig. S8b). Small values of D_2 or t_+ lead to larger gradients of c_2 (Figs. S7d and S8d). However, c_2 -waves still exist with different D_2 or t_+ even though they become less prominent with larger D_2 or t_+ . That is because c_2 -waves are generated by the waves of j_C , which is minorly influenced by the ionic transport parameters. Therefore, the evolution of c_2 in the porous electrode and separator regions is controlled by both j_C inside the porous electrode and the ionic transport in the electrolyte. The waves in c_2 originate from the waves of j_C inside the porous electrode. The gradients in c_2 are attributed to the ionic transport limitation in the electrolyte.

Another factor that influences the c_2 -gradients and waves is the C-rate. Fig. S9 shows the voltage comparison and Li⁺ concentration waves at various C-rates using the parameters listed in Table S3. Note that the x-axis has been replaced by transferred charge to make them comparable. At low C-rate, the Li⁺ concentration waves are prominent. At a high

C-rate (larger than 1 C), Li⁺ concentration waves can still be observed, but they are not as obvious as at low C-rates. The reason for such a behavior is that large Li⁺ concentration gradients across the thickness of electrode material develop during high C-rates operation. Parts of the electrodes at different depths create concentration waves at different moments in time, smoothing the total concentration wave.

As discussed above, the transitions from the flat to the sloping parts (steps) in U_C^s (vs. c_1^s) coincide with the waves in j_C . However, U_C^s is not a measurable quantity, making it difficult to validate the simulations. To relate U_C^s to easily measurable quantities, for example, the battery voltage (V_{bat}), an expression of V_{bat} (Equation S15) has been derived in Section III of the Supporting Information based on equations in Table S1. It can be seen that V_{bat} is expressed as the summation of the surface potential of electrode particles at the CC interface ($U_C^s|_{x=L}$) and three additional overpotential terms. Note that the overpotential terms are very small at low C-rate operation. Figs. 5c and S10 present V_{bat} , $U_C^s|_{x=L}$ and battery OCV curve at 0.1 and 0.04C, accordingly. It can be seen that V_{bat} (black curve) behaves similarly to $U_C^s|_{x=L}$ (red curve) since the overpotentials are small for such low C-rates. Even though the fluctuating overpotential components [44] let the plateaus and slopes in V_{bat} slightly shift with respect to those found for $U_C^s|_{x=L}$, V_{bat} still reflects the general features of $U_C^s|_{x=L}$. As depicted in Fig. 5b, the peaks of c_2 at the CC interface correspond to the transitions from the flat to the sloping regions (steps) in $U_C^s|_{x=L}$. The valleys of c_2 at the separator region nicely align with the c_2 -peaks at the CC interface (see blue-shaded areas in Fig. 5b–c) due to mass conservation in the electrolyte. It can therefore be concluded that the valleys of c_2 at the separator region also appear near the steps of V_{bat} , as shown by the blue-shaded areas in Fig. 5b–c.

3.4. Experimental validation

The P2D-model adopted in this work combines a macroscale dimension (along with the battery thickness) and a microscale dimension (along with the particle radius). The waves of c_2 are generated in the macroscale dimension. It is not easy to measure c_2 inside porous electrodes. However, c_2 across the separator can conveniently be indicated by the potential difference measured with reference electrodes [41,62]. The waves of c_2 at the separator region behave oppositely with those at the CC interface and are related to V_{bat} , as explained in Fig. 5. Therefore, c_2 across the separator is selected to verify the Li⁺ concentration waves in the electrolyte.

An EL-Cell with two Li ring reference electrodes (PAT-Cell-TwinRef, Germany) is adopted. Fig. 6a shows the schematic of this cell. A graphite-based electrode used in Fig. 1–5 is assembled as the working electrode (WE). A metallic Li electrode is the counter electrode (CE). Two stainless steel rings coated with metallic Li are used as the reference electrodes RE1 and RE2, assembled at two sides of an electrolyte-immersed separator. Note that RE1 locates at the WE side. The potential differences among CE, RE1, and RE2 are mathematically derived in Equation S16–S28 in Section IV of the Supporting Information. Apparently, the potential differences between CE and RE2 ($E_{CE}-E_{RE2}$) and between RE1 and RE2 ($E_{RE1}-E_{RE2}$) are all a function of c_2 across the separator region.

Fig. 6b shows the potential differences between the various electrodes of the EL-Cell during charging and discharging at 0.05C (0.24 mA/cm²) followed by 6 h resting periods. The top panel shows the potential difference (light blue curve) between the WE and CE ($E_{WE}-E_{CE}$) and the derivative of this potential difference dV/dt (light red curve). Typical plateaus and slopes can be discerned in the voltage curve of $E_{WE}-E_{CE}$. The positions of the transitions from the plateaus to slopes (steps) are emphasized by the peaks in the potential derivative curve. The intermediate panel of Fig. 6b shows the potential difference between CE and RE2 ($E_{CE}-E_{RE2}$), and the lowest panel gives the potential difference between RE1 and RE2 ($E_{RE1}-E_{RE2}$). Interestingly, $E_{CE}-E_{RE2}$ (middle panel of Fig. 6b) shows clear fluctuations during operation. Except at the beginning, these fluctuations are well aligned with the positions of the

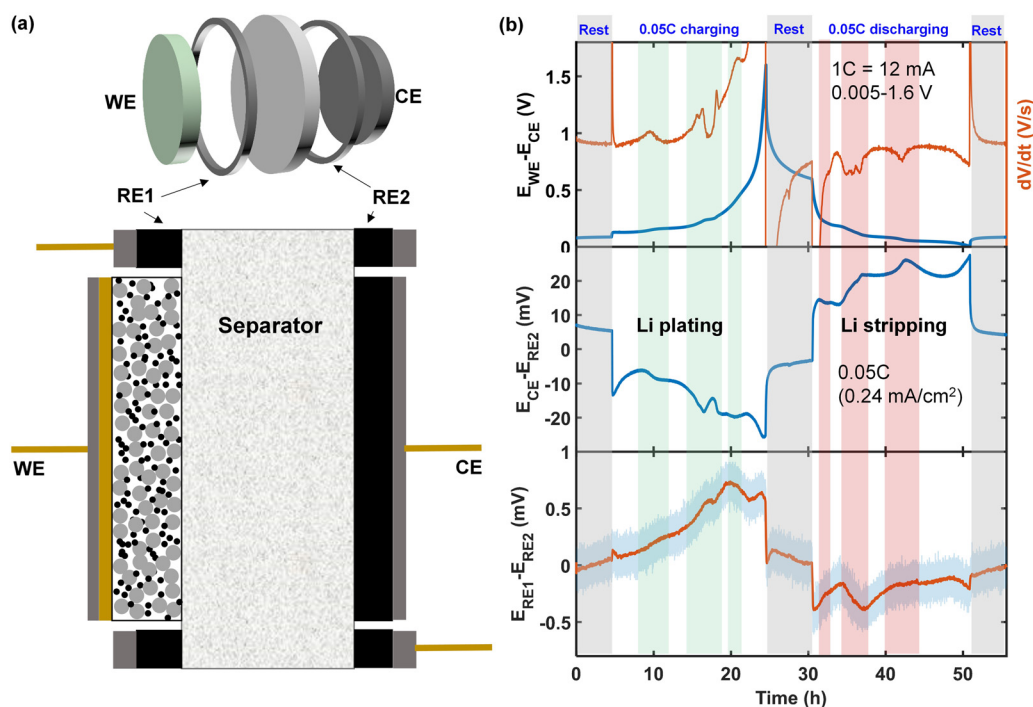


Fig. 6. (a) Schematic representation of a four-electrode EL-Cell setup. The top figure gives the components of this cell in a 3D view, and the bottom figure shows the cross-section view. (b) Potential differences measured among the four indicated electrodes. The top, middle, and bottom panels show the potential differences between WE and CE, CE and RE2, and RE1 and RE2 during (dis)charging at 0.05C ($1C = 12 \text{ mA}$). Considering the geometrical area of the electrode, the current density is indicated as 0.24 mA/cm^2 . The gray-shaded areas denote the resting periods. The green- and red-shaded areas indicate the simultaneous fluctuations of the potential differences in the middle and bottom panel and the steps on the top panel during charging and discharging, respectively.

steps in $E_{WE}-E_{CE}$ curve, as indicated by the derivative curve dV/dt on the top panel of Fig. 6b. Minor fluctuations in $E_{RE1}-E_{RE2}$ (lowest panel of Fig. 6b) can also be observed when $E_{WE}-E_{CE}$ experiences steps. These relations are labeled by green and red shaded areas for charge and discharge.

CE in this cell is a metallic Li electrode. During the charging and discharging of this cell, Li plating and stripping happen at the CE. Li plating on a metallic Li electrode happens either in the root-growing mossy Li mechanism or tip-growing dendritic Li mechanism [63]. Both the mossy and dendrite Li growth will not give rise to the regular fluctuations of voltage curve within a symmetric Li/Li cell [63,64]. At the beginning of Li plating, a voltage valley (middle panel of Fig. 6b) is related to the Li nuclei formation [15,65,66]. During Li stripping off a metallic Li electrode, Li will be first electrochemically dissolved from the previously plated Li, followed by the dissolution of the bulk Li electrode. Smooth profiles can be found in the voltage within a symmetric Li/Li cell, and a single sharp peak at the middle-to-end of stripping is sometimes found due to pits formations [64,67,68]. The cell used in Fig. 6 is not a symmetric Li/Li cell, but the plating and stripping mechanism on the CE should be the same. Multiple peaks (or valleys) observed in the voltage of $E_{CE}-E_{RE2}$ and $E_{RE1}-E_{RE2}$ in Fig. 6b are not likely related to the growth of mossy and dendrite Li or the formation of pits as explained above. The only possible reason left is the fluctuation of Li concentration in the electrolyte c_2 when adopting a porous graphite-based electrode as the WE. As explained in the first three sections, the transitions from the plateaus to slopes (steps) in the surface potential U_C^s cause wave formations and propagations in the reaction distribution j_C when electrode utilization is not uniform, further provoking the waves of Li concentration in the electrolyte c_2 . The steps in the output voltage V_{bat} at low C-rates are precisely located at the valley parts of the c_2 waves due to the mass conservation of the electrolyte and charge conservation in the porous electrode. In Fig. 6b, the positions of peaks (or valleys) $E_{CE}-E_{RE2}$ and $E_{RE1}-E_{RE2}$ are well aligned with the steps in battery output voltage ($E_{WE}-E_{CE}$), nicely demonstrating the behavior of

c_2 waves on the graphite-based porous electrode. Similar voltage fluctuations between the reference and counter electrodes in a three-electrode setup with graphite as the working electrode were previously reported [43,69]. The variations of Li^+ concentration in the electrolyte were also concluded to be responsible for these phenomena.

Fig. S12 gives the simulation of $E_{WE}-E_{CE}$, $E_{CE}-E_{RE2}$, and $E_{RE1}-E_{RE2}$ based on Equations S16-S28 and Fig. S11. Note that the simulation of $E_{CE}-E_{RE2}$ includes some essential properties, i.e., the kinetics of the Li plating and stripping and Li^+ concentration in the electrolyte at the surface of CE (c_2^s), but does not include the exact activity of ions, geometry properties, microscale details of Li plating and stripping. $E_{WE}-E_{CE}$ shows good agreement between experiment and simulation. $E_{CE}-E_{RE2}$ shows moderately good agreement. $E_{RE1}-E_{RE2}$ does not show a very good agreement between the experiment and simulation because the measured difference is minor, below mV level. At the same time, the qualitative agreement is present and general fluctuations, caused by waves of c_2 , are well captured by the simulations.

Once again remind, that the thermodynamic factor (OCV) and kinetic factor (reaction heterogeneity) together cause the waves of j_C , which further create waves of c_2 and therefore peaks (valleys) in $E_{CE}-E_{RE2}$ and $E_{RE1}-E_{RE2}$. Generally, the reaction distribution inside the porous electrode is not uniform, which means the kinetic factor is fulfilled. The thermodynamic factor is determined mostly by the equilibrium potential curve of the electrode, and different electrode materials have different equilibrium potential curves, which influence the c_2 waves. To illustrate this statement, Fig. S13 shows the potential differences among the four electrodes of EL-Cell with a porous LFP electrode as the WE under 0.1C of LFP electrode (0.16 mA/cm^2). The LFP electrode measured with respect to Li^+/Li has a relatively flat OCV-curve except at the beginning and the end of (dis)charging. It can be seen that the $E_{CE}-E_{RE2}$ and $E_{RE1}-E_{RE2}$ curves show smooth profiles without any fluctuations at the flat regions of the (dis)charging potential curve, indicating no waves of c_2 formed at these regions. The increase of $E_{CE}-E_{RE2}$ and a valley (peak) at the end of (dis)charging are due to the changes in

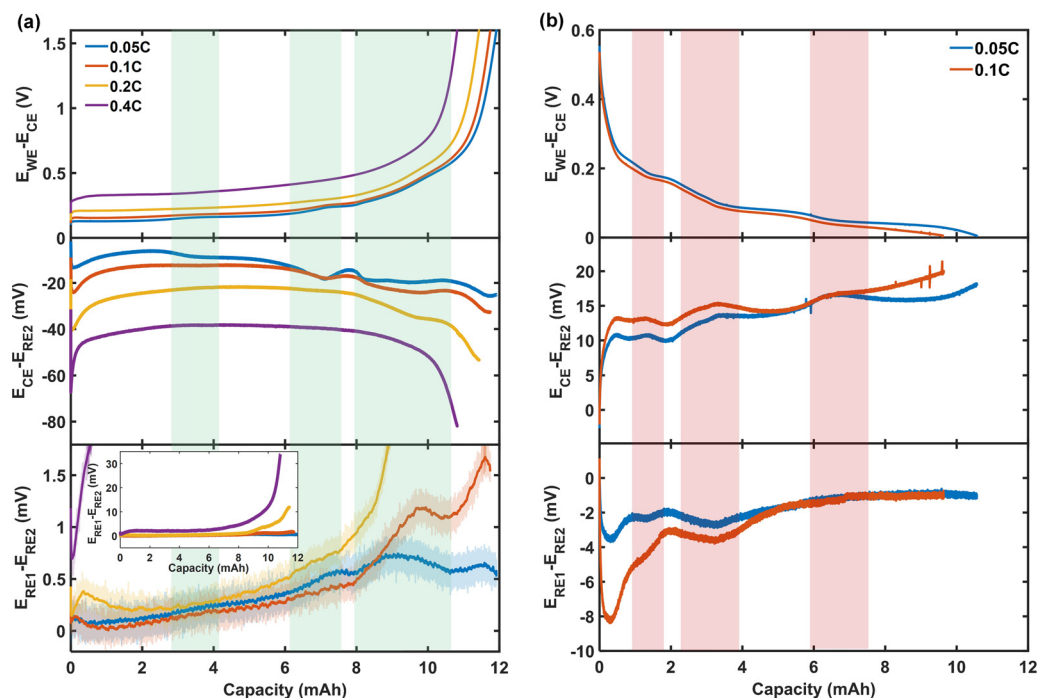


Fig. 7. (a) Various potential differences between the four electrodes during constant current charging at 0.05, 0.1, 0.2, and 0.4 C-rate (1C=12 mA). (b) Potential differences during discharging at 0.05 and 0.1C. The top, middle, and bottom panels show the potential differences between WE and CE, CE and RE2, and RE1 and RE2, respectively. The inset in the bottom panel of (a) shows the behavior of the potential differences on a larger scale. The green- and red-shaded areas indicate the simultaneous fluctuations between potential differences in the middle and bottom panel and the stepped regions in the top panel for charging and discharging, respectively.

kinetic of Li electrode [64,69]. According to the model, waves of c_2 can be seen near the steps in V_{bat} at low C-rates. This phenomenon also holds at the end of (dis)charging when voltage drops fast. Corresponding jump in $E_{RE1}-E_{RE2}$ at the end of discharging can be seen in Fig. S12.

Fig. 7a gives the potential differences between electrodes of the graphite-based EL-Cell at various charging C-rates. Fig. 7b gives the potential differences at two discharging C-rates. For (dis)charging at low C-rates (less than 0.2C), clear fluctuations in the potential difference of $E_{CE}-E_{RE2}$ and $E_{RE1}-E_{RE2}$ can be observed when the battery voltage undergoes step, as shown by the green and red shade areas. For (dis)charging at middle or high C-rates, the fluctuations in $E_{CE}-E_{RE2}$ and $E_{RE1}-E_{RE2}$ are not noticeable. The possible reason for these phenomena is the high electrolyte concentration gradient at middle or high C-rates. Such gradient causes small changes resulting from c_2 waves to be invisible. In these cases, smooth profiles in $E_{CE}-E_{RE2}$ and $E_{RE1}-E_{RE2}$ can be found when ($E_{WE}-E_{CE}$) show flat curves for (dis)charging.

Other factors, i.e., the porosity of the electrode and separator, the separator thickness, the amount of electrolyte, etc., will also influence the potential difference of $E_{CE}-E_{RE2}$ and $E_{RE1}-E_{RE2}$ due to the conservation law in c_2 . The roughness and neatness of metallic Li will also affect the values $E_{CE}-E_{RE2}$. In real applications, the reaction distribution can be nonuniform in both in-depth and in-plane directions [70–73]. For the battery with large-sized electrode or high C-rates applications [72,74], the nonuniformity of reaction distribution will be even more pronounced at the in-plane direction, causing a more complex electrolyte concentration distribution.

Li^+ concentration waves in the electrolyte are investigated in the present paper using the combination of P2D-based modeling and experiments. To sum up, Fig. 8 shows a schematic illustration of the origins of the Li-ion concentration waves. As shown, the synergetic effect between the kinetics and thermodynamics causes the reaction distribution waves to mitigate the nonuniform utilization of the active material in porous electrode. The kinetic factor refers to the charge-transfer reaction heterogeneity, which causes the nonuniform utilization of porous electrodes. The thermodynamic factor refers to the OCV of the cell. The

plateaus of the OCV curve tend to make the electrode utilization even more nonuniform.

In contrast, the sloping parts make the electrode utilization relatively uniform. The voltage transitions from the plateaus to the sloping parts of the OCV curve generate the waves of the reaction distribution. Such reaction distribution waves subsequently cause a local abundance or deficiency of Li^+ in the electrolyte since Li-ions act as charge carriers in the electrochemical reactions. That eventually results in Li-ion concentration waves in the electrolyte. Changing thermodynamic or kinetic factors will influence the reaction distribution and eventually affect the Li^+ concentration waves in the electrolyte. For example, low porosities and thick electrodes will lead to larger reaction distribution waves, which cause stronger Li^+ concentration waves in the electrolyte.

Note that the concentration waves were not found in previous publications with P2D-based models, even though these models have been extensively used to simulate Li-ion batteries. There are two possible reasons for that. First is the model simplifications. If simplification causes inaccuracy in j_c then Li^+ concentration waves in the electrolyte can be weakened or even disappear. For example, applying uniform reaction distribution (j_c^u) can significantly reduce the complexity of the model [56,57,75,76]. However, the waves in reaction distribution and electrolyte concentration disappear, as shown in Fig. S1 in the Supporting Information. Another possible distortion is fitting the equilibrium potential or open-circuit voltage (OCV) by polynomials [22,24,77]. The resulting polynomial curves are smooth and do not contain details of the single-phase or solid-solution stages during (de)lithiation. Such a simplification eventually depresses the concentration waves in the electrolyte, as revealed in Fig. 3c, f, and i in the manuscript.

The second reason is that concentration waves are easily overlooked when only the concentration profiles as a function of (normalized) position are shown. In most publications using P2D models, the concentration in the electrolyte is shown with respect to the (normalized) position. A limited range of discrete moments of time has been selected and compared in the same figure [16,17,21,24,52,78,79]. In this case, the selected range of moments is not enough to reveal Li concentration

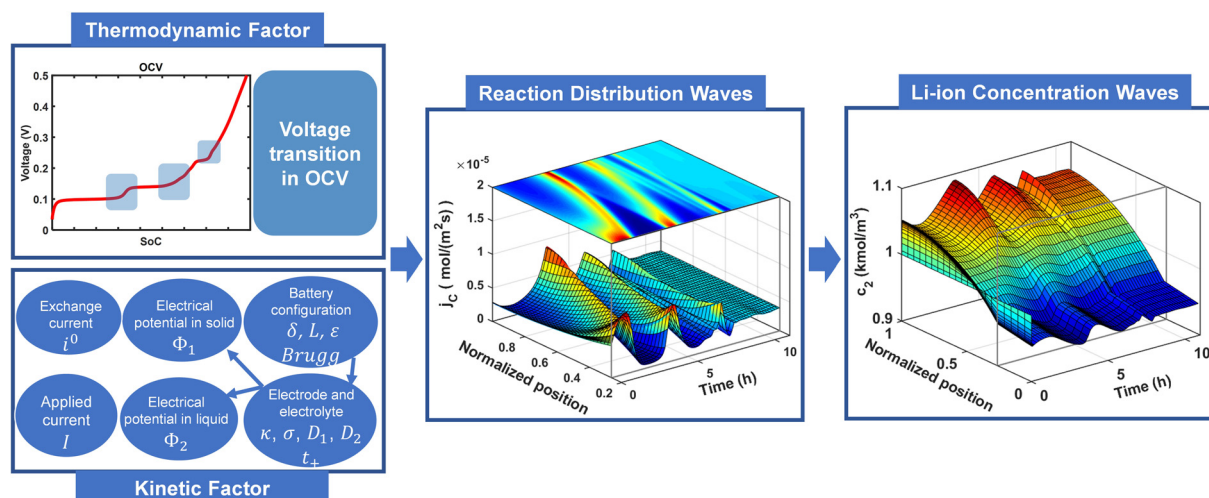


Fig. 8. Schematic illustration of the interrelation among thermodynamic factor, kinetic factor, reaction distribution waves, and Li-ion concentration waves in the electrolyte.

waves. However, if a small timestep is selected and a long simulation time is used, then some indications of Li^+ concentration waves can be observed. For example, there are several publications showing concentration in the electrolyte as a function of time [19,23,52,80]. In these cases, it can clearly be seen that the Li^+ concentration in the electrolyte fluctuates with respect to time at a particular position. This observation directly points to Li^+ concentration waves formed during operation.

The influence of Li^+ concentration waves on the cell performance is another important question. Two aspects can be considered here. The first one is related to a direct influence. The cell's output voltage is a summation of the equilibrium potential and overpotentials. The Li^+ concentration difference in the electrolyte is a critical source of the overpotential. As revealed in the present paper, Li^+ concentration in the electrolyte shows strong waves during operation, eventually causing fluctuations in the overpotential term [44]. The second aspect is the indirect influence, resulting from the relation between concentration waves and the reaction distribution j_C . j_C alters the utilization of the active materials inside the porous electrode, and the utilization of the active materials thermodynamically and kinetically influences j_C in reverse. These complex and coupled relations significantly affect the output voltage curve of the battery. For example, the phase-change indications diminish at high C-rates, as indicated by the blue shaded areas in Fig. S9a.

Finally, the findings in the present paper will also provide guidelines for porous electrode and battery design. In practical applications, achieving uniform reaction distribution is always beneficial for the energy/power output because only in this case the active materials can be fully utilized. Therefore, reaching uniform reaction distribution can be an important goal in designing high-energy/power Li-ion batteries. From thermodynamic considerations, using active material with smoothly changing OCV without flat parts resulting from phase changes helps to build a more uniform reaction distribution across porous electrodes and, consequently, maximize the energy/power output, for example, hard carbon in anodes [81], $\text{LiNi}_x\text{Co}_y\text{Mn}_{1-x-y}\text{O}_2$ in cathodes [54]. Permitting some limited (5–10%) nonuniformity in utilization could be an achievable strategy for balancing the battery design and maximum extracted energy considering the kinetic factor.

4. Conclusions

In the present paper, Li^+ concentration waves in the electrolyte of a C/Li cell during the galvanostatic operation are investigated with the help of the P2D model and experimental measurements with a four-electrode setup. The simulations reveal that Li^+ concentration waves in the electrolyte are generated by the waves of reaction distribution

inside the porous electrode. Two factors are essential for the generation of reaction distribution waves. The reaction heterogeneity (kinetic factor) results in a non-uniform electrode utilization. The non-uniform electrode utilization tends to be mitigated when going through the voltage transitions in the OCV curve (thermodynamic factor) by forming reaction distribution waves. In the experiments, a four-electrode device (WE/RE1/RE2/CE) is used to validate the electrolyte concentration waves inside the separator region. The potential differences between CE and RE2, RE1 and RE2, show apparent fluctuations during operation, demonstrating waves in the electrolyte concentration. The alignments of the steps in battery output voltage and the fluctuations in the potential differences illustrate electrolyte concentration waves' dependency on thermodynamics and the porous electrode's reaction kinetics.

Such phenomena are not specific to graphite-based porous electrodes. It is expectable that the porous electrodes with flat and sloping parts in OCV curves (Fig. S15), i.e. spinel LiMn_2O_4 , LiV_2O_5 , NaCoO_2 , will also generate reaction distribution waves and electrolyte concentration waves at low-current applications. The results in the present paper reveal that one porous electrode inside a battery will influence the other (porous) electrode through the electrolyte. These results also provide an important viewpoint for understanding the reaction nature and explaining voltage artifacts in porous electrodes. In addition, the finding of the dependency of the reaction distribution on both thermodynamics and kinetics is highly relevant for the scientific community in the field of rechargeable batteries and applications. The presented results will also broaden the design prospective of porous electrodes and enhance the performance of future Li-ion batteries.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

CRediT authorship contribution statement

Zhiqiang Chen: Investigation, Software, Validation, Data curation, Writing – original draft. **Dmitri L. Danilov:** Conceptualization, Methodology, Software, Supervision, Writing – review & editing. **Rüdiger-A. Eichel:** Project administration. **Peter H.L. Notten:** Conceptualization, Supervision, Writing – review & editing.

Acknowledgments

Mr. Z. Chen gratefully acknowledges fellowships support by the China Scholarship Council. The authors would like to thank Dr. Luc H.J. Raijmakers from Fundamental Electrochemistry (IEK-9), Forschungszentrum Jülich, Germany, for his kind help to purchase and communicate with EL-Cell GmbH.

Supplementary materials

Supplementary material associated with this article can be found, in the online version, at doi:[10.1016/j.ensm.2022.03.037](https://doi.org/10.1016/j.ensm.2022.03.037).

References

- [1] K. Ozawa, Lithium-ion rechargeable batteries with LiCoO₂ and carbon electrodes: the LiCoO₂/C system, *Solid State Ion* (1994) 212–221.
- [2] Y. Ding, Z.P. Cano, A. Yu, J. Lu, Z. Chen, Automotive Li-Ion Batteries: Current Status and Future Perspectives, *Electrochem. Energ. Rev.* 2 (2019) 1–28.
- [3] M.S. Ziegler, J.E. Trancik, Re-examining rates of lithium-ion battery technology improvement and cost decline, *Energy Environ. Sci.* 14 (2021) 1635–1651.
- [4] Q. Li, J. Chen, L. Fan, X. Kong, Y. Lu, Progress in electrolytes for rechargeable Li-based batteries and beyond, *Green Energy Environ.* 1 (2016) 18–42.
- [5] K. Xu, Nonaqueous Liquid Electrolytes for Lithium-Based Rechargeable Batteries, *Chem. Rev.* 104 (2004) 4303–4417.
- [6] Y.X. Yao, X. Chen, C. Yan, X.Q. Zhang, W.L. Cai, J.Q. Huang, Q. Zhang, Regulating Interfacial Chemistry in Lithium-Ion Batteries by a Weakly Solvating Electrolyte, *Angew. Chem. Int. Ed.* 60 (2021) 4090–4097.
- [7] Z. Chang, Y. Qiao, H. Deng, H. Yang, P. He, H. Zhou, A Liquid Electrolyte with De-Solvated Lithium Ions for Lithium-Metal Battery, *Joule* 4 (2020) 1776–1789.
- [8] M. Park, X. Zhang, M. Chung, G.B. Less, A.M. Sastry, A review of conduction phenomena in Li-ion batteries, *J. Power Sources* 195 (2010) 7904–7929.
- [9] D. Takamatsu, A. Yoneyama, Y. Asari, T. Hirano, Quantitative Visualization of Salt Concentration Distributions in Lithium-Ion Battery Electrolytes during Battery Operation Using X-ray Phase Imaging, *J. Am. Chem. Soc.* 140 (2018) 1608–1611.
- [10] D. Danilov, P.H.L. Notten, Mathematical modelling of ionic transport in the electrolyte of Li-ion batteries, *Electrochim. Acta* 53 (2008) 5569–5578.
- [11] D.-H. Kim, S. Hwang, J.-J. Cho, S. Yu, S. Kim, J. Jeon, K.H. Ahn, K. Lee, H.-K. Song, H. Lee, Toward Fast Operation of Lithium Batteries: Ion Activity as the Factor To Determine the Concentration Polarization, *ACS Energy Lett* 4 (2019) 1265–1270.
- [12] K.M. Diederichsen, E.J. McShane, B.D. McCloskey, Promising Routes to a High Li+ Transference Number Electrolyte for Lithium Ion Batteries, *ACS Energy Lett* 2 (2017) 2563–2575.
- [13] C. Monroe, J. Newman, The Effect of Interfacial Deformation on Electrodeposition Kinetics, *J. Electrochem. Soc.* 151 (2004) A880.
- [14] A.J. Bard, L.R. Faulkner, *Electrochemical Methods Fundamentals and Applications*, John Wiley & Sons, 1980.
- [15] Y. Liu, X. Xu, M. Sadd, O.O. Kapitanova, V.A. Krivchenko, J. Ban, J. Wang, X. Jiao, Z. Song, J. Song, S. Xiong, A. Matic, Insight into the Critical Role of Exchange Current Density on Electrodeposition Behavior of Lithium Metal, *Adv. Sci.* 8 (2021) 2003301.
- [16] M. Doyle, T.F. Fuller, J. Newman, Modeling of Galvanostatic Charge and Discharge of the Lithium/Polymer/Insertion Cell, *J. Electrochem. Soc.* 140 (1993) 1526–1533.
- [17] T.F. Fuller, M. Doyle, J. Newman, Simulation and Optimization of the Dual Lithium Ion Insertion Cell, *J. Electrochem. Soc.* 141 (1994) 1–10.
- [18] A. Mistry, C. Fear, R. Carter, C.T. Love, P.P. Mukherjee, Electrolyte Confinement Alters Lithium Electrodeposition, *ACS Energy Lett.* 4 (2018) 156–162.
- [19] X. Han, M. Ouyang, L. Lu, J. Li, Simplification of physics-based electrochemical model for lithium ion battery on electric vehicle. Part I: Diffusion simplification and single particle model, *J. Power Sources* 278 (2015) 802–813.
- [20] X. Han, M. Ouyang, L. Lu, J. Li, Simplification of physics-based electrochemical model for lithium ion battery on electric vehicle. Part II: Pseudo-two-dimensional model simplification and state of charge estimation, *J. Power Sources* 278 (2015) 814–825.
- [21] S. Khaleghi Rahimian, S. Rayman, R.E. White, Extension of physics-based single particle model for higher charge-discharge rates, *J. Power Sources* 224 (2013) 180–194.
- [22] K. Smith, C.-Y. Wang, Power and thermal characterization of a lithium-ion battery pack for hybrid-electric vehicles, *J. Power Sources* 160 (2006) 662–673.
- [23] M. Torchio, L. Magni, R.B. Gopaluni, R.D. Braatz, D.M. Raimondo, LIONSIMBA: A Matlab Framework Based on a Finite Volume Model Suitable for Li-Ion Battery Design, Simulation, and Control, *J. Electrochem. Soc.* 163 (2016) A1192–A1205.
- [24] T.-S. Dao, C.P. Vyasayani, J. McPhee, Simplification and order reduction of lithium-ion battery model based on porous-electrode theory, *J. Power Sources* 198 (2012) 329–337.
- [25] D. Danilov, P.H.L. Notten, Li-ion electrolyte modeling: The impact of adding supportive salts, *J. Power Sources* 189 (2009) 303–308.
- [26] M.F. Lagadee, R. Zahn, V. Wood, Characterization and performance evaluation of lithium-ion battery separators, *Nat. Energy* 4 (2018) 16–25.
- [27] A.M. Colclasure, T.R. Tanim, A.N. Jansen, S.E. Trask, A.R. Dunlop, B.J. Polzin, I. Bloom, D. Robertson, L. Flores, M. Evans, E.J. Dufek, K. Smith, Electrode scale and electrolyte transport effects on extreme fast charging of lithium-ion cells, *Electrochim. Acta* 337 (2020) 135854.
- [28] A.M. Colclasure, A.R. Dunlop, S.E. Trask, B.J. Polzin, A.N. Jansen, K. Smith, Requirements for Enabling Extreme Fast Charging of High Energy Density Li-Ion Cells while Avoiding Lithium Plating, *J. Electrochem. Soc.* 166 (2019) A1412–A1424.
- [29] M. Klett, M. Giesecke, A. Nyman, F. Hallberg, R.W. Lindstrom, G. Lindbergh, I. Furo, Quantifying mass transport during polarization in a Li ion battery electrolyte by in situ 7Li NMR imaging, *J. Am. Chem. Soc.* 134 (2012) 14654–14657.
- [30] J. Newman, K.E. Thomas-Alyea, *Electrochemical Systems*, John Wiley & Sons, 2004.
- [31] M. Doyle, T.F. Fuller, J. Newman, The importance of the lithium ion transference number in lithium/polymer cells, *Electrochim. Acta* 39 (1994) 2073–2081.
- [32] H.-G. Steinrück, C.J. Takacs, H.-K. Kim, D.G. Mackanic, B. Holladay, C. Cao, S. Narayanan, E.M. Dufresne, Y. Chushkin, B. Ruta, F. Zontone, J. Will, O. Borodin, S.K. Sinha, V. Srinivasan, M.F. Toney, Concentration and velocity profiles in a polymeric lithium-ion battery electrolyte, *Energy Environ. Sci.* 13 (2020) 4312–4321.
- [33] S.A. Krachkovskiy, J.M. Foster, J.D. Bazak, B.J. Balcom, G.R. Goward, Operando Mapping of Li Concentration Profiles and Phase Transformations in Graphite Electrodes by Magnetic Resonance Imaging and Nuclear Magnetic Resonance Spectroscopy, *J. Phys. Chem. C* 122 (2018) 21784–21791.
- [34] H.J. Chang, A.J. Iltot, N.M. Trease, M. Mohammadi, A. Jerschow, C.P. Grey, Correlating Microstructural Lithium Metal Growth with Electrolyte Salt Depletion in Lithium Batteries Using (7)Li MRI, *J. Am. Chem. Soc.* 137 (2015) 15209–15216.
- [35] T. Yamanaka, H. Nakagawa, S. Tsubouchi, Y. Domi, T. Doi, T. Abe, Z. Ogumi, In situ Raman spectroscopic studies on concentration change of ions in the electrolyte solution in separator regions in a lithium ion battery by using multi-microprobes, *Electrochem. commun.* 77 (2017) 32–35.
- [36] Y. Yamada, F. Sagane, Y. Iriyama, T. Abe, Z. Ogumi, lithium-Ion Transfer at the Interface between Li_{0.35}La_{0.55}TiO₃ and Binary Electrolytes, *J. Phys. Chem. C* 113 (2009) 14528–14532.
- [37] Q. Cheng, L. Wei, Z. Liu, N. Ni, Z. Sang, B. Zhu, W. Xu, M. Chen, Y. Miao, L.Q. Chen, W. Min, Y. Yang, Operando and three-dimensional visualization of anion depletion and lithium growth by stimulated Raman scattering microscopy, *Nat. Commun.* 9 (2018) 2942.
- [38] S. Lv, T. Verhallen, A. Vasileiadis, F. Ooms, Y. Xu, Z. Li, Z. Li, M. Wagemaker, Operando monitoring the lithium spatial distribution of lithium metal anodes, *Nat. Commun.* 9 (2018) 2152.
- [39] D.L. Danilov, C. Chen, M. Jiang, R.A. Eichel, P.H.L. Notten, On the conversion of NDP energy spectra into depth concentration profiles for thin-films all-solid-state batteries, *Radiat. Eff. Defects Solids* 175 (2020) 367–382.
- [40] C. Chen, J.F.M. Oudenhoven, D.L. Danilov, E. Vezhlev, L. Gao, N. Li, F.M. Mulder, R.-A. Eichel, P.H.L. Notten, Origin of Degradation in Si-Based All-Solid-State Li-Ion Microbatteries, *Adv. Energy Mater.* 8 (2018) 1801430.
- [41] J. Zhou, D. Danilov, P.H. Notten, A novel method for the in situ determination of concentration gradients in the electrolyte of Li-ion batteries, *Chem. Eur. J.* 12 (2006) 7125–7132.
- [42] S. Hou, T. Gao, X. Li, C. Wang, Operando probing ion and electron transport in porous electrodes, *Nano Energy* 67 (2020) 104254.
- [43] C. Heubner, U. Langklotz, M. Schneider, A. Michaelis, Analysis of the counter-electrode potential in a 3-electrode lithium ion battery cell, *J. Electroanal. Chem.* 759 (2015) 91–94.
- [44] Z. Chen, D.L. Danilov, L.H.J. Raijmakers, K. Chayambuka, M. Jiang, L. Zhou, J. Zhou, R.-A. Eichel, P.H.L. Notten, Overpotential analysis of graphite-based Li-ion batteries seen from a porous electrode modeling perspective, *J. Power Sources* 509 (2021) 230345.
- [45] J. Sturm, A. Rheinfeld, I. Zilberman, F.B. Spingler, S. Kosch, F. Frie, A. Jossen, Modeling and simulation of inhomogeneities in a 18650 nickel-rich, silicon-graphite lithium-ion cell during fast charging, *J. Power Sources* 412 (2019) 204–223.
- [46] C.R. Birkel, M.R. Roberts, E. McTurk, P.G. Bruce, D.A. Howey, Degradation diagnostics for lithium ion cells, *J. Power Sources* 341 (2017) 373–386.
- [47] H. Li, C. Liu, X. Kong, J. Cheng, J. Zhao, Prediction of the heavy charging current effect on nickel-rich/silicon-graphite power batteries based on adiabatic rate calorimetry measurement, *J. Power Sources* 438 (2019) 226971.
- [48] S. Han, Y. Tang, S. Khaleghi Rahimian, A numerically efficient method of solving the full-order pseudo-2-dimensional (P2D) Li-ion cell model, *J. Power Sources* 490 (2021) 229571.
- [49] J.R. Dahn, R. Fong, M.J. Spoon, Suppression of staging in lithium-intercalated carbon by disorder in the host, *Phys. Rev. B* 42 (1990) 6424–6432.
- [50] J.R. Dahn, Phase diagram of LiC₆, *Phys. Rev. B* 44 (1991) 9170–9177.
- [51] W.-J. Zhang, Structure and performance of LiFePO₄ cathode materials: A review, *J. Power Sources* 196 (2011) 2962–2970.
- [52] V.R. Subramanian, V. Boovaragavan, V.D. Diwakar, Toward Real-Time Simulation of Physics Based Lithium-Ion Battery Models, *Electrochem. Solid-State Lett.* 10 (2007) A255.
- [53] F. Wang, M. Tang, A Quantitative Analytical Model for Predicting and Optimizing the Rate Performance of Battery Cells, *Cell Reports Physical Science* 1 (2020) 100192.
- [54] F. Wang, M. Tang, Thermodynamic Origin of Reaction Non-Uniformity in Battery Porous Electrodes and Its Mitigation, *J. Electrochem. Soc.* 167 (2020) 120543.
- [55] J. Newman, W. Tiedemann, Porous-Electrode Theory with Battery Applications, *AIChE Journal* 21 (1975) 25–41.
- [56] E. Prada, D. Di Domenico, Y. Creff, J. Bernard, V. Sauvant-Moynot, F. Huet, Simplified Electrochemical and Thermal Model of LiFePO₄-Graphite Li-Ion Batteries for Fast Charge Applications, *J. Electrochem. Soc.* 159 (2012) A1508–A1519.
- [57] D.D. Domenico, G. Fiengo, A. Stefanopoulou, Lithium-ion battery State of Charge estimation with a Kalman Filter based on an electrochemical model, in: 17th IEEE International Conference on Control Applications, 2008, pp. 702–707.
- [58] Z. Chen, D.L. Danilov, R.-A. Eichel, P.H.L. Notten, On the reaction rate distribution in porous electrodes, *Electrochem. commun.* 121 (2020) 106865.

- [59] J.B. Goodenough, Y. Kim, Challenges for Rechargeable Li Batteries, *Chem. Mater.* 22 (2010) 587–603.
- [60] L.-X. Yuan, Z.-H. Wang, W.-X. Zhang, X.-L. Hu, J.-T. Chen, Y.-H. Huang, J.B. Goodenough, Development and challenges of LiFePO₄ cathode material for lithium-ion batteries, *Energy Environ. Sci.* 4 (2011) 269–284.
- [61] J.B. Goodenough, K.S. Park, The Li-ion rechargeable battery: a perspective, *J. Am. Chem. Soc.* 135 (2013) 1167–1176.
- [62] M. Parkhondeh, M. Pritzker, C. Delacourt, S.S.W. Liu, M. Fowler, Method of the Four-Electrode Electrochemical Cell for the Characterization of Concentrated Binary Electrolytes: Theory and Application, *J. Phys. Chem. C* 121 (2017) 4112–4129.
- [63] P. Bai, J. Li, F.R. Brushett, M.Z. Bazant, Transition of lithium growth mechanisms in liquid electrolytes, *Energy Environ. Sci.* 9 (2016) 3221–3229.
- [64] K.N. Wood, E. Kazyak, A.F. Chadwick, K.H. Chen, J.G. Zhang, K. Thornton, N.P. Dasgupta, Dendrites and Pits: Untangling the Complex Behavior of Lithium Metal Anodes through Operando Video Microscopy, *ACS Cent. Sci.* 2 (2016) 790–801.
- [65] A. Pei, G. Zheng, F. Shi, Y. Li, Y. Cui, Nanoscale Nucleation and Growth of Electrodeposited Lithium Metal, *Nano Lett.* 17 (2017) 1132–1139.
- [66] T. Gao, Y. Han, D. Fraggadakis, S. Das, T. Zhou, C.-N. Yeh, S. Xu, W.C. Chueh, J. Li, M.Z. Bazant, Interplay of Lithium Intercalation and Plating on a Single Graphite Particle, *Joule* 5 (2021) 393–414.
- [67] K.-H. Chen, K.N. Wood, E. Kazyak, W.S. LePage, A.L. Davis, A.J. Sanchez, N.P. Dasgupta, Dead lithium: mass transport effects on voltage, capacity, and failure of lithium metal anodes, *J. Mater. Chem. A* 5 (2017) 11671–11681.
- [68] G. Bieker, M. Winter, P. Bieker, Electrochemical in situ investigations of SEI and dendrite formation on the lithium metal anode, *Phys Chem Chem Phys* 17 (2015) 8670–8679.
- [69] C. Heubner, S. Maletti, O. Lohrberg, T. Lein, T. Liebmann, A. Nickol, M. Schneider, A. Michaelis, Electrochemical Characterization of Battery Materials in 2-Electrode Half-Cell Configuration: A Balancing Act Between Simplicity and Pitfalls, *Batteries Supercaps* (2021) 1310–1322.
- [70] G. Zhang, C.E. Shaffer, C.-Y. Wang, C.D. Rahn, In-Situ Measurement of Current Distribution in a Li-Ion Cell, *J. Electrochem. Soc.* 160 (2013) A610–A615.
- [71] S.V. Erhard, P.J. Osswald, P. Keil, E. Höffer, M. Haug, A. Noel, J. Wilhelm, B. Rieger, K. Schmidt, S. Kosch, F.M. Kindermann, F. Spingler, H. Kloust, T. Thoennessen, A. Rheinfeld, A. Jossen, Simulation and Measurement of the Current Density Distribution in Lithium-Ion Batteries by a Multi-Tab Cell Approach, *J. Electrochem. Soc.* 164 (2017) A6324–A6333.
- [72] J. Liu, M. Kunz, K. Chen, N. Tamura, Y.J. Richardson, Visualization of Charge Distribution in a Lithium Battery Electrode, *J. Phys. Chem. Lett.* 1 (2010) 2020–2023.
- [73] Y. Zhang, Z. Yang, C. Tian, Probing and quantifying cathode charge heterogeneity in Li ion batteries, *J. Mater. Chem. A* 7 (2019) 23628–23661.
- [74] H. Wang, Y. Zhu, S.C. Kim, A. Pei, Y. Li, D.T. Boyle, H. Wang, Z. Zhang, Y. Ye, W. Huang, Y. Liua, J. Xua, J. Li, F. Liu, Y. Cui, Underpotential lithium plating on graphite anodes caused by temperature heterogeneity, *PNAS* 117 (2020) 29453–29461.
- [75] E. Prada, D. Di Domenico, Y. Creff, J. Bernard, V. Sauvant-Moynot, F. Huet, A simplified electrochemical and thermal aging model of LiFePO₄-graphite li-ion batteries: power and capacity fade simulations, *J. Electrochem. Soc.* 160 (2013) A616–A628.
- [76] D. Di Domenico, A. Stefanopoulou, G. Fiengo, Lithium-ion battery state of charge and critical surface charge estimation using an electrochemical model-based extended kalman filter, *J. Dyn. Syst., Meas., Control* 132 (2010) 061302.
- [77] K. Smith, C.-Y. Wang, Solid-state diffusion limitations on pulse operation of a lithium ion cell for hybrid electric vehicles, *J. Power Sources* 161 (2006) 628–639.
- [78] V.R. Subramanian, V.D. Diwakar, D. Tapriyal, Efficient macro-micro scale coupled modeling of batteries, *J. Electrochem. Soc.* 152 (2005) A2002.
- [79] L. Xu, X. Lin, Y. Xie, X. Hu, Enabling high-fidelity electrochemical P2D modeling of lithium-ion batteries via fast and non-destructive parameter identification, *Energy Storage Mater* 45 (2022) 952–968.
- [80] M.M. Forouzan, B.A. Mazzeo, D.R. Wheeler, Modeling the effects of electrode microstructural heterogeneities on Li-ion battery performance and lifetime, *J. Electrochem. Soc.* 165 (2018) A2127–A2144.
- [81] K.H. Chen, V. Goel, M.J. Namkoong, M. Wied, S. Müller, V. Wood, J. Sakamoto, K. Thornton, N.P. Dasgupta, Enabling 6C fast charging of Li-ion batteries with graphite/hard carbon hybrid anodes, *Adv. Energy Mater.* (2020) 2003336.